

## Attachment 1

Previous versions of the survey were reviewed extensively by SEARCH membership and the state central criminal history record repositories. Numerous modifications to the survey were generated from the review process:

### Section I – REPOSITORY DATABASE

Questions added:

- Number and percentage of non-criminal retained fingerprints and percentage of criminal history database. [2006 Question #2(a)(b)(c)]
- Number and percentage of criminal, non-criminal, and non-criminal fingerprints processed. [2006 Question #3(a)]
- Number of fingerprint-based background checks in 2005 and what percentage were from LiveScan devices. [2006 Question #3(b)(c)]

Questions deleted:

- Is your master name index fully automated, fully manual, or partially automated? [2003 question #3]
- How are manual records subsequently automated when an offender with a manual prior record is arrested? [2003 question #4]

### Section II – ARREST / FINGERPRINT REPORTING AND ENTRY

Questions added:

- Number of felony arrests reported. [2006 Question #1]
- Average time elapsed between the occurrence of non-felony arrest and receipt of fingerprints and arrest data by the repository. [2006 Question #3(a)]
- Average time elapsed between the receipt of non-felony arrest fingerprints by the repository and entry of arrest data into both the master name index and the criminal history database. [2006 Question #3(b)(c)]
- Number of law enforcement agencies in the state. [2006 Question #4(a)]
- Name and the percent of arrests processed for each of the four largest arresting agencies in the state including if arrests are reported via LiveScan devices. [2006 Question #4(c)]
- Was there a backlog of arrest data to be entered into the AFIS database, how many fingerprint cards needed processing, and the number of person-days to eliminate that backlog? [2006 Question #6(a)(b)(c)]
- Is there a legal requirement that arresting agencies submit fingerprints and arrest data for all non-felony arrests to the repository? [2006 Question #7(b)]
- Additional biometric data accepted by the state repository. [2006 Question #8]

Questions deleted:

- Is arrest data currently reported to the repository by automated means? [2003 question #10(a)]

### Section III – DATA QUALITY PROCEDURES

#### Questions added:

- Number of agencies audited. [2006 Question #1(b)]
- Number of courts audited. [2006 Question #1(c)]
- By whom / what agency were the audits conducted? [2006 Question #1(d)]
- What back-up recovery practices or technology is used for both the AFIS database and the criminal history database? [2006 Question #4(a)(b)]

#### Questions deleted:

- Does the repository maintain a transaction log to provide an audit trail? [2003 question #35]
- What was the date of the last audit of a user agency and what period of time was covered by the audit? [2003 question #36(b)(c)]
- Are any data quality audits of the repository's criminal history database scheduled or planned for the next three years? [2003 question #38]

### Section IV – DATABASE SYSTEMS AND VENDORS

#### Questions added:

- Description of current efforts underway to enhance, upgrade, or redesign the criminal history database system and/or the AFIS database system including what phase the enhancement/upgrade is in. [2006 Question #1,2,3]
- Who was your state's AFIS vendor? [2006 Question #4]

#### Questions deleted:

No questions deleted in this section.

### Section V – DISPOSITIONS

#### Questions added:

- Listing of additional procedures used by the repository to track missing disposition information. [2006 Question #4]

#### Questions deleted:

No questions deleted in this section.

## Section VI – PROSECUTOR REPORTING

### Questions added:

- Procedures used to obtain missing prosecutorial dispositions. [2006 Question #2(c)]

### Questions deleted:

- The percentage of cases in which the repository received notice of the prosecutor's decision to decline prosecution. [2003 question #13(c)]

## Section VII – COURT REPORTING

### Questions added:

- Method of automated transmission of court disposition data. [2006 Question #1(b)]
- Ability of automated reporting of court disposition data to the repository via centralized administrative offices and the method of transmission. [2006 Question #2(a)(b)]
- Use of LiveScan devices in the courtroom to link positive identification to dispositions. [2006 Question #6]

### Questions deleted:

No questions deleted in this section.

## Section VIII – CORRECTIONAL REPORTING

### Questions added:

No questions added in this section.

### Questions deleted:

- Number of correctional dispositions that could not be linked to arrest information in the criminal history record database. [2003 question #33(a)]
- Ability to impute a conviction for records without underlying court information. [2003 question #33(b)]
- Percentage of persons incarcerated for felony offenses when fingerprints were taken and forwarded to the repository. [2003 question #25(b)]
- Ability to process fingerprints to establish positive identification of incarcerated offenders and to ensure that correctional information is linked to the proper record. [2003 question #25(c)]

- What percentage of cases in which probation was ordered, was information concerning admission and release from supervision reported to the repository? [2003 question #26(b)]

