

**Attachment B1**

**Markup of 2006 N-SSATS questionnaire, to show changes for 2007**

FORM APPROVED:

OMB No. 0930-0406

APPROVAL EXPIRES: ~~11/30/2008~~

See OMB burden statement on ~~back cover~~ *last page*

# National Survey of Substance Abuse Treatment Services (N-SSATS)

March ~~31, 2006~~  
*30, 2007*

Substance Abuse and Mental Health Services Administration (SAMHSA)

**PLEASE REVIEW THE FACILITY INFORMATION PRINTED ABOVE.  
CROSS OUT ERRORS AND ENTER CORRECT OR MISSING INFORMATION.**

CHECK ONE

- Information is complete and correct, no changes needed
- All missing or incorrect information has been corrected



## PLEASE READ THIS ENTIRE PAGE BEFORE COMPLETING THE QUESTIONNAIRE

### INSTRUCTIONS

- Most of the questions in this survey ask about “this facility.” By “this facility” we mean the specific treatment facility or program whose name and location are printed on the front cover. If you have any questions about how the term “this facility” applies to your facility, please call 1-888-324-8337.
- Please answer ONLY for the specific facility or program whose name and location are printed on the front cover, unless otherwise specified in the questionnaire.
- Return the completed questionnaire in the envelope provided. Please keep a copy for your records.
- If you have any questions or need additional blank forms, contact:

MATHEMATICA POLICY RESEARCH, INC.

1-888-324-8337

- For additional information about this survey or the types of care referred to in the questionnaire, please visit our website at <http://info.nssats.com>

**BOLD**

If you prefer, you may complete this questionnaire online. See the pink flyer enclosed in your questionnaire packet for the Internet address and your unique user ID and password. If you need more information, call the N-SSATS helpline at 1-888-324-8337.

### IMPORTANT INFORMATION

\* **Asterisked questions.** Information from asterisked (\*) questions will be published in SAMHSA’s *National Directory of Drug and Alcohol Abuse Treatment Programs* and will be available online at <http://findtreatment.samhsa.gov>, SAMHSA’s Substance Abuse Treatment Facility Locator.

**Mapping feature in Locator.** Complete and accurate name and address information is needed for the online Treatment Facility Locator so it can correctly map the facility location.

**Eligibility for Directory/Locator.** Only facilities designated as eligible by their state substance abuse office will be listed in the *National Directory* and online Treatment Facility Locator. Your state N-SSATS representative can tell you if your facility is eligible to be listed in the Directory/Locator. For the name and telephone number of your state representative, call the N-SSATS helpline at 1-888-324-8337 or go to <http://www.dasis.samhsa.gov> and click on “DASIS Contacts” then “N-SSATS Contacts by State.”

# SECTION A: FACILITY CHARACTERISTICS

Section A asks about characteristics of individual facilities and should be completed for this facility only, that is, the treatment facility or program at the location listed on the front cover.

1. Which of the following substance abuse services are offered by this facility at this location, that is, the location listed on the front cover?

~~IF THIS IS A MENTAL HEALTH FACILITY: Please respond about the substance abuse services that may be offered at this facility.~~

MARK "YES" OR "NO" FOR EACH

- |   | YES                        | NO                         |
|---|----------------------------|----------------------------|
| 1. Intake, assessment, or referral .....  | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 2. Detoxification .....   | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 3. Substance abuse treatment<br>(services that focus on initiating and maintaining an individual's recovery from substance abuse and on averting relapse) ..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 4. Any other substance abuse services .....   | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |

REPLACE WITH INSERT A

1a. Did you answer "yes" to substance abuse treatment in option 3 of question 1 above?

- 1  Yes → SKIP TO Q.2 (TOP OF NEXT COLUMN)  
 0  No

1b. Did you answer "yes" to detoxification in option 2 of question 1 above?

