Form 3-200-49

OMB Control No. 1018-0093 Expires 08/31/2020



Department of Interior U.S. Fish and Wildlife Service Federal Fish and Wildlife Permit Application Form

U.S. Fish and Wildlife Service Division of Management Authority Branch of Permits, MS: IA 5275 Leesburg Pike Falls Church, VA 22041-3803 1-800-358-2104 or 703-358-2104 Type of Activity

APPROVAL, AMENDMENT OR RENEWAL OF A COOPERATIVE BREEDING PROGRAM under the Wild Bird Conservation Act (WBCA)

Complete Sections A or B, a nstructions on how to ma						s for details.	
Section A: Complete if	applying as an individu	ual					
1.a. Last Name	when your	<u></u>	1.b. First Name		1.c. Middle	le Name/Initial	1.d. Suffix
2. Date of Birth (mm/dd/yyyy)	rth (mm/dd/yyyy) 3. Telephone Number		3.a. Alternate Tele	3.a. Alternate Telephone Number 4. E-		4. E-mail address	
	applying on behalf of a	a business, cor			e, or institut	tion	
1.a. Name of business, agency, Trib	e, or institution		1.b. Doing busines	ss as (DBA)			
2. Tax identification no.			3. Description of t	business, agency, Tribe	e, or institution		
4.a. Principal officer Last name	4.b. Principal officer Fir	.b. Principal officer First Name		4.c. Principal officer Middle name/initial		4.d. Suffix	
5. Principal officer title		6. Primary contact r	name				
7.a. Business telephone number	7.b. Alternate telephone	le number	7.c. Business fax	7.c. Business fax number 7.d.		d. Business e-mail address	
1.b. City	1.c. State	1.d. Zip code/F	Postal code	1.e. County/Province		1.f. Country	
2.a. Mailing address (include if different	rent than physical address; include r	name of contact person i	if applicable)				
2.b. City	2.c. State	2.d. Zip code/Po		2.e. County/Province		2.f.Country	
amount identified of processing fee – att 2. Certification: I heretother applicable particular in the processing fee – attention in the processin fee – attention in the processing fee – attention in the proces	es MUST complete undable application processi on page 2. Federal, Tribal, Sta ttach documentation of fee exen by certify that I have read and a larts in subchapter B of Chapi st of my knowledge and belief. I	ate, and local governing the status as outlined am familiar with the roter I of Title 50, and	ment agencies, and t d in instructions [50 C regulations contained I I certify that the infor	those acting on beha CFR 13.11(d)]. d in <i>Title 50 Part 13</i> ormation submitted in	alf of such agend B of the Code of n this application	cies, are exempt f Federal Regula n for a permit is o	t from the lations and the complete and
Signature of applicant/Princ	, ,	·			·		.3.0. 1001.
		Please conti	inue to next pag	ge			

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E. Approval, Amendment or Renewal of a Cooperative Breeding Program (WBCA)

Note 1: This application must be approved before import permits can be issued for the purpose of cooperative breeding. <u>A Cooperative Breeding Program (CBP) must have at least two members</u>. Zoological institutions may be members of a CBP. Once a program is approved, you must submit application form 3-200-48 to request import authorization for specific birds.

Note 2: The <u>lead</u> cooperator (as identified on page 1) for the breeding program **must submit this** application.

Complete all relevant questions on the application. Mark questions that are not applicable with "N/A". Due to the length of some questions, please use separate sheets of paper to answer the application questions. On all attachments or separate sheets you are submitting; please indicate the number of the application question you are addressing. If requesting authorization for more than one species or subspecies, please answer questions 5-9 for each species/subspecies.

<u>Electronic submission of inventories</u>, photographs, and receipts: Some applications contain extensive inventories and /or a large number of photographs or receipts. You may provide electronic versions of the documents. Such a submission will assist in expediting the processing of your application since it may reduce data entry by the U.S. Fish and Wildlife Service. If you wish to provide information electronically, once you have received an application number via the e-mailed acknowledgment letter, e-mail your information to <u>permits@fws.gov</u>. Be sure to include the application number provided in the acknowledgment e-mail that will be sent to you when we receive your application.

I will be submitting documents electronically.

