# APPENDIX A

# **CLIENT INTERVIEW MATERIALS**

PRR Study Locator	A1
PRR 24 and 36 Month Interview.	
Interview Show Cards	

See burden statement on baseline and follow-up questionairres

DA	TE		INTE	RVIEWER I	ID#	
STU	UDY ID#					
		PRR STUDY	LOCATOR FORM			
inte inte	erview. The informaterview. It will be use	et information that will hation you give us will be ed only to locate you for y act anything except that you	e kept in a separate your follow-up, and i	place from t will not be	your answers given to anyon	on the ne else.
1.	Please tell me your f	ull name:				
		Middle		_ (	(Maiden)	)
	First				(Maiden)	
2.	Date of Birth:	/	3. SS	#:		
4.	Other names or nick	knames:				
5.	Where were you bo	orn?(C				
		(C	City, State)			
6.	How long have you	lived in the Cleveland/Cu	uyahoga County area	?		
7.	Driver's License #:		Stat	e:		
8.	Do you have car? (	If yes) License #:				
9.						
10.	Residence address:	_				
		(Street address)		(A	pt. # or P.O. Box #)	
	-	(City)			(Zip)	
11.	How long have you	lived there?				
		ve anytime soon?				
		e to?				
13.		)				
	Who else lives there					
	Full Name:					
		(First, Middle, Last)			(Relationship	)
	Full Name:	(First, Middle, Last)			(Relationship	<u>))</u>
	,	(1, 1111uuiv, 12ust)			(Itelianonsinp	,

15.	Cell Phone: ()	
16.	Pager: ()	
17.	E-mail address:	
18.	Work phone? ()	(Name of Company)
19.	Other phone? ()	(Whose phone is this?)
20.	Do you have a message number? ()	
21.	Who lives there?	
	Full Name:(First, Middle, Last)	(Relationship)
	Full Name:(First, Middle, Last)	
22.	Address:(Street address) (Apt. # or P	P.O. Box
	(City) (Zip)	
23.	Best mailing address:	
	(Street address)	(Apt. # or P.O. Box
	(City) (Zip) 24. Pho	ne: ()
25.	Who lives there?	
	Full Name:(First, Middle, Last)	(Relationship)
	Full Name:(First, Middle, Last)	(Relationship)
26.	Best Contacts: Do you have friends or relatives who usually move or leave the program?	know how to reach you if you should
(1)	Full Name:	
. /	Full Name: (First, Middle, Last)	
	Address:	
	Phone? ()	Relationship:

	Best Contacts: Do you have friends or relatives who usually know how to reach you if you should move or leave the program?							
(2)	Full Name:	Full Name:(First, Middle, Last)						
	Phone? ()		Relationship:					
(3)	Full Name:	(Einst Middle )	Last)					
	Address:							
	Phone? ()		Relationship:					
27.	Mother:		st, Middle, Last)					
		(Address)		<del>.</del>				
	Phone: ()	DOB: _	In touch? _					
28.	Father:							
		(Full Name: Fir	st, Middle, Last)					
		(Address)						
	Phone: ()	DOB: _	In touch? _					
29.	Relative:	(Full Name: Fir	st. Middle. Last) (Relat	ionship)				
		(Address)	. , , ,	*/				
	Dhone: (		In touch?					
	rnone. ()	DOR: -	In touch? _					

30. Relative:			
		(Full Name: First, Middle, Last)	(Relationship)
		(Address)	
Phone: (		DOB:	In touch?
31. Relative:		(Full Name: First, Middle, Last)	
		(Full Name: First, Middle, Last)	(Relationship)
		(Address)	
Phone: (		DOB:	In touch?
32. <u>Relative</u> :			
		(Full Name: First, Middle, Last)	
		(Address)	
Phone: (	_)	DOB:	In touch?
33. Relative:		(Full Name: First, Middle, Last)	
		(Full Name: First, Middle, Last)	(Relationship)
		(Address)	
Phone: (		DOB:	In touch?
34. <u><b>Relative</b></u> :		(Full Name: First, Middle, Last)	
		(Full Name: First, Middle, Last)	(Relationship)
		(Address)	
Phone: (	_)	DOB:	In touch?
35. Relative:		(Full Name: First, Middle, Last)	
		(Full Name: First, Middle, Last)	(Relationship)
		(Address)	
Phone: (		DOB:	In touch?

36.	Is there a case worker, doctor, community clinic, religious institution or other contact that you see regularly?						
	Name:	-					
	Address:						
	Phone? () Agency:						
37.	Do you receive money or foodstamps regularly from an agency? Yes No						
	(If yes) Agency						
	When is it paid?						
	Where is the check sent?						
	Where do you cash the check?						
	Case worker: File #:						
	Who is your Representative Payee?						
	Address:						
	Phone? () Agency:						
38.	Is there any place you go regularly to hang out or to meet with friends?						
	Place:						
	Address or Intersection:						
	Phone: () Phone: ()						
	Times you might be there:						
39.	Are you on probation, parole, or have an active court case? Yes No						
	(If yes) Agency						
	I.D. Number (Department of Probation/Corrections, Case Number):						
	Probation/Parole Officer Name						
	Phone: ()						

	Release/transfer date://_ Destination:/	
	INTERVIEWER: PLEASE NOTE	
•		HAIR COLOR: EYE COLOR
	ETHNICITY	
	Hispanic (Central American) Hispanic (Cuban) Hispanic (Dominican) Hispanic (Mexican) Hispanic (Puerto Rican) Hispanic (South American) Hispanic (Other)	Black or African American Asian American Indian Native Hawaiian or other Pacific Islander Alaska Native White Other Specify

# Project Rehabilitation and Restitution

# Twenty-Four (24) & Thirty-Six (36) Month FOLLOW-UP INTERVIEW

CLIENT ID #							
INTERVIEW WAVE B.	ASELINE						
INTERVIEWER ID (Circle	e one)		1	2	3	4	5
INTERVIEW MONTH							
INTERVIEW DAY INTERVIEW YEAR							
BEGIN TIME		_:	_ am/p	m			

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#### PART A: LIVING ARRANGEMENTS/RELATIONSHIPS/FAMILY SITUATION

I'd like to start by asking you some questions about your living situation.

#### [Display Show Card # 1]

A1. Where are you currently living?

- 1 = One family house, duplex or condominium
- 2 = Apartment or other multiple family building
- 3 = Mobile home/Trailer
- 4 = Hotel room, rooming house or boarding house
- 5 = Hospital or medical institution
- 6 = Jail, prison or other controlled environment
- 7 = Residential treatment program
- 8 = Group residence or halfway house
- 9 = Homeless shelter
- 10 = Street, abandoned building, or no regular place
- 11 = Other (specify)
- 12 = Satellite house

A2. Would you move if you could? 0 = No 1 = Yes 2 = Not sure

#### [Display Show Card #1]

A3. Where were you living *most of the time* during the past 12 months?

- 1 = One family house, duplex or condominium
- 2 = Apartment or other multiple family building
- 3 = Mobile home/Trailer
- 4 = Hotel room, rooming house or boarding house
- 5 = Hospital or medical institution
- 6 = Jail, prison or other controlled environment
- 7 = Residential treatment program
- 8 = Group residence or halfway house
- 9 = Homeless shelter
- 10 = Street, abandoned building, or no regular place
- 11 = Other (specify)
- 12 = Satellite house

A4. In how many *different places* have you lived during the past 12 months? **PLACE = "01"**]

SAME

A5. What places in your neighborhood are important to you now?

# [Display Show Card #2] CIRCLE YES IF ITEM IS MENTIONED, NO IF IT IS NOT. THEN FOR EACH ITEM MENTIONED IN COLUMN (1), ASK:

Is this [PLACE] associated with drugs or violence? [CODE COLUMN (2) TO REFLECT:]

0= no associations with illegal activities

1= drug associated

2= violence associated

3= drug AND violence associated

		<u>1</u>		<u>2</u>	
A5A1.	No Important Place	Yes No	A5A2. 0	1 2	3
A5B1.	Freeway	Yes No	A5B2. 0	1 2	3
A5C1.	Church	Yes No	A5C2. 0	1 2	3
A5D1.	Abandoned house/building	Yes No	A5D2. 0	1 2	3
A5E1.	Trolley/Bus	Yes No	A5E2. 0	1 2	3
A5F1.	Deli/corner store	Yes No	A5F2. 0	1 2	3
A5G1.	Discount department store	Yes No	A5G2. 0	1 2	3
A5H1.	Shopping mall or market	Yes No	A5H2. 0	1 2	3
A5I1.	Street/corner/parking lot	Yes No	A5I2. 0	1 2	3
A5J1.	School	Yes No	A5J2. 0	1 2	3
A5K1.	Liquor Store	Yes No	A5K2. 0	1 2	3
A5L1.	Projects	Yes No	A5L2. 0	1 2	3
A5M1.	Recreation center/park/gym	Yes No	A5M2. 0	1 2	3
A5N1.	Police station	Yes No	A5N2. 0	1 2	3
A5O1.	Restaurant or bar	Yes No	A5O2. 0	1 2	3
A5P1.	Dope house/crack house	Yes No	A5P2. 0	1 2	3
A5Q1.	Other commercial area	Yes No	A5Q2. 0	1 2	3
A5R1.	Other transit area	Yes No	A5R2. 0	1 2	3
A5S1.	Other community area	Yes No	A5S2. 0	1 2	3
A5T1.	Other place (specify)	Yes No	A5T2. 0	1 2	3

# [Display Show Card #3 AND ASK]

A6-7.	With whom did you live the most	during (T	IME PERIOD):	
	<ul> <li>01 Both Parents</li> <li>02 Father Mainly</li> <li>03 Mother Mainly</li> <li>04 Spouse (and children)</li> <li>05 Children Only</li> <li>06 Parent &amp; Children</li> <li>07 Other Relative</li> </ul>	<ul> <li>09 Men</li> <li>10 Othe</li> <li>11 Fello</li> <li>12 Othe</li> <li>13 Othe</li> <li>14 Alor</li> </ul>	nber of the Opponber of the Same or Friends ow jail/prison inter Institution-Hoser Treatment Propher (specify)	e Sex (mate) mates spital residents
	A6. The past 12 months?			
	A7. The past 3 months?		····· <u> </u>	
A8-9.	Were you living with someone in a (TIME PERIOD):	a sexual r	elationship – a sp	oouse or significant other – during
	0 = No 1 = Yes, with (legal 5 = Incarcerated; with no "significan			h significant other rated; has "significant other"
	A8. The past 12 months?			
	[IF "NO" SKIP TO A18] [IF "YES" Ask]			
	A9. The past 3 months?			
	How long have (had) you been living to ORD VERBATIM AND CODE IN			p (excluding prison time)?
A11.	How many different people did you li the past 12 months?	ve with in	n a sexual relatio	nship during
A12-1	3. During (TIME PERIOD), did your spo	use/partne	er get drunk 2 or	more times per month?
	A12. The past 12 months?		(0) No	(1) Yes
	A13. The past 3 months?	(0) N	o (1) Yes	
A14-1	5. During (TIME PERIOD), did your spo	use/partne	er use drugs?	
	A14. The past 12 months?		(0) No	(1) Yes
	A15. The past 3 months?	(0) No	(1) Yes	

#### [IF "YES," TO A14, ASK A16] [IF "YES," TO A15, ASK A17]

A16-17. Did	you and your	partner use dr	ugs together	during (TII	ME PERIOD)?

A16. The <u>past 12 months</u>? (0) No (1) Yes

A17. The past 3 months? (0) No (1) Yes

#### [Display Show Card 4]

A18-22. During the past 12 months, how often were you in contact with (PERSON)?

0 = Not at all 1 = Once or twice 2 = A few times 3 = About once a month

4 = About once a week 5 = Almost daily 6 = Daily -9 = N/A-Has no parents/siblings/etc.

A18. Parents (or parent figures)?

A19. Brothers/sisters? (\_\_\_\_)

A20. Significant other (spouse or girlfriend)?

A21. Friends? ( )

A22. Other significant person (Specify) \_\_\_\_\_ (\_\_\_\_)

A23. How many children do you have? (\_\_/\_\_)

# [IF NONE, CODE "00" AND SKIP TO A32]

A24 – A31a. Starting with the youngest, how old are your children?

A24 – A31b. Does this [child] live with you?

# [Display Show Card 4]

A24 – A31c. During the past 12 months, how often have you been in contact with [child]?

0 = Not at all 1 = Once or twice 2 = A few times 3 = About once a month

4 = About once a week 5 = Almost daily 6 = Daily

Ages (Youngest at top)	Does Child live with Respondent			Frequency Contact
A24a	A24b	(0) No	(1) Yes	A24c
A25a	A25b	(0) No	(1) Yes	A25c
A26a	A26b	(0) No	(1) Yes	A26c
A27a	A27b	(0) No	(1) Yes	A27c
A28a	A28b	(0) No	(1) Yes	A28c
A29a	A29b	(0) No	(1) Yes	A29c
A30a	A30b	(0) No	(1) Yes	A30c
A31a	A31b	(0) No	(1) Yes	A31c

Now we are going to discuss the help and encouragement you may have received from your <b>FA</b>	MILY OR
FRIENDS with your treatment and recovery effort	

[Display	<b>Show</b>	Card	5
----------	-------------	------	---

A32-38. In the past 12 months, how much encouragement have you received from your:

0= None	1= Low	2= Moderate	3= High	6= Too young to be aware
A32. A33. A34.	Spouse/sexual p Siblings (brothe Children (18 or	partner? er or sister)? older)?	() ()	
	Children (18 or Parents (mother			
A37.	Other close rela	/		
A38.	Friends?		()	
[Display Sho	ow Card 6]			

A39. In the past 12 months, when you were on the streets (i.e., out of prison), how often did you get money, food, shelter or other help from your family or relatives?

```
0 = \text{Never} 1 = \text{Rarely} 2 = \text{Sometimes} 3 = \text{Often} 4 = \text{Almost always} 5 = \text{Always} (______
```

A40-41. Were you unhappy or dissatisfied with your situation at home (where you were living) in (TIME PERIOD)?

A40. The <u>past 12 months?</u> (0) No (1) Yes
A41. The past 3 months? (0) No (1) Yes

A42. Have you had serious problems getting along with the people you have lived with in the <u>past 12 months</u>? This includes physical fights, angry outbursts, threatening language or gestures. **[CODE "N/A" IF LIVES ALONE AND SKIP TO A52]** 

(0) No (1) Yes

# [IF "YES," ASK]

A43. With whom (circle all that apply)? [Display Show Card 7]

01 Both Parents
02 Father Mainly
03 Mother Mainly
04 Spouse (and children)
05 Children Only
06 Parent & Children
07 Other Relative
08 Member of the Opposite Sex (mate)
09 Member of the Same Sex (mate)
10 Other Friends
11 Fellow jail/prison inmates
12 Other Institution-Hospital residents
13 Other Treatment Program residents
14 Alone
15 Other (specify)

HE UVEC U ACIZI	(0) No	(1) Yes		
[IF "YES," ASK] A45. With whom? (circle all	that apply)	[Display Show	Card 7]	
<ul> <li>01 Both Parents</li> <li>02 Father Mainly</li> <li>03 Mother Mainly</li> <li>04 Spouse (and children)</li> <li>05 Children Only</li> <li>06 Parent &amp; Children</li> <li>07 Other Relative</li> </ul>	09 Mem 10 Othe 11 Fello 12 Othe 13 Othe 14 Alon	w jail/prison inmat r Institution-Hospit r Treatment Progra	x (mate) es al residents	
A46 – A51. [Display Show Card 8] Thinking about the people you use this card and tell me how lengths of time, use the most	ou've lived with for often you: [If re	spondent lived in (		· equal
0 = Never $1 = $ Rarely $2 = $ Se	ometimes $3 = Off$	ten $4 = Almost a$	lways $5 = \text{Always}$	
A46. Got along together?			()	
A47. Really enjoyed being	together?		()	
A48. Got drunk together?			()	
A49. Used other drugs toge	ether?		()	
A50. Had serious talks abo	ut each other's int	terests and needs?	()	
A51. Helped each other wi	th problems?		()	
A52 – A57. [Display Show Card 9] with your [PERSON] during this time		e <u>past month</u> . How	would you rate your rela	tionship
1 = Couldn't be worse $2 = Pretty$	bad $3 = Okay$	4 = Pretty good	5 = Couldn't be better	
A52. Mother/mother figure		()		
A53. Father/father figure		()		
A54. Brother(s)		()		
A55. Sister(s)		()		
A56. Significant other (spous	se/partner)	()		

A44. Have you had serious problems getting along with the people you have lived with in the <u>past 3</u> months? This includes physical fights, angry outbursts, threatening language or gestures.

PART B: DRUG AND A	ALCOHOL USE		
This next section is on d	rug and alcohol use.		
Think back to the past mo	onth.		
	ry drug during the past mont but Do Not Read Responses		
A) Alcohol	G) Heroin	M) Other Amphetamines	S) Ketamine/Special K/Vitamin K
B) Inhalants	H) Heroin and Cocaine together	N) Minor Tranquilizers	T) Rohypnol (Roofies)
C) Marijuana and hashish	I) Prescribed Methadone	O) Barbiturates	U) GHB/Grievous Bodily Harm/Liquid Ecstasy
D) Hallucinogens	J) Street Methadone	P) Other sedatives/ hypnotics	V) More than one substance at a time
E) Crack/freebase	K) Other Opiates	Q) PCP	W) Other (specify)
F) Cocaine	L) Methamphetamines or speed	R) Ecstasy/MDMA	
Now I'd like to talk abou	ıt your drug or alcohol use	e in the past 12 months.	
	months, has your drug or all Yes	cohol use decreased or stop	ped?
	F "YES," ASK] you have cut down or stopp		
Reason #2			
Reason #3			

A57. Children

#### [Display Show Card 10]

B6 (A – W). Look at this card and tell me which of the substances listed you have used during the past 12 months

PLACE A CHECK MARK NEXT TO EACH SUBSTANCE MENTIONED ON <u>DRUG USAGE CHART ON NEXT PAGE</u>; BOLDED SUBSTANCE ARE CONSIDERED INJECTABLE, FOR EACH OF THESE MENTIONED, PLACE A CHECK MARK NEXT TO SUBSTANCE ON PAGE 10 (B7)

#### IF NO DRUG OR ALCOHOL USAGE DURING THE PAST YEAR, ASK:

B6A. You've indicated that you haven't used <u>any</u> alcohol or drugs during the <u>past 12 months</u>. Is this correct?

(0) No (1) Yes

[IF "YES," SKIP TO C1]

#### [FOR EACH SUBSTANCE CHECKED, Display Show CARD 11 and ASK]

B6 (A - W). Now, how often have you used (substance) during (TIME PERIOD)

- 1. The past 12 months?
- 2. The past 3 months?

# [RECORD IN DRUG USAGE CHART AS FOLLOWS:]

- 00 = Never
- 01 =Several times a year (less than once a month)
- 02 = About once a month
- 03 = About once every two weeks
- 04 = About once a week
- 05 =Several times a week
- 06 = Every day
- 07 = More than once every day

# **USE SCALE ON P. 8**

Code Type of No. Drug	How Often Year prior	How Often 3 months prior
A Alcohol—any use1	/_	_2/
B Alcohol—to intoxication1	/	_2/
C Inhalants (glue, gas, paint, toluene, liquid paper) 1	/	_2/
D Marijuana/Hashish1	/	_2/
E Hallucinogens (LSD, DMT, Peyote)1	/	_2/
F Crack/Freebase1	/	_2/
G Cocaine (by itself)1	/	_2/
H Heroin (by itself)1	/_	_2/
l Heroin and Cocaine (used together)1	/	_2/
J Prescribed Methadone1	/	_2/
K Street Methadone (non-prescription)1	/	_2/
L Other Opiates (Codeine, Morphine, Demerol) 1	/	_2/
M Methamphetamine/Speed/Ice/Crank1	/	_2/
N Other Amphetamines (Uppers/Diet Pills) 1	/	_2/
O Minor tranquilizers (Librium, Valium, etc.)1	/	_2/
P Barbiturates (downs)1	/	_2/
Q Other Sedatives/Hypnotics (Quaaludes, etc.) 1	/	_2/
R PCP1	/	_2/
S Ecstasy (MDMA)1	/	_ 2/
T Ketamine/Special K/Vitamin K1	/	_ 2/
U Rohypnol (Roofies)1	/	_ 2/
V GHB/Grievous Bodily Harm/Liquid Ecstasy1	/	2/
W More than one drug at a time (excluding alcohol)1	/	_2/
X Other (Specify drug)1	/	_2/

# For each drug the respondent reported using in the past 12 months, ASK:

B7. Did you inject [drug] in the past 12 months?

# [IF "NO" SKIP TO NEXT APPLICABLE DRUG]

Now, how often have you injected [drug] during (TIME PERIOD)

- 1. The past 12 months? [IF "NO" SKIP TO NEXT DRUG]
- 2. The past 3 months?

	Inject Y	Year prior		How Often Year Prior		ow Often onths prior
A B C D E F G H	(0) No (0) No (0) No (0) No	(1) Yes (1) Yes (1) Yes	Cocaine (by itself)	· / / / / / / / / / / / / / / /	2	
B8.	What v	would you	consider to have been your:			
	a.	Primary	("preferred") drug during the <u>past 12 months</u> ?			
	b.	Seconda	ary drug during the past 12 months?			
B9.	What v	would you	consider to have been your:			
	a.	Primary	("preferred") drug during the past 3 months?		_	
	b.	Seconda	ary drug during the past 3 months?		_	

# [CODE B8 & B9 FROM LIST BELOW; IF NO SECONDARY DRUG, CODE "N/A"]

A) Alcohol	G) Heroin	M) Other Amphetamines	S) Ketamine/Special K/Vitamin K
B) Inhalants	H) Heroin and Cocaine together	N) Minor Tranquilizers	T) Rohypnol (Roofies)
C) Marijuana and hashish	I) Prescribed Methadone	O) Barbiturates	U) GHB/Grievous Bodily Harm/Liquid Ecstasy
D) Hallucinogens	J) Street Methadone	P) Other sedatives/ hypnotics	V) More than one substance at a time
E) Crack/freebase	K) Other Opiates	Q) PCP	W) Other (specify)
F) Cocaine	L) Methamphetamines or speed	R) Ecstasy/MDMA	

B10. I	During [	TIME PERIOD], now aid you <u>usually</u> get yo	ur drugs? [READ RESPONSE OPTIONS]
	a.	The past 12 months?	(/)
	b.	The past 3 months?	(/)
	02 = Y $03 = Y$	ou stole them ou were a runner, look-out, etc. ou traded sex for drugs our friends gave them to you	05 = You bought them 06 = You dealt drugs to support your habit 07 = You received them free due to your gang rank 08 = Other (specify)
[Disp]	lay Shov	v Card 12 FOR B11-25]	
B11-1	4. How	often, in the past 12 months, you have use	d drugs/alcohol because:
	0= Neve	er 1= Rarely 2= Sometimes 3= Often	4= Almost Always 5= Always
	B11.	You felt bored?	()
	B12.	You felt lonely?	()
	B13.	You felt sad?	()
	B14.	You felt angry?	()
B15-2	20. How	often, in the past 12 months, did you use of	drugs or alcohol to help you:
	B15.	Increase energy or alertness?	()
	B16.	Find excitement?	()
	B17.	To feel comfortable around people?	()
	B18.	Have fun and party with friends?	()
	B19.	Forget or escape problems?	()
	B20.	Relax from pressures or stress?	()
B21-2	25. How	often, in the past 12 months, was your dru	g or alcohol use <u>caused</u> by:
	B21.	Just being in certain places or situations you want them?	
	B22.	Pressures from others to use them?	()
	B23.	Having problems you can't solve?	()
	B24.	Drugs/alcohol being so easy to get?	()
	B25.	Your need to feel high?	()
B26.	Do you	want to stop using? 0= No 1= Yes	2= Not sure()

#### PART C: CRIMINAL BEHAVIOR IN THE LAST 12 MONTHS

The next questions are about your involvement in illegal activities during the past 12 months, including things for which you may or may not have been caught. Remember that it's important for you to respond honestly, and that what you tell me is private and confidential.

C1.		much time did you spend in jail/prison o  ORD VERBATIM	during the past 12 months.	? [Code in days]
C2.	How	many <u>different</u> times have you been in j	ail or prison during (TIME	PERIOD)?
	a.	The past 12 months?		(/)
[IF "]	NO TIN	ME IN JAIL OR PRISON IN PAST 1	2 MONTHS, SKIP TO (	C3]
	b.	The past 3 months?		(/)
C3-21	/	ere you involved in (ILLEGAL ACTIVI <b>D, ENTER "0" UNDER <u>COLUMN A</u></b>	, ,	<del></del>
	I	[IF "YES," ASK THE FOLLOWING	G AND RECORD RESPO	ONSES ON CHART]
		b) How many times were you <u>involved</u>	in this activity during the	past 12 months?
	(	c) How many times were you arrested for	or this activity during the p	past 12 months?
		d) Were you involved in (ILLEGAL AC IF NO, ENTER "0" UNDER <u>COLUN</u>	, , ,	

#### IF "YES," ASK:

e) How many times were you <u>involved in</u> this activity during the <u>past 3 months</u>?

#### IF "0" DURING PAST 3 MONTHS, SKIP TO NEXT ACTIVITY

f) How many times were you <u>arrested</u> for this activity during the <u>past 3 months</u>?

[IF NO ILLEGAL ACTIVITIES IN CHART, SKIP TO C24]

# C. ARREST/ILLEGAL ACTIVITY CHART

C. ARRESI/ILLEGAL ACTIVITY CHART			Past 12 months			Most recent 3 months		
				Criminal Activity		Arrests		
3.	Drinking alcohol (DWI, DUI, public consumption or intoxication)?	a	b	c	d	e	f	
4.	<u>Use/possession of illegal drugs</u> (or paraphernalia)?	a	b	c	d	e	f	
5.	Sale, distribution, or manufacturing of any drugs (not counting use or possession)?	a	b	c	d	e	f	
6.	Forgery or fraud (writing bad checks, running con games)	a	b	c	d	e	f	
7.	Forgery of drug prescriptions?	a	b	c	d	e	f	
8.	Fencing (buying/receiving stolen property)?	a	b	c	d	e	f	
9.	Illegal gambling, running numbers, or bookmaking?	a	b	c	d	e	f	
10.	Prostitution or pimping?	a	b	c	d	e	f	
11.	Burglary or auto theft?	a	b	c	d	e	f	
12.	Other theft (larceny, shoplifting)?	a	b	c	d	e	f	
13.	Robbery (armed robbery, mugging)?	a	b	c	d	e	f	
14.	Rape?	a	b	c	d	e	f	
15.	Murder?	a	b	c	d	e	f	
16.	Violence against other persons (aggravated assault, kidnapping, threatening with a weapon, arson, etc.)?	a	b	c	d	e	f	
17.	Weapons offenses?	a	b	c	d	e	f	
18.	<u>Vandalism</u> ?	a	b	c	d	e	f	
19.	Vagrancy, loitering?	a	b	c	d	e	f	
20.	<u>Trafficking people across the border?</u>	a	b	c	d	e	f	
21.	Other Illegal Activity? (Specify)	a	b	c	d	e	f	

C22.	Which statement	best describes why	you engaged i	n illegal a	activity during	the past year?
------	-----------------	--------------------	---------------	-------------	-----------------	----------------

#### I engaged in illegal activity

- 1 = For drugs or for money to get drugs
- 2 =For the excitement
- 3 =To get money for food or shelter
- 4 = For nice clothes, a stereo, cars or other things
- 5 = Because I wanted to be accepted by my friends
- 6 = Other (specify) \_\_\_\_\_

#### [SHOW CARD 13 AND SAY]

C23. How much of your income or source of support usually came from some kind of illegal activity during [TIME PERIOD]

- a. The past 12 months?
- b. The past 3 months?
- C24. Did you have any parole violations during the last 12 months? (0) No (1) Yes **[IF NO, SKIP TO PART D.]**
- C25. How many?
- C26. How many days did you serve on the violation(s)? [TOTAL]

0= No time served	4= 91-120
1 = 01 - 30	5=121-180
2= 31-60	6=181-270
3=61-90	7=271-365

# PART D: EDUCATION AND TRAINING

TOTAL (ENTER ABOVE)

Specify Degrees:

months?

D3.

	I'd like to ask yo ince paroling.	ou some questions about any education	nal or training expe	riences you may have		
D1.	D1. During the past 12 months, were you enrolled in a school or vocational training program?					
	(0) No	(1) Yes				
[IF "I	NO," CODE "0'	' AND SKIP TO PART E]				
D2.	•	eks were you actually enrolled in training  o. X 4= weeks]	g/classes during the p	ast 12 months?		
		NE TRAINING CLASS USE WORKS KS ENROLLED IN TRAINING PRO		O DETERMINE TOTAL		
TRAI	INING CLASS V	WORKSHEET				
NAM	E OF CLASS O	R PROGRAM	NO. OF WEEKS			

Did you complete any training or receive any certificates, degrees, diplomas, etc. during the past 12

(1) Yes

(0) No

#### PART E: EMPLOYMENT/FINANCIAL SUPPORT

a. The past 12 months?

b. The <u>past 3 months</u>?

support during the past year.	oout any employment you've had	and other means of
E1. Did you hold a job during:		
a. The past 12 months?	(0) No (1) Yes	
[IF "NO," SKIP E2 and ASK l	22a & E2B]	
b. The past 3 months?	(0) No (1) Yes	
[IF "NO" <u>ASK E2b</u> AND THE WORK INFORMATION DUI	N CONTINUE but SKIP ALL "B ING THE past 3 months]	B" QUESTIONS REQUESTING
E2. What was the reason you were not	working during the [TIME PERIOI	D]?
a. The past 12 months?	/	
b. The past 3 months?	/	
1 = Not in labor force - housever 2 = Not in labor force - student 3 = Not in labor force - disable 4 = Not in labor force - retired 5 = Not in labor force - institut 6 = Not in labor force - (other, 7 = Looked, but could not find 8 = Did not look for job 9 = Involved in drug related act 10 = Involved in other illegal act	d ionalized: jail, hospital, etc. specify) a job civities (selling, etc.)	
[IF CLIENT HAS NOT WOR	KED IN THE LAST 12 MONTHS	S, SKIP TO E14]
E3.How many different jobs did you h	ave during [TIME PERIOD]	
	ave during [TIME PERIOD]	

E5. Which best describes this job/these jo	bs during [TIME PERI	OD]?
a. The past 12 months?		/
b. The <u>past 3 months</u> ?		/
1 = Odd jobs (occasional or irrego 2 = Part-time (under 35 hours per 3 = Full-time (35 hours or more p	week)	
E6. [Display Show Card #14] What kind	d of job did you have	during [TIME PERIOD]?
a. The past 12 months?	/	
b. The <u>past 3 months</u> ?	/	
E7. What was your weekly take home pa	y (\$) during [TIME PE	RIOD]?
a. The <u>past 12 months</u> ?	/	_
b. The <u>past 3 months</u> ?	/	
E8. During [TIME PERIOD], about how ma	any <u>days</u> did you lose	from work because of drug or alcohol use?
a. The <u>past 12 months</u> ?		<u>/</u>
b. The past 3 months?	/	
E9. How many days were you employed	during [TIME PERIOD]	]?
a. The past 12 months?	/	
b. The past 3 months?	/	
E10. Did you quit a job during the past	12 months?	(0) No(1) Yes
[IF "NO" SKIP TO E12; IF "YES" AS E11 Why did you quit? [RECORD VERBATIM]	•	
E12 WI 4: 41 1	1 10 4	1
E12. What is the longest time you've we [Record response verbatim – cod		proyer in the past 12 months?

