

Attachment A - C11-a

**N-SSATS 2007 pretest questionnaire
Version A**

National Survey of Substance Abuse Treatment Services (N-SSATS)

March 31, 2006

Substance Abuse and Mental Health Services Administration (SAMHSA)

Revisions to 2007 N-SSATS highlighted in pink

Additions to 2007 N-SSATS highlighted in blue

***PLEASE REVIEW THE FACILITY INFORMATION PRINTED ABOVE.
CROSS OUT ERRORS AND ENTER CORRECT OR MISSING INFORMATION.***

CHECK ONE

- Information is complete and correct, no changes needed
- All missing or incorrect information has been corrected



PLEASE READ THIS ENTIRE PAGE BEFORE COMPLETING THE QUESTIONNAIRE

INSTRUCTIONS

- Most of the questions in this survey ask about “this facility.” By “this facility” we mean the specific treatment facility or program whose name and location are printed on the front cover. If you have any questions about how the term “this facility” applies to your facility, please call 1-888-324-8337.
- Please answer **ONLY** for the specific facility or program whose name and location are printed on the front cover, unless otherwise specified in the questionnaire.
- Return the completed questionnaire in the envelope provided. Please keep a copy for your records.
- For additional information about this survey or the types of care referred to in the questionnaire, please logon to [www._____](http://www.samhsa.gov).
- If you have any questions or need additional blank forms, contact:

MATHEMATICA POLICY RESEARCH, INC.
1-888-324-8337

If you prefer, you may complete this questionnaire online. See the pink flyer enclosed in your questionnaire packet for the Internet address and your unique user ID and password. If you need more information, call the N-SSATS helpline at 1-888-324-8337.

IMPORTANT INFORMATION

* **Asterisked questions.** Information from asterisked (*) questions will be published in SAMHSA’s National Directory of Drug and Alcohol Abuse Treatment Programs and will be available online at <http://findtreatment.samhsa.gov>, SAMHSA’s Substance Abuse Treatment Facility Locator.

Mapping feature in Locator. Complete and accurate name and address information is needed for the online Treatment Facility Locator so it can correctly map the facility location.

Eligibility for Directory/Locator. Only facilities designated as eligible by their state substance abuse office will be listed in the National Directory and online Treatment Facility Locator. Your state N-SSATS representative can tell you if your facility is eligible to be listed in the Directory/Locator. For the name and telephone number of your state representative, call the N-SSATS helpline at 1-888-324-8337 or go to <http://www.dasis.samhsa.gov> and click on “DASIS Contacts” then “N-SSATS Contacts by State.”

SECTION A: FACILITY CHARACTERISTICS

Section A asks about characteristics of individual facilities and should be completed for this facility only, that is, the treatment facility or program at the location listed on the front cover.

1. Which of the following substance abuse services are offered by this facility at this location, that is, the location listed on the front cover?

MARK "YES" OR "NO" FOR EACH

- | | <u>YES</u> | <u>NO</u> |
|--|----------------------------|----------------------------|
| 1. Intake, assessment, or referral..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 2. Detoxification | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 3. Substance abuse treatment..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| <i>(services that focus on initiating and maintaining an individual's recovery from substance abuse and on averting relapse)</i> | | |
| 4. Recovery support services..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 5. Any other substance abuse services..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |

2. Did you answer "yes" to detoxification in option 2 of question 1 above?

- 1 Yes
 0 No — SKIP TO Q.3 (TOP OF NEXT COLUMN)

2a. Does this facility detoxify clients from . . .

MARK "YES" OR "NO" FOR EACH

- | | <u>YES</u> | <u>NO</u> |
|--------------------------------|----------------------------|----------------------------|
| 1. Alcohol | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 2. Opiates..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 3. Cocaine..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 4. Other (Specify: _____)..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |

2b. Does this facility routinely use medications during detoxification?

- 1 Yes
 0 No — SKIP TO Q.4 (NEXT COLUMN)

3. Did you answer "yes" to substance abuse treatment in option 3 of question 1?

- 1 Yes
 0 No — SKIP TO Q.39 (PAGE 12)

*4. What is the primary focus of this facility at this location, that is, the location listed on the front cover?