- 1  Yes → GO TO Q.2 (TOP OF NEXT COLUMN)  
 0  No → SKIP TO Q.32 (PAGE 10)

2. What is the primary focus of this facility at this location, that is, the location listed on the front cover?

MARK ONE ONLY

- 1  Substance abuse treatment services  
 2  Mental health services  
 3  Mix of mental health and substance abuse treatment services (*neither is primary*)  
 4  General health care  
 5  Other (*Specify:* \_\_\_\_\_)

3. Is this facility operated by ...

MARK ONE ONLY

- 1  A private for-profit organization } → SKIP TO Q.4-6 (PAGE 2)  
 2  A private non-profit organization }  
 3  State government }  
 4  Local, county, or community government } → SKIP TO Q.6-8 (PAGE 2)  
 5  Tribal government }  
 6  Federal government

3a. Which federal government agency?

MARK ONE ONLY

- 1  Department of Veterans Affairs } → SKIP TO Q.6-8 (PAGE 2)  
 2  Department of Defense }  
 3  Indian Health Service }  
 4  Other (*Specify:* \_\_\_\_\_)

**INSERT A**

2. Did you answer "yes" to detoxification in option 2 of question 1 above?

- 1  Yes
- 0  No → SKIP TO Q.3 (TOP OF NEXT COLUMN)

2a. Does this facility detoxify clients from . . .

MARK "YES" OR "NO" FOR EACH

- |                                       | <u>YES</u>                 | <u>NO</u>                  |
|---------------------------------------|----------------------------|----------------------------|
| 1. Alcohol .....                      | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 2. Opiates.....                       | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 3. Cocaine.....                       | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 4. Other ( <i>Specify:</i> _____ ...) | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |

2b. Does this facility routinely use medications during detoxification?

- 1  Yes
- 0  No → SKIP TO Q.4 (NEXT COLUMN)

3. Did you answer "yes" to substance abuse treatment in option 3 of question 1?

- 1  Yes
- 0  No → SKIP TO Q.41 (PAGE 12)

10- Which of the following services are provided by this facility at this location, that is, the location listed on the front cover?

12

MARK ALL THAT APPLY

### Assessment and Pre-Treatment Services

- 1  Screening for substance abuse
- 2  Screening for mental health disorders
- 3  Comprehensive substance abuse assessment or diagnosis
- 4  Comprehensive mental health assessment or diagnosis (for example, psychological or psychiatric evaluation and testing)
- 5  Outreach to persons in the community that may need treatment
- 6  ~~Brief intervention for substance users who are not yet dependent, usually 1-5 sessions~~
- 7  Interim services for clients when immediate admission is not possible

### ~~Substance Abuse Therapy and Counseling~~

- 8  ~~Family counseling~~
- 9  ~~Group therapy, not including relapse prevention~~
- 10  ~~Individual therapy~~
- 11  ~~Relapse prevention groups~~
- 12  ~~Aftercare/continuing care~~

### Pharmacotherapies

- 7  Antabuse
- 8  Naltrexone
- 9  Campral
- 10  Buprenorphine - Subutex
- 11  Buprenorphine - Suboxone
- 12  Methadone
- 13  Nicotine replacement
- 14  Medications for psychiatric disorders

Testing (Include tests performed at this location, even if specimen is sent to an outside source for chemical analysis.)

- 15  Breathalyzer or other blood alcohol testing
- 16  Drug or alcohol urine screening
- 17  Screening for Hepatitis B
- 18  Screening for Hepatitis C
- 19  HIV testing
- 20  STD testing
- 21  TB screening

### Transitional Services

- 22  Assistance with obtaining social services (for example, Medicaid, WIC, SSI, SSDI)
- 23  Discharge planning
- 24  Employment counseling or training for clients
- 25  Assistance in locating housing for clients
- 26  ~~Aftercare/continuing care~~

### Other Services - Ancillary Services

- 27  Case management services
- 28  Social skills development
- 29  Mentoring/peer support

- 30  Child care for clients' children
- 31  Domestic violence—family or partner violence services (physical, sexual, and emotional abuse)
- 32  Early intervention for HIV
- 33  HIV or AIDS education, counseling, or support
- 34  ~~Outcome follow-up after discharge~~
- 35  Health education other than HIV/AIDS
- 36  Substance abuse education
- 37  Transportation assistance to treatment
- 38  Mental health services
- 39  Acupuncture
- 40  Residential beds for clients' children
- 41  Self-help groups (for example, AA, NA, Smart Recovery)

USE INSERTS B and C HERE. QUESTIONS 13-18

\*11. Does this facility operate an Opioid Treatment Program (OTP) at this location?