ı	want	to:
ı	want	1()

Establish a new cooperative breeding program (Please answer all questions) PROCESSING FEE = \$200
Amend an existing cooperative breeding program; CBP # (If requesting new species, answers questions 4, 5, 6, 7, and 8; If requesting to add a member, answer question 6) PROCESSING FEE = \$100
Renew an existing cooperative breeding program; CBP # (If there are no changes to the membership or species in the CBP, please answer questions 8 and 9) PROCESSING FEE = \$50

- Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.
- 2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?
- 3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

No Yes

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If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

- 4. A description of the species/subspecies to be covered (imported) under the program, including:

 a. Scientific name (genus, species, and, if applicable, subspecies) and common name;
 b. Number of birds requested to meet the goals of the program (Note: indicate the total number of birds to be imported by all the members of the CBP for the life of the program);
 c. The minimum number of participating facilities needed to achieve management objectives (provide an explanation of how this number was derived);
 d. Desired sex ratio.

 5. A statement justifying the need for this approval, which includes information on the current U.S. captive population
- 5. A statement justifying the need for this approval, which includes information on the current U.S. captive population of the requested species/subspecies and the need for importing additional specimens. Information should include, whether there is high trade of the species; if it is being captive-bred for re-introduction purposes; whether you are collaborating with other groups to promote in-situ conservation and the sustainability of the species in the long-term.
 - a. Provide a description of the cooperative breeding program that includes: whether managing genetic diversity as an important component to creating a long-term sustainable captive population. What scientifically grounded method(s) does the CBP intend to use to measure both projected and current levels of genetic diversity within the population? For projected genetic diversity, what is the time frame that will be considered (for example, projected genetic diversity at 100 years of 10 generations);

b. Description of the long-term plans for developing and maintaining a self-sustaining population in captivity of the exotic bird species;

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c. Details on the system of record keeping and tracking of birds and their offspring, including how individual specimens will be marked or otherwise identified; d. Explanation on the relationship of the cooperative breeding program to the conservation of the exotic bird species in the wild. Include information on whether you anticipate importing captive bred birds or wild caught birds and include identified sources to ensure that the number of birds you anticipate needing will be available; Information on the long-term funding of the cooperative breeding program to ensure its existence until the CPB's breeding goals are met; and Detailed plans for the placement of the program's birds and any offspring, recalling that birds imported as part of a cooperative breeding program cannot be loaned, sold or otherwise transferred to a non-member without prior authorization from this office. Also, provide a description of how program birds will be dealt with if a member leaves the program or if the program is voluntary terminated (members decide to disband and the program is not renewed) or involuntary terminated (the Service does not renew the CBP). 6. Describe care and maintenance of the requested species/subspecies, and how each prospective member's facilities meet professionally recognized standards, including (if requesting to amend an established CBP by adding new members, please provide the following for each proposed new member): a. Name and addresses of each facility where the birds, by species, will be maintained;

b. In the table below, please provide the requested information for each type of holding space that will be used to house CBP birds. Use one row for each holding space type (flight cages with different dimensions should be listed on separate rows as should indoor vs. outdoor aviaries).

1. CBP member, facility name	2. Aviary type (outdoor vs. indoor flight cage, etc.). Add a separate row for each type of aviary.	3. Dimensions of specific aviary/holding space (W x L x H). Please specify units (feet, inches, etc.)	4. How many aviaries fit these specifications?	5. What species (scientific name) will be housed in this type of aviary?	6. How many birds will be housed in each of the aviaries?	7. Will the birds be housed here permanently? If not, please provide additional information in the comments box below (i.e. why will the birds be moved and for how long).
EXAMPLE	Outdoor flight	10'x10'x8'	4	Amazona ochrocephala	Up to 4 birds in two of the fight cages. The two remaining flight cages will be vacant for future imports.	These cages will be used to socialize young birds or for surplus birds
EXAMPLE	Outdoor flight	8'x5'x7'	2	Amazona ochrocephala	2	These will be permanent breeding cages and the birds will remain here for multiple breeding seasons. If these birds are transferred we will include that information in our CBP annual report.

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C.	For each type of aviary listed above, please provide a photo with a caption indicating the 'type' as listed in column 2;
d.	Information on where any progeny will be housed;
e.	Husbandry practices specific to each species as necessary;
f.	A qualification statement for EACH individual who will be participating in the CBP. This statement should include records showing the participant's prior breeding experience with bird species that are the same or similar to the species in the CBP.
7.	 EACH CBP must identify an appropriate avicultural, zoological, or conservation organization that has agreed to oversee its activities. Please provide a statement from the designated oversight organization, on the organization's letterhead, that includes at a minimum: a. Description of the expertise of the organization to serve as an oversight organization;
	b. Criteria for acceptance of individuals into the proposed breeding program;