MARK ONE ONLY

- 1 Substance abuse treatment services
 2 Mental health services
 3 Mix of mental health and substance abuse treatment services (*neither is primary*)
 4 General health care
 5 Other (Specify: _____)

5. Is this facility operated by . . .

MARK ONE ONLY

- 1 A private for-profit organization
 2 A private non-profit organization
 3 State government
 4 Local, county, or community government
 5 Tribal government
 6 Federal government
- SKIP TO Q.6 (PAGE 2)
 SKIP TO Q.8 (PAGE 2)

5a. Which federal government agency?

MARK ONE ONLY

- 1 Department of Veterans Affairs
 2 Department of Defense
 3 Indian Health Service
 4 Other (Specify: _____)
- SKIP TO Q.8 (PAGE 2)

6. Is this facility a solo practice, meaning, an office with a single practitioner or therapist?

- 1 Yes
- 0 No

7. Is this facility affiliated with a religious organization?

- 1 Yes
- 0 No

8. Is this facility a jail, prison, or other organization that provides treatment exclusively for incarcerated persons?

- 1 Yes — SKIP TO Q.45 (PAGE 12)
- 0 No

9. Is this facility located in, or operated by, a hospital?

- 1 Yes
- 0 No — SKIP TO Q.10 (TOP OF NEXT COLUMN)

9a. What type of hospital?

MARK ONE ONLY

- 1 General hospital (including VA hospital)
- 2 Psychiatric hospital
- 3 Other specialty hospital, for example, alcoholism, maternity, etc.

(Specify: _____)

*10. What telephone number(s) should a potential client call to schedule an intake appointment?

INTAKE TELEPHONE NUMBER(S)

- 1. (_____) _____ - _____ ext. _____
- 2. (_____) _____ - _____ ext. _____

11. Does this facility operate a hotline that responds to substance abuse problems?

- A hotline is a telephone service that provides information, referral, or immediate counseling, frequently in a crisis situation.
- If this facility is part of a group of facilities that operates a central hotline to respond to substance abuse problems, you should mark "yes."
- DO NOT consider 911 or the local police number a hotline for the purpose of this survey.

- 1 Yes
- 0 No — SKIP TO Q.12 (PAGE 3)

*11a. Please enter the hotline telephone number(s) below.

HOTLINE TELEPHONE NUMBER(S)

- 1. (_____) _____ - _____ ext. _____
- 2. (_____) _____ - _____ ext. _____

12. Which of the following services are provided by this facility at this location, that is, the location listed on the front cover?

MARK ALL THAT APPLY

Assessment and Pre-Treatment Services

- 1 Screening for substance abuse
- 2 Screening for mental health disorders
- 3 Comprehensive substance abuse assessment or diagnosis
- 4 Comprehensive mental health assessment or diagnosis *(for example, psychological or psychiatric evaluation and testing)*
- 5 Outreach
- 6 Brief intervention
- 7 Interim services

Pharmacotherapies

- 8 Antabuse
- 9 Naltrexone
- 10 Campral
- 11 Buprenorphine - Subutex
- 12 Buprenorphine - Suboxone
- 13 Methadone
- 14 Nicotine replacement
- 15 Medications for psychiatric disorders

Testing

(Include tests performed at this location, even if specimen is sent to an outside source for chemical analysis.)

- 16 Breathalyzer or other blood alcohol testing
- 17 Drug or alcohol urine screening
- 18 Screening for Hepatitis B
- 19 Screening for Hepatitis C
- 20 HIV testing
- 21 STD testing
- 22 TB screening