- 1  ~~Opioid Treatment Programs are certified by SAMHSA's Center for Substance Abuse Treatment to use opioid drugs such as methadone or buprenorphine in the treatment of opiate (narcotic) addiction.~~  
a methadone maintenance or detoxification program

- 1  Yes
- 0  No → SKIP TO Q.12 (BELOW)

\*11a. Is the Opioid Treatment Program at this location a maintenance program, a detoxification program, or both?

MARK ONE ONLY

- 1  Maintenance program
- 2  Detoxification program
- 3  Both

\*11b. Are ALL of the substance abuse clients at this facility currently in the Opioid Treatment Program?

- 1  Yes
- 0  No

\*12. Does this facility offer a special program for DUI/DWI or other drunk driver offenders at this location?

- Mark "yes" if this facility serves only DUI/DWI clients OR if this facility has a special DUI/DWI program.

- 1  Yes
- 0  No → SKIP TO Q.13 (PAGE 4)

\*12a. Are ALL of the substance abuse treatment clients at this facility enrolled in the DUI/DWI program?

- 1  Yes
- 0  No

MOVE THESE THREE ITEMS TO ANCILLARY SERVICES

**INSERT B**

13. As part of substance abuse treatment, does this facility employ individual counseling?

- 1  Yes  
0  No → SKIP TO Q.14 (BELOW)

13a. What percent of substance abuse clients receive individual counseling?

PERCENT OF CLIENTS (IF NONE, ENTER "0")  %

14. As part of substance abuse treatment, does this facility employ group counseling (with peers)?

- 1  Yes  
0  No → SKIP TO Q.15 (TOP OF PAGE 4)

14a. What percent of substance abuse clients receive group counseling?

PERCENT OF CLIENTS (IF NONE, ENTER "0")  %

**INSERT C**

15. As part of substance abuse treatment, does this facility employ family counseling?

- 1  Yes  
 0  No → SKIP TO Q.16 (BELOW)

15a. What percent of substance abuse clients receive family counseling?

PERCENT OF CLIENTS (IF NONE, ENTER "0")  %

16. As part of substance abuse treatment, does this facility employ marital/couples counseling?

- 1  Yes  
 0  No → SKIP TO Q.17 (TOP OF NEXT COLUMN)

16a. What percentage of substance abuse clients receive marital/couples counseling?

PERCENT OF CLIENTS (IF NONE, ENTER "0")  %

17. Are any of the following practices part of this facility's standard operating procedures?

MARK "YES" OR "NO" FOR EACH

YES    NO

- |   |                            |                            |
|---|----------------------------|----------------------------|
| 1. Required continuing education for staff .....                        | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 2. Periodic drug testing of clients .....                               | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 3. Regularly scheduled case review with a supervisor.....               | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 4. Case review by an appointed quality review committee.....            | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 5. Outcome follow-up after discharge ..                                 | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 6. Periodic utilization review.....                                     | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |
| 7. Periodic client satisfaction surveys conducted by the facility ..... | 1 <input type="checkbox"/> | 0 <input type="checkbox"/> |

18. Listed below are a variety of clinical/therapeutic approaches used by substance abuse treatment facilities. For each, please mark the box that best describes how often the practice is used at this facility.

	MARK ONE FREQUENCY FOR EACH				
	Never	Rarely	Sometimes	Often	Not Familiar With This Approach
1. Substance abuse counseling .....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
2. 12-step approach.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
3. Brief intervention.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
4. Cognitive-behavioral therapy .....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
5. Contingency management.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
6. Motivational interviewing.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
7. Trauma-related counseling .....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
8. Anger management .....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
9. Relapse prevention.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
10. Other treatment approach (Specify: _____ _____ _____)	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>



**\*13. 21** Does this facility provide substance abuse treatment services in sign language (for example, American Sign Language, Signed English, or Cued Speech) for the hearing impaired at this location? *add italics to words in parenthesis*

• Mark "yes" if either a staff counselor or an on-call interpreter provides this service.