We want to know whether you've received any how much you've received.	income during the past y	ear, from what source, and
E14-22. Did you receive any income from [READ]	EACH CATEGORY] during the	he [TIME PERIOD]?
a) The past 12 months?		
[IF "NO" SKIP TO NEXT ITEM]		
[IF "YES" ASK] b) The past 3 months?		
c) How much income did you recei	ive from [ITEM] during the	e past 12 months?
<u>Sc</u>	ources of Financial Suppo	<u>rt</u> <u>Amount</u>
	Past Most recent year 3 months	In the last 12 months
	<b>CODE:</b> (0) No (1) Yes	
E14. Your mate/spouse	a. () b. ()	c. (//)
E15. Your family (e.g. parents, parent figures)	a. () b. ()	c. (//)
E16. Your friends	a. () b.()	c. (//)
E17. TANF or public assistance (food stamps, housing assistance, AFDC, Medicaid)	a. () b. ()	c. (//)
E18. Prostitution or pimping	a. () b. ()	c. (///)
E19. Drug-related activities	a. () b. ()	c. (///)
E20. Illegal activities (other than prostitution/drugs)	a. () b. ()	c. (//)
E21. Any other (Specify)	a. () b. ()	c. (///)
E22. Did you have any financial or money troub or drug use in the <u>past 12 months</u> ?	oles because of your alcoho	1
$0 = \text{No } 1 = \text{YES}$ , very Minor $2 = \text{Minor } 3 = \frac{1}{2}$	Serious 4 = Very serious	-9 = Didn't Use

E13. During the past 12 months, did you receive any help or encouragement from your co-workers or employer

(7) Co-workers/employer didn't know drug use history

to remain drug or alcohol-free?

(1) Yes

(0) No

# **PART F: FRIENDSHIPS**

This next series of questions concerns your friends and acquaintances.

Look at this card and use it to tell me what the majority of the people you were hanging out with in the community during the <u>past year</u> were usually like.

	$0 = \text{Never } 1 = \text{Rarely}  2 = \text{About } 1/4 \text{ of the time} \qquad 3 = \text{About half the time}$ $4 = \text{About } 3/4 \text{ of the time} \qquad 5 = \text{Almost always} \qquad 6 = \text{Always} \qquad -9 = \text{N/A (No friends)}$
Pleas	e tell me, in the past year, how often did the people you were hanging out with:
F1.	Work a regular job?
F2.	Get high from too much alcohol?
F3.	Use street drugs?
F4.	Trade, sell or deal drugs?()
F5.	Hang out with a gang?
F6.	Do other things which are against the law?
[Disp	olay Show CARD #16 for F7 thru F10)
	1 = None of them 2 = One/a few 3 = About $\frac{1}{2}$ 4 = Most 5 = All of them -9 = N/A (No friends)
F7.	How many of them have <u>ever</u> gone to jail or prison?()
F8.	[IF "NONE OF THEM" SKIP TO F9] How many of them have spent time in jail or prison in the <u>past year</u> ?()
F9.	How many of them have <u>ever</u> gone for treatment for drugs or alcohol abuse?()
F10.	[IF "NONE OF THEM" SKIP TO NEXT SECTION] How many of them have gone for drug/alcohol treatment in the past year?.()

# PART G: LEISURE TIME ACTIVITIES

Now I'm going to ask you about your leisure time activities. Please use Show Card #17 and tell me how much time of your free time you spent on each activity in the <u>past 12 months</u>.

0 = None of my free time 1 = Very little of my free time 2 = About 25% of my free time 3 = About 50% of my free time 4 = About 75% of my free time 5 = Almost all of my free time 6 = All of my free time
G1.Watching TV (or going to movies)?()
G2.Playing games for fun (cards, dominoes, pool)?() [DO NOT INCLUDE GAMBLING]
G3.Doing physical exercise?()
G4.Doing hobbies?
G5.Reading/writing, drawing, listening to or playing music?()
G6.Religious activities (private or social)()
G7.Family time()
G8.Other Activity (specify)()

#### PART H: PSYCHOLOGICAL STATUS

#### Now I'd like to know how you were feeling emotionally in the past 12 months.

- H1-12. During (TIME PERIOD), did you have a significant period *THAT WAS NOT DRUG OR ALCOHOL-RELATED* when you:
  - a. The past 12 months?

# [IF "NO" SKIP TO NEXT ITEM]

b. The <u>past 3 months</u>?

		Past <u>Year</u>	Most recent 3 months?
		CODES:	(0) No (1) Yes
1.	Got into trouble because of your friends?	a. ()	b. ()
2.	Felt like no one really cared about you?	a. ()	b. ()
3.	Had serious problems with girlfriend/boyfriend?	a. ()	b. ()
4.	Had trouble making or keeping friends?	a. ()	b. ()
5.	Were taking prescribed medication for any psychological or emotional problems?	a. ()	b. ()
6.	Experienced serious thoughts of suicide?	a. ()	b. ()
7.	Attempted suicide?	a. ()	b. ()
8.	Had trouble controlling violent behavior?	a. ()	b. ()
9.	Had trouble understanding, concentrating, or remembering	ng?a. ()	b. ()
10.	Experienced hallucinations?	a. ()	b. ()
11.	Experienced serious anxiety or tension?	a. ()	b. ()
12.	Experienced serious depression?	a. ()	b. ()

# [Display Show Card # 18]

- H13. Overall, how troubled or upset have you been during the <u>past 30 days</u> by any emotional or psychological problems?
  - 0 = Not at all 1 = Slightly 2 = Moderately 3 = Considerably 4 = Extremely

H14.		many times during the <u>past 12 months</u> have you been treated for any ological or emotional problems in a hospital, or as a private patient?	(/)
	[IF "I	NONE," CODE "00" THEN SKIP TO H19]	
	H15.	What kind of treatment did you receive – inpatient, outpatient, or both?	()
		<ul> <li>1 = Inpatient</li> <li>2 = Outpatient</li> <li>3 = Both inpatient and outpatient</li> </ul>	
	[IF "(	NPATIENT" ASK H16] DUTPATIENT" ASK H17] NPATIENT" & "OUTPATIENT" ASK H16 & H17]	
H16-1	7. How	many days during the <u>past 12 months</u> did you receive [treatment]?	
	H16. l	Inpatient treatment?	
	H17. (	Outpatient treatment?	
H18.	What t	ype of professional did you see? (READ CHOICES ALOUD – CIRCLE ALL	THAT APPLY
		1= Counselor 2= Case worker/social worker 3= Psychologist 4= Psychiatrist 6= Medical doctor 5= Other (Specify)	
H19.	-	ou taking, on a regular basis, any medication which has been prescribed u by a doctor for psychological or emotional problems?	
	(0) No	(1) Yes	
	[IF "I	NO" SKIP TO H20; IF "YES" ASK]	
	a. Wh	at medication are you taking for a psychological or emotional problems?	
H20.	Are you	taking any other medication for a psychological or emotional problem?	
[IF "I	NO" SK	XIP TO NEXT SECTION; IF "YES" ASK:]	
	a. Wł	nat other medication are you taking for a psychological or emotional problem?	
H21.	Are you	taking any other medication for a psychological or emotional problem?	
[IF "Y	YES" A	SK:]	
=		That other medication are you taking for a psychological or emotional problem?	

# **PART I: ABUSE**

The questions in this section deal with abuse. Most people who have experienced abuse find it difficult to discuss, due to the unpleasant and often upsetting memories and feelings which are "stirred up." Please do your best to answer these questions honestly. Do you have any questions before we begin?

During	g the <u>pa</u>	st 12 months:				
I1.	Have y	you been touched or handled	xe?(0	) No (1) Yes		
I2.		e you been forced or pressured into having when you did not want to?(0) No (1) Yes				
I3.		you seriously considered calling the police or other authorities use of the way members of your household were acting?(0) No (1) Yes				
		H CATEGORY, BELOW. TONS A AND B]	IF "NO," SKIP TO NEX	KT ITEM. IF "YES	,"	
I4-9.	Durin	g the past 12 months, were ye	ou [ABUSE]:			
	A.	How many times did this ha	ppen in the past 12 month	<u>s</u> ?		
0 = Ne	ever	1 = Once $2 = Several time$	nes $3 = More than 5 t$	imes $-7 = Re$	efused to answer	
	B.	By whom was this done <b>usually</b> in the <u>past 12 months</u> ? [CODE FROM LIST]				
	1 = Mother (step mother) 5 = Sister 2 = Father (step father) 6 = Spouse/sexual partner 3 = Mother and Father 7 = Other (Specify)					
			Abuse?	A. How Often	B. By Whom	
	I4.	Beaten or burned?	(0) No (1) Yes	()	()	
	I5.	Molested/fondled?	(0) No (1) Yes	()	()	
	I6.	Raped/sodomized?	(0) No (1) Yes	()	()	
	I7.	Locked in a room or tied up?	(0) No (1) Yes	()	()	
	I8.	Intentionally deprived of food?	(0) No (1) Yes	()		
	I9.	Other?	(0) No (1) Yes	()	()	
		(Specify)				

# PART J: HEALTH STATUS

This next section deals with your physical health during the last 12 months.

[Display]	[Display Show Card #19]  J1. How would you describe your health during the past 12 months?								
	1 = Excellent	2 = Good	3 = Fair	4 = Poor					
J2.	How many times in the <u>past 12 months</u> were you admitted to a hospital for medical problems which were <u>not</u> alcohol or drug related?								
	[IF ANY, ASK] J3. How many days (total) did you stay in the hospital in the past 12 months?								
J4.	How many times in the <u>past 12 months</u> did you visit a hospital emergency room and receive services for reasons <u>not</u> related to drug or alcohol use?								
J5.	(Other than when you were in the hospital) how many times in the <u>past 12 months</u> have you gone to the doctor for health problems that had nothing to do with drug or alcohol use?								
J6.	Are you currently taking any <u>prescribed medication</u> for a health or medical problem?								
	(0) No	(1) Yes							
	[IF "NO" SKIP TO J9; IF "YES," ASK]  a. What prescription medication are you currently taking?								
J7.	Are you currently taking any other <u>prescription</u> medication?								
	(0) No	(1) Yes							
	[IF "NO" SKIP TO J9; IF "YES," ASK]  a. What other <u>prescription</u> medication are you currently taking?								
J8.	Are you currently taking any other <u>prescription</u> medication?								
	(0) No	(1) Yes							
	[IF "NO" SKIP TO J9; IF "YES," ASK] a. What other <u>prescription</u> medication are you currently taking?								

J9.	Are you taking any non-prescription medications regularly?						
	(0) No	(1) Yes					
	[IF "NO" SKIP TO J12; IF "YES," ASK] a. What non-prescription medication are you currently taking?						
J10.	Are you taking any other <u>non-prescription</u> medications regularly?						
	(0) No	(1) Yes					
	[IF "NO" SKIP TO J12; IF "YES," ASK] a. What other non-prescription medication are you currently taking?						
J11.	Are you taking any other <u>non-prescription</u> medications regularly?						
	(0) No	(1) Yes					
	[IF "NO" SKIP TO J12; IF "YES," ASK] a. What other non-prescription medication are you currently taking?						
[ASK A J12.		chronic medical problems t	hat continue to interfere	e with your life?			
	(0) No	(1) Yes					
J13.	To what extent are any physical health problems you have a result of your drug and alcohol use? [READ RESPONSE OPTIONS]						
	0 = Not at all	1 = A little	2 = Some	3 = A lot			

#### Now I'd like to know about any alcohol or drug-related treatment experiences you had in the past year.

J14. In the past 12 months, how many times were you treated for drinking problems or alcohol-related incidents?

J15. In the <u>past 12 months</u>, how many times were you treated for drug or other substance abuse- related problems/incidents?

#### [IF J14 AND J15 = "00" SKIP TO J32; OTHERWISE ASK]

J16 – J28. In the past 12 months, were you treated in a [TX TYPE]?

#### [READ EACH OPTION ALOUD and ENTER "0" IF NOT TREATED OR "1" IF TREATED]

#### [FOR EACH CHECKED, ASK]

- a) How many different times did you receive treatment at (TX TYPE) in the past 12 months?
- b) Was it *your* idea to go to (TX TYPE) or were you pressured, forced, or taken?
  - (1) Voluntary
- (2) Involuntary
- c) Altogether, how many <u>days</u> have you been treated in (TX TYPE) in the <u>past 12 months</u>?

#### DRUG/ALCOHOL TREATMENT CHART

DRU	JG/ALCOHOL TREATMENT CHAR	No (0)	Times	Voluntary (1)/	Total # of
T1.6		<u>Yes (1)</u>	treated	Involuntary (2)	days treated
J16.	Therapeutic Community or Residential Treatment Center?		a. (/)	b. ()	c. (/)
J17.	Emergency room?		a. (/)	b. ()	c. (/)
J18.	Private physician?		a. (/)	b. ()	c. (/)
J19.	Hospital/Institution detox?		a. (/)	b. ()	c. (/)
J20.	Short-term residential Tx program?		a. (/)	b. ()	c. (/)
J21.	Non-residential day program?		a. (/)	b. ()	c. (/)
J22.	Night care (work out, sleep in)?		a. (/)	b. ()	c. (/)
J23.	Outpatient clinic? (Drug/alc counseling only)		a. (/)	b. ()	c. (/)
J24.	Individual psychotherapy?		a. (/)	b. ()	c. (/)
J25.	Methadone maintenance?		a. (/)	b. ()	c. (/)
J26.	Methadone detox?		a. (/)	b. ()	c. (/)
J27.	Sober living?		a. (/)	b. ()	c. (/)
J28.	Other treatment program (specify)		a. (/)	b. ()	c. (/)

J29.	What was your reason for entering treatment the last time?
	a. Was there another reason why you entered treatment the last time?
	(0) No (1) Yes(0) No (1) Yes(0) No
J30.	[IF "NO" SKIP TO J31; IF "YES" ASK] What was the other reason you entered treatment the last time?
	Olay Show CARD #20] Overall, how helpful have these treatments been?
	0 = Not at all helpful $1 = Somewhat helpful 2 = Quite helpful 3 = Extremely Helpful$
J32.	During the past 12 months, how many times did you try to quit using alcohol on your own?
[IF "	NEVER," CODE "00." IF NO DRUG/ALCOHOL USE IN THE PAST YEAR, CODE "N/A"]
J33.	During the past 12 months, how many times did you try to quit using drugs on your own?
[IF "	NEVER," CODE "00." IF NO DRUG/ALCOHOL USE IN THE PAST YEAR, CODE "N/A"]
[IF B J34.	What was your reason for trying to quit drugs or alcohol?
(0) N	<ul><li>a. Did you have any other reason for trying to quit using drugs or alcohol?</li><li>(1) Yes</li></ul>
(0)11	(1) Yes (2) No (1) Yes (0) No (1) Yes (0) No (1) Yes (1) Yes (2) No (1) Yes (2)
J35.	[IF "NO" SKIP TO J37] What was your other reason for trying to quit using drugs or alcohol?

(0) No	a. Did you have any other  (1) Yes  (0) No  (1) Yes	r reason for trying to o	quit using drugs of (1) Yes(0) No		(1) Yes(0) No
J36.	[IF "NO" SKIP TO J37] What was your other reason	on for trying to quit us	ing drugs of alco	hol?	
	low many times have you at (TIME PERIOD)?	tended self-help (12-S	Step) meetings [S	PECIFY A	AA, NA, CA]
00 = V	Never $01 = \text{Once}$	02 = 2 to 5 times	03 = 6  to  10	) times	04 = 11  to  20  times
	05 = 21  to  30  time	06 = 31	to 50 times	07 = 51	to 99 times
		= 100 to 500 times09 than 500 times	= More than 500	times	
a.	The past 12 months?		()		
	F "NEVER" SKIP TO J39 The past 3 months?	; OTHERWISE AS	K] ()		
	ay Show Card #21] Ouring [TIME PERIOD], how	often did you find thos	se meetings helpf	ful?	
$0 = N\epsilon$	ever $1 = \text{Rarely}  2 = \text{So}$	metimes $3 = Often$	4 = Almost alw	vays 5=	Always $-9 = N/A$
a.	The past 12 months?	(	)		
_	SK "B" IF RESPONDEN The past 3 months?	T REPORTED ATT ()	TENDING MEE	TINGS IN	3-MONTH PERIOD]
	lay Show Card #22 AND Smuch do you agree or disagn		llowing statemen	its:	
1 = St	rongly Disagree 2 = Disa	gree $3 = \text{Not Sure}$	4 = Agree 5 =	= Strongly	Agree
	[IF NO DRUG/ALCOHO	OL USE IN THE PA	ST 12 MONTHS	S, CODE "	'N/A"]
J39.	In the past 30 days, I was	extremely troubled by	alcohol-related p	oroblems(	)
J40.	In the past 30 days, I was	extremely troubled by	drug-related prol	blems(	)
J41.	At this time, treatment for	alcohol problems is r	ot important to m	ne	(

At this time, treatment for drug problems is <u>not</u> important to me

J42.

#### PART K: VIEW OF SELF/EXPECTATIONS FOR THE FUTURE

The questions in this section deal with the way you view yourself now.

0 =Not at all 1 =Somewhat 2 =Moderately 3 =Quite a bit 4 =Extremely

#### [Display Show Card #23 FOR K1 THROUGH K18]

K1-18. Use this card to tell me how you see yourself now. Are you...

K1.	Optimistic about your future?	
K2.	Considerate and concerned about others?	()
K3.	Respectful of rules and regulations?	()
K4.	Respectful of authority figures?	()
K5.	Not impulsive (you think before you act)?	()
K6.	Responsible for own actions?	
K7.	In control of your life?	()
K8.	In touch with your feelings?	()
K9.	Trusting of others?	()
K10.	Honest and trustworthy?	()
K11.	Realistic (in your expectations)?	()
K12.	Self-respectful (feel you are worth something)?	()
K13.	Sexually confident?	
K14.	Self-reliant (able to support/take care of self)?	
K15.	Motivated (willing to work hard for what you want)?	
K16.	Able to share your feelings with others?	( )

Self-assured (confident about yourself)?

Open to suggestions?

K17.

K18.

# The following statements ask you about what is, or is not, important to you, what goals you may have for yourself, and about your beliefs and opinions on different things.

# [Display Show Card #24 FOR K19 THROUGH K32]

K19-K32. How much do you agree or disagree with each of the following statements:

1 = Strongly	disagree $2 = Disagree 3 = Not sure 4 = Agree 5 = 9 = N/A (has no children, no friends, etc.)$	Strongly agree
It is in	mportant for me:	
K19.	To have a good family life	()
K20.	To have a good time with my friends	()
K21.	To work hard and support myself	()
K22.	To have lots of money, cars, and other possessions `	()
K23.	To have drugs and/or alcohol whenever I want them	()
K24.	(that) occasional/recreational use of marijuana is okay	()
K25.	(that) occasional social use of alcohol only is okay	()
K26.	To live without using any drugs or alcohol	()
K27.	To plan for my future	()
K28.	To be a good parent	()
$1 = S_1$	trongly disagree $2 = Disagree 3 = Not sure 4 = Agree -9 = N/A$ (has no children, no friends, etc.)	5 = Strongly agree
K29.	I still think I have a drug problem and I probably should get hel	p. ()
K30.	I think of myself as fully recovered. I no longer have problems to drug or alcohol use, and I don't use them.	related ()
K31.	I still use drugs, but I don't see any problems with this.	()
K32.	I still use alcohol, but I don't see any problems with this.	( )

#### Let's talk briefly about the future.

K36.

K37.

K38.

K39.

Let 3	taik Di	icity about the future.	
		w Card #25] n one of these statements best describes what you think will happen to you in	n the future?
	2 = Co 3 = Co 4 = So 5 = So 6 = Qo 7 = Qo 8 = Qo 9 = Co	obably increase your drug usage ontinue to use drugs like before at back your drug use: use on an occasional or more controlled basis witch to using (or use more) alcohol witch to using other, less serious drugs at for awhile, but start using again later uit eventually, but slip a few times during recovery at forever, and never use again ontinue your abstinence from drugs Other (specify)	(
		w Card #26] e next 6 months, what do you think your chances are of:	
		0 = Zero 1 = Very low (less than 10%) 2 = Low (about 25%) 3 = About "50/50" 4 = High (about 75%) 5 = Very high (85% or greater) -8 = Really DK/unsure -9 = Not applicable (has already finished education/vocational training; can't obtain job, have "decent social life," or "straighten out life" due to anticipated duration of incarceration)	
	K34.	Staying out of trouble with the authorities?	()
	K35.	"Sticking with" and finishing your education or vocational training?	()

Getting and/or keeping a decent job?

Having a better relationship with your family?

Having a decent social life?

Straightening out your life?

(\_\_\_\_)

#### PART L: WURS-25

#### This set of questions is about how you were as a child.

# [Display Show Card #27] Looking at this card, please tell me, as a child: (3) Quite a bit (0) Not at all (1) Somewhat (2) Moderately 4) Extremely L1. Did you have concentration problems, or were you easily distracted? L2. Were you anxious or worrying? L3. Were you nervous or fidgety? \_\_\_\_ L4. Were you inattentive or daydreaming? \_\_\_\_\_ L5. Were you hot or short-tempered or did you have a low boiling point? L6. Did you have temper outbursts or tantrums? L7. Did you have trouble with stick-to-it-tiveness, not following through, or failing to finish things you started? \_\_\_\_ L8. Were you stubborn or strong-willed? \_\_\_\_\_ L9. Were you sad, blue, depressed, or unhappy? L10. Were you disobedient with parents, rebellious, or sassy? \_\_\_\_\_ L11. Did you have a low opinion of yourself? \_\_\_\_ L12. Were you irritable? L13. Were you moody, or did you have ups and downs? \_\_\_\_ L14. Were you angry? \_\_\_\_\_ L15. Did you act without thinking, or were you impulsive? L16. Did you have a tendency to be immature? L17. Did you have a guilty feeling, or were you regretful? \_\_\_\_ L18. Did you lose control of yourself? L19. Did you have a tendency to be or act irrational? L20. Were you unpopular with other children, didn't keep friends for long, or didn't get along with other children? L21. Did you have trouble seeing things from someone else's point of view? L22. Did you have trouble with authorities, or trouble with school? L23. Were you overall a poor student, or a slow learner? L24. Did you have trouble with mathematics or numbers? L25. Were you not achieving up to your potential?

#### PART M: CIRCUMSTANCES, MOTIVATION, AND READINESS

How you feel can have a powerful effect on treatment. These feelings include your circumstances, the problems in your life, your feelings about yourself, and your feelings about treatment. Consider each of the questions below and indicate how closely they describe your own feelings.

M1 – M18. Please look at this card and tell me which answer best describes how much you agree or how

much you disagree with the statement that I make. [Display Show Card #28]
(1) Strongly Disagree (2) Disagree (3) Neither agree nor disagree (4) Agree (5) Strongly agree
M1. I am sure that I would go to jail if I didn't enter treatment()
M2. I am sure that I would have come to treatment without the pressure of my legal involvement
M3. I am sure that my family will not let me live at home if I did not come to treatment()
M4. I believe that my family/relationship will try to make me leave treatment after a few months()
M5. I am worried that I will have serious money problems if I stay in treatment()
M6. Basically, I feel I have too many outside problems that will prevent me from completing treatment (e.g. parents, spouse/relationship, children, loss of job, loss of income, loss of education, family problems, loss of home/place to live)(
M7. Basically, I feel that drug use is a very serious problem in my life()
M8. Often I don't like myself because of my drug use()
M9. Lately, I feel if I don't change, my life will keep getting worse()
M10. I really feel bad that my drug use and the way I've been living has hurt a lot of people()
M11. It is more important to me than anything else that I stop using drugs()
M12. I don't really believe that I have to be in treatment to stop using drugs, I can stop anytime I want()
M13. I came to this program because I really feel that I'm ready to deal with myself in treatment()
M14. I'll do whatever I have to do to get my life straightened out()
M15. Basically, I don't see any other choice for help at this time except some kind of treatment()
M16. I don't really think I can stop my drug use with the help of friends, family or religion, I really need some kind of treatment()
M17. I am really tired of using drugs and want to change, but I know I can't do it on my own()
M18. I'm willing to enter treatment as soon as possible

#### **PART N: QUALITY OF LIFE**

Now I'm going to ask you some questions about what your life is like, your health, what you do from day-to-day, and how you feel about things. Please look at this card [Display Show Card #29]. This is called the Terrible-Delighted Scale. During the interview, we'll be using this scale to help you tell me how you feel about different things in your life. All you have to do is tell me what on the scale best describes how you feel.

(1) Terrible (5) Mostly	(2) Unhappy y Satisfied	(3) Mos (6) Pleased	stly dissatisfied (7) Delighted	(4) Mixed
N1. How do you	feel about your life	as a whole?		()
[Living Situation	on]			
N2. How do you	feel about:			
D. The p	rivacy you have the	re?		()
E. The ar	mount of freedom yo	ou have?		()
F. The pr	rospect of staying or	where you current	tly live for a long period o	of time()
N3. How do you	feel about:			
A. The p	eople who live in th	e houses or apartme	ents near you?	()
B. People	e who live in this ne	ighborhood?		()
C. The or	utdoor space there is	s for you to use out	side your home?	()
D. This r	neighborhood as a pl	lace to live?		()
E. How s	safe you feel in this	neighborhood?		()
N4. [How do yo	u feel about:			
A. Your	personal safety?			()
B. How s	safe you are on the s	treets in your neigh	nborhood?	()
C. How s	safe you are in the b	uilding where you l	live?	()
D. The p	rotection you have a	against being robbe	d or attacked?	()
E. Your	chance of finding a	policeman if you ne	eed one?	

Now let's talk about some of the things you did with your time in the past week. I'm going to read you a list of things people may do with their free time. For each of these, please tell me if you did it during the past week.

# [Daily Activities and Functioning]

N5. Did you:

A. Go for a walk?	(0) No	(1) Yes
B. Go to a movie or watch a play?	(0) No	(1) Yes
C. Watch television?	(0) No	(1) Yes
D. Go shopping?	(0) No	(1) Yes
E. Go to a restaurant or coffee shop?	(0) No	(1) Yes
F. Go to a bar?	(0) No	(1) Yes
G. Read a book, magazine or newspaper?	(0) No	(1) Yes
H. Listen to a radio?	(0) No	(1) Yes
I. Play cards?	(0) No	(1) Yes
J. Go for a ride in a bus or car?	(0) No	(1) Yes
K. Prepare a meal?	(0) No	(1) Yes
L. Work on a hobby?	(0) No	(1) Yes
M. Play a sport?	(0) No	(1) Yes
N1. Go to a meeting of some organization or social group which is program related?	(0) No	(1) Yes
N2. Go to a meeting of some organization or social group which is <a href="NOT">NOT</a> program related?	(0) No	(1) Yes
O. Go to a park?	(0) No	(1) Yes
P. Go to a library?	(0) No	(1) Yes

N6. Overall, how would you rate your functioning in home, social, school, and work settings at the present time? Would you say your functioning in these areas is excellent, good, fair, or poor?

(1) Excellent	(2) Good	(3) Fair	(4) Poor

Now, please look at the Terrible-Delighted Scale again [Show Card #29]
(1) Terrible (2) Unhappy (3) Mostly dissatisfied (4) Mixed (5) Mostly Satisfied (6) Pleased (7) Delighted
[Family] N7. How do you feel about:
A. Your family in general()
B. How often you have contact with your family()
C. The way you and your family act towards each other()
D. The way things are in general between you and your family()
[Social Relations] N8. How do you feel about:
A. The things you do with other people()
B. The amount of time you spend with other people()
C. The people you see socially()
D. How you get along with other people in general()
E. The chance you have to know people with whom you feel really comfortable()
F. The amount of friendship you have in your life()
[Finances] N9. How do you feel about:
A. The amount of money you get from all sources()
B. The amount of money you have to cover basic necessities (e.g. food, clothing, shelter)()
C. How comfortable and well-off you are financially()
D. The amount of money you have available to spend for fun()
During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities <u>as a result of your physical health?</u>
N10. As a result of your physical health, have you:
A. Cut down on the amount of time you spend on work or other activities? (0) No (1) Yes
B. Accomplished less than you would like? (0) No (1) Yes
C. Been limited in the kind of work or other activities you do? (0) No (1) Yes
D. Had difficulty performing work or other activities (e.g. it took extra effort) (0) No (1) Yes

During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems such as feeling depressed or anxious?

N11. As a result of your emotional problems, have you:

A. Cut down on the amount of time you spend on work or other activities	(0) No	(1) Yes
B. Accomplished less than you would like?	(0) No	(1) Yes
C. Not done your work or other activities as carefully as usual?	(0) No	(1) Yes
N12. During the past 4 weeks, to what extent have your physical health or emotion interfered with your normal social activities with family, friends, neighbors, or grown		blems
[Read Response options aloud]		
(0) Not at all		
(1) Slightly		
(2) Moderately		
(3) Quite a bit		
(4) Extremely		
Please look at the Terrible-Delighted Scale again [Show Card #29]		
(1) Terrible (2) Unhappy (3) Mostly dissatisfied (5) Mostly Satisfied (6) Pleased (7) Delighted	(4) Mixed	
N13. How do you feel about:		
A. Your health in general.		()
B. The medical care available to you if you need it		()
C. How often you see a doctor		()
D. The chance you have to talk with a therapist		()
E. Your physical condition		()
F. Your emotional well-being		()
N14. Looking at the Terrible-Delighted Scale, how do you feel about your life as a	whole	()

# PART O: INDIANA JOB SATISFACTION SCALE

Are you currently employed? (0) No (1) Yes [If "NO" SKIP TO P1]

Please rate each of the following statements as they pertain to your <u>current</u> job.