Transitional Services

- 23 Discharge planning
- 24 Aftercare/continuing care

Ancillary Services

- 25 Case management services
- 26 Social skills development
- 27 Mentoring/peer support
- 28 Child care for clients' children
- 29 Assistance with obtaining social services *(for example, Medicaid, WIC, SSI, SSDI)*
- 30 Employment counseling or training for clients
- 31 Assistance in locating housing for clients
- 32 Domestic violence—family or partner violence services *(physical, sexual, and emotional abuse)*
- 33 Early intervention for HIV
- 34 HIV or AIDS education, counseling, or support
- 35 Health education other than HIV/AIDS
- 36 Substance abuse education
- 37 Transportation assistance to treatment
- 38 Mental health services
- 39 Acupuncture
- *40 Residential beds for clients' children
- 41 Self-help groups *(for example, AA, NA, Smart Recovery)*

13. Which of the following treatment approaches are used at this facility?

MARK "YES" OR "NO" FOR EACH

	YES	NO
1. 12-step approach.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>
2. Bio-psychosocial.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>
3. Cognitive behavioral.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>
4. A combination of approaches.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>
5. Other <i>(Specify:_____</i> <i>_____)</i>	1 <input type="checkbox"/>	0 <input type="checkbox"/>

13a. Of the treatment approaches marked "yes" in question 13 above, which treatment approach is used most often at this facility?

MARK ONE ONLY

- 1 12-step approach
- 2 Bio-psychosocial
- 3 Cognitive behavioral
- 4 A combination of approaches
- 5 Other *(Specify:_____)*

14. Over the course of their treatment at this facility, approximately what percent of substance abuse treatment clients receive . . .

1. Both individual AND group counseling? _____ %
2. Individual counseling only? _____ %
3. Group counseling only? _____ %

TOTAL %

↑

THIS SHOULD TOTAL 100%.
IF NOT, PLEASE RECONCILE.

15. Listed below are a variety of clinical practices that are used at substance abuse treatment facilities. For each practice, please mark the box that best describes how often the practice is used at this facility.

	MARK ONE FREQUENCY FOR EACH			
	Never	Rarely	Sometimes	Often
1. Supportive – expressive psychotherapy counseling ...	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>
2. Cognitive therapy.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>
3. Behavioral management.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>
4. Contingency management...	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>
5. Motivational interviewing/engagement.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>
6. Individually customized drug abuse counseling.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>
7. Family/couples counseling ..	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>
8. Relapse prevention.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>
9. Other treatment practice (Specify: _____	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>
_____) ...	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>

16. Are any of the following practices a part of this facility's regular procedures?

MARK "YES" OR "NO" FOR EACH

- | | YES | NO |
|---|----------------------------|----------------------------|
| 1. Required continuing education for staff..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 2. Periodic drug testing of clients | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 3. Regularly scheduled case review with a supervisor | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 4. Case review by an appointed quality review committee | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 5. Outcome follow-up after discharge..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 6. Periodic utilization review | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 7. Periodic client satisfaction surveys conducted by the facility | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |

*17. Does this facility operate an Opioid Treatment Program (OTP) at this location?

- Opioid Treatment Programs are certified by SAMHSA's Center for Substance Abuse Treatment to use opioid drugs such as **methadone or buprenorphine** in the treatment of opiate (narcotic) addiction.

- 1 Yes
0 No — **SKIP TO Q.18 (PAGE 5)**

*17a. Is the Opioid Treatment Program at this location a maintenance program, a detoxification program, or both?

MARK ONE ONLY

- 1 Maintenance program
- 2 Detoxification program
- 3 Both

*17b. Are ALL of the substance abuse clients at this facility currently in the Opioid Treatment Program?

- 1 Yes
- 0 No

***18. Does this facility offer a special program for DUI/DWI or other drunk driver offenders at this location?**

- Mark "yes" if this facility serves only DUI/DWI clients OR if this facility has a special DUI/DWI program.

- 1 Yes
0 No — **SKIP TO Q.19 (BELOW)**

***18a. Are ALL of the substance abuse treatment clients at this facility enrolled in the DUI/DWI program?**

- 1 Yes
0 No

***19. Does this facility provide substance abuse treatment services in sign language (for example, American Sign Language, Signed English, or Cued Speech) for the hearing impaired at this location?**

- Mark "yes" if either a staff counselor or an on-call interpreter provides this service.