- 1  Yes  
0  No

**\*14. 22** Does this facility provide substance abuse treatment services in a language other than English at this location?

• Mark "yes" if either a staff counselor or an on-call interpreter provides this service.

- 1  Yes  
0  No → SKIP TO Q.15 (TOP OF NEXT COLUMN) *23 (PAGE 6)*

**14a. 22a** At this facility, who provides substance abuse treatment services in a language other than English?

MARK ONE ONLY

- 1  Staff counselor who speaks a language other than English → GO TO Q.14b (BELOW) *ITALICS → (in person or by phone) 22b*  
2  On-call interpreter brought in when needed → SKIP TO Q.15 (TOP OF NEXT COLUMN) *23 (PAGE 6)*  
3  BOTH staff counselor and on-call interpreter → GO TO Q.14b (BELOW) *22b*

**\*14b. 22b** In what other languages do staff counselors provide substance abuse treatment at this facility?

MARK ALL THAT APPLY

**American Indian or Alaska Native:**

- 1  Hopi  
2  Lakota  
3  Navajo  
4  Yupik  
5  Other American Indian or Alaska Native language  
(Specify: \_\_\_\_\_)

**Other Languages:**

- 6  Arabic  
7  Chinese  
8  Creole  
9  French  
10  German  
11  Hmong  
12  Korean  
13  Polish  
14  Portuguese  
15  Russian  
16  Spanish  
17  Vietnamese  
18  Other language (Specify: \_\_\_\_\_)

**\*15. 23** This question has two parts. Column A asks about the types of clients accepted into treatment at this facility. Column B asks whether this facility offers specially designed treatment programs or groups for each type of client.

**Column A - For each type of client listed below:** Indicate whether this facility accepts these clients into treatment at this location.

**Column B - For each "yes" in Column A:** Indicate whether this facility offers a specially designed substance abuse treatment program or group exclusively for that type of client at this location.

TYPE OF CLIENT	COLUMN A		COLUMN B	
	CLIENTS ACCEPTED INTO TREATMENT		OFFERS SPECIALLY DESIGNED PROGRAM OR GROUP	
	YES	NO	YES	NO
1. Adolescents	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
2. Clients with co-occurring mental and substance abuse disorders	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
3. Criminal justice clients (other than DUI/DWI)	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
4. Persons with HIV or AIDS	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
5. Gays or lesbians	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
6. Seniors or older adults	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
7. Adult women	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
8. Pregnant or postpartum women	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
9. Adult men	1 <input type="checkbox"/>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>
10. <u>Specially designed</u> programs or groups for any other types of clients (Specify: _____)			1 <input type="checkbox"/>	0 <input type="checkbox"/>

**\*16-24** Does this facility offer either of the following HOSPITAL INPATIENT substance abuse services at this location, that is, the location listed on the front cover?

MARK "YES" OR "NO" FOR EACH

(Similar to ASAM Levels IV-D and III.7-D, medically managed or monitored inpatient detoxification) YES NO

1. Hospital inpatient detoxification ..... 1  0

2. Hospital inpatient treatment ..... 1  0

(Similar to ASAM Levels IV and III.7, medically managed or monitored intensive inpatient treatment)

NOTE: ASAM is the American Society of Addiction Medicine

**\*17-25** Does this facility offer any of the following RESIDENTIAL (non-hospital) substance abuse services at this location, that is, the location listed on the front cover?

MARK "YES" OR "NO" FOR EACH

YES NO

1. Residential detoxification ..... 1  0

(Similar to ASAM Level III.2-D, clinically managed residential detoxification or social detoxification)

2. Residential short-term treatment ..... 1  0

(30 days or less; similar to ASAM level III.5, clinically managed high intensity residential treatment; typically 30 days or less)

3. Residential long-term treatment ..... 1  0

(more than 30 days)

(Similar to ASAM levels III.3 and III.1, clinically managed medium- or low-intensity residential treatment; typically more than 30 days)

**\*18-26** Does this facility offer any of the following OUTPATIENT substance abuse services at this location, that is, the location listed on the front cover?

