



## Annual Performance Report

for

## Reading First

Form Approved

OMB No. 1810-0666

Expiration Date: December 31, 2006

Due Date	Period Covered
November 30, 2003	School Year 2002-2003/FY 2002
November 30, 2004	School Year 2003-2004/FY 2003
November 30, 2005	School Year 2004-2005/FY 2004
November 30, 2006	School Year 2005-2006/FY 2005
November 30, 2007	School Year 2006-2007/FY 2006
November 30, 2008	School Year 2007-2008/FY 2007

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1810-0666. The time required to complete this information collection is estimated to average 18 hours per response, including the time to review instructions, search existing data resources, gather the data needed and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this form, please write to: U.S. Department of Education, Washington DC 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Reading First, Office of Elementary and Secondary Education, U.S. Department of Education, 400 Maryland Avenue, SW, Washington, DC 20202-6201.

**UNITED STATES  
DEPARTMENT OF EDUCATION**

**ANNUAL PERFORMANCE REPORT  
for the  
READING FIRST PROGRAM**

Legal Name of State Educational Agency Submitting This Report:	
Address:	
Contact Person for this Report	
Name:	
Position:	
Telephone:	
Fax:	
Email:	
Typed Name and Title of Authorizing State Official:	
_____ Signature of Authorizing State Official	_____ Date

**Annual Performance Report for the Reading First Program**

## **Introduction**

The Reading First program focuses on putting proven methods of early reading instruction in classrooms. Through Reading First, States and districts receive support to apply scientifically based reading research – and the proven instructional and assessment tools consistent with this research – to ensure that all children learn to read well by the end of third grade. The Reading First program provides assistance to States and districts to establish research-based reading programs for students in kindergarten through third grade. Funds also support a significant increase in professional development to ensure that all teachers have the skills they need to teach these reading programs effectively. Additionally, the program provides assistance to States and districts in preparing classroom teachers to screen, identify and eliminate reading barriers facing their students.

This document contains the U.S. Department of Education’s performance reporting instrument for the Reading First program, authorized by Title I, Part B, Subpart 1 of the Elementary and Secondary Education Act, as amended by the No Child Left Behind Act of 2001. This document will serve as the reporting instrument for the required annual and midpoint progress reports on each State’s implementation of the Reading First program and the achievement of students served by the program.

This performance report seeks information from the State about its progress in implementing and evaluating its Reading First program, as required under Section 1202 (d)(5) of the Reading First statute. Items covered in the performance report address those areas that are of central importance to the administration and evaluation of the Reading First program and for which the Department does not expect to gather information via the database administered by the Southwest Educational Development Laboratory (SEDL) or through other aspects of the program’s national evaluation. The questions are designed to provide information in the following key areas: progress of implementation of scientifically based reading instruction; impact on student achievement; State administration and evaluation of the Reading First program; and the broader impact of Reading First in each State.

In identifying the information collected through this instrument, the Department has been mindful of its own requirements to present performance information to Congress, including requirements related to the Government Performance and Results Act of 1993 (GPRA) and the national evaluation of Reading First. Specifically, this information will help the Department determine the results of the State plans implemented for the Reading First program.

All States that receive funding under the Reading First program must respond to this report. The report is due within 60 days of the conclusion of the Federal grant period for each fiscal year.

## Targeted Assistance Grants

Beginning in fiscal year 2004, this report will also serve as the application for Reading First Targeted Assistance grants. Reading First Targeted Assistance grants will be available, on a competitive basis, to State educational agencies that demonstrate an increase in student achievement related to the Reading First program. In order to receive a Targeted Assistance Grant, an SEA must demonstrate that increasing percentages of third grade students in each of several categories in local educational agencies receiving Reading First funds are reaching the proficient level for each of two consecutive years. It must also demonstrate that schools receiving Reading First funds are improving the reading skills of students in grades 1 through 3 for each of the same two consecutive years and that the percentage of students in the State who are reading at grade level or above has significantly increased. As the data States must provide to apply for a Targeted Assistance Grant are the same data that must be included in this annual performance report, this document will serve as both instruments. Additional guidance will be provided to States on these grants during fiscal year 2004. So that the Department can award the Targeted Assistance Grants in a timely manner, an SEA that chooses to apply will have to submit this report according to an earlier timeline, as described in the last column of the chart below.