- 1 Yes
0 No

***20. Does this facility provide substance abuse treatment services in a language other than English at this location?**

- Mark "yes" if either a staff counselor or an on-call interpreter provides this service.

- 1 Yes
0 No — **SKIP TO Q.21 (PAGE 6)**

20a. At this facility, who provides substance abuse treatment services in a language other than English?

MARK ONE ONLY

- 1 Staff counselor that speaks a language other than English
2 On-call interpreter brought in when needed — **SKIP TO Q.21 (PAGE 6)**
3 BOTH staff counselor and on-call interpreter

***20b. In what other languages do staff counselors provide substance abuse treatment at this facility?**

MARK ALL THAT APPLY

American Indian or Alaska Native:

- 1 Hopi
2 Lakota
3 Navajo
4 Yupik
5 Other American Indian or Alaska Native language
(Specify: _____)

Other Languages:

- 6 Arabic
7 Chinese
8 Creole
9 French
10 German
11 Hmong
12 Korean
13 Polish
14 Portuguese
15 Russian
16 Spanish
17 Vietnamese
18 Other language (Specify: _____)

***21. This question has two parts. Column A asks about the types of clients accepted into treatment at this facility. Column B asks whether this facility offers specially designed treatment programs or groups for each type of client.**

Column A - For each type of client listed below:

Indicate whether this facility accepts these clients into treatment at this location.

Column B - For each "yes" in Column A: Indicate whether this facility offers a specially designed substance abuse treatment program or group exclusively for that type of client at this location.

TYPE OF CLIENT	COLUMN A		COLUMN B	
	TYPES OF CLIENTS ACCEPTED INTO TREATMENT		OFFERS SPECIALLY DESIGNED PROGRAM OR GROUP	
	Yes	No	Yes	No
1. Adolescents.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
2. Clients with co-occurring mental and substance abuse disorders	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
3. Criminal justice clients (other than DUI/DWI).....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
4. Persons with HIV or AIDS	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
5. Gays or lesbians	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
6. Seniors or older adults ...	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
7. Adult women	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
8. Pregnant or postpartum women.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
9. Adult men	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
10. Specially designed programs or groups for any other types of clients			1 <input type="checkbox"/>	0 <input type="checkbox"/>
(Specify: _____)				

***22. Does this facility offer either of the following HOSPITAL INPATIENT substance abuse services at this location, that is, the location listed on the front cover?**

MARK "YES" OR "NO" FOR EACH

YES NO

- Inpatient detoxification..... 1 0
(Similar to ASAM Levels IV-D and III.7-D, medically managed or monitored inpatient detoxification)
- Inpatient treatment..... 1 0
(Similar to ASAM Level IV and III.7, medically managed or monitored intensive inpatient treatment)

***23. Does this facility offer any of the following RESIDENTIAL (non-hospital) substance abuse services at this location, that is, the location listed on the front cover?**

MARK "YES" OR "NO" FOR EACH

YES NO

- Residential detoxification..... 1 0
(Similar to ASAM Level III.2-D, clinically managed residential detoxification or social detoxification)
- Residential short-term treatment 1 0
(Similar to ASAM Level III.5, clinically managed high-intensity residential treatment; typically 30 days or less)
- Residential long-term treatment 1 0
(Similar to ASAM Levels III.3 and III.1, clinically managed medium- or low-intensity residential treatment; typically more than 30 days)

***24. Does this facility offer any of the following OUTPATIENT substance abuse services at this location, that is, the location listed on the front cover?**

MARK "YES" OR "NO" FOR EACH

- | | <u>YES</u> | <u>NO</u> |
|--|------------|-----------|
| 1. Outpatient detoxification1 <input type="checkbox"/> 0 <input type="checkbox"/>
<i>(Similar to ASAM Levels I-D and II-D, ambulatory detoxification)</i> | | |
| 2. Outpatient methadone/
buprenorphine maintenance1 <input type="checkbox"/> 0 <input type="checkbox"/>
<i>(Opioid maintenance therapy)</i> | | |
| 3. Outpatient day treatment or
partial hospitalization1 <input type="checkbox"/> 0 <input type="checkbox"/>
<i>(Similar to ASAM Level II.5,
20 or more hours per week)</i> | | |
| 4. Intensive outpatient treatment1 <input type="checkbox"/> 0 <input type="checkbox"/>
<i>(Similar to ASAM Level II.1,
9 or more hours per week)</i> | | |
| 5. Regular outpatient treatment1 <input type="checkbox"/> 0 <input type="checkbox"/>
<i>(Similar to ASAM Level I,
outpatient treatment;
non-intensive)</i> | | |