# [Display Show Card #30]

(1) Strongly Disagree	(2) Somewhat Disagree	(3) Somewhat Agree	(4) Strongly Agree	
General Satisfaction				
O1. I feel good about th	is job			(
O2. This job is worthwh	nile			(
O3. The working condit	ions are good			(
O4. I want to quit this jo	b		(	,
O5. This job is boring			(	<u> </u>
Pay				
O6. I am happy with the	amount this job pays		(	)
O7. The vacation time a	nd other benefits on this jo	ob are okay	(	)
O8. I need more money	than this job pays		(	)
O9. This job does not pr	covide the medical coverage	ge I need	(	
Advancement and Secur	rity			
O10. I have a fairly good	d chance for promotion in	this job	(	<u></u>
O11. This is a dead-end	job		(	<u> </u>
O12. I feel that there is a	a good chance of my losing	g this job in the future	(	
O13. I've received suffi	cient job training on my jo	b		(
O14. I receive sufficient	t work skills development	which may help on futur	re jobs	(
Supervision				
O15. My supervisor is fa	air		(	<u></u>
O16. My supervisor is h	ard to please			(
O17. My supervisor pra	ises me when I do my job	well		(
O18. My supervisor is d	lifficult to get along with			(
O19 My supervisor reco	ognizes my effort		(	

(1) Strongly Disagree (2) Somewhat Disagree (3) Somewhat Agree (4) Strongly Agree (1) Strongly Disagree (2) Somewhat Disagree (3) Somewhat Agree (4) Strongly Agree Co-Workers
O20. My co-workers are easy to get along with(
O21. My co-workers are lazy
O22. My co-workers are unpleasant()
O23. My co-workers don't like me()
O24. My co-workers help me to like this job more()
O25. I have a co-worker I can rely on()
O26. I have a co-worker I consider a friend(
How I feel on this Job
O27. I look forward to coming to work()
O28. I often feel tense on the job()
O29. I don't know what's expected of me on this job()
O30. I feel physically worn out at the end of the day
O31. Working makes me feel like I'm needed()
O32. My job keeps me busy()
O33. I get to do a lot of different things on my job()
O34. I am satisfied with my work schedule

# PART P: LIFESTYLE CRIMINALITY SCREENING FORM

P1. Have you ever failed to provide child support to at least one biological child?								
	(0) No	(1) Yes	(-9) N/A – no c	children				
P2. Did you terminate	your formal e	ducation pric	or to graduating fro	om high school?				
	(0) No	(1) Yes						
P3. What is the longes	t amount of ti	me that you h	nave ever held a jo	b?				
(0) Two or more yea		ast 6 months /A – never ha	-	rs (2) Less than 6 months				
-	P4. How many times have you been terminated from a job due to irresponsibility or quit for no apparent reason?							
(0) None	(1) Once	(2	2) Two or more tin	nes				
[INTERVIEWER: D	O NOT ASK	P5 QUEST	ION TO CLIENT	<u>[</u> ]				
P5. Does the client have	ve a history of	alcohol or su	ibstance abuse?					
	(0) No	(1) Yes						
P6. Which answer bes	t describes you	ur marital bac	ckground?					
(0) Single with	no children o	r married no	divorces					
(1) Single with	child/children	1						
(2) One prior d	ivorce or sepa	ration						
(3) Two or mo	re prior divorc	es or separat	ions					
P7. How many tattoos	do you have?							
(0) No tattoos	(1) On	e to four sep	arate tattoos	(2) More than 4 tattoos				

P8. What offense were you convicted of that resulted	in your referral to the TASC program?
[Read Response Options Aloud]	
(1) DWI/DUI/Public Consumption/intoxication	
(2) Use/possession of illegal drugs/paraphernalia	(10) Rape
(3) Forgery or fraud	(11) Murder
(4) Forgery of drug prescriptions	(12) Violence against other persons
(5) Fencing	(13) Weapons offenses
(6) Illegal gambling, running numbers, or bookmaking	g (14) Vandalism
(7) Prostitution or pimping	(15) Vagrancy
(8) Burglary or auto theft	(16) Trafficking people across the border
(9) Robbery (armed or mugging)	(17) Other (specify)
P9. How many prior arrests do you have for committing assault, or breaking and entering? [Read Response O	Options Aloud]
(0) None (1) One or Two (2) Three	e or more
P10. Did you use a weapon or threaten the use of a we the offense for which you have currently been referred	
(0) No (1) Yes	
P11. Have you ever physically abused significant other	ers (primarily family members)?
(0) No (1) Yes	
P12. Excluding your instant offense, how many prior	non-traffic offenses have you been arrested for?
(0) One or none (1) Two to four (2)	2) Five or more
P13. How old were you at the time of your first non-tr	raffic arrest?
(0) 14 years of age or younger (1) Olde	er than 14 but younger than 19 (2) 19 or older
P14. Do you have a history of disruptive behavior in s	school?
(0) No (1) Yes	

# PART Q: RISK ASSESSMENT BATTERY

Now we are going to discuss some rather personal issues regarding some of your drug use and sexual
behaviors in the past six months. Remember, you can refuse to answer any question, but we have
taken great care to protect the privacy of your answers.

Q1. In the <u>past 6 months</u> , did you inject drugs?	(0) No	(1) Yes
[IF "NO" SKIP TO Q9]		
Q2. In the <u>past 6 months</u> , did you share needles or works?	(0) No	(1) Yes
[IF "NO" SKIP TO Q7]		
Q3. With how many different people did you share needles months? [Record verbatim and code according to response.]	-	ast <u>6</u>
<ul> <li>(1) One person</li> <li>(2) 2 or 3 different people</li> <li>(3) 4 to 6 different people</li> <li>(4) 7 to 10 different people</li> <li>(5) 11 or more different people</li> </ul>		()
[Display Show Card #31] Q4. In the past 6 months, how many times have you used a	syringe/needle	after someone without cleaning?
(0) Never (1) A few times (2) About once a mont	th (3) A few	times each month
(4) About once each week (5) A few times each week	(6) Every day	(7) More than once a day
Q5. In the <u>past 6 months</u> , how many times have others used without cleaning?	d a needle/syring	ge after you
(0) Never (1) A few times (2) About once a mont	th (3) A few	times each month
(4) About once each week (5) A few times each week	(6) Every day	(7) More than once a day
Q6. In the past 6 months, how often have you shared needle AIDS or was positive for the AIDS virus?	es with someon	e you knew or later found out had
(0) Never (1) A few times (2) About once a mont	th (3) A few	times each month
(4) About once each week (5) A few times each week	(6) Every day	(7) More than once a day

Q7. Where did you get your needles from during the paragraph [READ RESPONSES TO CLIENT – CIRCLE ALI					
(1) From a diabetic	(6) Drug Dealer				
(2) On the street	(7) Syringe seller (not drug dealer)				
(3) Drugstore	(8) Health care facility / private doctor				
(4) Shooting gallery	(9) Other (specify)				
(5) From a needle exchange program					
[Display Show Card #31] Q8. How often have you been to a shooting gallery in the shooting gallery gall	ne past 6 months?				
(0) Never (1) A few times (2) About once a r	nonth (3) A few times each month				
(4) About once each week (5) A few times each week	eek (6) Every day (7) More than once a day				
Q9. How often have you been to a crack house in the <u>p</u>	ast 6 months?				
(0) Never (1) A few times (2) About once a r	nonth (3) A few times each month				
(4) About once each week (5) A few times each week	eek (6) Every day (7) More than once a day				
[IF "NO" TO Q1 MEANING CLIENT DID NOT II	NJECT NOW SKIP TO Q15]				
Q10. In the past 6 months, which statement best describe RESPONSES TO CLIENT]	bes your way of cleaning your needles? [READ				
(1) I always use new needles					
(2) I always clean my needles just before I shoot	up				
(3) After I shoot up I always clean my needles					
(4) Sometimes I clean my needles, sometimes I c	lon't				
(5) I never clean my needles					
[IF "1" or "5" SKIP TO Q12] [IF "2" or "3" or "4" ASK]					

Q11. In the past 6 months, how did you clean your needles? [READ RESPO	NSES]
(1) Soap and water or water only	
(2) Alcohol	
(3) Bleach	
(4) Boiling water	
(5) Other (specify)	()
[Display Show Card #31] Q12. In the past 6 months, how many times did you share rinse water?	
(0) Never (1) A few times (2) About once a month (3) A few times	nes each month
(4) About once each week (5) A few times each week (6) Every day	(7) More than once a day
Q13. In the past 6 months, how many times did you share a cooker?	
(0) Never (1) A few times (2) About once a month (3) A few times	nes each month
(4) About once each week (4) About once each week (5) A few times each week (6) Every day (5) A few times each week (6) Every day	(7) More than once a day (7) More than once a day
Q14. In the past 6 months, how many times did you share a cotton?	
(0) Never (1) A few times (2) About once a month (3) A few times	nes each month
(4) About once each week (5) A few times each week (6) Every day	(7) More than once a day
Now we are going to discuss some of the sexual practices you may have e months.	ngaged in during the past 6
Q15. Do you consider yourself to be:	
(1) Straight/heterosexual	
(2) Gay/Homosexual	
(3) Bisexual	()

(4) About once each week day	(5) A few times each week (6) Every day	(7) More than once a
Q16. With how many men have	ve you had sex with in the past 6 months?	
<ul> <li>(0) 0 men</li> <li>(1) 1 man</li> <li>(2) 2 or 3 men</li> <li>(3) 4 to 6 men</li> <li>(4) 7 to 10 men</li> <li>(5) 11 or more men</li> </ul>		()
Q17. With how many women	have you had sex with in the past 6 months?	
<ul> <li>(0) 0 women</li> <li>(1) 1 woman</li> <li>(2) 2 or 3 women</li> <li>(3) 4 to 6 women</li> <li>(4) 7 to 10 women</li> <li>(5) 11 or more women</li> </ul>		()
[IF "NO SEX IN THE PAST [IF ANY SEX IN THE PAST	Γ MONTHS" WITH EITHER MEN OR WO Γ SIX MONTHS ASK]	MEN SKIP TO Q24]
[Display Show Card #31] Q18. In the past 6 months, how	w often did you have sex so you could get drugs'	?
(0) Never (1) A few time	s (2) About once a month (3) A few time	ies each month
(4) About once each week	(5) A few times each week (6) Every day	(7) More than once a day
Q19. In the past 6 months, how	w often have you given drugs to someone so you	could have sex with them?
(0) Never (1) A few time	s (2) About once a month (3) A few time	es each month
(4) About once each week	(5) A few times each week (6) Every day	(7) More than once a day
Q20. In the past 6 months, how	w often were you paid money to have sex with so	omeone?
(0) Never (1) A few time	s (2) About once a month (3) A few time	es each month
(4) About once each week	(5) A few times each week (6) Every day	(7) More than once a day

(4) About once each week day (4) About once each week day	k (5) A few times 6	(5) A few times each week (6) Every day (5) A few times each week (6) Every day (7)							
Q21. In the past 6 months, ho	w often did you pay mon	ey to have sex with s	omeone?						
(0) Never (1) A few times (2) About once a month (3) A few times each month									
(4) About once each week	(5) A few times each w	eek (6) Every day	(7) More than once a day						
Q22. In the past 6 months, he AIDS or was positive for the		ve sex with someone	you knew or later found out had						
(0) Never (1) A few time	es (2) About once a	month (3) A few t	imes each month						
(4) About once each week	(5) A few times each w	eek (6) Every day	(7) More than once a day						
[Display Show Card #32] Q23. In the past 6 months, he	w much time of the time	did you use condoms	when you had sex?						
(0) None of the time, never	(1) Less than half the t	ime (2) About 1	nalf the time						
	(3) Most of the time	(4) All the	(4) All the time, every time						
Q24. Do you know your HIV	status? If so, what is you	ur HIV status? [REA]	D RESPONSES]						
<ul><li>(0) No, I don't know m</li><li>(1) Yes, it's negative</li><li>(2) Yes, it's positive</li></ul>	ny HIV status		()						
[IF "POSITIVE" SKIP TO [IF "NEGATIVE" or "NOT									
[Display Show Card #33] Q25. How worried are you ab	oout getting HIV or AIDS	?							
(0) Not at all (1) Slightly	(2) Moderately	(3) Considerably	(4) extremely ()						
Q26. How worried are you th	at you may have already	peen exposed to the I	HIV or AIDS virus?						
(0) Not at all (1) Slightly	(2) Moderately	(3) Considerably	(4) extremely ()						
Q27. How many times have y	you been tested for the HI	V, the virus that caus							

(	28	Did	vou	get	the	results	from	the	last	HIV	test tha	at vou	took	7
$\checkmark$	20.	Diu	you	SCL	uic	Tesuits	110111	uic	last	TITA	tost till	at you	toor	٠.

(0) No

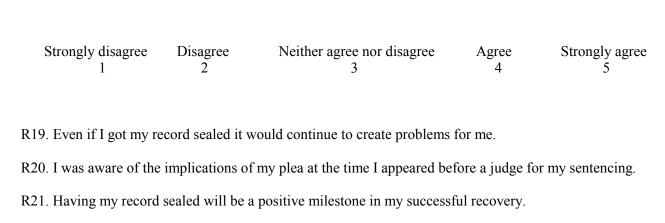
(1) Yes

# PART R: MOTIVATION FOR SEALING RECORDS

Now we are going to discuss the effect that your criminal record has had on your life.

# **STIGMA ENCOUNTERS**

(1) Strongly disagree	(2) Disagree	(3) Neither agree nor disagre	ee (4) Agree	(5) Strongly Agree
R1. Because I have a c	riminal record, n	ny family has acted differently t	owards me	()
R2. Because I have a c	riminal record, n	ny friends have acted differently	y towards me.	()
R3. Because I have a c	riminal record, it	is hard for me to make new fri	ends	()
R4. Because I have a c	riminal record, I	have not applied for some jobs		()
R5. I feel that my crim	inal record keeps	s me from getting a good job		()
R6. When I fill a job ap	oplication I am ir	ntimidated by the criminal histo	ory section	()
		ases my chances in obtaining		()
R8. People who know	that I have a crin	ninal record act suspicious arou	nd me	()
		nced my status in my commun		
R10. I am embarrassed	to have a crimin	nal record		()
SEALING CRIMINA	L RECORDS-	<b>MOTIVATION</b>		
R11. Having my record	l sealed will help	me to find a better job		
R12. Having my record	l sealed will mak	te it easier to make new friends		()
R13. Having my record	l sealed will mak	xe my family feel better about n	ne	()
R14. Having my record	l sealed will give	e me a new start		()
R15. Having my record	l sealed will help	me get better public services a	and housing	()
R16. It is not such a big	g deal having a c	riminal record, it is common in	my communi	ty()
R17. My family has be	en negative towa	ard me because of my legal situa	ation	()
R18 My friends have l	neen negative tox	ward me because of my legal sit	tuation	( )



- R22. At the time of sentencing my main objective was to avoid serving any jail or prison time.
- R23. I have been convicted of a felony; however that mistake does not mean I consider myself a criminal.
- R24. Having my record sealed means that I should no longer be considered a criminal.
- R25. Most employers and agencies have a way of finding out whether a criminal record exists.
- R26. In Ohio, criminal record information is available to anyone that wants it.
- R27. Once convicted of a felony, it is difficult to get a job that provides a good salary and benefits.
- R28. It is difficult to get a minimum wage job after a felony conviction.
- R29. Having a juvenile record negatively influenced the legal outcome of the case for which I was originally referred to TASC. *Note: If respondent says they don't have any juvenile record, please indicate Not Applicable.*

YES NO

R30. By law, a job applicant must disclose any prior criminal arrests or convictions if asked on a job application or during a job interview.

YES NO

#### LEGAL SANCTIONS: CLIENT PERSPECTIVES & EXPERIENCES

Instructions: I am going to read you a list of possible legal consequences that sometimes become a problem for people that are arrested or convicted of a crime. Please tell me first which answer best describes how much each possible consequence concerns you and then tell me whether or not you have experienced any of the following consequences since you were arrested.

31a. Criminal background checks are conducted when applying for jobs in Ohio, <u>how much</u> does this concern you?

Not at all	A little bit	Moderately	Quite a bit	Extremely
0	1	2	3	4

31b. I have been denied a job because of information revealed during a criminal background check.

YES NO

- R32a. Some employers may fire/demote someone if they have a criminal history, how much .....?
- R32b. I have lost a job, been demoted, or have been treated differently at work because of my legal situation.
- R33a. Professional license can be denied, revoked, or suspended following arrest or conviction, how much.....?
- R33b. I have had a professional license denied, revoked, or suspended because of my legal situation.
- R34a. Felons can be denied benefits under the Ohio public employee's retirement system, how much....?
- R34b. I have been denied retirement benefits because of my legal situation.
- R35a Felons can be denied a driver's license by the Department of Motor Vehicles, how much....?
- R35b. I have been denied a driver's license because of my legal situation.
- R36a. Federal or local housing authorities can deny placement or assistance to felons, how much....?
- R36b. I have been denied housing assistance because of my legal situation.
- R37a. Felons may be prohibited from receiving food stamps, cash, and other public assistance, how much...?
- R37b. I have been denied federally funded benefits and public assistance because of my legal situation.
- R38a. Felons in Ohio are restricted from voting while incarcerated, how much does this concern you?
- R38b. I have had problems registering to vote since my arrest.
- R39a. Some felons are disqualified from consideration for foster care or adoptive placement, how much....?
- R39b. I have been denied consideration for foster care or adoptive placement because of my legal situation.
- R40a. The Board of Education can deny an education loan, grant, or work assistance to felons, how much...?
- R40b. I have been denied educational assistance because of my legal situation.
- R41a. Felons may be denied Medicaid or other healthcare benefits, how much does this concern you?
- R41b. I have been denied Medicaid or other healthcare benefits because of my legal situation.
- R42a. Felons may be denied admission to drug treatment, mental health, or other services, how much...?
- R42b. I have been denied admission to drug treatment, mental health, other services because of my legal situation.
- R43a. Felons may be denied a variety of personal loans (e.g. mortgage, car, personal credit), how much...?
- R43b. I have been denied a personal loan because of my legal situation.

#### Social Role Scale

Instructions: Now I am going to ask you some questions about your life and the way you viewed yourself at the time you came to TASC and about your life and your view of yourself now. Please tell me which answer best describes your situation. Note: Retrospective questions (44) are to be asked only at the time of the 24-month interview. Forty-two month interview captures current perceptions (45) only.

R44. At the time of your initial involvement with TASC did you view yourself as [role]?

(0) Not at all	(1) Rarely	(2) Sometimes	(3) Often	(4) Always
R45. Do you currently v	riew yourself as {role]?			
(0) Not at all	(1) Rarely	(2) Sometimes	(3) Often	(4) Always

Role	44. Baseline	45. Current
1. Criminal		
2. Worker 3. Addict/alcoholic		
4. Parent		
5. Caregiver		
6. Recovering Person		
7. Student		
8. Victim		
9. Survivor		
10. Failure		
11. Partner		
12. Son/daughter		
13. Person w/ a disability		
14. Mentor		
15. Spiritual person		
16. Mental health consumer		
17. Gang member		
18. Athlete		

Instructions: This next set of question system, particularly the case for which			justice
R46. Do you have a juvenile record (inc	luding any arrests, adjudication	ions, or commitments)?	
	YES	NO	
R47. Think back to when the judge original	inally referred you to TASC a	and this program, were you represented	d by:
(1) Public Defender	(2) Private Defense Attorney	ey (3) No representation	
R48. Most of the information that helped	d you to decide what to plea w	was given to you by (choose one):	
a) Public Defender b) Private Defense Attorney c) Prosecutor d) Judge e) Don't know/Not sure			
R49. Did anyone discuss with you the lo	onger-term consequences of pl	pleading guilty to a felony?	
	YES	NO	
49a. If so, what were you told?			
R50. Were you given any legal alterna TASC?	atives to your case disposition	on for which you were originally refe	erred to

NO

YES

R50a. If so, what alternatives were you given?

#### PART S: CLIENT SERVICE UTILIZATION AND SATISFACTION

I would now like to ask you some questions about some of the services you may have received in the past 6 months. Just so you know your responses to the following questions will not be reported back to any clinical staff with whom you have worked. Your responses will not "get anybody into trouble" or be used in any performance evaluation or affect any future services you might receive. We ask these questions in order to gain a better understanding of what works and what doesn't work about drug treatment. The questions we ask about treatment refer to the time in treatment when you were asked to participate in the Second Chance Program. I will be asking you some questions about both your contacts with your case manager and aftercare counselor.

	5] onths, how frequently did you have on the both phone contacts and personal	
(0) Never	(1) Less than once a month	(2) About once a month
(3) Twice a month	(4) About once a week	(5) More than once a week
[Display Show Card #36 S2. <u>During the past 30 da</u> include both phone conta	ys, how many contacts did you have	with your TASC case manager? This would
(0) No contacts	(1) one to three contacts	(2) four to six contacts
(3) seven or more contact	s (4) discharged from TASC mor	e than one month ago
S3. How many of these ca	ase manager contacts in the past 12 r	months were:
A. At the TASC office	ce	()
B. At a treatment age	ency	()
C. In the community	such as at home, work, or court	()
D. Telephone contac	ts	
S4. What was the single r	most helpful topic which you worked	d on with your case manager

S5. Looking at this showcard, please tell me which of the answers best describes how satisfied you are with your TASC case manager in the <u>past 12 months</u>?

- (1) Very dissatisfied (2) Somewhat dissatisfied (3) Somewhat satisfied (4) Very satisfied
- S6. Can you please tell me why you feel that way about your experience with your TASC case manager?

Now I would like to ask you some questions about any contact you may have had with a representative from Community Re-entry. Just a reminder, these questions do not apply to your contact with your case manager.

S7. Have you had any	y contact in t	the past 12 months	with staff at C	Community Re-	entry?	
	(0) No	(1) Yes				
[IF "NO" SKIP to S	14; <b>О</b> ТНЕН	RWISE ASK]				
[Display Show Card S8. During the past 1] Re-entry? This would	2 months, ho			-	sentative from Co.	mmunity
(0) Never	(1) I	Less than once a m	onth	(2) About one	ce a month	
(3) Twice a month	(4) A	About once a week		(5) More than	n once a week	
[Display Show Card S9. During the past 30 entry? This would inc	<u>0 days</u> , how	2	•		ve from Communi	ty Re-
(0) No contacts	(1)	one to three conta	cts	(2) four to six	contacts	
(3) seven or more cor	ntacts (4)	discharged from T	ASC more that	ın one month aş	go	
S10. How many of th	ese Commu	nity Re-entry conta	acts in the past	12 months we	re:	
<ul><li>B. At a treatment</li><li>C. In the commu</li></ul>	t agency nity such as	at home, work, or	court		(_	)
S11. What was the sin Community Re-entry	•		you worked or	n with your con	tact from	
[Display Show Card S12. Looking at this S with your contact from	Show Card, 1	•			oes how satisfied y	ou are
(1) Very dissatisfied	(2) Som	ewhat dissatisfied	(3) Some	what satisfied	(4) Very satisfie	d
S13. Can you please t	tell me why	you feel that way a	about your exp	erience with Co	ommunity Re-entr	y?

Now I will ask you about a list of different topics that you may have worked on with either your case manager or your contact at Community Re-entry. You don't need to identify which one of these opics

persons helped you, it ca with TASC or Communi		•	worked on any of th	ese following topic
S14. Did you work on <b>Life</b> Washing clothes; Improving Improving diet, etc.				-
	(0) No	(1) Yes		
A. Looking at this		ell me which answer	r best describes how h lls with a counselor?	elpful
(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely
S15. Did you work on <b>Fin</b> wisely; Controlling debts; Earning money legally; Ge	Filing for bankrupt	cy; Saving money for	or specific goals; Mair	
	(0) No	(1) Yes		
A. Looking at this	_	-	r best describes how h with a counselor?	elpful it
(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely
S16. Did you work on <b>Lei</b> individual activities; Main				tivities; Seeking
	(0) No	(1) Yes		
A. Looking at this		ell me which answer	r best describes how h activities with a coun	
(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely
S17. Did you work on <b>Rel</b> needs; Seeking community	<del>-</del>			eeting spiritual
	(0) No	(1) Yes		
[IF "YES" ASK]	[Display Show Car	·d #41]		

A. Looking at this showcard, please tell me which answer best describes how helpful it was for you to work on and/or discuss your Relationships with a counselor?

(0) Not at all (1) Somewhat (2) moderately (3) Considerably (4) Extremely

S18. Did you work on <b>Liv</b> residence; Finding a room		_		
	(0) No	(1) Yes		
A. Looking at this		ell me which answer	best describes how he cangements with a co	
(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely
S19. Did you work on <b>Hea</b> check-ups; Scheduling der Scheduling drug counseling Safe-sex practices, etc.	ntal check-ups; Reso	olving health problem	ms; Scheduling psych	ology counseling;
	(0) No	(1) Yes		
A. Looking at this	•		best describes how has a counselor?	elpful it
(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely
S20. Did you work on <b>Inte</b> identifying strengths and to interests; Making decision	alents; Following be			
	(0) No	(1) Yes		
A. Looking at this		ell me which answer	best describes how hesources with a count	
(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely
S21. Did you work on <b>Rec</b> alcohol and drugs; Follow meetings; Finding a sponse	ing through with aft	ercare; Maintaining	sobriety; Attending N	
	(0) No	(1) Yes		
A. Looking at this	•	-	best describes how hwith a counselor?	elpful it
(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely

The next set of questions have to do with some of the services you may or may not have received since you entered TASC approximately six months ago. It may be that TASC or Community Re-entry referred you to these services or it may be that you sought these services on your own.

referred	you to thes	oc ser vic	es of it may be	that you	sought the	se services on your	5 VV 11.	
S22. Hav	e you receiv	ved help	with a <b>food pa</b>	ntry or fo	od vouche	rs in the past 6 month	ns?	
			(0) No	(1	) Yes			
[1]	F "NO" SF	кір то	S23; IF "YES'	'ASK]				
A		-	•			od pantry or receive fo		
В	•	Was it your case manager or Community Re-entry that referred you to receive food vouchers or assistance from a food pantry or did you locate these services on your own?						
	(1) Re	eferred		(2) Found	d service wi	thout referral		
C	. Are you s	till rece	iving food vouc	hers or as	sistance fro	m a food pantry?		
			(0) No	(1	) Yes			
	Display Sho  Looking a  to receive	at this sl	owcard, please	tell me w	hich answe	r best describes how l	nelpful it was for you	
	(0) Not at	all	(1) Somewhat	(2) m	oderately	(3) Considerably	(4) Extremely	
S23. Hav	e you receiv	ved cred	it counseling s	<b>ervices</b> in	the past 6 1	months?		
			(0) No	(1	.) Yes			
[]	F "NO" Sk	кір то	S24; IF "YES'	'ASK]				
A						vices in the past 6		
В			manager or Con es or did you lo			t referred you to receing your own?	ve credit	
	(1) Re	eferred		(2) Found	d service wi	ithout referral		
C	. Are you s	till rece	iving credit cou	nseling se	rvices?			
			(0) No	(1	) Yes			
_	Display Sho  Looking a  to receive	at this sl	owcard, please	tell me w	hich answe	r best describes how l	nelpful it was for you	
	(0) Not at	all	(1) Somewhat	(2) m	oderately	(3) Considerably	(4) Extremely	

	e you received set Is in the past 6 mg		with any <b>unpaid ele</b>	ctric, telephone or	
		(0) No	(1) Yes		
[]	F "NO" SKIP T	O S25; IF "YES'	'ASK]		
A		<u> </u>		with any unpaid bills i	-
В	•	•	2	t referred you to receive services on your own	
	(1) Referred	1	(2) Found service w	ithout referral	
C	. Are you still red	ceiving services to	help you with your	unpaid bills?	
		(0) No	(1) Yes		
	Display Show Ca  Looking at this to receive this a	showcard, please	tell me which answe	r best describes how h	elpful it was for you
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely
S25. Hav	e you received <b>h</b> o	ousing assistance	in the past 12 months	<u>s?</u>	
		(0) No	(1) Yes		
[]	F "NO" SKIP T	O S26; IF "YES"	'ASK]		
A	. How many time	es did you receive	housing assistance in	the past 12 months?.	()
В	_	_	nmunity Re-entry that services on your own	t referred you to receivn?	ve housing
	(1) Referred	l	(2) Found service w	ithout referral	
C	. Are you still red	ceiving housing as	ssistance?		
		(0) No	(1) Yes		
_	Display Show Ca  Looking at this to receive this a	showcard, please	tell me which answe	r best describes how h	elpful it was for you
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely

		(0) No	(1) Yes							
[II]	F "NO" SKIP TO	O S27; IF "YES"	'ASK]							
A.	How many times did you receive family or psychological counseling in the <u>past 6 months</u> ?()									
В.	Was it your case manager or Community Re-entry that referred you to receive family or psychological counseling or did you locate these services on your own?									
	(1) Referred		(2) Found service wi	thout referral						
C.	C. Are you still receiving family or psychological counseling?									
		(0) No	(1) Yes							
_	[Display Show Card #41] D. Looking at this showcard, please tell me which answer best describes how helpful it was for you to receive this assistance?									
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely					
S27. Have you received <b>attorney or legal aid services</b> in the <u>past 6 months?</u>										
		(0) No	(1) Yes							
[II	F "NO" SKIP TO	O S28; IF "YES"	'ASK]							
A.	. How many times did you receive legal services in the past 12 months?()									
В.	B. Was it your case manager or Community Re-entry that referred you to receive these legal services or did you locate these services on your own?									
	(1) Referred		(2) Found service wi	thout referral						
C.	C. Are you still receiving legal services?									
		(0) No	(1) Yes							
	isplay Show Car Looking at this a to receive this as	showcard, please	tell me which answer	best describes how he	elpful it was for you					
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely					

S26. Have you received **family or psychological counseling** in the <u>past 12 months?</u>

			(0) No		(1) Yes					
	[1]	F "NO" SKIP TO	O S29; IF "YES"	ASK						
	A.	How many times did you receive medical services in the past 12 months?()								
	В.	B. Was it your case manager or Community Re-entry that referred you to receive these medical services or did you locate these services on your own?								
		(1) Referred		(2) Fo	ound service wi	thout referral				
	C.	C. Are you still receiving medical services?								
			(0) No		(1) Yes					
		isplay Show Car Looking at this to receive this a	showcard, please	tell me	e which answer	best describes how he	elpful it was for you			
		(0) Not at all	(1) Somewhat	(2	) moderately	(3) Considerably	(4) Extremely			
<b>S29</b> . H	[ave	e you sought assis	stance with <b>clothi</b>	ng vou	<b>ichers</b> in the <u>pa</u>	ast 12 months?				
			(0) No		(1) Yes					
	[1]	F "NO" SKIP TO	O S30; IF "YES"	ASK	l					
	A.	How many times did you receive clothing vouchers in the past 12 months?()								
	B. Was it your case manager or Community Re-entry that referred you to receive these clothing vouchers or did you locate these services on your own?									
		(1) Referred		(2) Fo	ound service wi	thout referral				
	C. Are you still receiving clothing vouchers?									
			(0) No		(1) Yes					
	_	isplay Show Car Looking at this to receive this a	showcard, please	tell me	e which answer	best describes how he	elpful it was for you			
		(0) Not at all	(1) Somewhat	(2	) moderately	(3) Considerably	(4) Extremely			

