

2005 Annual Survey of State Administered  
**Public-Employee Retirement Systems**

U.S. DEPARTMENT OF COMMERCE  
 Economics and Statistics Administration  
 U.S. CENSUS BUREAU

In correspondence pertaining to this report, please refer to the ID printed above your address.

**RETURN TO:**  
 U.S. Census Bureau  
 1201 East 10th Street  
 Jeffersonville, IN 47132-0001

If you have any questions, please call  
 1-888-529-1963  
 weekdays, 8:00 a.m. to 5:30 p.m. EST.

Questions can also be emailed to:  
 gov.retire@census.gov

Please correct any errors in name, address, or ZIP Code.

**GENERAL INSTRUCTIONS**

Before filling out this form, please read carefully each part and all related definitions and instructions.

**Note especially:**

- Report for **Defined Benefit** plans only.
- Report corporate stocks and bonds at **market value**, and adhere to Governmental Accounting Standards Board (GASB) standards when reporting gains and losses on investments.
- Report figures relating to all accounts and reserves of your system, **including** amounts for retirement, disability, survivors, and other benefits, as well as any amounts for administration of the system. **Report in whole dollars.** Exclude transfers between reserves of the system, and also any investment transactions relating to loans to system members.
- Do **not** delay reporting to await finally audited figures if substantially accurate figures can be supplied on a preliminary basis.

**RESPONDENT INFORMATION:**

Name of person completing report – <i>Please print</i>			Title of person completing report
Area Code	Telephone Number	Extension	Email Address

**Part 1 Plan Information**

- A. Are new employees of your government covered under this pension plan?  Yes  No
- B. In addition to the defined benefit plan reported here, does your government offer a defined contribution plan?  Yes  No

C. **Fiscal Year Ending Date**  
 Mark (X) in the appropriate box below to indicate the *ending date of your government's fiscal year (12-month accounting period) and report data for this period only.*  
 Use this fiscal year even though a more recent one may be available.

**2004**

<input type="checkbox"/> July	<input type="checkbox"/> October	<input type="checkbox"/> January	<input type="checkbox"/> April
<input type="checkbox"/> August	<input type="checkbox"/> November	<input type="checkbox"/> February	<input type="checkbox"/> May
<input type="checkbox"/> September	<input type="checkbox"/> December	<input type="checkbox"/> March	<input type="checkbox"/> June

Please continue on the next page

**A. RECEIPTS DURING FISCAL YEAR --** Report receipts during the fiscal year indicated in Part 1.  
**Exclude amounts received from sale of investments and from repayment of loans made to members.**

**1. EMPLOYEE CONTRIBUTIONS –** Total amounts contributed by all member employees or withheld from their salaries for financing benefits

- a. **State Employees –** From employees of the state governments, including employees of state colleges and other state institutions and agencies.....
- b. **Local Employees –** From employees of the counties, cities, local public schools, and other local government agencies.....


**2. GOVERNMENT CONTRIBUTIONS –**Total amounts received from state and local governments for financial support of your system, including any taxes credited directly to the system.

**a. State government contributions:**

- 1. State government contributions for **state** employees -- From state government, including state colleges and other state institutions and agencies.....
- 2. State government contributions for **local** employees..


**3. Total State Contributions --** Sum of items a1 and a2.....

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**b. Local government contributions –** From counties, cities, local public schools, and other local government agencies.....

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**3. EARNINGS ON INVESTMENTS --** Interest, dividends, rents, and other earnings on investments. Exclude any recorded profits or recorded losses and report at C below.

- a. Rentals from the state government.....
- b. Interest.....
- c. Dividends.....
- c. Other earnings on investments.....  
Please specify...


**e. TOTAL EARNINGS ON INVESTMENTS --** Sum of items 3a through 3d.....

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**B. NET GAIN (LOSSES) IN MARKET/FAIR VALUE OF INVESTMENTS**

Include both realized and unrealized gains (losses).....

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**C. PAYMENTS DURING FISCAL YEAR – Exclude** amounts paid out for purchase of investments and for loans made to members.

**1. BENEFITS PAID –** Report annual amounts

- a. Retirement benefits
- b. Disability benefits
- c. Survivor benefits
- d. Other benefits.


**e. TOTAL BENEFITS PAID –** Sum of items 1a through 1d.....

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**2. WITHDRAWALS –** Amounts paid to employees or former employees or their survivors, representing return of contributions made by employees during the period of their employment, and any interest on such amounts.....

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**3. ADMINISTRATION –** Include investment fees.....

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**4. OTHER PAYMENTS –** Specify.....