## Reporting Periods and Due Dates

Fiscal Year	Federal Grant Period*	Period Covered	Due Date (Annual Performance Report only)	Due Date (Report includes application for Targeted Assistance grant)
FY 2002	July 1, 2002 – September 30, 2003	School Year 2002-2003	November 30, 2003	Not Applicable
FY 2003	July 1, 2003 – September 30, 2004	School Year 2003-2004	November 30, 2004	Not Applicable
FY 2004	July 1, 2004 – September 30, 2005	School Year 2004-2005	November 30, 2005	July 30, 2005
FY 2005	July 1, 2005 – September 30, 2006	School Year 2005-2006	November 30, 2006	July 30, 2006
FY 2006	July 1, 2006 – September 30, 2007	School Year 2006-2007	November 30, 2007	July 30, 2007
FY 2007	July 1, 2007 – September 30, 2008	School Year 2007-2008	November 30, 2008	July 30, 2008

\*The Federal Grant Period refers to the fifteen-month period that funds are initially available for obligation. Under the Tydings amendment, unobligated funds are carried over and remain available for an additional twelve-month period.

### **Instructions**

The reporting period for the grant coincides with the Federal grant period. As there is some overlap (occurring between July and September) between Federal grant periods, the reporting period is defined as the school year identified in the table above, as well as the preceding summer. For example, for FY 2002, each State should report on activities beginning in July 2002 and continuing through the 2002-2003 school year. Activities that occur in summer 2003 will be included in the FY 2003 report. The Department recognizes that many States received awards after July 1, 2002. For FY 2002, the period covered begins on the date each State received its Reading First award. Although all States must submit a report, not all questions will pertain to all States for the first year.

Additional information related to completing this report is provided throughout the document. States may use the format provided or an alternate format they determine is appropriate. The report must include all requested information. Please contact the Reading First program office at [Reading.First@ed.gov](mailto:Reading.First@ed.gov) or 202-401-4877 for assistance related to completing this report.

Please be sure to include the signed cover sheet with the submission. The Department recommends including the State's name in the header or footer so it is visible on each page of the report. This report may be filed electronically through email to [Reading.First@ed.gov](mailto:Reading.First@ed.gov) or via CD-ROM or in hard copy by mail to:

Reading First  
Office of Elementary and Secondary Education  
U.S. Department of Education  
400 Maryland Avenue, SW  
Washington, DC 20202-6201  
Attn: Christopher J. Doherty

## **I. IMPLEMENTATION PROGRESS**

### **A. Subgrants to Local Educational Agencies**

- A-1. Has LEA eligibility changed from what was identified in the State's approved plan (e.g., new data became available)? If so, provide the current total number of eligible LEAs and the percentage this represents of the total LEAs in the State.
- A-2. Has the State held a subgrant competition during this reporting period? If so, provide the following:
  - ◆ Number of rounds of competition that have occurred
  - ◆ Number of eligible LEAs that applied per round
  - ◆ Number of awards per round (include total number of LEAs receiving awards and the total number of participating schools represented by those awards)
- A-3. When will the next competition(s) occur?
- A-4. If the State has made subgrant awards, has all required information been entered in the SEDL database? If not, provide the State's timeline for entering this information.
- A-5. List LEAs and/or schools for which Reading First subgrant awards have been discontinued and indicate the reason for discontinuation.
- A-6. Describe the nature and extent of the participation of non-public school students.

### **B. State Professional Development and Technical Assistance Activities**

- B-1. Describe differences, if any, between the professional development and technical assistance activities that have occurred and the plan for these activities as outlined in the State's approved application.
- B-2. Estimate the percentage of K-3 teachers in the State (including teachers from both Reading First and non-Reading First schools) who participated in any Reading First professional development activities.

**C. Statewide Impact**

- C-1. Describe the impact of the Reading First program on statewide efforts to improve reading instruction and raise student achievement.

**D. Implementation Issues**

- D-1. Has the State encountered any obstacles or circumstances that are affecting its ability to implement Reading First successfully and in accordance with its approved plan? If so, describe the issues related to implementation, the State's plan to resolve them, and whether technical assistance from the Department is needed.

**II. ACHIEVEMENT IMPACT**

**A. Local Educational Agencies and Schools with the Largest Gains**

- A-1. Identify the local educational agencies and schools participating in Reading First showing the largest gains in reading achievement. Identified schools need not be within LEAs identified as making the largest gains. To measure the gains of schools and LEAs in their first year of implementation, States may use an alternative measure, such as the measure used to determine eligibility, if baseline data are not available. If available, identify the LEAs and schools showing the largest gains in reading achievement, including both LEAs and schools participating in Reading First and those not participating in the program.

**B. Progress of LEAs and Schools Participating in Reading First**

- B-1. Provide student performance data that demonstrate the numbers of students in grades 1, 2 and 3 in schools participating in Reading First who are reading at grade level or above.

The templates that follow are offered as a guide or sample reporting format that States may adapt to their own strategies for analyzing data. The following items must be included:

- ◆ *Grade level.* Provide assessment results for grades 1, 2 and 3.
- ◆ *Name of assessment.* Indicate the outcome assessment instrument used. If multiple outcome instruments were used, data on student assessment

results should be presented in **separate** charts or columns according to assessment instrument.