S28. Have you received **medical or hospital services** in the <u>past 12 months?</u>

	you sought assis in the <u>past 12 m</u>		ance abuse in self-he	lp support groups su	ch as AA, NA, CA,				
		(0) No	(1) Yes						
[II	"NO" SKIP TO	O S31; IF "YES'	'ASK]						
A.	A. How many times did you receive assistance for substance abuse at self help support groups such as AA, NA, CA, or Alanon in the past 12 months?								
В.	3. Was it your case manager or Community Re-entry contact that referred you to these self-help groups for substance abuse or did you locate these services on your own?								
	(1) Referred		(2) Found service wi	thout referral					
C.	Are you still atte	ending self-help g	groups for substance a	buse?					
		(0) No	(1) Yes						
	isplay Show Car Looking at this s to receive this as	showcard, please	tell me which answer	best describes how he	elpful it was for you				
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely				
	you received ass ers Anonymous			groups such as Overe	eaters Anonymous				
[II	"NO" SKIP TO	O S32; IF "YES"	'ASK]						
A.	_	•		self-help support gro in the past 12 months?	-				
В.	_	-	nmunity Re-entry con these services on you	tact that referred you t ur own?	o these other				
	(1) Referred		(2) Found service wi	thout referral					
C.	Are you still atte	ending these othe	r self-help groups?						
		(0) No	(1) Yes						
-	isplay Show Car Looking at this s to receive this as	showcard, please	tell me which answer	best describes how he	elpful it was for you				
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely				

iave	you received as			nal services in the <u>pas</u>	t 12 months?
		(0) No	(1) Yes		
[IF	F "NO" SKIP T	O S33; IF "YES"	'ASK]		
A.	•	•		related or vocational	
В.	•	_	-	ntact that referred you therefore on your own?	to these work
	(1) Referred	d	(2) Found service wi	ithout referral	
C.	Did you comple	ete any work relate	ed or vocational servi	ices in the past 12 mor	nths?
		(0) No	(1) Yes		
	isplay Show Ca Looking at this to receive this a	showcard, please	tell me which answer	r best describes how he	elpful it was for you
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely
ive	you received as	sistance with <b>sch</b> o	ool or educational se	rvices in the past 12 n	nonths?
H	T "NO" SKIP T	O S34; IF "YES"	,		
	How many time	es did you receive	assistance with school	ol or educational servi	-
В.	•	•	•	ntact that referred you to services on your own?	
	(1) Referred	d	(2) Found service wi	ithout referral	
C.	Did you comple	ete any school or e	educational programs	in the past 12 months	?
		(0) No	(1) Yes		
_	isplay Show Ca Looking at this to receive this a	showcard, please	tell me which answer	r best describes how he	elpful it was for you
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely

		(0) No	(1) Yes				
[1]	F "NO" SKIP T	O S35; IF "YES"	'ASK]				
A.	•	•		ntial program in the <u>pa</u>			
B.		Was it your case manager or Community Re-entry contact that referred you to residential reatment or did you locate these services on your own?					
	(1) Referred	d	(2) Found service wi	thout referral			
C.	Are you current	tly living in a resic	lential treatment prog	gram?			
		(0) No	(1) Yes				
_	isplay Show Ca Looking at this to receive this a	showcard, please	tell me which answer	best describes how h	elpful it was for you		
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely		
Have	you received tre	eatment in an outp	oatient treatment pro	ogram in the past 12 i	months?		
		(0) No	(1) Yes				
[I]	F "NO" SKIP T	O S36; IF "YES"	'ASK]				
A.	How many time months?		-	ient program in the <u>pa</u>			
				(_	)		
В.		e manager or Con		tact that referred you			
B.		e manager or Cond you locate these	nmunity Re-entry con	tact that referred you?			
	treatment or did (1) Referred	e manager or Cond you locate these	nmunity Re-entry con services on your own	tact that referred you?  thout referral			
	treatment or did (1) Referred	e manager or Cond you locate these	nmunity Re-entry con services on your own (2) Found service wi	tact that referred you?  thout referral			
C. <b>[D</b>	treatment or did (1) Referred Are you current isplay Show Ca	te manager or Cond you locate these defined the section of the sec	nmunity Re-entry con services on your own (2) Found service wi atient treatment service (1) Yes	tact that referred you?  thout referral	to outpatient		

S34. Have you received treatment in a **residential treatment program** in the <u>past 12 months?</u>

[II]	"NO" SKIP TO S37; IF	"YES" ASK						
A.	How many times did you receive treatment in a detox program in the <u>past 12 months?</u> ()							
В.	B. Was it your case manager or Community Re-entry contact that referred you to detox or did you locate these services on your own?							
	(1) Referred (2) Found service without referral							
C.	C. Are you currently receiving detox services?							
	(0) No		(1) Yes					
_	isplay Show Card #41] Looking at this showcard, to receive this assistance?	please tell m	ne which answer	best describes how	v helpful it v	was for you		
	(0) Not at all (1) Some	ewhat (2	2) moderately	(3) Considerably	(4) Ex	tremely		
	many of these treatments that facility?							
S38. How	long has it been since you le	eft your last	treatment progr	am for an alcohol o	or drug prob	olem?		
	1	Months	Weeks_					
	set of questions have to do untered in the <u>past 12 mo</u>		arriers to treat	ment or other serv	vices that y	ou may		
	e tell me, in the past 12 mor		u encountered a	ny of the following	s barriers to	care:		
A.	Had to wait too long to get	t an appointn	nent		(0) No	(1) Yes		
В.	Felt well or had no sympto	oms			(0) No	(1) Yes		
C.	Had difficulty finding the I	right doctor	or clinic		(0) No	(1) Yes		
D.	Don't want my insurance t	o know			(0) No	(1) Yes		
E.	Don't want my employer to	o know			(0) No	(1) Yes		
F.	Don't want my family or fi	riends to kno	 DW		(0) No	(1) Yes		

(1) Yes

S36. Have you received treatment in a **detox program** in the <u>past 12 months?</u>

(0) No

G. Not ready for treatment or other services	(0) No	(1) Yes
H. Not ready, I still want to use alcohol and/or drugs	(0) No	(1) Yes
I. Don't want to be sick around people	(0) No	(1) Yes
J. Too busy taking care of someone else right now (e.g. child, parent)	(0) No	(1) Yes
K. Afraid because I don't have citizenship	(0) No	(1) Yes
L. Homelessness	(0) No	(1) Yes
M. Don't like doctors or clinics	(0) No	(1) Yes
N. I won't understand what's going on because I don't read or write very well	(0) No	(1) Yes
O. Feel too hopeless	(0) No	(1) Yes
P. I won't understand what's going on because I don't speak English very well	(0) No	(1) Yes
Q. Family, friends, others would disapprove of me seeking help	(0) No	(1) Yes
R. Don't have proper identification	(0) No	(1) Yes
S. Too difficult to get admitted to care	(0) No	(1) Yes
T. Putting it off	(0) No	(1) Yes
U. Costs too much/no insurance coverage	(0) No	(1) Yes
V. Care was not available when I needed it	(0) No	(1) Yes
W. Had to wait too long in clinic	(0) No	(1) Yes
X. Didn't know where to go	(0) No	(1) Yes
Y. Didn't know what kind of doctor to see	(0) No	(1) Yes

Z. Didn't have a way to get there/transportation problem	(0) No	(1) Yes
AA. Too far to go	(0) No	(1) Yes
BB. Clinic hours not convenient	(0) No	(1) Yes
CC. Fear of being treated rudely or unkindly	(0) No	(1) Yes
DD. Couldn't get an appointment	(0) No	(1) Yes
EE. Child care not available	(0) No	(1) Yes
FF. Couldn't take time off of work	(0) No	(1) Yes
GG. Too embarrassed	(0) No	(1) Yes
HH. Didn't think anyone could help	(0) No	(1) Yes
II. Afraid to find out what you may have	(0) No	(1) Yes
JJ. Thought treatment would be unpleasant or painful	(0) No	(1) Yes
KK.Didn't want treatment	(0) No	(1) Yes
LL. Didn't want to deal with it	(0) No	(1) Yes
MM. Treated yourself	(0) No	(1) Yes

The next set of questions have to do with any Peer Support Groups you may have attended in the past 12 months, specifically any "Winner's Circle" groups you may have participated in.

#### [ASK ONLY IF CLIENT IS EXPERIMENTAL]

S40. Were you informed by your TASC case manager that a Peer Support group called "Winner's Circle" was available to you as part of the Second Chance Program?

(0) No (1) Yes

S41. Did you attend any Winner's Circle meetings in the past 12 months?

(0) No

(1) Yes

#### [IF "NO" SKIP TO SECTION T; OTHERWISE ASK]

[Display Show Card #42] S42. Looking at this showcard, please tell me how the past 12 months?	often did you attend these peer group support meetings in					
(1) Once or twice (2) A few times (3-4) (3) About	(1) Once or twice (2) A few times (3-4) (3) About once a month (4) Every other week (5) Once a week					
S43. Were these peer group support meetings usua	lly started at the scheduled time?					
(0) No	(1) Yes					
S44. Was there a prayer recited at the beginning of	every meeting?					
(0) No	(1) Yes					
S45. Were "Milestones of Recovery" recited at the	beginning of every meeting?					
(0) No	(1) Yes					
S46. Was there a preamble recited at the beginning	of every meeting?					
(0) No	(1) Yes					
S47. Was the Code of Ethics reviewed prior to ever	ry meeting?					
(0) No	(1) Yes					
S48. Were the rules of the group clearly posted in t	he meeting room?					
(0) No	(1) Yes					
S49. Did you find that the rules of the group were <i>u</i>	usually respected by the members of the group?					
(0) No	(1) Yes					
S50. Were these peer group meetings organized around topics of discussion? That is, was a topic picked during each meeting (e.g. anger, depression, honesty, friendship) for the group to discuss?						
(0) No	(1) Yes					
[IF "YES" ASK] A. How were topics of discussion <u>u</u>	sually picked? [Read response options aloud]					
(1) Chosen by meeting chair (2) Suggested by one member	•					

(3) Voted on by the entire group

(4) Randomly selected from a list of topics

(5) Other method (specify)\_\_\_\_

[Display Show Card #43]

S51. Looking at this showcard, please tell me thinking back to the topics that were discussed during the meetings you attended, how helpful was it to you to discuss these topics?						
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely	
S52. Looking at that same card, please tell me which answer describes how helpful you feel these peer group meetings were for you?						
	(0) Not at all	(1) Somewhat	(2) moderately	(3) Considerably	(4) Extremely	
	d on your experiend a meeting?	nces with these pee	r support groups, w	ould you recommend t	to a friend that	
		(0) No	(1) Yes			

#### PART T: INTERVIEWER IMPRESSIONS

#### TO BE COMPLETED *IMMEDIATELY* AFTER THE INTERVIEW

T1. END TIM	Æ		:	a	m/pm	
	a. Calculate Total l	ength of i	nterview		(/_ Min	/)
DESCRIBE TH	HE CLIENT:				WIIII	ites
T2.Weight:						()
$1 = E_1$	maciated $2 = Thin$	3 = Aver	age 4=	= Heavy		
T3.Grooming	<u> </u>					()
1 = Pc	oor $2 = Acceptable$	3 = Good	d = 1	Excellent		
T4.Attention	to interviewer:					()
1 = Pc	oor $2 = Acceptable$	3 = Goo	od $4 = 1$	Excellent		
T5.Understan	iding of questions					()
1 = Pc	oor 2 = Good					
T6.Ability to	articulate answers:					(
-	oor 2 = Acceptable					
	-					( )
17.Openness	and honesty:					()
$1 = \mathbf{V}$	ery poor $2 = Poor$	3 = Acc	eptable	4 = Good	5 = Excellent	
T8-10. Any s	igns of client:					
TO	D : 10	None None	Some	$\frac{A \text{ lot}}{2}$		( )
T8.						
	Drunkenness? Drug intoxication?	-	1 1			
T11-19. At th	ne time of the interview	w, was cli	ient:		(0) No	(1) Yes
T11.	Cooperative					(
T12.	Suspicious					
T13.	Uncommunicative					
T14.	Obviously depresse					
T15.	Obviously hostile					
T16.	Obviously anxious/	nervous				()
T17.	Having trouble with					
	thought disorders, p	aranoid tl	ninking			
T18.	Having trouble com		1g,			()
T19.	concentrating, reme Having suicidal tho					( )
117.	Traville Buildian mo	~5····				(

T20.	How would you rate the accuracy of client's memory?	()
	1 = Difficult to say 2 = Not very accurate 3 = Somewhat accurate 4 = Accurate 5 = Extremely accurate	
T21.	How <i>socially well-adjusted</i> (able to adapt to society) would you say the client is?	()
	1 = Not at all $2 = Very little$ $3 = Moderately$ $4 = Much$ 5	= Very much
T22.	How <i>psychologically well adjusted</i> (emotionally mature or stable) . would you say the client is?	()
	1 = Not at all $2 = Very little$ $3 = Moderately$ $4 = Much$ 5	= Very much
T23-25	25. What is your assessment of the validity (truthfulness) of the information client provided concerning her/his:	nation the
0= No	ot at all valid 1=Minimally valid 2=50/50 Valid/invalid 3=Mostly	valid 4=Completely valid
	T23. Alcohol/drug usage?	()
	T24. Involvement in criminal activity?	()
	T25. AIDS risk behavior?	()
T27.		VERY RELIABLE
T28.	OVERALL, HOW ATTENTIVE WAS CLIENT DURING THE IN	TERVIEW?
	EASILY DISTRACTED, N PAY ATTENTION. OR OI	IVE OR UNINVOLVED 2 NEEDED URGING TO FTEN REQUIRED IONS 3
T29.	PROCEEDED?	NOT AT ALL 1 A LITTLE LESS 2 A LOT LESS 3

COMMENTS:		

# **Show Card Responses**

# Program Rehabilitation & Restitution Project

- A. One family house, duplex, or condominium
- B. Apartment of other multiple family building
- C. Mobile home/trailer
- D. Hotel room, rooming house, or boarding house
- E. Hospital or medical institution
- F. Jail, prison, or other controlled environment
- G. Residential treatment program
- H. Group residence or halfway house
- I. Homeless shelter
- J. Street, abandoned building, or no regular place
- K. Other (specify)

- A. NO IMPORTANT PLACES
- B. FREEWAY
- C. CHURCH
- D. ABANDONED HOUSE/BUILDING
- E. TROLLEY/BUS
- F. DELI/CORNER STORE
- G. DISCOUNT DEPARTMENT STORE
- H. SHOPPING MALL/MARKET
- I. STREET/CORNER/PARKING LOT
- J. SCHOOL
- K. LIQUOR STORE
- L. PROJECTS
- M. RECREATION CENTER/PARK/GYM
- N. POLICE STATION
- O. RESTAURANT/BAR
- P. DOPE HOUSE/CRACK HOUSE
- Q. OTHER COMMERCIAL AREA
- R. OTHER TRANSIT AREA
- S. OTHER COMMUNITY CENTER
- T. OTHER PLACE (specify)

- A. Both parents
- B. Father mainly
- C. Mother mainly
- D. Spouse (and children)
- E. Children only
- F. Parent and children
- G. Other relative
- H. Member of the opposite sex (mate)
- I. Member of the same sex (mate)
- J. Other friends
- K. Fellow jail/prison inmates
- L. Other institution/hospital/shelter residents
- M. Other treatment program residents
- N. Alone
- O. Other (specify)

- A. Not at All
- B. Once or twice
- C. A few times
- D. About once a month
- E. About once a week
- F. Almost daily
- G. Daily

- A. None
- B. Low
- C. Moderate
- D. High

- A. Never
- B. Rarely
- C. Sometimes
- D. Often
- E. Almost always
- F. Always

- A. Both Parents
- B. Father mainly
- C. Mother mainly
- D. Spouse (and children)
- E. Children only
- F. Parent and children
- G. Other relative
- H. Member of the opposite sex (mate)
- I. Member of the same sex (mate)
- J. Other friends
- K. Fellow jail/prison inmates
- L. Other institution/hospital/shelter residents
- M. Other treatment program residents
- N. Other (specify)

- A. Never
- B. Rarely
- C. Sometimes
- D. Often
- E. Almost always
- F. Always

- A. Couldn't be worse
- B. Pretty bad
- C. Okay
- D. Pretty good
- E. Couldn't be better

#### Show Card #10a

- A. Alcohol any use
- B. Alcohol to intoxication
- C. Inhalants (glue, gas, paint, liquid paper)
- D. Marijuana/hashish
- E. Hallucinogens (LSD, DMT, peyote)
- F. Crack/freebase
- G. Cocaine (by itself)
- H. Heroin (by itself)
- I. Heroin and Cocaine (used together)
- J. Prescribed Methadone
- K. Street Methadone
- L. Other Opiates (codeine, morphine, Demerol
- M. Methamphetamine/Speed/Ice

#### **Show Card #10b**

- A. Other Amphetamines (uppers, diet pills)
- B. Minor Tranquilizers (librium, valium)
- C. Barbiturates (downers)
- D. Other Sedatives/Hypnotics (quaaludes)
- E. PCP
- F. Ecstasy (MDMA)
- G. Ketamine/Special K/Vitamin K
- H. Rohypnol (Roofies)
- I. GHB/Grievous Bodily Harm/Liquid Ecstasy
- J. More than one Drug at a time (excluding alcohol)
- K. Other (specify)

- A. Never
- B. Several times a year (less than once a month)
- C. About once a month
- D. About once every two weeks
- E. About once a week
- F. Several times a week
- G. Every day
- H. More than once a day

- A. Never
- B. Rarely
- C. Sometimes
- D. Often
- E. Almost always
- F. Always

- A. None
- B. Very little
- C. About 1/4
- D. About  $\frac{1}{2}$
- E. About <sup>3</sup>/<sub>4</sub>
- F. Almost All
- G. All of it

- A. Professional or technical (accountant, architect, engineer, lawyer, or judge, scientist, doctor, registered nurse, teacher, social worker, writer, entertainer)
- B. Manager and administrator (office/sales manager, school administrator, government official, small business owner)
- C. Sales (sales representative, insurance agent, real estate broker, bond salesman, sales clerk or other sales people)
- D. Clerical or office worker (bank teller, bookkeeper, secretary, typist, postal clerk or carrier, ticket agent)
- E. Craft and kindred (baker, carpenter, electrician, bricklayer, mechanic, machinist, tool and die maker, telephone installer)
- F. Operative (assembler, checker, gas station attendant, meat cutter, packer, laundry and dry-cleaning operative, miner operative, welder, garage worker)
- G. Transportation equipment operative (bus driver, cab driver or chauffeur, truck driver and delivery man)
- H. Non farm laborer (construction, freight handler, sanitation)
- I. Private household worker (maid, butler, cook)
- J. Service worker (cook, waiter, barber, janitor, practical nurse, beautician, police officer, firefighter)
- K. Farmer and farm manager
- L. Farmer laborer (foreman, picker)
- M. Military Service

- A. Never
- B. Rarely
- C. About ½ of the time
- D. About ½ of the time
- E. About <sup>3</sup>/<sub>4</sub> of the time
- F. Almost always
- G. Always

- A. None of them
- B. One or a few of them
- C. About ½ of them
- D. Most of them
- E. All of them

- A. None of my free time
- B. Very little of my free time
- C. About 25% of my free time
- D. About 50% of my free time
- E. About 75% of my free time
- F. Almost all of my free time
- G. All of my free time

- A. Not at all
- B. Slightly
- C. Moderately
- D. Considerably
- E. Extremely

- A. Excellent
- B. Good
- C. Fair
- D. Poor

- A. Not at all helpful
- B. Somewhat helpful
- C. Quite helpful
- D. Extremely helpful

- A. Never
- B. Rarely
- C. Sometimes
- D. Often
- E. Almost always
- F. Always

- A. Strongly Disagree
- B. Disagree
- C. Not Sure
- D. Agree
- E. Strongly Agree

- A. Not at all
- B. Somewhat
- C. Moderately
- D. Quite a bit
- E. Extremely

- A. Strongly Disagree
- B. Disagree
- C. Not Sure
- D. Agree
- E. Strongly Agree

- A. Probably increase your drug use
- B. Continue to use drugs like before
- C. Cut back your drug use or use on an occasional or more controlled basis
- D. Switch to using (or use more) alcohol
- E. Switch to using other, less serious drug
- F. Quit for a while, but start using again later
- G. Quit eventually but slip a few times during recovery
- H. Quit forever and never use again
- I. Continue your abstinence from drugs
- J. Other (specify)

- A. Zero
- B. Very low (less than 10%)
- C. Low (about 25%)
- D. About 50/50
- E. High (about 75%)
- F. Very high (85% or greater)

- A. Strongly Disagree
- B. Disagree
- C. Neither agree nor disagree
- D. Agree
- E. Strongly Agree

- A. Terrible
- B. Unhappy
- C. Mostly Dissatisfied
- D. Mixed
- E. Mostly Satisfied
- F. Pleased
- G. Delighted

- A. Strongly Disagree
- B. Somewhat Disagree
- C. Somewhat agree
- D. Strongly agree

- A. Never
- B. A few times
- C. About once a month
- D. A few times each month
- E. About once each week
- F. A few times each week
- G. Every day
- H. More than once a day

- A. None of the time
- B. Less than half the time
- C. About half the time
- D. Most of the time
- E. All the time, every time

- A. Not at all
- B. Slightly
- C. Moderately
- D. Considerably
- E. Extremely

- A. Strongly Disagree
- B. Disagree
- C. Neither agree nor disagree
- D. Agree
- E. Strongly Agree

## Show Card #34a

- A. Not at all
- B. A little bit
- C. Moderately
- D. Quite a bit
- E. Extremely

## Show Card #34b

- A. Not at all
- B. Rarely
- C. Sometimes
- D. Often
- E. Always

A Never

B Less than once a month

C About once a month

D Twice a month

E About once a week

F More than once a week

- A No Contacts
- B One to three contacts
- C Four to six contacts
- D Seven or more contacts
- E Discharged from TASC more than 1 month ago

- A Very Dissatisfied
- B Somewhat Dissatisfied
- C Somewhat Satisfied
- D Very Satisfied

A Never

B Less than once a month

C About once a month

D Twice a month

E About once a week

F More than once a week

- A No Contacts
- B One to three contacts
- C Four to six contacts
- D Seven or more contacts
- E Discharged from TASC more than 1 month ago

- A Very Dissatisfied
- B Somewhat Dissatisfied
- C Somewhat Satisfied
- D Very Satisfied

A Not at all Helpful
B Somewhat Helpful
C Moderately Helpful
D Considerably Helpful
E Extremely Helpful

A Once or Twice

B A few times (3-4)

C About once a month

D Every other week (2x/month)

E Once a week

- F. Not at all Helpful G.Slightly Helpful H.Moderately Helpful
- I. Considerably Helpful
- J. Extremely Helpful

### APPENDIX B

### **CLIENT FOCUS GROUP MATERIALS**

Client Focus Group Protocol	B1
Client Focus Group Telephone Script	
Cuyahoga County Client Focus Group Informed Consent Information Sheet and	l Signature
Form for Non-incarcerated Clients	B3
Clermont County Client Focus Group Informed Consent Information Sheet and	Signature
Form for Non-incarcerated Clients	B4
Informed Consent Form to Audio Tape Focus Group	B5

#### **Client Focus Group Protocol**

Public reporting burden for this collection of information is estimated to average 1 hour and 30 minutes per response, including the time for reviewing instructions and the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer; Paperwork Reduction Project (0930-xxxx); Room 16-105, Parklawn Building; 5600 Fishers Lane, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-xxxxx

#### I'd like to start by asking you some questions about your work with your TASC case manager.

- 1. Are you satisfied with the effort on the part of your case manager to provide you with referrals?
- 2. Are you satisfied with the frequency or quality of interaction with your case manager?
- 3. What was the single most helpful topic that you worked on with your case manager?

### Now I'd like to discuss your perceptions or feelings regarding the substance abuse treatment or other services that have already been provided to you.

- 4. What substance abuse treatment or other services are you currently receiving or did you previously receive as part of your participation in the Second Chance Program? *Probe, if necessary:* 
  - What types of drug treatment programs have you participated in?
  - Have you received any counseling or support services?
  - Have you received any additional services (e.g. vocational training)?
- 5. What was the reason you entered treatment or any of these other types of programs? *Probe, if necessary:* 
  - Did you enter these treatment or other programs voluntarily or involuntarily?
  - If voluntarily, what motivated you to get these services at that particular time?
  - If involuntarily, what were the circumstances?
- 6. What is your opinion of the treatment or other services that you have received in this program? *Probe, if necessary:* 
  - How helpful have these treatment services been?
  - Would you say you are satisfied or dissatisfied with the services offered?
- 7. What was the single most helpful topic, which you worked on in treatment?

### Now I'd like to discuss the accessibility of substance abuse treatment services and by that I mean how convenient or inconvenient it is to obtain these services.

- 8. Overall, how easy or hard is it or was it for you to get substance abuse treatment services such as access to drug treatment programs or other services you feel you need?
- *Probe, if necessary:* 
  - If you already received these services, how easy or hard was it for you to obtain them?
  - Are you aware of the different types of services that are available to you?
  - What types of services do you feel you need that have not been provided to you?
  - Do you know where to go to get the various types of services offered to you?

### Now I'd like to discuss some of the difficulties you may have had in trying to access services.

- 9. What specifically might make it difficult to obtain substance abuse treatment services? *Probe, if necessary:* 
  - Do you have any personal issues or concerns that would make it hard for you to obtain substance abuse treatment services?
  - Is substance abuse treatment a priority in your life right now? If not, what takes priority over your treatment needs?

•	Have you tried to obtain these services but for some reason or another you have had trouble? If so, what are some of the problems you have encountered?

#### SCRIPTS FOR RECRUITING FOCUS GROUP PARTICIPANTS BY PHONE

### Script 1: If client is not available Researcher: "Hello, my name is . May I speak with ?" Answer: "What is this about?" Researcher: "I am calling from the National Development and Research Institutes (NDRI). I am looking for . He is part of a research study conducted by EDC, and we are calling to find out if he would be interested in participating in a focus group." Answer: "I'm not sure where he is at but I have never heard him talk about a research study. Can you tell me more about this research study?" Researcher: "I am sorry but I really can't tell you any more than I already have. has been selected and has agreed to participate in this study. This is a confidential health study and that is all I can tell you." Answer: "Then what should I tell him?" Researcher: "You might tell him that he would be paid if he agrees to participate in this focus group. A time and place for the group has been scheduled so can you please ask him to call this number collect [recite phone number] so we can further discuss the details " "We will need to determine soon whether this might be something he is interested in doing so we really appreciate your forwarding this message. Thank you very much for your help! Good bye!" Script 2: If speaking directly with client Researcher: "Hello, my name is . May I speak with ?" Answer: "This is speaking. What is this about?" Researcher: "I am calling from the National Development and Research Institutes (NDRI). You have previously participated in some interviews as part of the EDC study. Do you remember the project?"

Answer: "Yeah, I remember being interviewed last year. Is it time for another interview

already?"

Researcher: "Not just yet but someone from EDC will be contacting you to remind you when it is time for your next interview. Our records indicate that you have been part of the program for [6 months] now so I am calling to ask you whether or not you would be interested in participating in the [6 month] focus group that we have scheduled."

Answer: "A focus group? What is that?"

Researcher: "At the time of your first interview we had mentioned that at some point during the study you might be asked to participate in a focus group. Basically, it is a group discussion with 8-10 other clients. There will be two group leaders from NDRI who are researchers. The group leader will ask some questions for anyone in the group to answer. The questions will be about how you feel about the program and the services that you may, or may not have received."

Answer: "Do I have to participate in this focus group?"

Researcher: "Absolutely not! You do not have to participate in this focus group in order to continue your participation in the study. You are helping us by taking part in a focus group and therefore we will compensate you \$35. If you agree to participate, we will review the focus group information sheet with you when you arrive and give you a chance to ask more questions then have you sign the form. We will also ask your permission to audio tape these discussions for research purposes. However, participation in any focus group is entirely voluntary and the decision is up to you."

Answer: "How long does it take?"

Researcher: "It takes about an hour and a half." We have scheduled the next focus group for [date] [time] [location]. Would you be able to participate?"

Answer: "Can I do it another time? I have something else to do that day."

Researcher: "Because there are 8-10 other participants it is difficult to accommodate individual scheduling requests as we have previously done for the one-on-one interviews. Do you think you would be able to make the scheduled time?"

Note: If client can't make the scheduled time the researcher will note on the correspondence log when the client may be available in case the focus group session has to be rescheduled.

See burden statement on client focus group protocol

### **Program Rehabilitation and Restitution Project**

### Focus Group Discussion – Client Information Sheet for Non-Incarcerated Clients

<u>Introduction</u>: You are being asked to take part in a discussion with 8-10 other clients about the kinds of help you may have received with substance abuse, employment, and social support. We are asking you because you can provide information regarding this process. The focus group is being conducted as part of the evaluation of the Program Restitution and Rehabilitation Project. The focus group will be conducted by staff from the Center for the Integration of Research and Practice (CIRP) at the National Development and Research Institutes, Inc. (NDRI).

<u>Description of Procedures</u>: If you decide to be in the focus group, you will be in a meeting of clients who have also agreed to be in the group and 1-2 group leaders who are researchers. The group leader will ask some questions for anyone in the group to answer. These questions are about how you feel about the program and the services that you may, or may not have received. The group will last about  $1\frac{1}{2}$  hours. The group will be audio recorded only. Only first names of the participants will be used in the recorded portion of the group to protect the identity of the participants.

<u>Risks</u>: There is a possibility that some of the things the group discusses may make you uncomfortable. If this happens you can take a break and come back later, or you can leave the discussion and not return to the room, or one of us can call your case manager or another staff member or someone close to you. Also, other clients in the group could tell someone what you said in the group. We ask everyone in the group to respect other people's privacy and not to talk about what is said in the group after it is over.

**Benefits:** This focus group is not being done to help you, personally. However, there is some chance you will feel better after the focus group. What we learn from you may help others in the future by making services and programs better.

<u>Financial Considerations</u>: You are helping us by participating in this focus group. We will compensate you \$35 for your participation.

Confidentiality: Because we have taken steps to protect your confidentiality, the risk of unauthorized disclosure is minimal. To help keep information about you private and confidential, we have applied for a Confidentiality Certificate from the federal agency giving us money for the study, the Department of Health and Human Services. This Certificate means that we cannot be forced to give information about you to others, even if a court has ordered us to do so using a subpoena. However, if you say you have been harming or abusing a child or an elderly person, we will be required to report that. If you tell us you are going to physically hurt yourself or someone else, we will contact someone who can help. If you commit a crime on our treatment premises or against a treatment service provider, we will report such incidences to the proper authorities. Also, you can give permission to let people know that you are in the study.