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c. How participation in the program will be monitored; and d. Relationship of the oversight organization to the CBP in establishing and maintaining a selfsustaining captive population of the exotic bird species or enhancing the conservation of the species in the wild. 8. If you are **amending or renewing** a program, you must also provide the following information in a table format: a. The number, species, and sex of all birds that have been imported to date under your CBP, including dates of import, countries of origin, disposition of the imported birds for each importer, and associated permit numbers for each permit. Identify the number of birds for each species and their sex, being held at each facility; b. For **EACH** program participant, a summary of any breeding activity that has been achieved with the imported birds, including the number of eggs and progeny produced, and the disposition of any progeny; c. For EACH program participant, a summary of any mortality, including mortality during transit or quarantine (for birds that died in quarantine, you must provide appropriate documentation from USDA/Veterinary Services) of birds imported as part of the approved cooperative breeding program, as well as details of any post-fledging mortality of their progeny;

d. A summary of progress made towards achieving a self-sustaining captive population of each species;

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	e.	An explanation of any substantive changes that have or will occur regarding any aspect of the approved cooperative breeding program; and
	f.	An official letterhead statement from the designated oversight organization approving the amendment or renewal.
9.	followir	e a signed and dated statement from EACH CBP member. The statements must contain the ag information: Member name;
	b.	Scientific name of all species being requested for the program;
	C.	Statement that the member is aware of the purposes of the cooperative breeding program and the Wild Bird Conservation Act and will abide by the regulations and conditions placed on the program if it is approved.

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APPLICATION FORM INSTRUCTIONS

The following instructions pertain to U.S. Fish and Wildlife Service (FWS) permit applications. The General Permit Procedures in 50 CFR 13 address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

GENERAL INSTRUCTIONS:

- Complete all relevant questions in Sections A or B, C, D, and E.
- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page one of the application or, if applicable, on the attached address list.
- Keep a copy of your completed application.
- Please plan ahead. Allow at least 60 days for your application to be processed, however, some applications may take longer than 90 days to process (50 CFR 13.11).
- Applications are processed in the order they are received.

SECTION A OR SECTION B:

Section A. Complete if applying as an individual [do not complete if importing/exporting under an Import/Export License.]:

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. Fax and e-mail, while helpful in processing, are not required.
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing **power of attorney** must be included with the application.
- Affiliation/ Doing business as (dba): business, agency, Tribe, organizational, or institutional affiliation directly related to the activity
 requested in the application (e.g., a taxidermist is an individual whose business can directly relate to the requested activity). The Division
 of Management Authority (DMA) and the Office of Law Enforcement (OLE) do not accept doing business as affiliations for individuals
 (complete Section B).

Section B. Complete if applying as a business, corporation, public agency, Tribe, or institution:

- Enter the complete name of the business, agency, or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business. If you are applying on behalf of a client, a document evidencing power of attorney must be included with the application.
- Principal Officer is the person in charge of the listed business, corporation, public agency, Tribe, or institution. The principal officer is the
 person responsible for the application and any permitted activities. Often the principal officer is a Director or President. Primary Contact
 is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or
 permitted activities. Often this is the preparer of the application.

ALL APPLICANTS COMPLETE SECTION C:

- For all applications submitted to the Division of Management Authority (DMA), a physical U.S. address is required.
- Mailing address is the address to which communications from USFWS should be mailed if different from applicant's physical address.

ALL APPLICANTS COMPLETE SECTION D:

Section D.1 Application processing fee:

- An application processing fee is required at the time of application, unless exempted under 50 CFR13. The application processing fee is
 assessed to partially cover the cost of processing a request. The fee does not guarantee the issuance of a permit, nor will fees be
 refunded for applications for which processing has begun.
- Documentation of fee exempt status is not required for applications submitted by Federal, Tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies. Such applications must include a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

Section D.2 CERTIFICATION:

• The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application. This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and reread the application and your answers before signing.

ALL APPLICANTS COMPLETE SECTION E

Please continue to next page. DO NOT RETURN THIS PAGE WITH THE APPLICATION

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NOTICES

PAPERWORK REDUCTION ACT STATEMENT

Privacy Act Statement

Authority: The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

Purpose: The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

Routine Uses: The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice. Permits System, FWS-21.

Disclosure: The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

We are collecting this information subject to the Paperwork Reduction Act (44 U.S.C. 3501) in order provide the U.S. Fish and Wildlife Service the information necessary, under the applicable laws governing the requested activity, for which a permit is requested. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the applicable laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0093.

ESTIMATED BURDEN STATEMENT

Public reporting for this collection of information varies depending on the activity for which a permit is requested. The relevant burden for FWS Form 3-200-49 is 180 minutes including time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: BPHC, Falls Church, VA 22041-3803. Please do not send your completed application to this address.

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