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**Part 3 HOLDINGS AND INVESTMENTS**

**A. TOTAL CASH AND SHORT TERM INVESTMENTS**..... [Blank Box]

**B. FEDERAL GOVERNMENT SECURITIES**

**1. FEDERAL SECURITIES** – Obligations of U.S. Treasury (including short-term notes) and Federal Financing Bank..... [Blank Box]

**2. FEDERAL AGENCY SECURITIES** – Bonds and mortgage-backed securities (where applicable) issued by CCC, Export-Import Bank, FHA, GNMA, Postal Service, and TVA. Report directly held mortgages in E below..... [Blank Box]

**3. TOTAL FEDERAL GOVERNMENT SECURITIES** – Sum of item B1 and B2..... [Blank Box]

**C. CORPORATE BONDS**

**1. FEDERALLY-SPONSORED AGENCY SECURITIES** – Bonds and mortgage-backed securities (where applicable) issued by FHLB, FHLMC, FNMA, Farm credit banks, and SLMA..... [Blank Box]

**2. OTHER CORPORATE BONDS** – include debentures, convertible bonds, and railroad equipment certificates..... [Blank Box]

**3. TOTAL CORPORATE BONDS** –Sum of items C1 and C2..... [Blank Box]

**D. CORPORATE STOCKS** -- Include common and preferred stocks and warrants..... [Blank Box]

**E. MORTGAGES HELD DIRECTLY** -- Exclude mortgage-backed securities, to be reported at B2, C1, or C2; also exclude directly held real property, to be reported at item G1 ..... [Blank Box]

**F. OTHER SECURITIES**

**1. INVESTMENTS HELD IN TRUST BY OTHER AGENCIES** – Include funds administered by private agencies, guaranteed investment accounts, and your share of funds in governmental investment accounts..... [Blank Box]

**2. SECURITIES OF STATE AND LOCAL GOVERNMENTS**..... [Blank Box]

**3. FOREIGN AND INTERNATIONAL SECURITIES**..... [Blank Box]

**4. OTHER** – include shares held in mutual funds, conditional sales contracts, direct loans, loans to members, etc. Specify..... [Blank Box]

**5. TOTAL OTHER SECURITIES** – Sum of items F1 through F4..... [Blank Box]

**G. OTHER INVESTMENTS**

**1. REAL PROPERTY** – Report only directly held property; report property held in investment trusts and in pooled or partnership agreements at G2..... [Blank Box]

**2. OTHER** – Include venture capital, partnerships, real estate investment trusts, and leveraged buy outs – Specify.... [Blank Box]

**3. TOTAL OTHER INVESTMENTS** – Sum of items G1 through G2..... [Blank Box]

**H. TOTAL HOLDINGS AND INVESTMENTS**

Sum of items A through G ..... [Blank Box]

**Part 5 MEMBERSHIP AND BENEFITS**

Please report the figures requested below as of the last month of your fiscal year reported on page 2, or the month nearest to that permitted by your records. If detailed figures are not available for an item, please enter an estimate and mark it with an asterisk (\*).

ITEM	Number of Employees	
<p><b>A. MEMBERS OF YOUR RETIREMENT SYSTEM</b> Exclude beneficiaries.</p> <p><b>1. ACTIVE MEMBERS</b> – Current contributors in contributory systems, or employees in non-contributory plans.</p> <p>    <b>a. EMPLOYED BY YOUR STATE GOVERNMENT</b> (including State institutions and agencies).....</p> <p>    <b>b. EMPLOYED BY LOCAL GOVERNMENTS</b> (including local agencies).....</p> <p>    <b>c. TOTAL ACTIVE MEMBERS</b> – sum of items 1a and 1b</p> <p><b>2. INACTIVE MEMBERS</b> – Former employees and employees on military or other extended leave without pay, but having retained retirement credits but <b>not currently</b> receiving retirement benefit payments.</p>		
<p><b>BENEFICIARIES RECEIVING PERIODIC BENEFIT PAYMENTS DURING MONTH</b> -- please provide estimates if detailed data not available</p> <p><b>1. Former active members of system, retired on account of age or service</b></p> <p><b>2. Former active members of system, retired on account of disability</b></p> <p><b>3. Survivors of deceased former active members</b> In column (a), report number of payees</p> <p><b>C. RECIPIENTS OF LUMP-SUM PAYMENTS DURING MONTH REPORTED</b></p> <p><b>1. Withdrawals and other one-time payments (other than loans) made to present or former members of system</b></p> <p><b>2. Lump-sum (nonrecurrent) payments made to survivors of deceased former active members</b></p>	<p><b>Number of Employees</b></p>	<p><b>Amount paid during month</b> <b>Omit cents</b></p>

**REMARKS**

This form has been approved by the Office of Management and Budget (OMB) and has been given the number 0607-0585. Please note that we have displayed this number in the upper right hand corner of this form. Display of this number confirms that we have approval from OMB to conduct this survey. If this number were not displayed, we could not request your participation in this survey.

Please note that this is a national form that applies to governments with wide differences in the size of their service areas, the amount of the population served, and the extent and complexity of their financial accounts. We estimate public reporting burden for this collection of information to vary from 1.0 to 8.0 hours per response, with an average of 2.0 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: Paperwork Project 0607-0585, U.S. Census Bureau, 4700 Silver Hill Road, Stop 1500, Washington, DC 20233-1500. You may e-mail comments to

Paperwork@census.gov; use "Paperwork **Project 0607-0585**" as the subject.