If you have any questions about the interview, please ask the person who is interviewing you. You can also call us to talk more about the session. If you have questions about the study or feel you have been hurt by the study, you may call Harry K. Wexler, Ph.D. or Gerald Melnick, Ph.D. at (212.845.4400), who are in charge of the study. If you have questions about your rights as someone who is taking part in this study, you can call D Fred Streit, Executive Director/CEO of NDRI (888.845.4695).

<u>Voluntary Participation:</u> Your participation in this study is entirely voluntary. If you choose not to participate, you will not be penalized or lose any benefits to which you would otherwise be entitled; your decision will not affect your receiving the treatment or the services you would have received if you had not been asked to participate in the study.

**Questions:** Please feel free to ask any questions about anything that seems unclear to you and to consider this research and consent form carefully before you sign.

### **Program Rehabilitation and Restitution Project**

### Focus Group Discussion – Informed Consent Client Signature Form for Non-Incarcerated Clients

	for Non-Incarcerated Clients
I,	, hereby give my consent
	the Client Information Sheet and the informed consent form, including of the study and its possible benefits, my role, possible risks and the steps
I understand th	following:
➤ My p	articipation is voluntary;
	be asked to talk about things like my satisfaction with the program se of the treatment and other services that were provided to me;
I do r	ot have to answer specific questions;
> There	is no penalty for not providing any information;
> I can	refuse to participate at any point;
No no recor	mes, only code numbers, will appear on my interview forms or s;
with	sting of assigned code numbers will be kept in a separate locked file, he code known only to the Principal Investigator and the Project or/Statistician;
	ta will be kept in locked files accessible to the CIRP/NDRI project ch staff;
	ritten and published information will be reported as group data, with erence to individuals.
	ocus group will be tape recorded, but my last name will not be used in to protect my identity.
> This	roject does not guarantee that my criminal records will be sealed.
GROUP. I UN	THE ABOVE AND UNDERSTAND THE PURPOSE OF THE FOCUS DERSTAND THAT THIS FOCUS GROUP IS A PART OF A ROJECT IN WHICH I HAVE VOLUNTARILY AGREED TO TAKE
Client's Signat	Date Date
Interviewer'	Signature Date

Client ID Number (\_\_/\_\_/\_\_/\_\_\_/\_\_\_)

See burden statement on Client focus group protocol

### **Program Rehabilitation and Restitution Project**

### Focus Group Discussion – Client Information Sheet for Non-Incarcerated Clients

Introduction: You are being asked to take part in a discussion with 11 other clients about the kinds of help you may have received with substance abuse, employment, and social support. We are asking you because you can provide information regarding this process. The focus group is being conducted as part of the evaluation of the Program Restitution and Rehabilitation Project. The focus group will be conducted by staff from the Center for the Integration of Research and Practice (CIRP) at the National Development and Research Institutes, Inc. (NDRI).

<u>Description of Procedures</u>: If you decide to be in the focus group, you will be in a meeting of clients who have also agreed to be in the group and 1-2 group leaders who are researchers. The group leader will ask some questions for anyone in the group to answer. These questions are about how you feel about the program and the services that you may, or may not have received. The group will last about  $1\frac{1}{2}$  hours. The group will be audio recorded only. Only first names of the participants will be used in the recorded portion of the group to protect the identity of the participants.

<u>Risks</u>: There is a possibility that some of the things the group discusses may make you uncomfortable. If this happens you can take a break and come back later, or you can leave the discussion and not return to the room, or one of us can call your case manager or another staff member or someone close to you. Also, other clients in the group could tell someone what you said in the group. We ask everyone in the group to respect other people's privacy and not to talk about what is said in the group after it is over.

<u>Benefits</u>: This focus group is not being done to help you, personally. However, there is some chance you will feel better after the focus group. What we learn from you may help others in the future by making services and programs better.

<u>Financial Considerations</u>: You are helping us by participating in this focus group. We will provide you with a gift certificate valued at \$35 for your participation.

Confidentiality: Because we have taken steps to protect your confidentiality, the risk of unauthorized disclosure is minimal. To help keep information about you private and confidential, we have applied for a Confidentiality Certificate from the federal agency giving us money for the study, the Department of Health and Human Services. This Certificate means that we cannot be forced to give information about you to others, even if a court has ordered us to do so using a subpoena. However, if you say you have been harming or abusing a child or an elderly person, we will be required to report that. If you tell us you are going to physically hurt yourself or someone else, we will contact someone who can help. If you commit a crime on our treatment premises or against a treatment service provider, we will report such incidences to the proper authorities. Also, you can give permission to let people know that you are in the study.

#### **CLERMONT COUNTY, OHIO**

If you have any questions about the interview, please ask the person who is interviewing you. You can also call us to talk more about the session. If you have questions about the study or feel you have been hurt by the study, you may call Harry K Wexler, Ph.D. or Gerald Melnick, Ph.D. at (212.845.4400), who are in charge of the study. If you have questions about your rights as someone who is taking part in this study, you can call Dr. Fred Streit, Executive Director/CEO of NDRI (888.845.4695).

<u>Voluntary Participation:</u> Your participation in this study is entirely voluntary. In you choose not to participate, you will not be penalized or lose any benefits to which you would otherwise be entitled; your decision will not affect your receiving the treatment or the services you would have received if you had not been asked to participate in the study.

**Questions:** Please feel free to ask any questions about anything that seems unclear to you and to consider this research and consent form carefully before you sign.

### **Program Rehabilitation and Restitution Project**

### Focus Group Discussion – Informed Consent Client Signature Form for Non-Incarcerated Clients

	for Non-Incarce	rated Clients
the descr		, hereby give my consent d the informed consent form, including efits, my role, possible risks and the steps
Lundaret	tand the following:	
	My participation is voluntary;	
	I will be asked to talk about things lik and use of the treatment and other ser	1 0
>	I do not have to answer specific quest	ions;
	There is no penalty for not providing	
>	I can refuse to participate at any point	
>	No names, only code numbers, will aprecords;	pear on my interview forms or
>	The listing of assigned code numbers with the code known only to the Princ Director/Statistician;	1 1
>	All data will be kept in locked files ac research staff;	cessible to the CIRP/NDRI project
>	All written and published information no reference to individuals.	will be reported as group data, with
>	The focus group will be tape recorded order to protect my identity.	, but my last name will not be used in
>	This project does not guarantee that m	y criminal records will be sealed.
GROUP.	READ THE ABOVE AND UNDERS . I UNDERSTAND THAT THIS FOC RCH PROJECT IN WHICH I HAVE V	
Client's	Signature	Date
Intervi	.ewer's Signature	 Date

Client ID Number (\_\_/\_\_/\_\_/\_\_\_/\_\_\_)

### **Program Rehabilitation and Restitution Project**

#### Informed Consent to Make Audio Tapes for Non-Incarcerated Clients

#### **Client Signature Form**

I give my consent to the staff of the Program Rehabilitation and Restitution Project to record this focus group on audio tapes. These tapes will be used as part of the research study to find out how the program helps ex-offenders.

Both I and the interviewers will be careful not to mention full names or any other information that could identify me or any other participant. If anyone accidentally does so, that information will be deleted from the tape.

When completed, tapes will be identified by code numbers only; my name will not appear on the tapes or their containers. Tapes will be stored in locked cabinets when not in use. Tapes will be sent to the NDRI New York offices. Mailing systems with tracking and receipt capabilities will be used to protect against the danger of losing the tapes.

I understand that I can refuse consent to audiotape a focus group in the future, even if I agreed to this one. My decision will not affect my treatment or the services I receive. All tapes will be destroyed three years after the completion of the Project.

I may revoke this permission at any time and request that my voice on the tapes be deleted, by writing to:

Dr. Harry K. Wexler, Principal Investigator NDRI 71 West 23<sup>rd</sup> St., 8<sup>th</sup> Floor New York, NY 10010

Client's Signature		Date							
Interviewer's Signature		Date							
	Client ID Numb	er ( /	/	/	/	/	/	/	

### APPENDIX C

### STAKEHOLDER SURVEY

Stakeholder Attitudinal Change Survey Cover Letter	C1
Stakeholder Attitudinal Change Survey	C2

# National Development and Research Institutes, Inc. (NDRI) Center for the Integration of Research and Practice 71 West 23<sup>rd</sup> Street, 8<sup>th</sup> Floor New York, NY 10010

[Date]

Re: Program Rehabilitation and Restitution Project Attitudinal Change Survey

Dear [Participant],

You are being asked to complete the attached "Stakeholder Attitudinal Change Scale" as part of the Program Rehabilitation and Restitution Project. This study is funded by funded by the federal Substance Abuse and Mental Health Services Administration's Center for Substance Abuse Treatment (CSAT). This survey was created by the evaluation team at National Development and Research Institutes, (NDRI) Inc., which is a non-profit research organization with a national reputation for substance abuse research.

As you may know, the Ohio Statute permits the sealing of records for first time nonviolent felony offenders with no previous misdemeanor offenses (co-occurring misdemeanor offenses at the time of the felony offense do not count) after a minimum of 3 years post supervision without infractions. Charges that make some *ineligible* for sealing of records are: DUI, DUS, drag racing, sex offenses and any other violent offense, crimes against a minor, some burglary charges, and drug offenses involving large amounts of Schedule I and II substances, leaving the scene of an accident, and odometer violations. The purpose of this project is to determine the impact of record expungement on recidivism, substance treatment compliance, victim awareness/restitution, and assimilation into a productive lifestyle.

The attached Attitudinal Change Survey will provide information to program developers on the extent to which attitudes towards sealing records have been impacted positively or negatively over the course of the project. Personnel from both state and local level agencies that have been identified as key contributors to the program's long-term viability and effectiveness are being asked to complete this survey. You were selected because of your position as [TITLE] of [AGENCY], which has been identified as a key stakeholder in our project.

This same survey will be administered at three different points taking approximately ten minutes to complete each time. Although we hope you will fill out this survey and those to follow, your participation is voluntary. Please be assured that NDRI will keep this information strictly confidential, and that we will not release any information that can be linked directly to you. You do not need to put your name on the survey as we use code numbers instead. We have included a self-addressed stamped envelope for your convenience in returning the survey to NDRI.

A member of the research evaluation team can be reached at [1-800-xxx-xxxx] if you have any questions about the study or the survey. We hope that you will be able to complete this survey and we thank you in advance for your valuable time.

Sincerely,

#### Stakeholder Attitudinal Survey

Public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions and collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer; Paperwork Reduction Project (0930-xxxx); Room 16-105, Parklawn Building; 5600 Fishers Lane, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-xxxx

Many states allow the 'sealing' of criminal records which limits who has access to the records, but does not entirely destroy those records. Ordinarily, the repository of criminal records retains the record and a limited number of agencies such as the criminal justice system, law enforcement agencies, agencies granting teaching certificates, and adoption agencies continue to have access to records in certain circumstances.

1. I think that the practice of sealing criminal records for offenders should be discouraged.

1	2	3	4	5
Strongly Agree	Agree	Disagree	Strongly	Don't Know
			Disagree	

2. I think that most felons deserve the type of second chance that the sealing of criminal records would give them.

1	2	3	4	5
Strongly Agree	Agree	Disagree	Strongly Disagree	Don't Know

3. I think that sealing criminal records would encourage criminals to freely commit more crimes.

1	2	3	4	5
Strongly Agree	Agree	Disagree	Strongly Disagree	Don't Know

4. I think that people have a right to have their criminal records sealed.

1	2	3	4	5
Strongly Agree	Agree	Disagree	Strongly Disagree	Don't Know

5. I support the sealing of criminal records for first time non-violent offenders. e.g. status offenses such as burglary, possession of burglar tools, failure to appear, forgery, panhandling, larceny etc.

1	2	3	4	5
Strongly Agree	Agree	Disagree	Strongly Disagree	Don't Know

6. I support the sealing of criminal records for some violent offenders. e.g. Armed robbery, possession of weapon, attempted homicide, use of force, aggravated assault

1	2	3	4	5
Strongly Agree	Agree	Disagree	Strongly Disagree	Don't Know

7. I support the sealing of criminal records for drug use arrests or convictions.

1	2	3	4	5
Strongly Agree	Agree	Disagree	Strongly Disagree	Don't Know

8. I think sealing of criminal records is too lenient.

1	2	3	4	5
Strongly Agree	Agree	Disagree	Strongly Disagree	Don't Know

9. I think the process of sealing records should be made as simple as possible.

1	2	3	4	5
Strongly Agree	Agree	Disagree	Strongly Disagree	Don't Know

10. People should be encouraged to seek to have their records sealed.

1 2	3 4	5
Strongly Agree Agree	Disagree Strongly Disagree	Don't Know

#### APPENDIX D

#### STAKEHOLDER FOCUS GROUPS

Stakeholder Focus Group Recruitment Letter	D1
Stakeholder Focus Group Informed Consent Information Sheet and Signature Form	
Stakeholder Focus Group Protocol	D3

## National Development and Research Institutes, Inc. (NDRI) Center for the Integration of Research and Practice 71 West 23<sup>rd</sup> Street, 8<sup>th</sup> Floor New York, NY 10010

[Date]

Re: Program Rehabilitation and Restitution Project focus group discussion

Dear [Participant],

You are invited to participate in a focus group discussion of the Program Rehabilitation and Restitution Project. This study is funded by funded by the federal Substance Abuse and Mental Health Services Administration's Center for Substance Abuse Treatment (CSAT). The focus group discussion will be facilitated by the evaluation team at the National Development and Research Institutes, (NDRI) Inc, which is a non-profit research organization with a national reputation for substance abuse research.

As you may know, the Ohio Statute permits the sealing of records for first time nonviolent felony offenders with no previous misdemeanor offenses (co-occurring misdemeanor offenses at the time of the felony offense do not count) after a minimum of 3 years post supervision without infractions. The purpose of this project is to determine the impact of record expungement on recidivism, substance treatment compliance, victim awareness/restitution, and assimilation into a productive lifestyle.

The focus group will include participants from both the state and local level that have been identified as key contributors to the program's long-term viability and effectiveness. During this meeting, you will have the opportunity to share your experiences with and opinions of the Second Chance treatment model implemented for this study, the services provided as part of the study, and the perceived impact of expungement on the process.

The focus group will be held on [DATE] from [TIME1] until [TIME2] at [PLACE]. <Continental breakfast or snacks> will be provided. You were selected because you [TITLE] of [AGENCY], which has been identified as a key stakeholder in our project. Although we hope you will join us, your participation is voluntary. The focus group session will be audio taped. Pleased be assured that NDRI will keep anything you say during the focus group strictly confidential, and that NDRI will not release any information that can be linked directly to you.

A member of the research evaluation team will be contacting you by telephone to invite you to participate and answer any questions you may have about the study. You are also welcome to call us at [1-800-xxx-xxxx].

We hope that you will be able to join us for this important discussion.

Sincerely,

#### **Program Rehabilitation and Restitution Project**

#### Focus Group Discussion – Stakeholder Information Sheet

<u>Introduction</u>: You are being asked to take part in a discussion with 11 other stakeholders in the community regarding the Program Rehabilitation and Restitution (PRR) Project. The focus group is being conducted as part of the evaluation of the PRR Project. The focus group will be conducted by staff from the Center for the Integration of Research and Practice (CIRP) at the National Development and Research Institutes, Inc. (NDRI).

<u>Description of Procedures</u>: If you decide to be in the focus group, you will be in a meeting of stakeholders who have also agreed to be in the group and 1-2 group leaders who are researchers. The group leader will ask some questions for anyone in the group to answer. These questions are about how you feel about the program, services that may or may not be offered, and the expungement process. The group will last about  $1\frac{1}{2}$  hours. The group will be audio recorded only. Names of the participants will not be used in the recorded portion of the group to protect the identity of the participants.

<u>Risks</u>: There is a possibility that some of the things the group discusses may make you uncomfortable. If this happens you can take a break and come back later, or you can leave the discussion and not return to the room. Also, other stakeholders in the group could tell someone what you said in the group. We ask everyone in the group to respect other people's privacy and not to talk about what is said in the group after it is over.

**Benefits:** This focus group is not being done to help you, personally. However, what we learn from you may help others in the future by making services and programs better.

<u>Confidentiality</u>: Because we have taken steps to protect your confidentiality, the risk of unauthorized disclosure is minimal. To help keep information about you private and confidential, we have applied for a Confidentiality Certificate from the federal agency giving us money for the study, the Department of Health and Human Services. This Certificate means that we cannot be forced to give information about you to others, even if a court has ordered us to do so using a subpoena.

If you have any questions about the interview, please ask the person who is interviewing you. You can also call us to talk more about the session. If you have questions about the study or feel you have been hurt by the study, you may call Harry K. Wexler, Ph.D. or Gerald Melnick, Ph.D. at (212.845.4400), who are in charge of the study. If you have questions about your rights as someone who is taking part in this study, you can call Dr. Fred Streit, Executive Director/CEO of NDRI (888.845.4695).

<u>Voluntary Participation:</u> Your participation in this study is entirely voluntary. If you choose not to participate, you will not be penalized or lose any benefits to which you would otherwise be entitled.

**Questions:** Please feel free to ask any questions about anything that seems unclear to you and to consider this research and consent form carefully before you sign.

### **Program Rehabilitation and Restitution Project**

#### Focus Group Discussion – Informed Consent Stakeholder Signature Form

	erstand the Stakeholder Information Shee					
_	g the description of the study and its poss taken to protect me.	sible benefits, my role, possible risks and				
me steps	to protect man					
I underst	and the following:					
	My participation is voluntary;					
>	I will be asked to talk about things like and use of the treatment and other servi	, , ,				
>	➤ I do not have to answer specific questions;					
>	There is no penalty for not providing ar	y information;				
>	I can refuse to participate at any point;					
>	No names will be used during the focus	group discussion;				
>	The listing of stakeholder participants we known only to the Principal Investigato					
>	All data will be kept in locked files accoresearch staff;	essible to the CIRP/NDRI project				
>	All written and published information vano reference to individuals.	vill be reported as group data, with				
>	The focus group will be tape recorded, protect my identity.	but name will not be used in order to				
GROUP. RESEAF	READ THE ABOVE AND UNDERSTA I UNDERSTAND THAT THIS FOCU RCH PROJECT IN WHICH I HAVE VO	S GROUP IS A PART OF A				
PART.						
Stakehol	der's Signature	Date				
Interviev	ver's Signature	Date				

#### **Stakeholder Focus Group Protocol**

Public reporting burden for this collection of information is estimated to average 1 hour and 30 minutes per response, including the time for reviewing instructions and the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer; Paperwork Reduction Project (0930-xxxx); Room 16-105, Parklawn Building; 5600 Fishers Lane, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-xxxx

#### Let's start by talking about system changes that have occurred in Cuyahoga County

- 1. What are some of the factors responsible for fewer sealing eligible referrals than were projected?
- 2. Many of our referrals come from diversion programs such as EIP and ILC. Is there any sense that these sorts of alternatives have become increasingly popular? If so, why?
- 3. Do you believe that the diversion track provides more incentive to clients to succeed as opposed to sealing (i.e. imposing a felony that can subsequently be sealed)?
  - What is it about diversion programs that are likely to be effective?
  - What is it about record sealing that is likely to be effective?
- 4. Our data tells us that there is a considerable age difference between standard court (median age=20) and diversion clients (median age=28). How would you explain this difference?
  - Is this in anyway a function of legal representation? If so, how?
  - To what extent is this attributable to factors related to the client? That is, is it a matter of resources, stake in conformity or the lack thereof, or image and presentation?
  - When faced with a plea opportunity involving a conviction, are offenders likely to minimize the stigma of felony conviction simply because they are able to avoid jail time?

#### Now I'd like to ask you some questions regarding stigma avoidance.

- 5. Do you feel that most felons deserve the second chance afforded to them?
- 6. Under what circumstances should these sorts of programs be used or for what types of offenders?
- 7. Do you perceive any negative impacts of diversion programs or sealing?

#### Now, I'd like to discuss the treatment model implemented for the Second Chance Program.

- 8. What is your opinion of the intensive case management model implemented for this program regarding its' potential influence on treatment success (e.g. recovery or relapse)?
  - Can reducing caseloads and increasing the frequency of client contacts or the quality of supervision have a positive effect on treatment success?
  - Is the linkage to additional services in the community by TASC case managers and following the completion of TASC something that might have a positive effect on treatment success?
- 9. Do you feel that those clients receiving the strengths based case management intervention will be more successful when compared to other TASC clients?
  - If so, in what areas are they most likely to be successful? Criminal behavior? Drug use?
  - If so, which components of the model do you believe have the greatest impact?
  - If not, how could this model be improved to achieve more favorable results?

## Now I'd like to discuss your perceptions regarding the substance abuse treatment services that are offered to Second Chance Program participants.

- 10. What is your overall opinion of the services available to clients in the Second Chance Program? *Probe, if necessary:* 
  - Do you believe there are a sufficient number of programs and services available to clients?
  - Do you believe the right kinds of programs and services are offered to clients?

#### APPENDIX E

#### MULTIMODALITY QUALITY ASSURANCE (MQA) INSTRUMENTS

MQA Cover Letter	E1
Clinical Supervisor Survey	
Administrative Survey	
Staff Survey	

#### National Development and Research Institutes, Inc. (NDRI) Center for the Integration of Research and Practice 71 West 23<sup>rd</sup> Street, 8<sup>th</sup> Floor New York, NY 10010

Dear [Program Director],

We are asking for your participation in completing the Community-Based Multimodality Quality Assurance Instrument (MQA) as part of an evaluation of our special TASC strength-based case management project (see attached). There are three forms of the MQA, an Administrative Director Form, a Clinical Supervisor Form, and a Primary Treatment Staff Form, that we are asking your agency to complete, each of which will take a total of approximately 45 minutes. The forms can be distributed to staff to complete at a staff meeting or some other time convenient to the program. A self-addressed, postage paid envelope will be distributed with the instrument for staff and supervisors to send these forms directly to NDRI.

The MQA is a self-report instrument designed to bridge the gap between expensive field-audits and program self-descriptions. The instrument includes five domains of quality assurance: *Organizational characteristics* consist of funding levels, program capacity and occupancy rate, waiting time, and evaluation and research activities. Staffing information includes staff ratios, recruitment, background and experience, turnover, incentives, training, and supervision. *Program characteristics* consist of the program setting, physical facilities, client recruitment, intake, treatment planning, discharge planning, surveillance, and procedures for monitoring treatment. *Client characteristics* include any special populations (e.g., dually diagnosed, developmental impairment, violent offender, gender, age, etc.), demographics, medical conditions, and drug and criminal histories of the clients. *Treatment components* consist of the type of services provided and separate scales that measure the treatment goals and elements associated with the therapeutic community, cognitive-behavioral therapy, and 12-Step treatment modalities. Additional scales examine organizational culture and the treatment process. *Staff satisfaction* is measured on 4 point Likert-type scales. Satisfaction items for each topic appear at the end of the relevant section to reduce contamination in rating different aspects of the program.

The Multimodality Quality Assurance Instrument (MQA) was developed to provide a relatively inexpensive system for program directors and oversight agencies to monitor treatment programs. It is designed as a state of the art measure of "what works" in substance abuse treatment and the national quality assurance criteria for health care organizations set forth by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO). At the program level its intended uses include helping programs to become more facile in self monitoring, helping programs prepare for accreditation, establishing and improving program quality, and helping programs to qualify for more funding opportunities. For treatment agencies, the MQA provides a means of monitoring what an individual treatment program says it is doing, and the degree to which it is actually implementing the stated program. A unique feature of the MQA measures program implementation by the degree to which clinical supervisors, primary treatment staff, and clients report the same activities and emphasis within the program.

Please feel free to contact me at (212) 845-4426 if there is any additional information that you may need.

Sincerely,

Gerald Melnick, Ph.D. Senior Principal Investigator

Form Approved OMB No. 0930-xxxx Expires mm/dd/yy

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions and completing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer; Paperwork Reduction Project (0930-xxxx); Room 16-105, Parklawn Building; 5600 Fishers Lane, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-xxxx.

# Clinical Supervisor Perspective Community-Based Residential Substance Abuse Treatment Programs

Multimodality Quality Assurance Scales (MQA) ©

Gerald Melnick, Ph.D.

Frank Pearson, Ph.D.

© Gerald Melnick, Ph.D. and Frank Pearson, Ph.D. National Development and Research Institutes, Inc. 71 West 23<sup>rd</sup> Street, 8<sup>th</sup> Floor New York, NY 10021

April 7, 2006

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#### MULTIMODALITY QUALITY ASSURANCE SCALES (MQA)

#### GUIDE TO THE MOA CLINICAL SUPERVISOR SECTION

The MQA is designed to provide reports to program directors and others based on a wide organizational and treatment array of characteristics. This report focuses on four perspectives: the program director, clinical supervisors, line staff (substance abuse counselors), and clients. The objective of the report is to compare programs to other similar programs, and to identify the means by which they can become more efficient in their use of resources, and more effective in creating client satisfaction and change.

Who should be responsible for answering the MQA items?

There are four sections of the MQA:

<u>Section 1</u> is to be completed by the Chief Administrative Officer of the program

<u>Section 2</u> is to be completed by the Clinical Director. In some programs this may be the same individual as the Chief Administrative Officer. In large programs there may be several clinicians in supervisory roles, these supervisory clinicians should complete Section 2.

<u>Section 3</u> is to be completed by substance abuse counselors and the primary clinical staff that are directly responsible for client care.

<u>Section 4</u> is to be completed by the program's clients.

Please complete the following questionnaire on the basis of the latest information about your treatment program. Missing information will lead to gaps in our ability to provide feedback about your program. Therefore, we ask you to be careful to answer each of the questions.

If you have any questions (or if you have any comments to make), please feel free to contact Dr. Gerald Melnick (212) 845-4426 or e-mail him at melnick@ndri.org

#### **MULTIMODALITY QUALITY ASSURANCE**

#### **INSTRUCTIONS:**

- 1. Use "9" to answer items when you do not know the answer.
- 2. You may check more than one response for items describing facts about the program.
- 3. Throughout the questionnaire there are areas for you to express how satisfied or dissatisfied you are with the elements in your program at present.

Please insert one of the following responses in the satisfaction rating box:

**0** = Very Dissatisfied

1 = Somewhat Dissatisfied

2 = Somewhat Satisfied

3 = Very Satisfied

Or

9 to designate Unknown, or "I have no information about this"

Please use the lines provided to explain any 0 or 1 ratings you gave (of course, feel free to explain any of your ratings).

NOTE: Please do not skip over any items! Completeness is very important for us to understand your opinion of the program!

1.	Name	of	Program

#### 2. Program Address:

Please answer the following questions to the best of your knowledge:

#### **STAFF TRAINING**

5. Is there a program orientation that all new treatment staff receive?

6. Does the program provide in-service staff training?

NO ڤ NO

IF YES, what were the:

	Number
a. Number of meetings in the last 12 months?	ثقف
b. Duration of the usual meeting (in minutes)?	فقق
c. Number of staff involved in average session?	ڤڤڤ
d. Number of staff sent to training sessions outside the program?	فقق

	PERCENT
e. Percent of in-service training focusing on theory and content?	فوق
f. Percent of in-service training focusing on practice and skills?	ققق

7. How satisfied are you with in-service staff training? (Please Check One)				
0	1	2	3	9
Very	<b>Somewhat</b>	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information about this
IF 0 or 1, explain:				

#### SUPERVISION THROUGH STAFF MEETINGS

8. Are there regularly scheduled formal staff meetings during which clients are discussed?

NO ف NO

→ IF NO, skip to question number 11

9. When are these meetings scheduled?

a. Daily	Usual duration per meeting min.
b. Two or three times a week	Usual duration per meeting min.
c. Weekly	Usual duration per meeting min.
d. Two or three times a month	Usual duration per meeting min.
e. Monthly	Usual duration per meeting min.
f. Less frequently than a month	Usual duration per meeting min.

SATISFACTION RA	ΓING:			
10. How sa	ntisfied are you with	supervision in forma	al staff meetings?	(Please Check One)
<b>0</b> Very Dissatisfied	Somewhat Dissatisfied	2 Somewhat Satisfied	3 Very Satisfied	Unknown I have no information
IF 0 or 1, explain:		Sausileu	Sausticu	about this

#### SUPERVISION THROUGH INDIVIDUAL STAFF MEETINGS

11. Are there regularly scheduled individual supervisory meetings between a supervisor and individual clinical staff members?

NO ڤ NO

→ IF NO, skip to question number 14

#### 12. When are they scheduled? (Check ONLY one)

a. Daily	ث <sub>NO</sub> ث	Usual duration per meeting min.
b. Two or three times a week	Es ق NO ق	Usual duration per meeting min.
c. Weekly	e No ث	Usual duration per meeting فقف min.
d. Two or three times a month	YES ڤ NO ڤ	Usual duration per meeting قف min.
e. Monthly	e No ث	Usual duration per meeting min.
f. Less frequently than a month	ES ف NO ثق	Usual duration per meeting min.

SATISFACTION RAT	ΓING:			
13. How satis	fied are you with in	dividual supervision	? (Please Check C	One)
0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
<b>Dissatisfied</b> IF 0 or 1, explain:	Dissatisfied	Satisfied	Satisfied	I have no information about this
ir o or 1, explain.				

#### COUNSELOR AND CLIENT INFLUENCE

#### 14. For the items below, please indicate what actually happens at the program

	Never 0	Sometimes 1	Usually 2	Always 3
a. How often are supervisor(s) asked by the program director for suggestions about program policies?	0	1	2	3
b. How often do supervisor(s) ask counselors for their opinions and suggestions about treatment issues?	(),		2	3
c. How often do supervisor(s) ask counselors for their opinions and suggestions about program policies?	0	1	2	3
d. How often do supervisors ask clients for their opinions and suggestions about treatment issues?	0.		2	3

	Never 0	Sometimes 1	Usually 2	Always 3
e. How often do supervisors ask clients for their opinions and suggestions about program policies?	0.		2	3
f. The program is open to new methods and techniques?	0	1	2	3
g. The program is open to issues of cultural diversity?	0.	1	2	3

#### 15. For the items below, please indicate what actually happens at the program

	None 0	Very Little 1	Moderate Amount 2	Great Deal 3
a. How much influence do supervisor(s) have on program policies?	0	1	2	3
b. How much influence do supervisor(s) have on treatment decisions?	0		2	3
c. How much influence do counselors have on program policies?	0	1	2	3
d. How much influence do counselors have on treatment decisions?	0		2	3
e. How much influence do clients have on program policies?	0	1	2	3
f. How much influence do clients have on treatment decisions	0		2	3

SATISFACTION RAT	TING:			
	tisfied are you with Check One)	n clinical supervisor i	nfluence on decisi	on-making?
Very Dissatisfied	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this
IF 0 or 1, explain:				

#### **INTAKE ASSESSMENT**

## 17. Does the initial client evaluation include: (Please check a YES or NO response)

a. Drug abuse history assessment	ث <sub>NO</sub> ث
b. Criminal history assessment	ث <sub>NO</sub> ث
c. Medical assessment	ث <sub>NO</sub> ث
d. Psychiatric assessment	ث <sub>NO</sub> ث
e. Trauma assessment	ث <sub>NO</sub> ث
f. Educational assessment	ث <sub>NO</sub> ث
g. Work/Vocational assessment	ث <sub>NO</sub> ث
h. Family assessment	ث <sub>NO</sub> ث
i. Strengths/Skills assessment	ث <sub>NO</sub> ث
j. Motivational assessment	ث <sub>NO</sub> ث
k. Other (Specify):	ث <sub>NO</sub> ث

## **18.** What diagnostic instruments are used at intake and for what purpose are they used? Except for the ASI, please send us a copy of all instruments listed below.