***25. Does this facility use a sliding fee scale?**

- 1 Yes
0 No — SKIP TO Q.26 (TOP OF NEXT COLUMN)

25a. Do you want the availability of a sliding fee scale published in SAMHSA's Directory/Locator?
(For information on Directory/Locator eligibility, see the inside front cover.)

- The Directory/Locator will explain that sliding fee scales are based on income and other factors.

- 1 Yes
0 No

***26. Does this facility offer treatment at no charge to clients who cannot afford to pay?**

- 1 Yes
0 No — SKIP TO Q.27 (BELOW)

26a. Do you want the availability of free care for eligible clients published in SAMHSA's Directory/Locator?

- The Directory/Locator will explain that potential clients should call the facility for information on eligibility.

- 1 Yes
0 No

***27. Which of the following types of payments are accepted by this facility for substance abuse treatment?**

MARK "YES," "NO," OR "DON'T KNOW" FOR EACH

- | | <u>YES</u> | <u>NO</u> | <u>DON'T
KNOW</u> |
|---|------------|-----------|-----------------------|
| 1. Cash or self-payment.....1 <input type="checkbox"/> 0 <input type="checkbox"/> -1 <input type="checkbox"/> | | | |
| 2. Medicare1 <input type="checkbox"/> 0 <input type="checkbox"/> -1 <input type="checkbox"/> | | | |
| 3. Medicaid.....1 <input type="checkbox"/> 0 <input type="checkbox"/> -1 <input type="checkbox"/> | | | |
| 4. A state-financed health insurance plan other than Medicaid (for example, State Children's Health Insurance Program (SCHIP) or high risk insurance pools).....1 <input type="checkbox"/> 0 <input type="checkbox"/> -1 <input type="checkbox"/> | | | |
| 5. Federal military insurance such as TRICARE or Champ VA.....1 <input type="checkbox"/> 0 <input type="checkbox"/> -1 <input type="checkbox"/> | | | |
| 6. Private health insurance1 <input type="checkbox"/> 0 <input type="checkbox"/> -1 <input type="checkbox"/> | | | |
| 7. No payment accepted (free treatment for ALL clients).....1 <input type="checkbox"/> 0 <input type="checkbox"/> -1 <input type="checkbox"/> | | | |
| 8. Access To Recovery (ATR) vouchers (to be answered by facilities in the following states only: CA, CT, FL, ID, IL, LA, MO, NJ, NM, TN, TX, WA, WI, WY).....1 <input type="checkbox"/> 0 <input type="checkbox"/> -1 <input type="checkbox"/> | | | |
| 9. Other1 <input type="checkbox"/> 0 <input type="checkbox"/> -1 <input type="checkbox"/>
<i>(Specify: _____)</i> | | | |

28. Does this facility receive any public funds such as federal, state, county, or local government funds for substance abuse treatment programs?

- Do not include Medicare, Medicaid, or federal military insurance.

- 1 Yes
0 No
-1 Don't Know

29. Does this facility have agreements or contracts with managed care organizations for providing substance abuse treatment services?

- Managed care organizations have agreements with certain health care providers who give services to plan members, usually at discounted rates. Examples include managed behavioral healthcare organizations (MBHOs), health maintenance organizations (HMOs), and preferred provider organizations (PPOs).

- 1 Yes
0 No
-1 Don't Know

SECTION B: CLIENT COUNT INFORMATION

IMPORTANT: Questions in Section B ask about two different time periods, i.e., the single day of March 31, 2006, and the 12-month period ending on March 31, 2006. Please pay special attention to the period specified in each question.