MARK "YES" OR "NO" FOR EACH

(Similar to ASAM Levels I-D and II-D, ambulatory detoxification) YES NO

1. Outpatient detoxification ..... 1  0

2. Outpatient methadone/ buprenorphine maintenance ..... 1  0

(opioid maintenance therapy)

3. Outpatient day treatment or partial hospitalization (similar to ASAM level II.5, 20 or more hours per week) ..... 1  0

4. Intensive outpatient treatment (a minimum of 2 hours per day on 3 or more days per week) ..... 1  0

5. Regular outpatient treatment (fewer hours per week than intensive) ASAM level I; outpatient treatment; non-intensive ..... 1  0

(Similar to ASAM Level II.1, 9 or more hours per week)

**\*19-27** Does this facility use a sliding fee scale?

1  Yes

0  No → SKIP TO Q.20 (BELOW) 28 (TOP OF NEXT COLUMN)

**19a-27a** Do you want the availability of a sliding fee scale published in SAMHSA's Directory/Locator? (For information on Directory/Locator eligibility, see the inside front cover.)

- The Directory/Locator will explain that sliding fee scales are based on income and other factors.

1  Yes

0  No

**\*20-28** Does this facility offer treatment at no charge to clients who cannot afford to pay?

1  Yes

0  No → SKIP TO Q.21 (PAGE 6) 29 (BELOW)

**20a-28a** Do you want the availability of free care for eligible clients published in SAMHSA's Directory/Locator?

- The Directory/Locator will explain that potential clients should call the facility for information on eligibility.

1  Yes

0  No

21. Does this facility receive any funding or grants from the Federal government, or state, county or local governments, to support its substance abuse treatment programs?

Do not include Medicare, Medicaid, or federal military insurance. These forms of client payments will be included in Q.22 below.

- 1  Yes  
 0  No  
 -1  Don't Know

22. Which of the following types of client payments or insurance are accepted by this facility for substance abuse treatment?

MARK "YES," "NO," OR "DON'T KNOW" FOR EACH

	YES	NO	DON'T KNOW
2. Cash or self-payment.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
3. Medicare .....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
4. Medicaid.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
5. A state-financed health insurance plan other than Medicaid (for example, State Children's Health Insurance Program (SCHIP) or high risk insurance pools).....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
6. Federal military insurance such as TRICARE or Champ VA.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
7. Private health insurance .....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
8. Access to Recovery (ATR) vouchers (to be answered by facilities in the following states only: CA, CT, FL, ID, IL, LA, MO, NJ, NM, TN, TX, WA, WI, WY).....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
9. Other .....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>

(Specify: \_\_\_\_\_)

23. Does this facility have agreements or contracts with managed care organizations for providing substance abuse treatment services?

Managed care organizations have agreements with certain health care providers who give services to plan members, usually at discounted rates. Examples include managed behavioral healthcare organizations (MBHOs), health maintenance organizations (HMOs), and preferred provider organizations (PPOs).

- 1  Yes  
 0  No  
 -1  Don't Know

## SECTION B: CLIENT COUNT INFORMATION

**IMPORTANT:** Questions in Section B ask about two different time periods, *i.e.*, the single day of March 31, 2006, and the 12-month period ending on March 31, 2006. Please pay special attention to the period specified in each question.

**IF THIS IS A MENTAL HEALTH FACILITY:** Include in your client counts all clients receiving substance abuse treatment, even if substance abuse is their secondary diagnosis.

24. Questions 25 through 29 ask about the number of clients in treatment at this facility at specified times.

Please check the option below that best describes how client counts will be reported in these questions.

MARK ONE ONLY

- 1  Questions 25 through 29 will include client counts for this facility alone → SKIP TO Q.25 (PAGE 7) 33 (TOP OF NEXT COLUMN)
- 2  Questions 25 through 29 will include client counts for this facility combined with other facilities → SKIP TO Q.25 (PAGE 7) 33 (TOP OF NEXT COLUMN)
- 3  Client counts for this facility will be reported by another facility → SKIP TO Q.32 (PAGE 10) 41 (PAGE 12)

### HOSPITAL INPATIENT

25-<sup>30, 2007</sup>  
33 On March 31, 2006, did any patients receive HOSPITAL INPATIENT substance abuse services at this facility?