#### Purpose of Instrument

	PROGRAM ASSIGNMENT	RISK ASSESSMENT	NEEDS ASSESSMENT	MEASURE CHANGE OVER TIME	OTHER USE (SPECIFY BELOW)
a. ASI (Addiction Severity Index)					,
b. Other (Name)					
c. Other (Name)					
d. Other (Name)					
e. Other (Name)					
f. Other (Name)					

SATISFACTION RAT	TING:				
19. How sati	19. How satisfied are you with the intake assessment? (Please Check One)				
0	1	2	3	9	
Very	Somewhat	Somewhat	Very	Unknown	
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information	
IF 0 or 1, explain:				about this	

#### PROGRAM STRUCTURE

#### 20. What manuals and protocols does your program provide? (Check your response)

a. Does this program have a written treatment protocol?	ث <sub>NO</sub> ث
b. Does this program have an administrative policy manual?	ث <sub>NO</sub> ث
c. Is there a structured approach to treatment that the program follows with all clients (for example, a specific number and type of group and/or individual sessions that clients must attend)?	ن <sub>NO</sub> ث
d. Is there a structured content to client groups or individual sessions (For example, client workbooks, or set of activities that must be followed)?	ن <sub>NO</sub> ث
·	2

21. What percentage of your time is spent on paperwork?

% ڦ ڦ ڦ

SATISFACTION RAT	TING:			
22. How satisf	fied are you with th	e way that the progra	am has been defir	ned? (Please Check One)
0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information about this
IF 0 or 1, explain:				

#### DRUG SCREENING POLICY AND PRACTICE

23. Does your program conduct any drug or alcohol testing?  $\rightarrow$  If NO, skip to question number 32.

NO ڦ NO

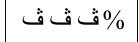
24. What method of sample collection is used?

a. Urine samples	ث <sub>NO</sub> ث
b. Hair samples	ث <sub>NO</sub> ث
c. Breathalyzer	ث <sub>NO</sub> ث
d. Other (Specify)	ت <sub>NO</sub> ث

25. Drug testing is conducted: (CHECK ALL THAT APPLY)

a. At Random days and times (for example, it can occur at any day at almost any waking hour)	ڤ
b. At regular scheduled days and times (for example, only on Tuesdays between 1:00 and 3:00 p.m.)	ڤ
c. Only when use is suspected	ڤ

26. What percentage of clients are tested each week?



27. What percentage of clients are tested each month?

ڤ ڤ	% ڤ
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28. On what basis are clients included for testing? (CHECK ALL THAT APPLY)

a. All clients are tested	ۅ؞
b. For cause (suspicion that particular inmates are using drugs)	<b>6</b> .
c. Random testing	<b>6</b> .
d. To satisfy legal mandates (probation/parole requirements)	ĺ.
e. Other (Specify)	Ĺ.

29. What are the consequences of a positive drug test? (CHECK ALL THAT APPLY)

a. Verbal reprimand	ڦ
b. Loss of privileges	ڤ
c. Discharge from program	ڨ
d. Learning experience (Special counseling or activities)	ڤ
e. None	ڤ
f. Other (Specify)	ڤ

30. Are consequences of a positive drug test applied in graduated steps? (for example, a second violation has more severe consequences than the first violation)

NO ف NO

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× ^		$\Lambda I$	1 16 1			1 1 1 1 1 (	-

31. How satisfied are you with the drug screening policy in your program? (Please Check One)

0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information
				about this
F 0 or 1 explain:				

TREATMENT PLANNING

32. Do all clients have a treatment plan?

33. What percent of your clients have a treatment plan...

#### (When NONE, enter 0)

	Percent
a. Completed at intake?	فثث
b. Completed within 30 days of admission?	فَقَقَ

## 34. What percent of your clients' treatment plans are periodically updated? → IF you indicate 0, skip to question number 37

ے قت قت	% ق
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#### 35. How often are treatment plans updated? (Please check only ONE box)

a. Weekly	ڤ
b. Every 2 weeks	ڤ
c. More frequently than once a month but less than every 2 weeks	٣
d. Once a month	þ.
e. Every 2-3 months	ۅٛ
e. Less frequently than every 3 months	ڤ
f. When the client reaches a specific stage or level	٣
g. Other (Specify)	ق ٔ

## 36. Who participates in updating the treatment plans? (Please answer ALL items a through h)

	<u>Never</u>	<b>Sometimes</b>	<b>Usually</b>	<u>Always</u>
	0	1	2	3
a. Primary counselor	0	1	2	3
b. Case manager	0.	1	2	3
c. Clinical supervisor	0	1	2	3
d. Social worker, Psychiatrist, or Psychologist	0.		2	3
e. Client	0	1	2	3
f. Client's family	0.	1.	2.	3
g. Probation or parole agent	0	1	2	3
h. Other (Specify)	0.	1	2	3

## 37. What are the major components of the treatment plan? (Check all that apply)

a. Assessment/Diagnosis	ڤ
b. Short term goals	Ĺ,
c. Long term goals	Ĺ,
d. Psychological goals	؋ؿ
e. Employment goals	ۅ؞
f. Health status	ۊ
g. Other	ĺ.
(specify):	

<b>38.</b>	Is there clear	consistent docum	entation of cl	lient progress	related to th	ie treatment i	plan?	•
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SATISFACTION RAT	ΓING:			
39. How satis	fied are you with th	e treatment planning	process? (Please	Check One)
Very Dissatisfied	Somewhat Dissatisfied	Somewhat Satisfied	3 Very Satisfied	Unknown I have no information about this
IF 0 or 1, explain:				

#### **DISCHARGE PLANNING**

40. Is there a discharge plan for clients completing your programs?

→ IF NO, skip to question number 46

NO ف NO

41. Who participates in formulating the discharge plan? (Please answer ALL items a. through i.)

	Never 0	Sometimes 1	Usually 2	Always 3
a. Primary counselor	0.	1.	2.	3.
b. Case manager	0	1	2	3
c. Social worker, Psychiatrist, or Psychologist	0.		2	3
d. Clinical supervisor	0	1	2	3
e. Client	0.	1.	2	3.
f. Client's family	0	1	2	3
g. Probation or parole agent	0.	1.	2	3.

h. Aftercare staff	0	1	2	3
i. Other (Specify)	0.0	1.	2.	3

42. Do you have aftercare service agreements with vocational/educational substance abuse treatment, etc., agencies if you are discharging to another geographic location?

NO ف YES

43. What percent of your clients scheduled to be released meet with an aftercare provider (agencies that provide vocational/educational services, substance abuse treatment, etc.)?

%وقق

44. What percent of your clients scheduled to be released meet with an aftercare provider more than once?

% ڤ ڤ ڤ ڤ

#### SATISFACTION RATING:

How satisfied are you with the discharge planning process? (Please Check One) **45.** 

Very Dissatisfied	Somewhat Dissatisfied	Somewhat Satisfied	3 Very Satisfied	Unknown I have no information about this
IF 0 or 1, Explain:				www.

#### LIST OF SERVICES

46. **Educational/Vocational:** 

> The following items refer to whether separate classes or training sessions are provided in each of the following areas.

	Provided?
a. High school/G.E.D. classes	ث <sub>NO</sub> ث
b. Other basic educational classes (reading, math, etc.)	ت <sub>NO</sub> ث
c. Vocational training	ث <sub>NO</sub> ث
d. Job readiness	ث <sub>NO</sub> ث
e. Other (Specify)	ث <sub>NO</sub> ث

SATISFACTION RAT	TING:			
47. How sa	tisfied are you with	the educational/voca	tional services? (	Please Check One)
0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information
IF 0 or 1, explain:				about this

#### 48. Social Skills Training:

The following items refer to whether specific classes or training sessions are provided in each of the following areas.

	Provided?
a. Communication skills	ث <sub>NO</sub> ث
b. Personal hygiene	ث <sub>NO</sub> ث
c. Parenting skills	ن <sub>NO</sub> ث
d. Leisure time activities skills	ن <sub>NO</sub> ث
e. Stress management	ث <sub>NO</sub> ث
f. Anger management	ن <sub>NO</sub> ث
g. Money management	ث <sub>NO</sub> ث
h. Other (Specify)	ن <sub>NO</sub> ث

SATISFACTION RAT	TING:			
49. How satisfied are you with the social skills training program? (Please Check One)				
Very Dissatisfied	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this
IF 0 or 1, explain:				

#### 50. What other services does your program provide?

	Provided?
a. Vocational assessment (finding out what job skills you have)	ث <sub>NO</sub> ث
b. Vocational counseling	ت <sub>NO</sub> ث
b. Job placement	ن <sub>NO</sub> ث
c. Family planning education	ن <sub>NO</sub> ث
d. Mental health services	ن <sub>NO</sub> ث
e. Basic health education	ن <sub>NO</sub> ث
f. Substance abuse education	ن <sub>NO</sub> ث
g. AIDS prevention	ن <sub>NO</sub> ث
h. Location of housing	ن <sub>NO</sub> ث
i. Assistance with entitlements	ية NO ق YES
j. Legal assistance	<u>ٽ No</u> ڤ <sub>NO</sub>
k. Other (specify)	ن <sub>NO</sub> ث

#### 51. What medical screening tests are provided by the program?

	Provided?
a. HIV/AIDS testing	ث <sub>NO</sub> ث
b. TB testing	ت <sub>NO</sub> ث
c. Hepatitis testing	ث <sub>NO</sub> ث
d. Other (Specify)	ث <sub>NO</sub> ث

ATISFACTION RAT	TING:			
52. How satisf	fied are you with th	e other services listed	d above? (Please	Check One)
0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information about this
IF 0 or 1, explain:				

#### **SAFETY OF PROGRAM CLIENTS**

53. What has been the safety record of your program over the past 12 months?

	Number
a. How many clients physically assaulted another person in the program?	قَقَقَ
b. How many clients were physically assaulted while in the program?	فَقَقَ
c. How many clients were sexually assaulted or harassed while in the program?	فَوْق
d. How many clients were found with contraband, such as drugs?	ڤَوْق

SATISFACTION RAT	ΓING:			
54. How satisf	fied are you with th	e program's safety re	ecord? (Please Ch	eck One)
0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information
				about this
IF 0 or 1, explain:				

#### **EMERGENCY INTERVENTIONS**

ULI	VET INTERVENTIONS	
55.	Is there an arrangement for conducting unscheduled crisis sessions with clients during regular program hours? (for treatment by your program, not medical treatment)	NO ف NO
	IF YES, Specify:	
56.	Is there an arrangement for conducting unscheduled crisis sessions after regular program hours?	NO ڦ NO

IF YES, Specify:

SATISFACTION RAT	ΓING:			
57. How satisf	fied are you with th	e emergency interver	ntions? (Please Ch	neck One)
Very Dissatisfied	Somewhat Dissatisfied	2 Somewhat Satisfied	3 Very Satisfied	Unknown I have no information
IF 0 or 1, explain:				about this

#### TREATMENT EMPHASIS

#### 58. What does your program emphasize the most?

Pick <u>NO MORE THAN THREE</u> choices that reflect the most important aims of your program by checking one box in each column. PLEASE LEAVE REMAINING BOXES BLANK.

	Mark only ONE box in each column			
a. Reduce criminal recidivism (new crimes)	و؞	وث	ڤ	
b. Treat the clients' substance abuse problem	و:	و:	ڤ	
c. Reduce psychological or emotional problems	و:	و:	ڤ	
d. Create self-reliance and positive social and work attitudes	ڤ	ڤ	ڤ	
e. Treat the whole person - not the particular problems the individual may have	ڤ	ڤ	ڤ	
f. Create global changes in identity	ڤ	ڤ	ڤ	
g. Increase self-understanding	ڤ	ڨ	ڤ	
h. Increase self-esteem and confidence	<i>"</i> 9	و:	ڤ	
i. Increase trust in a Higher Power	و"	و:	ڤ	
j. Help clients change their surroundings to help deal with their problems	ڤ	ڤ	ڤ	
k. Abstinence from drug/alcohol use	ڤ	ڤ	ڤ	
1. Help clients with housing and employment	ڤ	ڤ	ڤ	
m. Change thinking patterns that lead to drug use	ڤ	ڤ	ڤ	

n. Other (Specify)	ڤ	ڤ	ڤ

NG			
ed are you that the	e emphasis of your p	ogram is best sui	ted for the clients?
1 Somewhat	2 Somewhat	3 Very	9 Unknown
Dissatisfied	Satisfied	Satisfied	I have no information about this
	d are you that th k One) 1 Somewhat	d are you that the emphasis of your processed to the companies of your processed to the your p	d are you that the emphasis of your program is best sui k One)  2 3 Somewhat Somewhat Very

#### TREATMENT INTERVENTIONS

#### 60. How true is each of these about your program?

	Not Used/ Not Applicable 0	Slightly <u>Important</u> 1	Moderately Important 2	Very <u>Important</u> 3
a. General meetings that include of all staff and clients together	0	1	2	3
b. Supportive counseling	0,1	1	2	3
c. Confrontational strategies	0	1	2	3
d. Group counseling with counselor	0	1	2	3
e. Peer-led groups	0	1	2	3
f. Individual counseling by peer who has not had formal training in counseling	0.		2	3
g. Individual drug counseling	0	1	2	3
h. Informal interactions between staff and clients (not in meetings or counseling sessions)	0.	1	2	3
i. Informal interactions between clients (not in meetings of counseling sessions)	0	1	2	3
j. Individual psychotherapy	0.0	1	2.	3
k. Contingency management (e.g. token economy, contingency contracts)	0	1	2	3
m. Cognitive behavioral therapy	0	1	2	3
n. Family therapy	0	1	2	3
o. 12-Step meetings at the program	0.0	1	2	3

0	1	2	3
0		2	3
Not Used/ Not Applicable 0	Slightly <u>Important</u> 1	Moderately Important 2	Very Important 3
0.	1	2	3
0	1	2	3
0.	1	2	3
	Not Applicable	Not Applicable Important	Not Used/ Not Applicable 0 Slightly Important 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1

SATISFACTION RAT	TING			
	sfied are you with t heck One)	he program's deliver	y of interventions	s for your program?
Very	1 Somewhat	2 Somewhat	3 Very	9 Unknown
<b>Dissatisfied</b> IF 0 or 1, explain:	Dissatisfied	Satisfied	Satisfied	I have no information about this

#### TREATMENT TECHNIQUES

#### 62. How true is each of these about your program?

SCALE A	Not <u>True</u> 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
a. Program uses group settings involving the confrontation of negative behavior	0	1	2	3
b. In this program, feedback from other clients (peers) is more important than staff counseling	0		2	3
<ul> <li>c. Clients have as much contact with counselors outside of formal, individual, or group counseling sessions as they have in those sessions</li> </ul>	0	1	2	3
d. Counselors share their personal experiences and feelings with clients	0	1	2	3
e. There is a full day's program (8 or more hours) of required activities and meetings	0	1	2	3
f. Staff members confront unacceptable behavior outside of individual and group counseling	0.	1	2	3
g. Clients confront unacceptable behavior by other clients outside of formal group sessions	0	1	2	3
h. Clients share responsibility for making this program work	0.		2	3

i. Clients frequently help each other	0	1	2	3			
j. Clients who violate the program rules receive a penalty or sanction	0.	1	2	3			
	Not <u>True</u> 0	Somewhat True 1	Mostly <u>True</u> 2	Very <u>True</u> 3			
k. Work is used as part of the therapeutic program	0,	1	2	3			
l. Staff serve as role models for the clients	0	1	2	3			
m. Senior clients serve as role models for newer clients	0,	1	2	3			
n. Clients get increased privileges as they advance in the program	0	1	2	3			
o. Clients get increased job responsibilities as they advance in the program	0.		2	3			
SATISFACTION RATING  63. How satisfied are you with the use of the intervention	ns in SCAL	E A? (Pleas	e Check O	ne)			
Very Somewhat Somewhat Very Unknown Dissatisfied Dissatisfied Satisfied Satisfied I have no information about this  IF 0 or 1, explain:							
64. How true is each of these about your program?		Not Some	what Mostl	y Very			

SCALE B	Not <u>True</u> 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
a. Encourages clients to practice telling themselves about how to act correctly	0	1	2	3
b. Encourages clients to praise themselves for behaving well	0	1	2	3
c. Helps clients practice saying no to drugs when they are offered	0.	1	2	3.
d. Encourages clients to stop and think before acting	0	1	2	3
e. Helps clients to identify "trigger" situations for taking drugs	0.	1	2	3
f. Explains the use of thought stopping techniques	0	1	2	3
g. Encourages clients to find enjoyable things to do besides drugs or alcohol	0.		2	3

h. Encourages clients to violent way					1	2	3
i. Emphasizes problem	solving techniques to	o deal with frustration		0.		2	3
				Not True 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
j. Emphasizes thinking	-			0	1	2	3
k. Helps clients to recognize errors in thinking				0.	1	2.	3
Uses contracts that involve punishment or rewards				0	1	2	3
m. Helps clients to develop a plan to return to abstinence if they slip and use drugs or alcohol				0.	1	2	3
n. Uses behavioral rehearsal or role playing to act out situations				0	1	2	3
o. Teaches clients how t	o deal with urges and	d cravings for drugs or	alcohol	0.	1	2.	3
SATISFACTION RAT	ING						
65. How satisf	ïed are you with th	e use of the treatment	techniqu	ies in SCA	ALE B? (P	lease Che	ck One)
Very Somewhat Somewhat Very Unknown Dissatisfied Dissatisfied Satisfied Satisfied I have no information about this  IF 0 or 1, explain:							

#### 66. How true is each of these about your program?

SCALE C	Not <u>True</u> 0	Somewhat True 1	Mostly True 2	Very True 3
a. Emphasizes the need to rely on a "Higher Power"	.0.	1	2	3
b. Emphasizes the need to seek external support to recover (you cannot do it alone)	0	1	2	3
c. Emphasizes that recovery from substance abuse is a life long process requiring ongoing attendance at 12-Step meetings	0		2	3
d. Provides recovery literature, such as the Big Book, pamphlets, or serenity prayer posters	0	1	2	3
e. Emphasizes the need to admit the loss of control over drugs and/or alcohol (powerlessness)	0	1	2	3
f. Encourages the need for spiritual growth	0	1	2	3

g. Discusses a "one day at a time" approach to abstinence	0.	1	2	3
h. Discusses "stinking thinking"	0	1	2	3
i. Explains the importance of working the 12-Step program consistently	0	1	2	3
	Not <u>True</u> 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
j. Discusses the goals and strategies of the 12-Step program	0	1	2	3
k. Explains how to work the 12-Step program	0.	1	2	3
1. Explains the reasons why the 12-Steps work	0	1	2	3
m. Discusses the nature of the "sponsoring relationship"	0.	1	2	3
n. Discusses the barriers to affiliation with the 12-Step program	0	1	2	3
o. The program hosts different types of 12-Step meetings, such as the 'Step Meeting' and discussion 'Round Robin'	0.0	1	2	3

SATISFACTION RAT	ΓING			
67. How sati	sfied are you with t	he use of the interven	ntions in SCALE	C? (Please Check One)
Very Dissatisfied	Somewhat Dissatisfied	2 Somewhat Satisfied	3 Very Satisfied	9 Unknown I have no information
IF 0 or 1, explain:				about this

## ORGANIZATIONAL CULTURE QUESTIONNAIRE 68. How true is each of these about your program?

	Not True 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
a. Clients and staff really feel like a part of the program	0	1	2	3
b. People in the program are glad to have the opportunity to participate in this program	0	1	2	3
c. People around here do not have a lot of respect for this program	0	1	2	3
d. People know what is expected of them in this program	0.	1	2.	3
e. People in this program do not push each other to understand themselves better	0	1	2	3
f. Administration and staff are really open to what clients say	0.	1	2.	3

g. I feel that people are not interested in helping each other in this program	0	1	2	3
h. Clients and staff do not feel supported by the program	.0.	1	2	3.
i. This program has high standards of behavior	0	1	2	3
j. The standards of behavior in this program are pretty well spelled out	.0.	1	2	3.
	Not <u>True</u> 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
k. Administration is not interested in what other people think	0.	1	2.	3
1. The program puts a lot of trust in people	0	1	2	3
m. If clients can fulfill the expectations of this program, then they have really accomplished something	0.		2	3
n. The program focuses not on what people did, but why they used to do it	0	1	2	3
o. I think that the program is not clear in letting people know what is wanted from them	0.	1	2	3
p. I think that the staff in the program are trying to do what is best for the clients	0	1	2	3
q. The goals that they set for people in this program are pretty high, but they can be reached	0.	1	2	3
r. The people in this program like each other	0	1	2	3
s. I do not think that the program is well organized (runs smoothly)	0.	1	2.	3.
t. I feel that clients and staff do not have opportunities to tell people in charge of the program what they think	0	1	2	3
u. Administration and staff run a pretty tight ship around here	.0.	1	2.	3.
v. People around here are pretty interested in understanding how the other person feels	0	1	2	3
w. People around here do not feel a commitment to each other	.0.	1	2	3.
x. I think that the staff believes in the clients	0	1	2	3
y. People in this program do what they can to help the others	.0.	1	2.	3.
z. Administration and staff are not good at responding to problems	0	1	2	3
aa. I think that the people in the program believe in each other to do what is right	0.	1	2	3

SATISFACTION RAT	ΓING:			
	sfied are you with t Check One)	he organizational cul	ture at your prog	gram?
Very Dissatisfied  IF 0 or 1, explain:	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this
ii o oi 1, explaiii.				

#### WITHIN-PROGRAM COMMUNICATION

70. Use the scale below to indicate what actually happens at your program.

	0 Never	1 Rarely	2 Some- times	3 Often
a. Program director starts discussions about program problems/concerns with clinical supervisors.	0.	1	2	3
b. Clinical supervisors start discussions about program problems/concerns with program director.	0	1	2	3
c. Clinical supervisors start discussions about program problems/concerns with each other. (Ignore if only 1 clinical supervisor)	0.	1.	2	3.
d. Clinical supervisors start discussions about program problems/concerns with counselors.	0	1	2	3
e. Counselors start discussions about problems/concerns about the program with clinical supervisors.	0.		2	3.
f. Clinical supervisors start discussions about program problems/concerns with clients.	0	1	2	3
g. Clients start discussions about problems/concerns about the program with clinical supervisors.	.0.	1	2	3

SATISFACTION RAT	TING:			
71. How sati	sfied are you with t	the communication w	ithin the progran	n? (Please Check One)
	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information about this
IF 0 or 1, explain:		26		

#### **DECISION-MAKING SCALE**

## 72. Using the scale below, please rate how strongly you agree with each of the following statements about <u>decision-making</u> at this program.

	Not <u>True</u> 0	Somewhat <u>True</u> 1	Mostly <u>True</u> 2	Very True 3
a. We have open and frank discussions about our differences	0.	1	2	3
b. Disagreements are generally resolved fairly	0	1	2	3
c. Staff are divided into small cliques that do not communicate well	0.	1	2	3
d. We actively seek out a variety of opinions	0	1	2	3
e. Most viewpoints are given serious consideration	0,	1	2.	3
f. People are afraid to speak up for fear of ridicule/retaliation	0	1	2	3
g. We are not afraid to disagree	0.		2.	3
h. We learn a lot from considering each others' opinions	0	1	2	3
i. Individuals who disagree with the majority are likely to have a hard time	0.		2	3

<sup>\*</sup> The item content in this scale is based on: Kirchmeyer, C. & Cohen, A. (1992).

SATISFACTION RAT	TING:			
	tisfied are you with Theck One)	the decision-making	process at this p	ogram?
Very Dissatisfied	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this
IF 0 or 1, explain:				

#### **DEMOGRAPHICS:**

#### 74. Are you Hispanic or Latino?

NO ڦ NO

#### a. If yes, what ethnic group do you consider yourself?

	Select one or more:
1. Central American	ڤ
2. Cuban	ڤ
3. Dominican	ڤ
4. Mexican	ڤ
5. Puerto Rican	ڤ
6. South American	ڤ
7. Other, Specify:	ڤ

#### 75. What is your race? (Select one or more)

	Select one or more:
a. Black or African American	ڤ
b. Asian	ڤ
c. American Indian	ڤ
d. Native Hawaiian or other Pacific Islander	ڤ
e. Alaska Native	ڤ
f. White	ڤ
g. Other, Specify:	ڤ

#### 76. Any other comments you would like to make not covered by the questions above:

**Reminder:** All of your responses to the questions are important, so please check through the questionnaire to see that no questions have been skipped.

## National Development and Research Institutes, Inc. 71 West 23<sup>rd</sup> Street, 8<sup>th</sup> Floor, New York, NY 10010

Form Approved OMB No. 0930-xxxx Expires mm/dd/yy

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# Administrator Perspective Community-Based Residential Substance Abuse Treatment Programs

Multimodality Quality Assurance Scales (MQA) ©

Gerald Melnick, Ph.D.

Frank Pearson, Ph.D.

71 West 23rd Street, 8th Floor, New York, NY 10010 © Gerald Melnick, Ph.D. and Frank Pearson, Ph.D. National Development and Research Institutes, Inc. 71 West 23<sup>rd</sup> Street, 8<sup>th</sup> Floor New York, NY 10021

April 7, 2006

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#### MULTIMODALITY QUALITY ASSURANCE SCALES (MQA)

#### **GUIDE TO THE MQA ADMINISTRATORS SECTION**

The MQA is designed to provide reports to program directors and others based on a wide array of organizational and treatment characteristics. This report focuses on four perspectives: the program director, clinical supervisors, line staff (substance abuse counselors), and clients. The objective of the report is to compare programs to other similar programs, and to identify the means by which they can become more efficient in their use of resources, and more effective in creating client satisfaction and change.

Who should be responsible for answering the MQA items?

There are four sections of the MQA:

<u>Section 1</u> is to be completed by the Chief Administrative Officer of the program.

<u>Section 2</u> is to be completed by the Clinical Director. In some programs this may be the same individual Administrative Officer. In large programs there may be several clinicians in supervisory roles, these supervisory

<u>Section 3</u> is to be completed by substance abuse counselors and the primary clinical staff that are directly responsible for client care.

<u>Section 4</u> is to be completed by the program's clients.

Please complete the following questionnaire on the basis of the latest information about your treatment program. Missing information will lead to gaps in our ability to provide feedback about your program. Therefore, we ask you to be careful to answer each of the questions.

If you have any questions (or if you have any comments to make), please feel free to contact Dr. Gerald Melnick (212) 845-4426 or e-mail him at melnick@ndri.org

# MULTIMODALITY QUALITY ASSURANCE SCALES (MQA) COMMUNITY-BASED SUBSTANCE ABUSE TREATMENT PROGRAMS

April 7, 2006

#### SECTION I ADMINISTRATOR'S SECTION

The program administrator should complete this form.

#### **INSTRUCTIONS:**

- 1. Use "9" to answer items when you do not know the answer.
- 2. You may check more than one response where applicable
- 3. Throughout the questionnaire there are areas for you to express how satisfied or dissatisfied you are with the elements in your program.

Please insert one of the following responses in the satisfaction-rating box:

0 = Very Dissatisfied

1 = Somewhat Dissatisfied

2 = Somewhat Satisfied

3 = Very Satisfied

Or

**9** to designate Unknown, or "I have no information about this"

Please use the lines provided to explain any 0 or 1 ratings you gave (of course, feel free to explain any of your ratings).

NOTE: Please do not skip over any items! Completeness is very important for us to understand your opinion of the program!

#### ORGANIZATIONAL INFORMATION

1. Nam	e of Program:	
	e of Program:	
	on-based	
b. Jail		ڠ
c. Com	munity residential	ڠ
d. Con	nmunity outpatient	<u>ڤ</u>
e. Hosj	pital-based	<u>ڤ</u>
		·
3.	Program Address:	
	STREET ADDRESS	
	CITY	STATE
	ZIP CODE	
	PHONE NUMBER FAX	
	( )	
4.	Name of person completing this form:	
	LAST NAME	FIRST NAME
	TITLE:	
	Primary Responsibility:	

5. PHONE NUMBER FAX	
( )	
E-MAIL ADDRESS	
DATE	
Month – Day Year	
6. Is your program: (Check ONE)	
a. Private for Profit?	
b. Private Non Profit?	
c. Publicly Funded?	
d. Mixture? Please Describe:	
7. Is your program part of a larger agency to which it reports?  YES نام NO	
IE VEC Name of larger accords	
IF YES, Name of larger agency:	
8. How long has the program been admitting clients? YEARS	
s. How long has the program been admitting enems.	
1.55	
a. IF less than 2 years, indicate total months  MON	NTHS
5. IF not yet admitting clients, explain:	
9. What is the program's capacity and occupancy rate. Please indicate the:	
	Number
a. Maximum number of participants (at any one time) for which the program is designed?	فَقْق
	4. 4. 10
b. Average number of participants enrolled in program?	قةق

10. Is this program accredited by (Please check a YES or NO response):

a. Joint Commission on the Accreditation of Health Care Organizations	ا ش <sub>NO</sub>
(JCAHCO)?	YES — NO

b. Food and Drug Administration (FDA)?	YES ڤ <sub>NO</sub> ڤ
a. Have fixed fees?	ڭ NO ڤ YES
b. Have a sliding scale, based on ability to pay?	ث <sub>NO</sub> ث
c. Take clients who cannot pay anything and/or have scholarships?	ية NO فق YES
d. Require co-payment or a registration fee in some cases?	ف <sub>NO</sub> ف
e. Have some contracts (For example, with City or State)?	ية NO فق YES
c. Drug Enforcement Administration (DEA)?	YES ڤ NOڤ
d. Commission on Accreditation of Rehabilitation Facilities (CARF)?	YES ڤ NOڤ
e. State Agency/Office? (Please Specify):	YES ڤ NOڤ
f. Local (City or County) Agency/Office? (Please Specify):	YES ڤ NOڤ
g. Other? (Please Specify):	YES ق NO

#### 11. Does this program (Please check a YES or NO response):

#### 12. Does this program accept (Please check a YES or NO response):

a. Private insurance other than HMOs?	YES ڤ NO ڤ
b. HMO or other managed care contracts?	e No ق No
c. Medicare?	YES ڦ NO ڦ
d. Medicaid?	YES ڦ NO ڦ
e. State disability insurance/workers' compensation?	YES ڦ NO ڦ
f. Criminal Justice funding?	YES ڦ NO ڦ
g. Department of Children's Services funding?	YES ڦ NO ڦ
h. Research/Evaluation funds, e.g., NIDA, CSAT?	YES ڦ NO ڦ

_		
	i. Other? (Please Specify):	ث <sub>NO</sub> ث

#### 13. What <u>best</u> describes your program? (please check ONE response):

a. Residential (6 months or more)	ڤ
b. Residential (more than 30 days but less than 6 months)	ڤ
c. Residential (less than 30 days)	ڤ
d. Drug Free Outpatient	ڤ
e. Methadone Outpatient	ڤ
f. Other (Please Specify):	ڤ

## 14. What is the therapeutic orientation of the program? (CHECK ALL THAT APPLY)

a. Therapeutic Community	ڤ
b. Cognitive-Behavioral Therapy	þ.
c. Mutual Self Help (i.e., 12 Step programs such as AA)	ڤ
d. Methadone Treatment	ڤ
e. Other (Specify):	ڤ

## 15. IF <u>more than one is checked above</u>, but one type is the primary emphasis of the program, check which one:

a. Therapeutic Community	ڤ
b. Cognitive-Behavioral Therapy	ڤ
c. Mutual Self Help (i.e., 12 Step programs such as AA)	þ.
d. Methadone Treatment	ڤ
e. Other (Specify):	ڤ

#### 16. What is the program's planned duration of stay?

#### a. Is the planned duration of stay:

i. The same for everyone?	ڤ
ii. Not the same for everyone?	ڤ

b. IF planned duration of stay is SAME FOR EVERYONE, indicate the number of months:

i. Number of months	فڤ
1. 1 (0.1110 01 01 111011011	

c. IF planned duration of stay is VARIABLE: What is the average percent of clients who stay:

	Percent
i. Three months or less	<u>ف</u> ي في
ii . Four to five months	فقق
iii. Six to nine months	فقق
iv. Ten to twelve months	فقق
v. More than 1 year	فوق

17. Is the program specifically designed to meet the needs of a "special" population? If so, which? (CHECK ALL THAT APPLY)

a. No special populations	ڤ
b. Males only	ڤ
c. Females only	ڤ
d. Pregnant or parenting women	ڤ
e. Juveniles (Specify ages):	ڠ
f. Co-occurring Disorder (Psychiatric and substance abuse)	ڤ
g. Homeless	و؞
h. HIV/AIDS	و"
i. Criminal Justice	ę.
j. Veterans	ۅ؞
k. Specific cultural group (Specify):	ڤ
1. Other (Specify):	٩

18. What are the restrictions (rules specifying the types of clients who will NOT be admitted to your substance abuse treatment program)?