IF THIS IS A MENTAL HEALTH FACILITY: Include in your client counts all clients receiving substance abuse treatment, even if substance abuse is their secondary diagnosis.

30. Questions 31 through 36 ask about the number of clients in treatment at this facility at specified times.

Please check the option below that best describes how client counts will be reported in these questions.

MARK ONE ONLY

- 1 Questions 31 through 36 will include client counts for this facility alone _____ **SKIP TO Q.31 (PAGE 9)**
- 2 Questions 31 through 36 will include client counts for this facility combined with other facilities _____ **SKIP TO Q.31 (PAGE 9)**
- 3 Client counts for this facility will be reported by another facility _____ **SKIP TO Q.39 (PAGE 12)**

HOSPITAL INPATIENT

31. On March 31, 2006, did any patients receive HOSPITAL INPATIENT substance abuse services at this facility?

- 1 Yes
0 No — SKIP TO Q.32 (TOP OF NEXT COLUMN)

31a. On March 31, 2006, how many patients received the following HOSPITAL INPATIENT substance abuse services at this facility?

- **COUNT** a client in **one service only**, even if the client received both services.
- **DO NOT** count family members, friends, or other non-treatment clients.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER "0")

1. Inpatient detoxification _____
(Similar to ASAM Levels IV-D and III.7-D, medically managed or monitored inpatient detoxification)
2. Inpatient treatment _____
(Similar to ASAM Level IV and III.7, medically managed or monitored intensive inpatient treatment)

HOSPITAL INPATIENT
TOTAL BOX

31b. How many of the patients from the HOSPITAL INPATIENT TOTAL BOX were under the age of 18?

ENTER A NUMBER
(IF NONE, ENTER "0")

Number under age 18 _____

31c. How many of the patients from the HOSPITAL INPATIENT TOTAL BOX received methadone or buprenorphine dispensed by this facility?

- Include clients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER "0")

1. Methadone _____
2. Buprenorphine _____

31d. On March 31, 2006, how many hospital inpatient beds at this facility were specifically designated for substance abuse treatment?

ENTER A NUMBER
(IF NONE, ENTER "0")

Number of beds _____

RESIDENTIAL (NON-HOSPITAL)

32. On March 31, 2006, did any clients receive RESIDENTIAL (non-hospital) substance abuse services at this facility?

- 1 Yes
0 No — SKIP TO Q.33 (PAGE 10)

32a. On March 31, 2006, how many clients received the following RESIDENTIAL substance abuse services at this facility?

- **COUNT** a client in **one service only**, even if the client received multiple services.
- **DO NOT** count family members, friends, or other non-treatment clients.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER "0")

1. Residential detoxification _____
(Similar to ASAM Level III.2-D, clinically managed residential detoxification or social detoxification)
2. Residential short-term treatment _____
(Similar to ASAM Level III.5, clinically managed high-intensity residential treatment; typically 30 days or less)
3. Residential long-term treatment _____
(Similar to ASAM Levels III.3 and III.1, clinically managed medium- or low-intensity residential treatment; typically more than 30 days)

RESIDENTIAL
TOTAL BOX

32b. How many of the clients from the RESIDENTIAL TOTAL BOX were under the age of 18?

ENTER A NUMBER
(IF NONE, ENTER "0")

Number under age 18 _____

32c. How many of the clients from the RESIDENTIAL TOTAL BOX received methadone or buprenorphine dispensed by this facility?

- Include clients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER "0")

1. Methadone _____
2. Buprenorphine _____

32d. On March 31, 2006, how many residential beds at this facility were specifically designated for substance abuse treatment?

ENTER A NUMBER
(IF NONE, ENTER "0")

Number of beds _____

OUTPATIENT

33. During the month of March 2006, did any clients receive **OUTPATIENT substance abuse services** at this facility?

- 1 Yes
 0 No — **SKIP TO Q.34 (PAGE 11)**

33a. As of March 31, 2006, how many active clients were enrolled in each of the following **OUTPATIENT substance abuse services** at this facility?