- 1  Yes  
0  No → SKIP TO Q.26 (TOP OF NEXT COLUMN) <sup>34 (PAGE 9)</sup>

25a-<sup>30, 2007</sup>  
33a On March 31, 2006, how many patients received the following HOSPITAL INPATIENT substance abuse services at this facility?

- COUNT a patient in **one service only**, even if the patient received both services.
- **DO NOT** count family members, friends, or other non-treatment patients.

(Similar to ASAM levels IV-D and III.7-D, medically managed or monitored inpatient detoxification) ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")

1. Hospital inpatient detoxification \_\_\_\_\_  
2. Hospital inpatient treatment \_\_\_\_\_

HOSPITAL INPATIENT TOTAL BOX

(Similar to ASAM levels IV and III.7, medically managed or monitored intensive inpatient treatment)

25b-  
33b How many of the patients from the HOSPITAL INPATIENT TOTAL BOX were under the age of 18?

ENTER A NUMBER (IF NONE, ENTER "0")

Number under age 18 \_\_\_\_\_

25c-  
33c How many of the patients from the HOSPITAL INPATIENT TOTAL BOX received methadone or buprenorphine dispensed by this facility?

- Include patients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")

1. Methadone \_\_\_\_\_  
2. Buprenorphine \_\_\_\_\_

25d-<sup>30, 2007</sup>  
33d On March 31, 2006, how many hospital inpatient beds at this facility were specifically designated for substance abuse treatment?

ENTER A NUMBER (IF NONE, ENTER "0")

Number of beds \_\_\_\_\_

### RESIDENTIAL (NON-HOSPITAL)

26-<sup>30, 2007</sup>  
34 On March 31, 2006, did any clients receive RESIDENTIAL (non-hospital) substance abuse services at this facility?

- 1  Yes  
0  No → SKIP TO Q.27 (PAGE 8) <sup>35 (PAGE 10)</sup>

26a-<sup>30, 2007</sup>  
34a On March 31, 2006, how many clients received the following RESIDENTIAL substance abuse services at this facility?

- COUNT a client in **one service only**, even if the client received multiple services.
- **DO NOT** count family members, friends, or other non-treatment clients.

(Similar to ASAM level III.2-D clinically managed residential detoxification or social detoxification) ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")

1. Residential detoxification \_\_\_\_\_  
2. Residential short-term treatment (30 days or less) \_\_\_\_\_  
3. Residential long-term treatment (more than 30 days) \_\_\_\_\_

(Similar to ASAM levels III.3 and III.1, clinically managed medium or low intensity residential treatment; typically more than 30 days) RESIDENTIAL TOTAL BOX

26b-  
34b How many of the clients from the RESIDENTIAL TOTAL BOX were under the age of 18?

ENTER A NUMBER (IF NONE, ENTER "0")

Number under age 18 \_\_\_\_\_

26c-  
34c How many of the clients from the RESIDENTIAL TOTAL BOX received methadone or buprenorphine dispensed by this facility?

- Include clients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")

1. Methadone \_\_\_\_\_  
2. Buprenorphine \_\_\_\_\_

26d-<sup>30, 2007</sup>  
34d On March 31, 2006, how many residential beds at this facility were specifically designated for substance abuse treatment?

ENTER A NUMBER (IF NONE, ENTER "0")

Number of beds \_\_\_\_\_

**OUTPATIENT**

2007

27- 35 During the month of March 2006, did any clients receive OUTPATIENT substance abuse services at this facility?

Yes

No → SKIP TO Q.28 (PAGE 9) 36 (PAGE 11)

27a. 35a As of March 31, 2006; how many active clients were enrolled in each of the following OUTPATIENT substance abuse services at this facility? 30, 2007

An active outpatient client is someone who:

(1) was seen at this facility for substance abuse treatment or detoxification at least once during the month of March 2006-2007

AND

(2) was still enrolled in treatment on March 31, 2006. 30, 2007

- COUNT a client in one service only, even if the client received multiple services.
- DO NOT count family members, friends, or other non-treatment clients.

ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")

1. Outpatient detoxification (Similar to ASAM Levels I-D and II-D, ambulatory detoxification)
2. Outpatient methadone/buprenorphine maintenance (count methadone and buprenorphine maintenance clients on this line only) (Opioid maintenance therapy)
3. Outpatient day treatment or partial hospitalization (20 or more hours per week) (Similar to ASAM level II-S, 20 or more hours per week)
4. Intensive outpatient treatment (a minimum of 2 hours per day on 3 or more days per week) (Similar to ASAM Level II-I, 9 or more hours per week)
5. Regular outpatient treatment (fewer hours per week than intensive) (Similar to ASAM Level I, outpatient treatment; non-intensive)

OUTPATIENT TOTAL BOX

[Empty box for Outpatient Total]

27b- 35b How many of the clients from the OUTPATIENT TOTAL BOX were under the age of 18?

ENTER A NUMBER (IF NONE, ENTER "0")

Number under age 18 \_\_\_\_\_

27c. 35c How many of the clients from the OUTPATIENT TOTAL BOX received methadone or buprenorphine dispensed by this facility?

- Include clients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")

1. Methadone \_\_\_\_\_
2. Buprenorphine \_\_\_\_\_

27d. 35d Without adding to the staff or space available in March 2006; what is the maximum number of clients who could have been enrolled in outpatient substance abuse treatment on March 31, 2006? This is generally referred to as outpatient capacity. 2007 March 30, 2007

OUTPATIENT CAPACITY ON MARCH 31, 2006

30, 2007

[Empty box for Outpatient Capacity]

This number should not be less than the number entered in the OUTPATIENT TOTAL BOX.

Some clients are treated for both alcohol and drug abuse,

28. 36

Thinking about all of your substance abuse treatment clients—including hospital inpatient, residential, and/or outpatient—approximately what percent of the substance abuse treatment clients enrolled at this facility on ~~March 31, 2006~~, were being treated for...

- 1. Abuse of both alcohol and drugs \_\_\_\_\_%  
*BOTH alcohol AND drug abuse*
- 2. Alcohol abuse only \_\_\_\_\_%  
*ONLY alcohol abuse*
- 3. Drug abuse only \_\_\_\_\_%  
*ONLY drug abuse*

TOTAL \_\_\_\_\_%

THIS SHOULD TOTAL 100%. IF NOT, PLEASE RECONCILE.

37. Approximately what percent of substance abuse treatment clients enrolled at this facility on March 30, 2007, had a diagnosed co-occurring substance abuse and mental health disorder?

PERCENT OF CLIENTS (IF NONE, ENTER "0") \_\_\_\_\_%

29. 38

In the 12 months beginning April 1, 2005, and ending March 31, 2006, how many ADMISSIONS for substance abuse treatment did this facility have? Count every admission and re-admission in this 12-month period. If a person was admitted 3 times, count this as 3 admissions.

- FOR OUTPATIENT CLIENTS, consider an admission to be the initiation of a treatment program or course of treatment. Count admissions into treatment, not individual treatment visits.
- IF DATA FOR THIS TIME PERIOD are not available, use the most recent 12-month period for which you have data.
- IF THIS IS A MENTAL HEALTH FACILITY, count all admissions in which clients received substance abuse treatment, even if substance abuse was their secondary diagnosis.

NUMBER OF SUBSTANCE ABUSE ADMISSIONS IN 12-MONTH PERIOD \_\_\_\_\_

30. 39

How many facilities are included in the client counts reported in questions 25 through 29?

- 1  Only this facility → SKIP TO Q.31 (BELOW)
- 2  This facility plus others → ENTER NUMBER OF FACILITIES INCLUDED IN CLIENT COUNTS:

THIS FACILITY	1
+ ADDITIONAL FACILITIES	
<b>= TOTAL FACILITIES</b>	

When we receive your questionnaire, we will contact you for a list of the other facilities included in your client counts.

If you prefer, attach a separate piece of paper listing the name and location address of each facility included in your client counts.

Please continue with Question 31 (BELOW)

31. 40

For which of the numbers you just reported did you provide actual client counts and for which did you provide your best estimate?

- Mark "N/A" for any type of care not provided by this facility on March 31, 2006; 30, 2007.