## Section IX – REPOSITORY ADMINISTRATION

### Questions added:

- Allocation of fees collected. [2006 Question #2]
- Ability to send electronic submissions to IAFIS and the percentage of all criminal and non-criminal submissions. [2006 Question #3]
- Ability to conduct “lights out” processing of fingerprints and what percentage is conducted in this manner for criminal submissions. [2006 Question #4(a)(b)]
- Ability to conduct “lights out” processing of fingerprints for non-criminal submissions. [2006 Question #4(c)]
- Total percentage of fingerprints handled with “lights out” processing. [2006 Question #4(d)]
- Ability of the repository to receive mental health records to facilitate firearm suitability determinations and the number of records in such a database. [2006 Question #5(a)(b)]
- Ability to provide state mental health records to the FBI through NICS and the number of records submitted. [2006 Question #6(a)(b)]
- Does the state repository receive protection order information and how many records are in such a database? [2006 Question #7(a)(b)]
- Current operating hours and staffing levels per day of the week. [2006 Question #8(a)]
- How criminal-, non-criminal, latent, and internet queries are processed based upon availability of fingerprint technicians. [2006 Question #8(b)]
- Other records, in addition to criminal history information, the state repository may be responsible for, such as SORs, orders of protection, or warrants and warrants. [2006 Question #9]
- Other information services provided, such as wanted persons, protection orders, or firearm notification.[2006 Question #10]

### Questions deleted:

No questions deleted in this section.

## Section X – NON-CRIMINAL BACKGROUND CHECKS

### Background Checks

### Questions added:

- State legal requirements to perform background checks for various occupations and activities. [2006 Question #1]

- Ability to provide rapback services to non-criminal background checks. [2006 Question #2]

No questions deleted in this section.

### Fingerprint-based Searches

Questions added:

- Percentage of non-criminal fingerprints captured electronically. [2006 Question 1]
- Average processing time for fingerprint-based criminal history record checks submitted either by electronic means or mail and established goals for maximum processing time. [2006 Question #2(a)(b)]
- Ability to retain fingerprints for non-criminal justice purposes and how they may be utilized. [2006 Question #3,4]
- Information contained in results of fingerprint-based non-criminal history background checks and the identification rate. [2006 Question #5,6]

No questions deleted in this section.

### Name-based Searches

Questions added:

- Total number of name-based background checks performed via internet, mail, and telephone. [2006 Question #1]
- Required identifiers for name-based searches and minimum identifiers that have to “hit” before a response is returned. [2006 Question #2(a)(b)]
- Ability to offer additional name-based search features such as phonetic-assisted spelling or loose spelling assistance and the information contained in results. [2006 Question #2(c)]
- Identification rate for name-based non-criminal background checks performed. [2006 Question #3]
- Information contained in the results for a name-based non-criminal background check. [2006 Question #4]

No questions deleted in this section.

### Internet Access

Questions added:

- Ability of the repository to provide web-based non-criminal background checks for the general public and which agency maintains the website? [2006 Question #1]

- What is required for the general public to access the web-based information. [2006 Question #1(c)]
- What fees are involved for the general public to obtain internet access? [2006 Question #1(d)]
- If a private agency maintains the website, how much does it collect per transaction. [2006 Question #2(a)]
- The amount allocated to the repository for each transaction. [2006 Question #2(b)]
- Ability to provide bulk data to commercial / third parties for re-dissemination and the amount of fees involved. [2006 Question #3(a)(b)]

No questions deleted in this section.

## Section XI – LIVESCAN AVAILABILITY

Questions added:

- Number of LiveScan devices in use. [2006 Question #1(a)]
- Number of LiveScan devices available for criminal- and non-criminal justice purposes or both. [2006 Question #1(b)(c)(d)]
- Ability to process fingerprints for non-criminal justice purposes. [2006 Question #2]
- Ability to forward fingerprints to the FBI for non-criminal justice purposes. [2006 Question #3]
- Ability to use LiveScan devices to capture non-criminal justice purpose fingerprints. [2006 Question #4]
- Do the LiveScan devices print a fingerprint card which is mailed to the repository? [2006 Question #5]
- Does a data communications network also enable direct transmission to the repository? [2006 Question #6]
- Ability to fund or provide LiveScan devices for non-criminal justice purposes? [2006 Question #7]
- Have any non-state sources funded or provided LiveScan devices for non-criminal justice uses? [2006 Question #8]
- Has the state privatized the taking of non-criminal justice purposes fingerprints? [2006 Question #9]
- Does your state plan to privatize the taking of non-criminal justice purposes fingerprints? [2006 Question #10]

Questions deleted:

No questions deleted in this section.

## Section XII – SEX OFFENDER REGISTRY

Questions added:

- Number of registered sex offenders in your state. [2006 Question #1]
- Number of registered sex offenders on publicly available state registry [2006 Question #2]
- Percentage of registered sex offenders on publicly available state registry [2006 Question #3]

Questions deleted:

No questions deleted in this section.