(CHECK ALL THAT APPLY.)

ę.
ę.
ę.
þ.
þ.
ę.
þ.
þ.
ę.
ę.

#### 19. What percent of clients are referred to your program in the following ways?

	Percent
a. Mandated/ordered into the program by criminal justice system	فقق
b. Self Referrals (Walk-ins)	قَقْق
c. Referred from Child Welfare	فَقْق
d. Referred from Department of Social Services (Public Assistance)	ققق
e. Referred from Employee Assistance Programs	فقق
f. Other (Specify):	فقق

20.	FOR SELF REFERRALS, what recruitment methods are used?	

#### 21. Is there a waiting list for entry into the program?

NO ف NO

IF YES, what is the average length of time in days someone has to wait to enter the program?

DAYS ڤ ڤ ڤ

22. What best describes the program evaluation/research conducted in the previous 12 months?

a. The program conducts its own evaluation of services.	YES ق NO ق
b. There is evaluation of services by the state.	NO ڤ <sub>NO</sub> ڤ
c. The program participates in an external evaluation & follow-up studies of program clients.	NO ڤ <sub>YES</sub> ڤ
d. The program participates in treatment research studies.	NO ڤ NO ڤ

23.	Does the program use objective evaluation include measures
	of client progress during treatment?

YES	ڤ	NO
-----	---	----

<b>Please Specify:</b>		

#### **FACILITIES**

24. Is your program a residential program?

→ If NO, skip to question number 30

25. Are clients' bedrooms shared?

IF YES, On average how many clients share a bedroom?

CLIENTS ق

#### 26. Please answer the following questions about your program's physical space:

a. Does the typical client bedroom have windows?	YES ڤ NO ڤ
b. Does the typical client bedroom have a door that closes?	ث <sub>NO</sub> ث
c. Does the typical client bedroom have floor to ceiling walls?	YES ڤ NO ڤ
d. Does the typical client bedroom have an attached bathroom?	YES ڤ NO ڤ
e. Do clients have enough personal space?	YES ڤ NO ڤ
f. Does the program provide enough group space?	YES ڤ NO ڤ
g. Does the program provide enough recreational space?	YES ڤ NO ڤ

27.	Do	children	live	on	site?

ڭ YES	NO
-------	----

## 28. Using the following scale, rate clients' bedrooms and furnishings in terms of the qualities listed below:

	0 Very Poor	1 Inadequate	2 Adequate	3 Very Good
a. Comfort	0,	.1.	2.	3.
b. Lighting	0	1	2	3
c. Ventilation	0,	1.	2.	3
d. State of repair	0	1	2	3
e. Cleanliness/odor	0,1	1	2.	3
f. Privacy	0	1	2	3
g. Ability to customize space	0	1	2	3
	0 Very Poor	1 Inadequate	2 Adequate	3 Very Good
h. Adequate amount of space	0	1	2	3
i. General quality	0	1	2.	3

<b>29.</b>	What is the quality of the food in the	he program? (Check One)
------------	--	-------------------------

0	1	2	3
Very Poor	Inadequate	Adequate	Very Good

#### 30. What are the program's physical facilities?

a. Are there decorative pictures or posters in the program areas frequented by clients?	e No ث
b. Are there motivational/informational program posters hanging in areas frequented by clients (i.e. 12 Step posters, etc.)?	e No ث
c. Are there group meeting rooms?	ث <sub>NO</sub> ث
d. Do counselors have individual offices?	ث <sub>NO</sub> ث
e. Does the typical counselor's office have floor to ceiling walls and a door?	ث <sub>NO</sub> ث
f. Does the program managerial staff have separate offices?	ث <sub>NO</sub> ث

## 31. Using the following scale, rate primary substance abuse counselors offices and furnishings in terms of qualities listed below:

	0 Very Poor	1 Inadequate	2 Adequate	3 Very Good
a. Comfort	0		2	3.
b. Lighting	0	1	2	3
c. Ventilation	0	1	2.	3
d. Adequate amount of space	0	1	2	3
e. State of repair	0	1	2.	3
f. Cleanliness/odor	0	1	2	3
g. Privacy for counseling	0.	1	2	3
h. No primary substance abuse				
counselors offices				

## 32. Using the following scale, rate your program's common interior areas of the building (lobby area, waiting/reception areas, meeting space, recreational space etc.) on the qualities listed below:

	Very Poor 0	Inadequate 1	Adequate 2	Very Good 3
a. Comfort	0	1	2	3
b. Lighting	0.	1	2	3
c. Ventilation	0	1	2	3
d. State of repair	0.0	1	2	3
e. Cleanliness/odor	0	1	2	3
h. Adequate amount of space	0,	1	2	3

i. General quality 0 1 2
--------------------------

33. In what type of neighborhood is your program located? (Please check only ONE.)

a. Middle class residential (urban/suburban)	ę.
b. Working class residential (urban/suburban)	ę.
c. Inner city/urban residential	Ë
d. Rural residential	ë
e. Average commercial area	Ë
f. Run down commercial area	ق
g. Mixed commercial/residential	ڤ
h. Other (Specify):	ڤ

34.	Using the following scale, rate the safety of your program's surrounding neighborhood:
	(Check One)

Very Somewhat Somewhat Very Unsafe Unsafe Safe Safe

SATISFA	$\backslash$	LIUNI	D A	TING
$\mathbf{S} \mathbf{A} + \mathbf{S} \mathbf{C} \mathbf{F}$	<b>1</b> ( )	111717	$\mathbf{n}$	IIINCI

35. How satisfied are you with the program's space? (Please Check One)

Very	Somewhat	Somewhat	Very	Unknown I have no information about this
Dissatisfied	Dissatisfied	Satisfied	Satisfied	
IF 0 or 1, explain:				about this

**FUNDING LEVEL** 

36. What is the most recent 12 month period on which program will be reporting fiscal data in this survey:

KoSeries</t

37. Total current annual revenue of all types for program during this period:

قَقْتُ قِقْتُ فِقْتُ عِقْتُ

38. Is the level of funding per client fixed?

NO ف NO

IF YES, indicate the amount of funding per client per month:

قَقْق <sub>ب</sub>ققق ع

39. Is the program deficit funded?

NO ف NO

40. Please enter budgets below.

a. What is the total budget for personnel (salary and fringe)?	قَقْڤ , قَقْڤ , ڤ
b. What is the total budget for non personnel expenses?	قَقْقُ ,قَقْقُ ,قُـهُ

SATISFACTION RATING

41. How satisfied are you with the level of funding? (Please Check One)

Very Dissatisfied Somewhat Dissatisfied

Somewhat Satisfied

Very
Satisfied

Unknown
I have no information
about this

IF 0 or 1, explain:

#### STAFF BACKGROUND

42. Indicate the number of the <u>primary substance abuse counselors</u> (staff directly delivering core treatment) in your program having the following characteristics.

a. What is the total number of primary substance abuse counselors?

Counselors فققت

c. What is the educational background of the primary substance abuse counselors? (INDICATED HIGHEST CATEGORY ATTAINED)

	Number of Counselors
i. No High School diploma and no G.E.D	في م
ii. Technical school degree but no H.S diploma or G.E.D. degree	فَيْ فَ
iii. Completed High School or G.E.D	فثث
iv. Some college but no degree	فقق
v. Two year college associate degree	فقق
vi. Four year college degree (e.g. B.A. or B.S.)	ففت
vii. Graduate education	فُوڤ

d. How many of your primary substance abuse counselors have: (WHEN NONE, ENTER 0, DO NOT LEAVE BLANK)

	Number of Counselors
i. Specialized training in substance abuse treatment outside the program, e.g. credits toward CASAC?	فقق
ii. Credentials in substance abuse treatment?	فوق
iii. Certification in a general mental health specialty such as psychology	ڤڤڤ

e. How many primary substance abuse counselors have the following years of experience working in substance abuse treatment?
(WHEN NONE, ENTER 0, DO NOT LEAVE BLANK)

	Number of Staff
i. Less than 1 year	فقق
ii. One to two years	فقق
iii. Three to five years	فَقَقَ
iv. More than five years	فَقَقَ

f. How many of the primary substance abuse counselors are known to be recovering (i.e. have been in treatment)? (If NONE, enter 0)

Counselors

43.		tisfied are you with Check One)	the background of y	our primary sub	stance abuse counselors?
	(Flease	Check One)			
0	]	1	2	3	9
Ver	y	Somewhat	Somewhat	Very	<u>Unknown</u>
	tisfied	Dissatisfied	Satisfied	Satisfied	I have no information

44. For each category of staff, indicate the number of staff currently employed: (If NONE, enter 0)

	Number Full-time Staff	Number Part-time Staff
a. Administrative Staff	قَقَقَ	فَوْق
b. Clinical Supervisors	فَقَقَ	فَوْق

c. Drug Counselors	قَوْق	فقق
d. Vocational or Rehabilitation Counselors	فَوْق	فَوْق
e. Physician's Assistant or Nurse Practitioner	فوق	فَوْق
f. Registered Nurse or Licensed Nurse Practitioner	فَوْق	فَوْق
g. Physicians	فوق	فَوْق
h. Psychiatrists	ڠۊڤ	قَقْق
i. Social Workers	ڠۊڠ	فوق
j. Psychologists	فُوڤ	فَوْق
k. Clergy or Religious Counselors	فوق	فوق
1. Family therapists	ڠۊڤ	قَقْق
m. How many volunteers does the program use?	فوق	فَوْق
n. Other (Specify):	فوق	ففف

SATISFACTION RAT	TING			
45. How satisfie	ed are you with the	staff coverage? (Plea	se Check One)	
Very Dissatisfied  IF 0 or 1, explain	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this

46. How many primary treatment staff have been employed in this program: (If NONE, enter 0)

	Number
a. Less than six months?	فَقْقَ
b. Six to twelve months?	فَقْقَ
c. One to two years?	فَقْقَ
d. More than two years?	فَقْقَ

47. During the past 12 months, how many types of staff have left the program <u>voluntarily</u> for any reason (for example, to take another job, return to school, raise a family, etc.)?

	Number
a. Primary treatment staff (directly involved with client treatment of counseling)	STAFF قفق
b. Supervisory clinical treatment staff	STAFF فقف

CATICEA	CTIONID	
NA LINHA	CTION R	$\Delta + 1 \times 1 \times 1 \times 1$

48. How satisfied are you with the staff rate of turnover? (Please Check One)

Very Somewhat Somewhat Very Unknown
Dissatisfied Dissatisfied Satisfied Satisfied Satisfied I have no information about this

IF 0 or 1, explain:

#### POLICIES AND INCENTIVES

49. What is the average salary of an...

what is the average sainty of an...

a. Entry level counselor? \$ \$\frac{100}{300}\$,

c. Clinical supervisor? \$ \$\frac{1}{2}\text{per year.}\$

50. In the past year, did the primary treatment staff receive a standard pay increase?

NO ڦ NO

a. IF YES, what was the average percent of increase?

%  $\mathring{\mathbb{D}}$   $\mathring{\mathbb{D}}$ 

51. Does the program give incentive pay raises?

NO ڦ NO

a. IF YES, how many primary treatment staff members received merit pay raises? For what reason?

Number قفق Staff

52. In the past year, did any primary treatment staff members receive promotions?

NO ف NO

a. IF	YES.	how	many	received	promotions?
a. II	1100,	110 11	1116111 9	Iccircu	promonons.

Number قُقْقُ Staff

<b>53.</b> ]	Does the program use any other primary treatment staff incentives?	NO ف NO
IF Y Spec	YES, eify Incentive 1	
Spec	eify Incentive 2	
Spec	cify Incentive 3	
ŗ	How many personal and vacation days (not counting holidays such as Fhanksgiving and the Fourth of July) is the average full time salaried orimary substance abuse counselors entitled to per year?	Number فق DAYS
	How many sick days are primary substance abuse counselors entitled o per year?	Number فق DAYS
<b>56.</b> ]	Do primary substance abuse counselors receive paid medical benefits?	NO ف NO
	Does the compensation package for primary substance abuse TION RATING	NO ف NO
	How satisfied are you with the staff policies and incentives? (Please Che	ck One)
Vo Diss	0 1 2 3 ery Somewhat Somewhat Very	Unknown have no information about this

counselors include pensions?

#### **DECISION-MAKING**

59. What formal methods does your program have for obtaining employees' feedback or input into decision making?

a. Staff Representation on the board of directors	ث <sub>NO</sub> ث
b. Quality improvement teams (i.e., Total Quality Management or Continuous Quality Improvement)	e No ث
c. Staff representation on management team	ية No ثق YES
d. Staff suggestion box	ية No ثق YES
e. Staff committees responsible for specific management/administrative duties. (e.g., committees for hiring staff, staff discipline, review of policies and procedures, etc.)	YES ڤ NO ڤ
f. Rotating staff memberships on management team (i.e., staff takes turns as members of management meetings)	e No ث
g. We do not distinguish between staff and manager, all staff members are part of the management team	ت <sub>NO</sub> ث
h. The program conducts regular staff meetings to get feedback or input on the program	ث <sub>NO</sub> ث
i. The program conducts regular client focus groups to get feedback or input on the program	ية No ثق YES
j. The program conducts regular staff focus groups to get feedback or input on the program	ية No ثق YES
k. Other (Describe)	پ NO ث YES

60.	How often do you have staff meetings?				
	More than once a week	U Weekly	 Monthly	Less than monthly	

#### 61. For the items below, please indicate what actually happens at the program:

	Never 0	Sometimes 1	Usually 2	Always 3
a. How often do you ask counselors for their opinions and suggestions about treatment issues?	0	1	2	3
b. How often do you ask counselors for their opinions and suggestions about program policies?	0		2	3
c. How often are clients asked for their opinions and suggestions about treatment issues?	0	1	2	3
d. How often are clients asked for their opinions and suggestions about program policies?	0		2	3
e. How often are clinical supervisors asked for	0	1	2	3

		2	3
0	1	2	3
0	1	2	3
	0		

#### 62. For the items below, please indicate what actually happens at the program:

	None 0	Very Little 1	Moderate Amount 2	Great Deal 3
a. How much influence do counselors have on treatment decisions?	0	1	2	3
b. How much influence do counselors have on program policies?			2	3
c. How much influence do clinical supervisors have on treatment decisions?	0	1	2	3
d. How much influence do clinical supervisors have on program policies?	0		2	3
e. How much influence do clients have on treatment decisions	0	1	2	3
f. How much influence do clients have on program policies?	0		2	3

SATISFACTION RAT	TING			
63. How sa	tisfied are you with	the program's decisi	ion-making proce	ss? (Please Check One)
Very Dissatisfied	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this
IF 0 or 1, explain:				about this

#### **CLIENT CHARACTERISTICS**

## 64. What is the current age distribution of your clients? (WHEN NONE, ENTER 0, DO NOT LEAVE BLANKS)

	Percent
a. 15 or Under	في
b. 16-18	<u> </u>
c. 19-20	فقة
d. 21-25	فَقَقَ
e. 26-30	فقق
f. 31-40	فقة
g. 41-50	فوق
h. 51 and Older	فقق

## 65. What is the current medical status of your clients? (IF NONE, ENTER 0, DO NOT LEAVE BLANKS)

	Percent
a. Pregnant (during time in program)	فَوْق
b. HIV Positive	فوق
c. Full-blown AIDS	فَقَقَ
d. Hepatitis	فقق
e. TB	فقق
f. Co-occurring mental health	فقق
g. Other (Specify)	فقق

### 66. How many of your clients were employed during the year prior to entering your program? (IF NONE, ENTER 0, DO NOT LEAVE BLANKS)

	Percent	Don't Know
a. Full time employed (35 hours or more per week with no seasonal layoffs)	قوق	و"
b. Part time employed	قَوْق	و:
c. Seasonally employed	قَقْق	ڤ
d. Unemployed	فوق	ڤ
e. Other (Specify)	قَقْق	ڤ

#### DRUG HISTORY OF CLIENTS CURRENTLY IN TREATMENT

#### 67. Drug of Choice

Indicate the <u>percent</u> of your current clients according to their most recent drug use. A single individual should be counted *only once* according to his or her drug of choice.

#### (WHEN NONE, ENTER 0, DO NOT LEAVE BLANKS)

	Percent
a. Heroin and other opiates	ڤۋڤ
b. Non-crack cocaine	ڤۉڤ
c. Crack/Rock	فوق

d. Amphetamines	ڤوڤ
e. Barbiturates/Tranquilizers	فوق
f. Marijuana/Hashish	فقق
g. LSD	فَقَقَ
h. PCP	فقق
i. Inhalants	ڤۋڤ
j. Other nonprescription drugs	65 65
k. Alcohol	ڤۋڤ
l. Designer/Club drugs	فوق
m. Other (Specify)	فوق

#### 68. Any Substance

What <u>percent</u> of your clients have used each of the following substances at some time during the year prior to entering your program?

A single individual should be counted in more than one cell if he/she used more than one substance.

#### (WHEN NONE, ENTER 0, DO NOT LEAVE BLANKS)

	Percent
a. Heroin	فوق
b. Non-crack cocaine	فوق
c. Crack/Rock	ففق

d. Amphetamines	فَوْق
e. Barbiturates/Tranquilizers	فَوْق
f. Marijuana/Hashish	فقق
g. LSD	فَوْق
h. PCP	فقق
i. Inhalants	فَقْق
j. Other nonprescription drugs	فقق
k. Alcohol	فقق
1. Designer/Club drugs	فَقَقَ
m. Other (Specify)	ڤڤڤ

69. What is the <u>percent</u> of current clients with illegal injection drug use (lifetime)? (WHEN NONE, ENTER 0)

%  $\mathring{\mathbb{C}}$   $\mathring{\mathbb{C}}$ 

70. What is the <u>percent</u> of current clients with illegal injection drug use in the year prior to entering your program? (WHEN NONE, ENTER 0)

% ڤ ڤ ڤ

71. How many clients are given prescribed medication? (WHEN NONE, ENTER 0, DO NOT LEAVE BLANKS)

	Percent
a. Clients take prescribed medications for physical illness	فَقْقَ
b. Clients take prescribed medications for psychiatric or emotional problems	فقق
c. Clients take prescribed medications for substance abuse treatment (i.e. methadone, naltroxone, buprenephrine, etc.)	فَقْقُ

72. What percent of your clients have been convicted of a crime other than possession of drugs?

% ڦ ڦ ڦ

73. What <u>percent</u> of your clients have been convicted of the following crimes? (WHEN NONE, ENTER 0, DO NOT LEAVE BLANKS)

	Percent
a. Crimes of violence (include murder, rape, aggravated assault, and robbery)	فَقَقَ

b. Crimes against property (burglary, larceny, auto theft, arson, and fencing)	قوق
c. Drug sales and/or distribution	ڤوڤ
d. Prostitution	ڤۆڤ
e. Possession of drugs	ڤوڤ
f. Child abuse	ڤڤڤ
g. Driving under the influence	ڤۋڤ
h. Other types of crimes	فوق
i. Criminal history not available	ڤۉڤ

#### **SAFETY OF PROGRAM CLIENTS**

#### 74. What problems with client violence has your program had over the past 12 months?

	Number
a. How many clients physically assaulted another person in the program?	فقق
b. How many clients were physically assaulted while in the program?	ڤۉڤ
c. How many clients were sexually assaulted or harassed while in the program?	ڤۉڤ
d. How many clients were found with contraband, such as drugs?	فقق

SATISFACT	TION RAT	TING			
75.	How sa	tisfied are you with	the program's safety	y record? (Please	Check One)
Ver <b>Dissa</b> IF 0 or 1,	tisfied	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this

#### ORGANIZATIONAL CULTURE QUESTIONNAIRE

76. How true is each of these about your program?

	Not <u>True</u> 0	Somewhat True 1	Mostly <u>True</u> 2	Very <u>True</u> 3
a. Clients and staff really feel like a part of the program	.0.	1	2.	3
b. People in the program are glad to have the opportunity to participate in this program	0	1	2	3
c. People around here do not have a lot of respect for this program	.0.	1	2	3
d. People know what is expected of them in this program	0	1	2	3
e. People in this program do not push each other to understand themselves better	0.	1	2	3
f. Administration and staff are really open to what clients say	0	1	2	3
g. I feel that people are not interested in helping each other in this program	0.	1	2	3
h. Clients and staff do not feel supported by the program	0	1	2	3
i. This program has high standards of behavior	.0.	1	2.	3
j. The standards of behavior in this program are pretty well spelled out	0	1	2	3
k. Administration is not interested in what other people think	.0.	1	2.	3
1. The program puts a lot of trust in people	0	1	2	3
m. If clients can fulfill the expectations of this program, then they have really accomplished something	0.	1	2	3
n. The program focuses not on what people did, but why they used to do it	0	1	2	3
o. I think that the program is not clear in letting people know what is wanted from them	0.		2	3
p. I think that the staff in the program are trying to do what is best for the clients	0	1	2	3
q. The goals that they set for people in this program are pretty high, but they can be reached	0.		2	3
	Not <u>True</u> 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
r. The people in this program like each other	0	1	2	3
s. I do not think that the program is well organized (runs smoothly)	.0.	1	2	3
t. I feel that clients and staff do not have opportunities to tell people in charge of the program what they think	0	1	2	3
u. Administration and staff run a pretty tight ship around here	.0.	1	2	3
v. People around here are pretty interested in understanding how the other person feels	0	1	2	3
w. People around here do not feel a commitment to each other	.0.		2.	3
x. I think that the staff believes in the clients	0	1	2	3
y. People in this program do what they can to help the others	0.	1	2	3

z. Administration and staff are not good at responding to problems	0	1	2	3
aa. I think that the people in the program believe in each other to do what is right	0.	1	2	3

SATISFACTION RAT	ΓING			
	sfied are you with t heck One)	the organizational cul	ture at your prog	ram?
Very Dissatisfied	1 Somewhat Dissatisfied	2 Somewhat Satisfied	3 Very Satisfied	Unknown I have no information
IF 0 or 1, explain:				about this

#### WITHIN-PROGRAM COMMUNICATION

78. Use the scale below to indicate what actually happens at your program.

	Never 0	Rarely 1	Sometimes 2	Often 3
a. Program director starts discussions about program problems/concerns with clinical supervisors.	0	1	2	3
b. Clinical supervisors start discussions about program problems/concerns with program director.	0.	1	2.	3
c. Program director starts discussions about program problems/concerns with counselors.	0	1	2	3
d. Counselors start discussions about program problems/concerns with program director.	0.	1	2	3
e. Program director starts discussions about program problems/concerns with clients.	0	1	2	3
f. Clients start discussions about program problems/concerns with program director.	0.	1	2	3

SATISFACTION RAT	ING:			
79. How satisfi	ed are you with the	e communication witl	nin the program?	(Please Check One)
Very Dissatisfied  IF 0 or 1, explain:	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this

#### **DECISION-MAKING SCALE**

80. Using the scale below, please rate how strongly you agree with each of the following statements about <u>decision-making</u> at this program.

	Not <u>True</u> 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
a. We have open and frank discussions about our differences	0	1	2	3
b. Disagreements are generally resolved fairly	0.	1	2.	3
c. The staff are divided into small groups that do not communicate well	0	1	2	3
d. We actively seek out a variety of opinions	0.	1	2	3

	Not <u>True</u> 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
e. Most viewpoints are given serious consideration	0.	1	2	3
f. People are afraid to speak up for fear of ridicule	0	1	2	3
g. We are not afraid to disagree	0.	1	2	3
h. We learn a lot from considering each others' opinions	0	1	2	3
i. Individuals who disagree with the majority are likely to have a hard time	0,	1	2	3

<sup>\*</sup> The item content for scale is based on: Kirchmeyer, C. & Cohen, A. (1992).

SATISFACTION RATING:					
81. How satisfied are you with the decision-making process at this program? (Please Check One)					
			_		_
•	7	<u>1</u>	2	3	9
	ery ssatisfied	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information
					about this
IF 0 or	1, explain:				

#### STAFF AND CLIENT DEMOGRAPHICS

82. How many of the primary substance abuse counselors are Hispanic or Latino?

→ If zero, skip to question 83.

Number of Male Counselors فَقَقَّ Number of Female Counselors

## a. Of these Hispanic or Latino primary substance abuse counselors, what ethnic group(s) do they belong to?

	Number of Male Staff	Number of Female Staff
1. Central American	ڤۆڤ	ڠۉڨ
2. Cuban	فقف	فقق
3. Dominican	فقف	فقق
4. Mexican	فقف	فقق
5. Puerto Rican	فقف	فقق
6. South American	فقف	فقق
7. Other, Specify:	فقف	فقق

#### 83. How many of the primary substance abuse counselors are:

	Number of Male Staff	Number of Female Staff
a. Black or African American	فَقْق	ڠڠڤ
b. Asian	فَقْق	فقق
c. American Indian	فَقَقَ	فُوڤ
d. Native Hawaiian or other Pacific Islander	فَقْق	ڠڤڤ
e. Alaska Native	فَقْق	ڠڤڤ
f. White	فَقْق	فقق
g. Other, Specify:	فقف	ڠۉڨ

#### 84. What percent of clients are Hispanic or Latino?

 $\rightarrow$  If zero, skip to question 86.

Percent of Male Clients

Percent of Female Clients

## 85. What is the current ethnic distribution among Hispanic or Latino clients? (WHEN NONE, ENTER 0, DO NOT LEAVE BLANKS)

	Percent
a. Central American	ڠۉڨ
b. Cuban	فقق
c. Dominican	ڤڤڤ
d. Mexican	فُقْق
e. Puerto Rican	فُقْق
f. South American	فقق
g. Other, Specify:	فقق

#### **86.** What percent of clients are:

	Percent
a. Black or African American	فُوڤ
b. Asian	فوق
c. American Indian	فقق
d. Native Hawaiian or other Pacific Islander	فقق
e. Alaska Native	فُقْق
f. White	فقق
g. Other, Specify:	فقق

#### 87. Any other comments you would like to make not covered by the questions above:

**Reminder:** All of your responses to the questions are important, so please check through the questionnaire to see that no questions have been skipped.

National Development and Research Institutes, Inc. 71 West 23<sup>rd</sup> Street, 8<sup>th</sup> Floor, New York, NY 10010

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# Staff Perspective Community-Based Residential Substance Abuse Treatment Programs

Multimodality Quality Assurance Scales (MQA) ©

Gerald Melnick, Ph.D.

Frank Pearson, Ph.D.

71 West 23rd Street, 8th Floor, New York, NY 10010 © Gerald Melnick, Ph.D. and Frank Pearson, Ph.D. National Development and Research Institutes, Inc. 71 West 23<sup>rd</sup> Street, 8<sup>th</sup> Floor New York, NY 10021

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# **MULTIMODALITY QUALITY ASSURANCE SCALES (MQA)**

# GUIDE TO THE MQA FOR PROGRAM CLIENTS

The MQA is designed to help programs become better at creating client change and more client satisfaction. One way that it does this is by looking at the program from many different points of view. In this way, you and other people can have an effect on changing and improving the program. The information from the MQA will become part of a report to the program director and others responsible for the program. The report will consider everyone's point of view and make suggestions about how the program can become a better place and have a more positive impact on clients.

CONFIDENTIALITY: Your answers to the questionnaire are entirely confidential. The research is being conducted by the National Development & Research Institutes, Inc. (NDRI), a not-for-profit organization that conducts research in substance abuse treatment. NDRI is entirely separate from the

treatment program. Your name and any other personal identification is not included in the questionnaire. All of the information is grouped together at NDRI and only the grouped information is available to people outside NDRI. All individual questionnaires are destroyed after the information is entered into the NDRI database.

COMPLETENESS: Since we are not asking you to identify yourself, we have no way of contacting you if there is information missing. Missing information makes any results questionable. Therefore, we ask you to be careful to answer each of the questions.

QUESTIONS: If you have any questions (or if you have any comments to make), please feel free to contact: Dr. Gerald Melnick, (212) 845-4426, or by e-mail: melnick@ndri.org

#### MULTIMODALITY QUALITY ASSURANCE SCALES (MQA)

#### STAFF SURVEY

#### **INSTRUCTIONS:**

- **1.** Use "**9**" to answer questions for which you have no information.
- **2.** You may check more than one response for items describing facts about the program.
- **3.** Throughout the questionnaire there are areas for you to express how satisfied or dissatisfied you are with the elements in your program at present.

Please check one of the following responses in the satisfaction-rating box:

- 0 = Very Dissatisfied
- 1 = Somewhat Dissatisfied
- 2 = Somewhat Satisfied
- **3** = Very Satisfied

Or

9 to designate unknown, or "I have no information about this"

Please use the lines provided to explain any 0 or 1 ratings you gave (of course, feel free to explain any of your ratings).