An active outpatient client is someone who:

(1) was seen at this facility for substance abuse treatment or detoxification at least once during the month of March 2006

AND

(2) was still enrolled in treatment on March 31, 2006.

- **COUNT** a client in **one service only**, even if the client received multiple services.
- **DO NOT** count family members, friends, or other non-treatment clients.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER "0")

1. Outpatient detoxification _____
(Similar to ASAM Levels I-D and II-D, ambulatory detoxification)
2. Outpatient methadone/buprenorphine maintenance _____
(Opioid maintenance therapy)
3. Outpatient day treatment or partial hospitalization _____
(Similar to ASAM Level II.5, 20 or more hours per week)
4. Intensive outpatient treatment _____
(Similar to ASAM Level II.1, 9 or more hours per week)
5. Regular outpatient treatment _____
(Similar to ASAM Level I, outpatient treatment; non-intensive)

OUTPATIENT TOTAL BOX

33b. How many of the clients from the **OUTPATIENT TOTAL BOX** were under the age of 18?

ENTER A NUMBER
(IF NONE, ENTER "0")

Number under age 18 _____

33c. How many of the clients from the **OUTPATIENT TOTAL BOX** received methadone or buprenorphine dispensed by this facility?

- Include clients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER "0")

1. Methadone _____
2. Buprenorphine _____

33d. Without adding to the staff or space available in March 2006, what is the maximum number of clients that could have been enrolled in outpatient substance abuse treatment on March 31, 2006? This is generally referred to as outpatient capacity.

OUTPATIENT CAPACITY ON MARCH 31, 2006



This number should not be less than the number entered in the **OUTPATIENT TOTAL BOX**.

34. Thinking about all of your substance abuse treatment clients—including hospital inpatient, residential, and/or outpatient—approximately what percent of the substance abuse treatment clients enrolled at this facility on March 31, 2006, were being treated for . . .

1. Abuse of both alcohol and drugs _____%
2. Alcohol abuse only _____%
3. Drug abuse only _____%

TOTAL %

↑

THIS SHOULD TOTAL 100%.
IF NOT, PLEASE RECONCILE.

35. Approximately what percent of the substance abuse treatment clients enrolled at this facility on March 31, 2006, had a diagnosed co-occurring substance abuse and mental health disorder?

ENTER A PERCENT
(IF NONE, ENTER "0")

Percent of clients _____%

36. In the 12 months beginning April 1, 2005, and ending March 31, 2006, how many **ADMISSIONS** for substance abuse treatment did this facility have? Count every admission and re-admission in this 12-month period. If a person was admitted 3 times, count this as 3 admissions.

- FOR OUTPATIENT CLIENTS, consider an admission to be the initiation of a treatment program or course of treatment. Count admissions into treatment, not individual treatment visits.
- IF DATA FOR THIS TIME PERIOD are not available, use the most recent 12-month period for which you have data.
- IF THIS IS A MENTAL HEALTH FACILITY, count all admissions in which clients received substance abuse treatment, even if substance abuse was their secondary diagnosis.

NUMBER OF SUBSTANCE ABUSE ADMISSIONS IN 12-MONTH PERIOD

36a. How many of the 12-month treatment admissions included in question 36 were funded by ATR vouchers?

- To be answered by facilities in the following states only: CA, CT, FL, ID, IL, LA, MO, NJ, NM, TN, TX, WA, WI, WY

NUMBER OF ADMISSIONS FUNDED BY ATR VOUCHERS

37. How many facilities are included in the client counts reported in questions 31 through 36?

- 1 Only this facility — **SKIP TO Q.38 (BELOW)**
- 2 This facility plus others — **ENTER NUMBER OF FACILITIES INCLUDED IN CLIENT COUNTS:**

THIS FACILITY 1

+ ADDITIONAL FACILITIES

= TOTAL FACILITIES

When we receive your questionnaire, we will contact you for a list of the other facilities included in your client counts.

If you prefer, attach a separate piece of paper listing the name and location address of each facility included in your client counts.

Please continue with Question 38 (BELOW)

38. For which of the numbers you just reported did you provide actual client counts and for which did you provide your best estimate?