- ◆ *Components measured.* If multiple instruments were used to evaluate progress in the five components of reading instruction, indicate which components were measured.
- ◆ *Proficiency level.* Indicate the number of students scoring at grade level or proficiency. Please also include the total number of students for each grade or category that is reported.
- ◆ *Years of data.* Data should be reported for the current year of implementation. For schools awarded subgrants between July 1 and December 31 of any reporting period, the current school year should be reported as the first year of implementation. For schools awarded subgrants after January 1, the following school year will be considered the first year of implementation. For example, the 2002-2003 school year is the first year of implementation for schools that received subgrants between July 1, 2002 and December 31, 2002. The 2003-2004 school year is the first year of implementation for schools that received subgrants after January 1, 2003.

The State may have participating schools in different years of implementation due to multiple rounds of competition. If so, use separate charts according to current year of implementation.

- ◆ *Baseline data.* The Department recognizes that schools participating in Reading First may be administering the assessments included in this report for the first time. However, if the school administered any of the reported assessments for grades 1, 2 or 3 in the year preceding implementation of Reading First, please provide the number of students scoring at grade level or proficiency for that year. Similarly, if the outcome measure was used as a screening or pretest measure in the beginning of the school year, please provide the number of students scoring at grade level or proficiency as baseline data.







B-2. Provide disaggregated data that demonstrate the numbers of students in schools participating in Reading First from the following categories reading at grade level or above:

- economically disadvantaged students
- students from major racial and ethnic groups
- students with disabilities
- students with limited English proficiency

The template that follows is offered as a guide or sample reporting format that States may adapt to their own strategies for analyzing data. States should report these data for grades 1, 2 and 3 separately for each school. If multiple outcome instruments were used, these data should be presented in **separate** charts or columns according to assessment instrument.



Sample Reporting Format for Question II.B-2 – continued

## Reading First Disaggregated Student Performance Report School Year 200\_ to 200\_

Name of Assessment \_\_\_\_\_

Components Measured \_\_\_\_\_

LEA/ District	School Name	Number of Students from Major Racial/Ethnic Groups* Scoring at Grade Level/Proficiency																							
		Grade 1												Grade 2											
		White		Black or African- American		Hispanic		Asian		Native Hawaiian or Other Pacific Islander		American Indian or Alaska Native		White		Black or African- American		Hispanic		Asian		Native Hawaiian or Other Pacific Islander		American Indian or Alaska Native	
		No.	Total	No.	Total	No.	Total	No.	Total	No.	Total	No.	Total	No.	Total	No.	Total	No.	Total	No.	Total	No.	Total	No.	Total

*\*These categories are presented as examples; States should identify categories as appropriate.*

Sample Reporting Format for Question II.B-2 – continued

## Reading First Disaggregated Student Performance Report School Year 200\_ to 200\_

Name of Assessment \_\_\_\_\_

Components Measured \_\_\_\_\_

LEA/District	School Name	Number of Students from Major Racial/Ethnic Groups* Scoring at Grade Level/Proficiency											
		Grade 3											
		White		Black or African-American		Hispanic		Asian		Native Hawaiian or Other Pacific Islander		American Indian or Alaska Native	
		No.	Total	No.	Total	No.	Total	No.	Total	No.	Total	No.	Total

*\*These categories are presented as examples; States should identify categories as appropriate.*



- B-3. Has the State made progress in increasing the number of students reading at grade level or above? Provide the percentage of third grade students reading at the proficient level for the State as a whole, as measured by the State assessment system. Please include baseline data for the preceding year. If data are not available for third grade, use the closest grade for which data are available.

### **III. PROGRAM EVALUATION**

#### **A. External Evaluation**

- A-1. Attach the evaluation report conducted by the external evaluator as described in the State's Reading First plan. If this report is not yet available, indicate the timeline for its completion.

### **IV. USE OF FUNDS**

#### **A. Subgrant Funds**

- A-1. For this reporting period, indicate the amount of Reading First funds that have been subgranted to eligible local educational agencies as of the date of this report. If this amounts to less than 80% of the State's award, indicate the State's plans to subgrant the remaining funds.

### **V. PROFILES OF SUCCESSFUL SITES (OPTIONAL)**

#### **A. School Profiles**

- A-1. Provide a narrative description of the Reading First program at one or more of the State's most successful participating sites. This is requested to provide an illustrative example of the impact of the State's implementation of instructional practices based on scientifically based reading research. *States are not required to submit these profiles.*

### **VI. TARGETED ASSISTANCE GRANT (BEGINNING IN FY 2004)**

#### **A. Application for Targeted Assistance Grant**

- A-1. Indicate whether the State intends the data included in this report also to be reviewed in consideration for a Targeted Assistance Grant. Please see the timeline on page 2 for deadline information.