OMB Number 3064-0093 Approval expires April 30, 2022 OMB Number 1557-0184 Approval expires September 30, 2022 OMB Number 3235-0083 Approval expires September 30, 2022 Page 1 of 1









OFFICIAL USE	

Notice by Financial Institutions of Termination of Activities as a Government Securities Broker or **Government Securities Dealer—Form G-FINW**

This notice is required by law (15 U.S.C. §§ 78o-5(a)(1)(b)).			An agency may not conduct or sponsor, and an organization (or a person) is not required to respond to, a collection of information unless it displays a currently valid OMB control number.		
Appropriate regulatory agency (check)	one):				
A. Comptroller of the Currency			B. Board of Governors of the Federal Reserve System		
C. Federal Deposit Insurance Corporation			D.		
2. A. Full name of the financial institution	ı:				
B. Address of principal office of financial institution:			C. Mailing address if different from B:		
Address			Address		
City	State	Zip Code	City	State	Zip Code
Full Name Address City	State	Zip Code			
4. Furnish the address of the place where	e such boo	oks and records	s will be located:		
Address					
City	State	Zip Code	_		
 The financial institution submitting to that all of the information contained Please print name and title of person experiences. 	herein is	true, current, a		executing it represen	nt
Name (First, Middle, Last)					
Title			Signature		Date

For further information on the requirements to file this notice, please refer to the instructions.

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of the collection of information, including suggestions for reducing this burden, to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503, and to one of the following: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551; Assistant Executive Secretary (Administration), Room F-400, Federal Deposit Insurance Corporation, 550 17th Street, NW, Washington, DC 20429; Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 400 7th Street, SW, Washington, DC 20219; or to Securities and Exchange Commission, 100 F Street, NE, Washington, DC 20549.

INSTRUCTIONS FOR COMPLETING

Termination of Activities as a Government Securities Broker or Government Securities Dealer G-FINW

1. When to file

A financial institution that has filed a Notice of Government Securities Broker or Government Securities Dealer Activities (Form G-FIN) pursuant to section 15C(a)(1)(B) of the Securities Exchange Act of 1934 must file this notice with its appropriate regulatory agency (ARA) when the financial institution ceases to act as a government securities broker or government securities dealer.

A notice to terminate activities as a Government Securities Broker or Government Securities Dealer shall become effective for all matters on the 60th day after filing this notice unless the financial institution is otherwise notified by its ARA.

2. How and where to file: Number of copies

Each financial institution must file the number of copies of this notice as required by its ARA, a copy of which will be sent by the ARA to the SEC. See Section E (How and Where to file: Number of copies) of the Form G-FIN instructions for guidance on how to file this report with each ARA. Both copies of this notice filed with the ARA shall be executed with a manual signature in Item 5. The notice shall be signed in the name of the financial institution by a principal officer who was directly engaged in the management, direction, or supervision of the financial institution's government securities broker or dealer activities. Retain one exact copy for your records for at least three years.