- Mark "N/A" for any type of care not provided by this facility on March 31, 2006.

MARK "ACTUAL," "ESTIMATE," OR "N/A" FOR EACH

	ACTUAL	ESTIMATE	N/A
1. Hospital inpatient clients (Q.31a, Pg. 9)	1 <input type="checkbox"/>	2 <input type="checkbox"/>	- 4 <input type="checkbox"/>
2. Residential clients (Q.32a, Pg. 9)	1 <input type="checkbox"/>	2 <input type="checkbox"/>	- 4 <input type="checkbox"/>
3. Outpatient clients (Q.33a, Pg. 10)	1 <input type="checkbox"/>	2 <input type="checkbox"/>	- 4 <input type="checkbox"/>
4. 12-month admissions (Q.36, Pg. 11)	1 <input type="checkbox"/>	2 <input type="checkbox"/>	- 4 <input type="checkbox"/>

SECTION C: GENERAL INFORMATION

Section C should be completed for this facility only.

***39. Does this facility operate a halfway house or other transitional housing for substance abuse clients at this location, that is, the location listed on the front cover?**

- 1 Yes
0 No

40. Does this facility or program have licensing, certification, or accreditation from any of the following organizations?

- Only include facility-level licensing, accreditation, etc., related to the provision of substance abuse services.
- Do not include general business licenses, fire marshal approvals, personal-level credentials, food service licenses, etc.

MARK "YES," "NO," OR "DON'T KNOW" FOR EACH

YES NO DON'T
 KNOW

- | | | | |
|---|----------------------------|----------------------------|-----------------------------|
| 1. State substance abuse agency | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | -1 <input type="checkbox"/> |
| 2. State mental health department ... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | -1 <input type="checkbox"/> |
| 3. State department of health | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | -1 <input type="checkbox"/> |
| 4. Hospital licensing authority..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | -1 <input type="checkbox"/> |
| 5. JCAHO (<i>Joint Commission on Accreditation of Healthcare Organizations</i>) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | -1 <input type="checkbox"/> |
| 6. CARF (<i>Commission on Accreditation of Rehabilitation Facilities</i>)..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | -1 <input type="checkbox"/> |
| 7. NCQA (<i>National Committee for Quality Assurance</i>) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | -1 <input type="checkbox"/> |
| 8. COA (<i>Council on Accreditation for Children & Family Services</i>) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | -1 <input type="checkbox"/> |
| 9. Another state or local agency or other organization | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> | -1 <input type="checkbox"/> |

(Specify: _____)

41. Has this facility received a National Provider Identifier (NPI)?

- 1 Yes
0 No — SKIP TO Q.42 (BELOW)

41a. What is the NPI for this facility?

NPI

***42. Does this facility have a website or web page with information about the facility's substance abuse treatment programs?**

- 1 Yes —

Please check the front cover of this questionnaire to confirm that the website address for this facility is correct **EXACTLY** as listed. If incorrect or missing, enter the correct address.

- 0 No

43. If eligible, does this facility want to be listed in the National Directory and online Treatment Facility Locator? (See inside front cover for eligibility information.)

- 1 Yes
0 No

44. Would you like to receive a free paper copy of the next National Directory of Drug and Alcohol Abuse Treatment Programs when it is published?

- 1 Yes
0 No

45. Who was primarily responsible for completing this form? This information will only be used if we need to contact you about your responses. It will not be published.

Name: _____

Title: _____

Phone Number: (____) - _____ - _____

Fax Number: (____) - _____ - _____

Email Address: _____

NOTES

**Thank you for your participation. Please return this questionnaire in the envelope provided.
If you no longer have the envelope, please mail this questionnaire to:**

MATHEMATICA POLICY RESEARCH, INC.
ATTN: RECEIPT CONTROL - Project 8945
P.O. Box 2393
Princeton, NJ 08543-2393

Public burden for this collection of information is estimated to average 40 minutes per response including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer, Room 7-1044, 1 Choke Cherry Road, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB number for this project is 0930-0106.