MARK "ACTUAL," "ESTIMATE," OR "N/A" FOR EACH

	ACTUAL	ESTIMATE	N/A
1. Hospital inpatient clients (Q.25a, Pg. 7) <i>33a, Pg. 8</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Residential clients (Q.26a, Pg. 7) <i>34a, Pg. 9</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Outpatient clients (Q.27a, Pg. 8) <i>35a, Pg. 10</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. 12-month admissions (Q.29) <i>38</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

~~PLEASE CONTINUE WITH QUESTIONS ON THE BACK COVER~~  
REMOVE BOX

38a. How many of the 12-month treatment admissions included in question 36 were funded by ATR vouchers?

To be answered by facilities in the following states only: CA, CT, FL, IL, IN, LA, MO, NJ, NM, TN, TX, WA, WI, WY

NUMBER OF ADMISSIONS FUNDED BY ATR VOUCHERS (IF NONE, ENTER "0") \_\_\_\_\_

ITALICS

## SECTION C: GENERAL INFORMATION

Section C should be completed for this facility only.

**32. 41** Does this facility operate a halfway house or other transitional housing for substance abuse clients at this location, that is, the location listed on the front cover?

- 1  Yes  
0  No

**33. 42** Does this facility or program have licensing, certification, or accreditation from any of the following organizations?

- Only include facility-level licensing, accreditation, etc., related to the provision of substance abuse services.
- Do not include general business licenses, fire marshal approvals, personal-level credentials, food service licenses, etc.

MARK "YES," "NO," OR "DON'T KNOW" FOR EACH

	YES	NO	DON'T KNOW
1. State substance abuse agency....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
2. State mental health department...	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
3. State department of health .....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
4. Hospital licensing authority .....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
5. JCAHO (Joint Commission on Accreditation of Healthcare Organizations).....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
6. CARF (Commission on Accreditation of Rehabilitation Facilities).....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
7. NCQA (National Committee for Quality Assurance) .....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
8. COA (Council on Accreditation for Children & Family Services) .....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
9. Another state or local agency or other organization .....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	-1 <input type="checkbox"/>
(Specify: _____)			

**34. 44** Does this facility have a website or web page with information about the facility's substance abuse treatment programs?

- 1  Yes →

Please check the front cover of this questionnaire to confirm that the website address for this facility is correct EXACTLY as listed. If incorrect or missing, enter the correct address.

- 0  No

**35. 45** If eligible, does this facility want to be listed in the National Directory and online Treatment Facility Locator? (See inside front cover for eligibility information.)

- 1  Yes

- 0  No

**36. 46** Would you like to receive a free paper copy of the next National Directory of Drug and Alcohol Abuse Treatment Programs when it is published?

- 1  Yes

- 0  No

**37. 47** Who was primarily responsible for completing this form? This information will only be used if we need to contact you about your responses. It will not be published.

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Phone Number: (\_\_\_\_) - \_\_\_\_ - \_\_\_\_

Fax Number: (\_\_\_\_) - \_\_\_\_ - \_\_\_\_

Email Address: \_\_\_\_\_

**Thank you for your participation. Please return this questionnaire in the envelope provided. If you no longer have the envelope, please mail this questionnaire to:**

**MATHEMATICA POLICY RESEARCH, INC.**  
ATTN: RECEIPT CONTROL - Project 8945  
P.O. Box 2393  
Princeton, NJ 08543-2393

SEE INSERT D FOR NEW LAST PAGE

Public burden for this collection of information is estimated to average 38 minutes per response including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer, Room 7-1044, 1 Chokey Cherry Road, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB number for this project is 0930-0106.

**10 43. 40** Has this facility received a National Provider Identifier (NPI)?  
1  Yes  
0  No → SKIP TO Q44 (BELOW)

**43a. 40** What is the NPI for this facility?  
NPI:

**NOTES**

**Thank you for your participation. Please return this questionnaire in the envelope provided.  
If you no longer have the envelope, please mail this questionnaire to:**

**MATHEMATICA POLICY RESEARCH, INC.  
ATTN: RECEIPT CONTROL - Project 8945  
P.O. Box 2393  
Princeton, NJ 08543-2393**

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