NOTE: Please do not skip over any items! Completeness is very important for us to understand your opinion of the program!

6. Does the program provide in-service staff training? NO ف YES IF YES, what were the: ڨڦ a. Number of meetings in last 12 months? b. Number of staff involved in average session? NO ف YES 7. Does the program send staff to training sessions outside the program? SATISFACTION RATING: 8. How satisfied are you with in-service staff training? (Please Check One) Somewhat Somewhat Unknown Dissatisfied **Dissatisfied** Satisfied Satisfied I have no information about this IF 0 or 1, explain:

NO ف YES

1. Name of Program:

2. Program Address:

Month -- Day -- Year

3. Today's Date

4. Gender:

**STAFF TRAINING** 

Male 🏝

ق Female

Please answer the following questions to the best of your knowledge:

5. Is there a program orientation that all new treatment staff receive?

#### SUPERVISION THROUGH STAFF MEETINGS

9. Are there regularly scheduled formal staff meetings during which clients are discussed?

NO ف NO

**IF YES**, when are they scheduled? **(Please check ONE)** 

a. Daily	ڤ
b. Two or three times a week	ڤ
c. Weekly	ڤ
d. Two or three times a month	ڤ
e. Monthly	ڤ
f. Less frequently than once a month	ڤ

SATISFACTION RAT	TING:			
10. How satisfied	are you with super	vision in formal staff	meetings? (Pleas	e Check One)
0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information about this
IF 0 or 1, explain:				

#### SUPERVISION THROUGH INDIVIDUAL MEETINGS

11. Are there regularly scheduled individual supervisory meetings between a supervisor and individual clinical staff members?

NO ف NO

→ IF NO, skip to question number 15

12. When are these meetings scheduled? (Please check ONE)

a. Daily	ڤ
b. Two or three times a week	ڤ
c. Weekly	ڤ
d. Two or three times a month	ڤ
e. Monthly	ڤ
f. Less frequently than once a month	ڤ

SATISFACTION RAT	TING:			
13. How satisfied	are you with indivi	idual supervision? (P	lease Check One	9)
Very Dissatisfied	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this
IF 0 or 1, explain:				about this

#### **COUNSELOR INFLUENCE**

# 14. For the items below, please indicate what actually happens at the program:

	Never 0	Sometimes 1	Usually 2	Always 3
a. How often does your supervisor ask for counselor opinions and suggestions about treatment issues?	0	1	2	3
b. How often does your supervisors ask counselors for their opinions and suggestions about program policies?	0.		2	3
c. How often does the program director ask for counselors opinions and suggestions about treatment issues?	0	1	2	3
d. How often does the program director ask for counselors opinions and suggestions about program policies?	0		2	3
e. The program is open to new methods and techniques?	0	1	2	3
f. The program is open to issues of cultural diversity?	0.	1	2	3

# 15. For the items below, please indicate what actually happens at the program:

	None 0	Very Little 1	Moderate Amount 2	Great Deal 3
a. How much influence do counselors have on treatment issues?	0	1	2	3
b. How much influence do counselors have on program policies?	0		2	3

16.	What	percentage	of your	time is	spent o	on paperwork?
-----	------	------------	---------	---------	---------	---------------

% ف ف ف

SATISFACTION RA	TING			
17. How satisfied	l are you with staff i	influence on decision-	making? (Please	Check One)
Very Dissatisfied  IF 0 or 1, explain:	Somewhat Dissatisfied	Somewhat Satisfied		Unknown I have no information about this
STAFF INCENTIVE 18. Please check	ES a YES or NO answe	er:		
a. In the past ye	ear, did the staff rece	ive a standard (fixed %	pay increase?	ث <sub>NO</sub> ث
b. Does the pro	gram give incentive	pay raises?		ث <sub>NO</sub> ث
c. In the past ye	ear, did any staff mer	mbers receive promotion	ons?	ث <sub>NO</sub> ث
d. Does the pro	gram use any other s	staff incentives?		ES ڤ NO ڤ
Specify Incent	ive 2			
SATISFACTION RA	TING:			
19. How satisfied	are you with the sta	aff incentives? (Please	Check One)	
Very Dissatisfied  IF 0 or 1, explain:	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this
FACILITIES  20. Is this a reside  → If NO, skip	ential program?  to question number	YES ف NO		

21. Are clients' bedrooms shared?

NO ف NO

IF YES, On average how many clients share a bedroom?

CLIENTS قق

22. Please answer the following questions about your program's physical space:

a. Do clients have enough personal space?	ث <sub>NO</sub> ث
b. Does the program provide enough group space?	ث <sub>NO</sub> ث
c. Does the program provide enough recreational space?	ث <sub>NO</sub> ث

23. What is the quality of the food in the program?

Very Poor	Inadequate	Adequate	Very Good
0	1	2	3

24. Using the following scale, rate your program's common interior areas of the building (lobby area, waiting/reception areas, meeting space, recreational space, etc.) on the qualities listed below:

	Very Poor 0	Inadequate 1	Adequate 2	Very Good 3
a. Comfort	0.	1	2.	3.
b. Lighting	0	1	2	3
c. Ventilation	0.	1	2.	3
d. State of repair	0	1	2	3
e. Cleanliness/odor	0,0	1	2.	3
f. Adequate amount of space	0	1	2	3
g. General quality	0	1	2.	3

SATISFACTION RAT	TING			
25. How satisfied	are you with the pr	ogram's space? (Plea	se Check One)	
0	1	2	3	9
Very	Somewhat	Somewhat	Very	<u>Unknown</u>
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information
				about this
IF 0 or 1, explain:				

# **SAFETY OF PROGRAM CLIENTS** (participants in the treatment program)

# 26. What has been the safety record of your program over the past 12 months?

	Number
a. How many clients physically assaulted another person in the program?	قَوْق
b. How many clients were physically assaulted while in the program?	ڤوڤ
c. How many clients were sexually assaulted or harassed while in the program?	ڤڤڤ
d. How many clients were found with contraband, such as drugs?	فقق

SATISFACTION RAT	ING:			
27. How satisfied a	are you with the pr	ogram's safety record	l? (Please Check	(One)
0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	<b>Satisfied</b>	I have no information
				about this
IF 0 or 1, explain:				

#### INTAKE ASSESSMENT

# 28. Does the initial evaluation include (Please answer each item):

a. Drug abuse history	ث <sub>NO</sub> ث
b. Criminal history assessment	ث <sub>NO</sub> ث <sub>YES</sub>
c. Medical assessment	ث <sub>NO</sub> ث
d. Psychiatric assessment	ث <sub>NO</sub> ث <sub>YES</sub>
e. Trauma assessment	ث <sub>NO</sub> ث <sub>YES</sub>
f. Educational assessment	ث <sub>NO</sub> ث <sub>YES</sub>
g. Work/Vocational assessment	ث <sub>NO</sub> ث <sub>YES</sub>
h. Family assessment	ٹ <sub>NO</sub> ٹ <sub>YES</sub>
i. Strengths/Skill assessment	ث <sub>NO</sub> ث
j. Motivational assessment	ث <sub>NO</sub> ث
k. Other (specify)	ث <sub>NO</sub> ث

SATISFACTION RA	ΓING:			
29. How satisfied	are you with the int	take assessment? (Ple	ease Check One)	
Very Dissatisfied  IF 0 or 1, explain:	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this

#### **DRUG SCREENING**

30. Does your program conduct any drug or alcohol testing?

NO ف NO

 $\rightarrow$  IF NO, skip to question number 37

# 31. On what basis are clients selected for testing? (CHECK ALL THAT APPLY)

a. All clients are tested	ڤ
b. For cause (suspicion that particular inmates are using drugs)	ڤ
c. Random testing	ڤ
d. To satisfy legal mandates (probation/parole requirements)	ڤ
e. Other (Specify)	ڤ

# 32. Drug testing is conducted:

(CHECK ALL THAT APPLY)

a. At random days and times (for example, it can occur at any day at almost any waking hour)	ڤ
b. At regular scheduled days and times (for example, only on Tuesdays between 1:00 and 3:00 p.m.)	ڤ
c. Only when use is suspected	ڤ

# 33. What are the consequences of a positive drug test? (CHECK ALL THAT APPLY):

a. Verbal reprimand	ڤ
b. Loss of privileges	ڤ
c. Discharge from program	ڤ
d. Learning experience (special counseling or activities)	ڤ
e. None	ڤ
f. Other (Specify)	ڤ

# **34.** Are consequences of a positive drug test applied in graduated steps? (For example, a second violation has more severe consequences than the first violation.)

NO ف NO

SATISFACTION RAT	ΓING:				
35. How satisfied	are you with the dr	rug screening policy i	n your prog	gram? (Please	Check One)
Very Dissatisfied  IF 0 or 1, explain:	Somewhat Dissatisfied	Somewhat Satisfied	Satisf	fied I have ຍ	Unknown no information about this
PROGRAM STRUC		s your program prov	ide? (Chec	k your respon	se)
a. Does this program h	ave a treatment prot	ocol?		NO ق NO	ے DON'T KNOW
b. Does this program h	ave a clinical policy	manual?		NO ف NO	ے <sub>DON'T KNOW</sub> ق
c. Does this program h	ave an administrativ	re policy manual?		YES ق NO	ے <sub>DON'T KNOW</sub> ق
d. Is there a structured all clients (for exam individual sessions t	ple, a specific numb	er and type of group a		<sub>YES</sub> ٿ <sub>NO</sub>	ے DON'T KNOW
e. Is there a structured example, client work		ups or individual sessi vities that must be foll		NO ف NO	ے DON'T KNOW
f. Please indicate how clients. In other wor	-	aff members to follow ion from protocol is to	1 0		
No Variation Tolerated	A Low Leve Variation Tole		M Level of Tolerated		Level of Tolerated
37. What percent	age of your time is	spent on paperwork?		% ڦ ڦ ڦ	
SATISFACTION RAT	ΓING				
38. How satisfied	are you with the wa	ay that the program l	nas been de	fined? (Please	Check One)
Very Dissatisfied  IF 0 or 1, explain:	Somewhat Dissatisfied	Somewhat Satisfied	Ve Satist	fied I have	Unknown no information about this

#### TREATMENT PLANNING

39. Do all clients have a treatment plan?

ڭ YES	NO
-------	----

**40.** What percent of your clients have a treatment plan... (When NONE, enter 0)

	Percent
a. Completed at intake?	ڤڤڤ
b. Completed within 30 days of admission?	قَوْق

41. What percent of your clients' treatment plans are periodically updated?

→ IF you indicate 0, skip to question number 45

%ف ف
------

### 42. How often are treatment plans updated? (Please check only ONE box)

a. Weekly	þ.
b. Every 2 weeks	ڦ
c. More frequently than once a month but less than every 2 weeks	"ໆ
d. Once a month	ڤ
e. Every 2-3 months	Ĺ,
e. Less frequently than every 3 months	ۊ
f. When the client reaches a specific stage or level	٣
g. Other (Specify)	ق

### 43. Who participates in updating the treatment plans? (Please answer ALL items a through h)

	Never 0	Sometimes 1	<u>Usually</u>	Always 3
a. Primary counselor	0	1	2	3
b. Case manager	0.	1.	2.	3.
c. Clinical supervisor	0	1	2	3
d. Social worker, Psychiatrist, or Psychologist	0.	1	2	3
e. Client	0	1	2	3
f. Client's family	0.	1.	2.	3.
g. Probation or parole agent	0	1	2	3
h. Other (Specify)	0.	1	2	3

45. How satisfied are you with the  Very  Somewhat	e treatment plannii	ig process? (P	lease Check (	•
Very Somewhat	2.			)ne)
Dissatisfied Dissatisfied  IF 0 or 1, explain:	Somewhat Satisfied	Sa		Unknown ave no inform about this
$\rightarrow$ IF NO, skip to question nur	<u>mber 53</u>			
<ul> <li>→ IF NO, skip to question nur</li> <li>47. Who participates in formulating (Please answer ALL items a three states)</li> </ul>	ng the discharge p	lan?		
47. Who participates in formulating	ng the discharge p	lan?  Sometimes  1	Usually 2	Always 3
47. Who participates in formulation	ng the discharge p nrough i) <u>Never</u>			Always 3
47. Who participates in formulating (Please answer ALL items a th	ng the discharge p nrough i) <u>Never</u>			
47. Who participates in formulating (Please answer ALL items a the a. Primary counselor	ng the discharge p nrough i) <u>Never</u>		2	3
47. Who participates in formulating (Please answer ALL items a the answer ALL items a the answer according to the	ng the discharge p nrough i) <u>Never</u>		2	3
a. Primary counselor b. Case manager c. Social Worker, Psychiatrist, or Psychologist	ng the discharge p nrough i)  Never 0  0		2 2 2	3
a. Primary counselor b. Case manager c. Social Worker, Psychiatrist, or Psychologist d. Clinical supervisor	ng the discharge p nrough i)  Never 0  0		2 2 2	3
a. Primary counselor b. Case manager c. Social Worker, Psychiatrist, or Psychologist d. Clinical supervisor e. Client f. Client's family g. Probation or parole agent	ng the discharge p nrough i)  Never 0  0		2 2 2	3
a. Primary counselor b. Case manager c. Social Worker, Psychiatrist, or Psychologist d. Clinical supervisor e. Client f. Client's family	ng the discharge p nrough i)  Never 0  0		2 2 2	3

<b>50.</b>	What percent of your clients scheduled to be released meet with an
	aftercare provider more than once?

% ڤ ڤ ڤ

SATISFACTION RAT	ING:			
51. How satisfied a	are you with the dis	scharge planning pro	cess? (Please Ch	eck One)
Very Dissatisfied	Somewhat Dissatisfied	2 Somewhat Satisfied	3 Very Satisfied	Unknown I have no information
IF 0 or 1, explain:				about this

#### LIST OF SERVICES

#### 52. Educational/Vocational

The following items refer to whether separate classes or training sessions are provided in each of the following areas.

	Provided?
a. High school/G.E.D. classes	ث <sub>NO</sub> ث
b. Other Basic educational classes (reading, math, etc.)	ت <sub>NO</sub> ت
c. Vocational training	ن <sub>NO</sub> ث
d. Job readiness	ن <sub>NO</sub> ث
e. Other (Specify)	ث <sub>NO</sub> ث

SATISFACTION RAT	ΓING:			
53. How satisfied	are you with the ed	ucational/vocational	services? (Please	Check One)
0		2	3	9
Very	Somewhat	Somewhat	Very	Unknown
<b>Dissatisfied</b> IF 0 or 1, explain:	Dissatisfied	Satisfied	Satisfied	I have no information about this

### 54. Social Skills Training:

The following items refer to whether specific classes or training sessions are provided in each of the following areas.

	Provided?
a. Communication skills	ث <sub>NO</sub> ث
b. Personal hygiene	ث <sub>NO</sub> ث
c. Parenting skills	ث <sub>NO</sub> ث
d. Leisure time activities skills	ث <sub>NO</sub> ث
e. Stress management	ث <sub>NO</sub> ث
f. Anger management	ث <sub>NO</sub> ث
g. Money management	ن <sub>NO</sub> ث
h. Other (Specify)	ن <sub>NO</sub> ث

SATISFACTION RAT	ΓING:			
55. How satisfied	are you with the so	cial skills training pro	ogram? (Please C	heck One)
0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	<b>Satisfied</b>	I have no information
TT 0 1 1 1				about this
IF 0 or 1, explain:				

# **56.** What other services does your program provide?

	Provided?
a. Vocational assessment (finding out what job skills you have)	ث <sub>NO</sub> ث
b. Vocational counseling	ث <sub>NO</sub> ث
b. Job placement	ث <sub>NO</sub> ث
c. Family planning education	ث <sub>NO</sub> ث
d. Mental health services	ث <sub>NO</sub> ث
e. Basic health education	ث <sub>NO</sub> ث
f. Substance abuse education	ث <sub>NO</sub> ث
g. AIDS prevention	ن <sub>NO</sub> ث

h. Location of housing	ث <sub>NO</sub> ث
i. Assistance with entitlements	ث <sub>NO</sub> ث
j. Legal assistance	ث <sub>NO</sub> ث
k. Other (specify)	ث <sub>NO</sub> ث

th the other services list    2	t Very Satisfie	Unknown ed I have no information about this  YES NO
NS For conducting unsched or program hours? Ogram, not medical trea	Satisfie	ed I have no information about this  YES نام
NS For conducting unsched or program hours? ogram, not medical trea	luled crisis sessions atment)	NO ق NO
For conducting unsched or program hours? ogram, not medical trea	atment)	NO E NO
r program hours? ogram, not medical trea	atment)	NO E NO
or conducting unschedu		•
irs?	uled crisis sessions	NO ڦ NO
the emergency interve	ntions? (Please Che	eck One)
	Very Satisfied	Unknown I have no information about this
a	at Somewhat ed Satisfied	

#### TREATMENT EMPHASIS

#### 61. What does your program emphasize the most?

Pick <u>NO MORE THAN THREE</u> choices that reflect the most important aims of your program by checking one box in each column. PLEASE LEAVE REMAINING BOXES BLANK.

	Mark only ONE box in each column			
a. Reduce criminal recidivism (new crimes)	*9	و"	و	
b. Treat the clients' substance abuse problem	<b>"</b> 9	<b>.</b> .9	ڨ	
c. Reduce psychological or emotional problems	و"	و:	ڨ	
d. Create self-reliance and positive social and work attitudes	ۊ	و:	ڤ	
e. Treat the whole person – not the particular problems the individual may have	و:	و:	ڤ	
f. Create global changes in identity	ڤ	ڤ	ڤ	
g. Increase self-understanding	ڤ	ڤ	ڤ	
h. Increase self-esteem and confidence	ڤ	ڤ	ڤ	
i. Increase trust in a Higher Power	و"	و"	ڨ	
j. Help clients change their surroundings to help deal with their problems	ڤ	ڤ	ڤ	
k. Abstinence from drug/alcohol use	ڤ	ڤ	ڤ	
1. Help clients with housing and employment	ڤ	ڤ	ڤ	
m. Change thinking patterns that lead to drug use	<b>و</b> "	و"	ۊ	
n. Other (Specify)	ڤ	ڤ	ڤ	

SATISFACTION RAT	ΓING			
62. How satisfied (Please Check	•	phasis of your progr	am is best suited	for the clients?
0 Very	1 Somewhat	2 Somewhat	3 Very	9 Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information about this

# TREATMENT INTERVENTIONS

# 63. How true is each of these about your program?

	Not Used/ Not Applicable 0	Slightly <u>Important</u> 1	Moderately Important 2	Very Important 3
a. General meetings the include all staff and clients together	0	1	2	3
b. Supportive counseling	0.	1	2	3
c. Confrontational strategies	0	1	2	3
d. Group counseling with counselor	0,0	1	2	3
e. Peer-led groups	0	1	2	3
f. Individual counseling by peer who has not had formal training in counseling	0.	1	2	3
g. Individual drug counseling by trained counselor	0	1	2	3
h. Informal interactions between staff and clients (not in meetings or counseling sessions)	0.	1	2	3
i. Informal interactions between clients (not in meetings or counseling sessions)	0	1	2	3
j. Individual psychotherapy	0,0	1	2	3
k. Contingency management (e.g. token economy, contingency contracts)	0	1	2	3
m. Cognitive behavioral therapy	0	1	2	3
n. Family therapy	0.0	1	2	3
o. 12-Step meetings at the program	0	1	2	3
p. Relapse prevention	0,0	1	2	3
q. Milieu therapy	0	1	2	3
r. Reality therapy	0,0	1	2	3
s. Case management	0	1	2	3
t. Other (Specify)	0.0	1	2	3
SATISFACTION RATING  64. How satisfied are you with the program's deliver (Please Check One)	y of the interv	entions for y	our program'	?

r. Reality therapy			.0.	1	2	3
s. Case management			0	1	2	3
t. Other (Specify)			,0,	1	2.	3
SATISFACTION RAT  64. How satisfied a (Please Check Control Very Dissatisfied  IF 0 or 1, explain:	re you with the prog	ram's delivery  2  Somewhat  Satisfied	,	3 Very	our program 9 Unknown ave no inform about this	nation
		21				

# TREATMENT TECHNIQUES

# 65. How true is each of these about your program?

SCALE A	True 0	True 1	True 2	True 3			
a. Program uses group settings involving the confrontation of negative behavior	0.	1	2	3			
b. In this program, feedback from other clients (peers) is more important than staff counseling	0	1	2	3			
<ul> <li>c. Clients have as much contact with counselors outside of formal, individual, or group counseling sessions as they have in those sessions</li> </ul>			2	3			
d. Counselors share their personal experiences and feelings with clients	0	1	2	3			
e. There is a full day's program (8 or more hours) of required activities and meetings	0.	1	2	3			
f. Staff members confront unacceptable behavior outside of individual and group counseling	0	1	2	3			
g. Clients confront unacceptable behavior by other clients outside of formal group sessions	0.	1	2	3			
h. Clients share responsibility for making this program work	0	1	2	3			
i. Clients frequently help each other	0.	1	2	3			
j. Clients who violate the program rules receive a penalty or sanction	0	1	2	3			
k. Work is used as part of the therapeutic program	0.	1	2	3.			
Staff serve as role models for the clients	0	1	2	3			
m. Senior clients serve as role models for newer clients	.0.	1	2	3			
n. Clients get increased privileges as they advance in the program	0	1	2	3			
o. Clients get increased job responsibilities as they advance in the program	0.	1	2	3			
SATISFACTION RATING  66. How satisfied are you with the use of the interventions in SCALE A? (Please Check One)							
Very Somewhat Somewhat Very Unknown Dissatisfied Dissatisfied Satisfied Satisfied I have no information about this  IF 0 or 1, explain:							

Not

Somewhat Mostly

Very

# 67. How true is each of these about your program?

SCALE B	Not True 0	Somewhat True 1	Mostly True 2	Very True 3
a. Encourages clients to practice telling themselves about how to act correctly	p. O. p.	1	2	3
b. Encourages clients to praise themselves for behaving well	0	1	2	3
c. Helps clients practice saying no to drugs when they are offered	, 0,	1	2	3
d. Encourages clients to stop and think before acting	0	1	2	3
e. Helps clients to identify "trigger" situations for taking drugs	0.0	1	2	3
f. Explains the use of thought stopping techniques	0	1	2	3
g. Encourages clients to find enjoyable things to do besides drugs or alcohol	, O , o	1	2	3
h. Encourages clients to communicate with others in an assertive, but non-violent way	0	1	2	3
i. Emphasizes problem solving techniques to deal with frustration	, O ,	1	2	3
j. Emphasizes thinking about the consequences of using drugs	0	1	2	3
k. Helps clients to recognize errors in thinking	0.00	1	2	3
1. Uses contracts that involve punishment or rewards	0	1	2	3
m. Helps clients to develop a plan to return to abstinence if they slip and use drugs or alcohol	, O ,	1	2	3
n. Uses behavioral rehearsal or role playing to act out situations	0	1	2	3
o. Teaches clients how to deal with urges and cravings for drugs or alcohol	a O a		2	3

NG			
	se of the treatment tec	hniques in SCA	LE R? (Please Check One)
t you with the us			DE D. (1 rease eneck one)
Somewhat	Somewhat	Very	Unknown
Dissatisfied	Satisfied	Satisfied	I have no information about this
			***************************************
	1 Somewhat	re you with the use of the treatment tec Somewhat Somewhat	re you with the use of the treatment techniques in SCA  Somewhat  Somewhat  Somewhat  Somewhat

# 69. How true is each of these about your program?

SCALE C	Not True 0	Somewhat True 1	Mostly <u>True</u> 2	Very True 3
a. Emphasizes the need to rely on a "Higher Power"	.0.	1.	2	3
b. Emphasizes the need to seek external support to recover (you cannot do it alone)	0	1	2	3
c. Emphasizes that recovery from substance abuse is a life long process requiring ongoing attendance at 12-Step meetings	.0.	1	2	3
d. Provides recovery literature, such as the Big Book, pamphlets, or serenity prayer posters	0	1	2	3
e. Emphasizes the need to admit the loss of control over drugs and/or alcohol (powerlessness)	.0.	1.	2	3
f. Encourages the need for spiritual growth	0	1	2	3
g. Discusses a "one day at a time" approach to abstinence	.0.	1	2	3
h. Discusses "stinking thinking"	0	1	2	3
i. Explains the importance of working the 12-Step program consistently	.0.	1	2	3
j. Discusses the goals and strategies of the 12-Step program	0	1	2	3
k. Explains how to work the 12-Step program	.0.	1	2	3
1. Explains the reasons why the 12-Steps work	0	1	2	3
m. Discusses the nature of the "sponsoring relationship"	.0.	1	2.	3
n. Discusses the barriers to affiliation with the 12-Step program	0	1	2	3
o. The program hosts different types of 12-Step meetings, such as the 'Step Meeting' and discussion 'Round Robin'	.0.	1	2	3.

SATISFACTION RAT	TING			
70. How satisfied	are you with the us	se of the interventions	in SCALE C? (I	Please Check One)
0	1	2	3	9
Very	Somewhat	Somewhat	Very	Unknown
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information
IF 0 or 1, explain:				about this

# ORGANIZATIONAL CULTURE QUESTIONNAIRE 71. How true is each of these about your program?

	Not True 0	Somewhat True 1	Mostly True 2	Very True 3
a. Clients and staff really feel like a part of the program	.0.	1	2.	3.
b. I'm glad that I have the opportunity to participate in this program	0	1	2	3
c. I do not have a lot of respect for this program	.0.	1	2.	3.
d. People know what is expected of them in this program	0	1	2	3
e. People in this program don't push each other to understand themselves better	.0.	1	2	3
f. Administration and staff are really open to what clients say	0	1	2	3
g. I feel that people are not interested in helping each other in this program	.0.	1	2.	3
h. Clients and staff do not feel supported by the program	0	1	2	3
i. This program has high standards of behavior	.0.	1	2.	3.
j. The standards of behavior in this program are pretty well spelled out	0	1	2	3
k. Administration is not interested in what I think	.0.	1	2.	3
1. The program puts a lot of trust in people	0	1	2	3
m. If clients can fulfill the expectations of this program, then they have really accomplished something	0.	1	2	3
n. The program focuses not on what people did, but why they used to do it	0	1	2	3
o. I think that the program isn't clear in letting people know what is wanted from them	0.0	1	2	3
p. I think that the staff in the program are trying to do what is best for the clients	0	1	2	3
q. The goals that they set for people in this program are pretty high, but they can be reached	.0.	1	2.	3
r. I like the people in this program	0	1	2	3
s. I don't think that the program is well organized (runs smoothly)	.0.	1	2.	3
t. I feel that clients and staff do not have opportunities to tell people in charge of the program what they think	0	1	2	3
u. Administration and staff run a pretty tight ship around here	.0.	1	2	3.
v. People around here are pretty interested in understanding how the other person feels	0	1	2	3
w. I don't feel a commitment to the other people here	.0.	1	2	3.
x. I think that the staff believes in the clients	0	1	2	3
y. I would do what I could to help this program	.0.	1	2.	3.
z. Administration and staff aren't good at responding to problems	0	1	2	3

aa. I think that the people in the program believe in me to do what is right	g <b>0</b> g	1	2	3
			<u> </u>	

SATISFACTION RAT	ΓING:							
72. How satisfied are you with the organizational culture at your program? (Please Check One)								
Very Dissatisfied	Somewhat Dissatisfied	Somewhat Satisfied	Very Satisfied	Unknown I have no information about this				
IF 0 or 1, explain:				about tills				

### WITHIN-PROGRAM COMMUNICATION

73. Use the scale to indicate what actually happens at your program.

	Never 0	Rarely	Some- times 2	Often 3
a. Program director starts discussions about program problems/concerns about program with counselors.	0	1	2	3
b. Counselors start discussions about program problems/concerns with the program director.	0.	1	2	3
c. Clinical supervisors start discussions about program problems/concerns with counselors.	0	1	2	3
d. Counselors start discussions about program problems/concerns with clinical supervisors.	0.	1	2	3
e. Counselors start discussions about program problems/concerns with other counselors.	0	1	2	3
f. Counselors start discussions about program problems/concern with clients.	,0,	1	2.	3
g. Clients start discussions about program problems/concerns with counselors.	0	1	2	3

SATISFACTION RATING:						
74. How satisfied are you with the communication within the program? (Please Check One)						
0 Very	1 Somewhat	2 Somewhat	Very	9 Unknown		
<b>Dissatisfied</b> IF 0 or 1, explain: _	Dissatisfied	Satisfied	Satisfied	I have no information about this		

#### **DECISION-MAKING SCALE \***

75. Using the scale below, please rate how strongly you agree with each of the following statements about <u>decision-making</u> at this program (includes counselors, interns, supervisors, support staff, etc.)

	Not True 0	Somewhat True 1	Mostly True 2	Very True 3
a. We have open and frank discussions about our differences	.0.	1	2	3.
b. Disagreements are generally resolved fairly	0	1	2	3
c. The staff is divided into small groups that do not communicate well	.0.	1	2.	3
d. We actively seek out a variety of opinions	0	1	2	3
e. Most viewpoints are given serious consideration	.0.	1.	2.	3.
f. People are afraid to speak up for fear of ridicule/retaliation	0	1	2	3
g. We are not afraid to disagree	.0.	1	2.	3
h. We learn a lot from considering each others' opinions	0	1	2	3
i. Individuals who disagree with the majority are likely to have a hard time	.0.		2	3

<sup>\*</sup>The item content for this scale is based on: Kirchmeyer, C. & Cohen, A. (1992)

SATISFACTION RATING:						
76. How satisfied are you with the decision-making process at this program? (Please Check One)						
0	1	2	3	9		
Very	Somewhat	Somewhat	Very	Unknown		
Dissatisfied	Dissatisfied	Satisfied	Satisfied	I have no information about this		
IF 0 or 1, explain: _						

#### **DEMOGRAPHICS:**

77. Are you Hispanic or Latino? YES

NO ڦ NO

# a. If yes, what ethnic group do you consider yourself?

	Select one or more:
1. Central American	ڤ
2. Cuban	ڤ
3. Dominican	ڤ
4. Mexican	ڤ
5. Puerto Rican	ڤ
6. South American	ڤ
7. Other, Specify:	ڤ

# 78. What is your race? (Select one or more)

	Select one or more:
a. Black or African American	6.
b. Asian	ڤ
c. American Indian	ڤ
d. Native Hawaiian or other Pacific Islander	ڤ
e. Alaska Native	ڤ
f. White	ڤ
g. Other, Specify:	ڤ

79. Any	other cor	nments vou	would like to	make not	covered by	the o	questions above
,,,,,	, other con	minicities you	mount mile to	munic mot	co i ci ca b j	,	acciding above

**Reminder:** All of your responses to the questions are important, so please check through the questionnaire to see that no questions have been skipped.

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