

Survey of State Criminal History Information Systems, 2006

Those of you who have responded to the survey in past years will notice major changes in both process and format, the most significant being that your submissions will be input and collected online. Some questions have been added, while others have been deleted in order to more precisely track developing trends over time. To assist the repositories, the survey has been divided into twelve sections, each of which may be submitted independently and not necessarily in the order presented. This was done so that different people on each repository's staff may submit the data for which their section is responsible. Repository directors will still be responsible to see that the survey is submitted in its entirety. These survey revisions are due, in part, to responses to our request for suggestions and changes.

Please make note of the following:

1. All questions refer to criminal records of adult offenders, including juveniles tried as adults.
2. The term "felony" includes any crime classified as a felony under your state's laws. If your state's laws do not use the term "felony," please substitute functional equivalents, such as class 1, 2, 3 and 4 offenses in New Jersey and class A, B and C offenses in Maine.
3. Questions which seek responses based on a "legal requirement," refer *only* to a *state statute* or a *state administrative regulation having the force of law*.
4. If your state has done an audit, please use audit figures, and indicate such figures with an "A."
5. If additional space is needed, please use the "Additional Comments" area at the end of each section.
6. If a question is not applicable to your repository, please indicate "NA" in the "Additional Comments" area at the end of each section.

SECTION I: REPOSITORY

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

The following questions relate to descriptions of your criminal history record information and master name index databases:

1. How many subjects (individual offenders) were in your criminal history file as of December 31, 2006?

Automated Records _____ (Include subjects whose records are partially automated)

Manual Records _____

Total Records _____

2. (a) Fingerprints processed in 2006:

<u>Purpose</u>	<u>Number</u>	<u>Percentage of 2006 volume</u>
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Criminal	_____	_____
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Non-Criminal	_____	_____
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Non-Criminal retained	_____	
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- (b) What was the total number of fingerprint-based background checks conducted during 2006?

_____ checks

3. (a) What was the total number of non-criminal justice fingerprint-based background checks conducted during 2006?
- (b) What was the total number of non-criminal fingerprints retained for future reference as of December 31, 2006? _____
- (c) What percentage of non-criminal prints were retained in 2006? _____%
- (d) Non-criminal justice fingerprints represent _____ percent of the total fingerprints maintained by the state criminal history repository.
- (e) Percentage of criminal history database? _____%
- (f) Percentage of criminal prints submitted via LiveScan? _____ %
- (g) Percentage of non-criminal prints submitted via LiveScan? _____ %
4. (a) What percentage of arrest events (case cycles) in your automated criminal history file are supported by fingerprints? _____ %
- (b) If less than 100%, check all that apply.
- State law and/or policy does not require all arrest information to be supported by fingerprints
 - Arrest information is entered from final dispositions which are not supported by fingerprints
 - Arrest information might be entered from criminal summonses or cite & release situations, which are not supported by fingerprints
 - Arrest reported with fingerprints, but subsequently purged by law or court order
 - Prior manual records are only automated upon receipt of new information
 - Other _____
5. (a) Do you currently have felony flagging capability, i.e., does your criminal history record database include a data field or flag enabling you to quickly determine whether a given record subject has a felony conviction?
- Yes, all subjects with felony convictions
 - Yes, some subjects with felony convictions
 - No
- (b) If any yes response above, when is the flag set?
- When arrest information is entered
 - When conviction information is entered
 - At both arrest and conviction
 - Other _____
- (c) In addition to felony flagging, do you also flag for IFS (Identification in Firearm)?
- Yes, all subjects with felony convictions
 - Yes, some subjects with felony convictions
 - No

(d) If any yes response above, when is the flag set?

- When arrest information is entered
- When conviction information is entered
- At both arrest and conviction
- Other _____

6. (a) If subjects with felony convictions are not presently flagged (or if not all of them are flagged), does your criminal history record database contain sufficient information to enable identification of unflagged previously-recorded felony convictions for flag-setting purposes?

- Yes, all previously-recorded unflagged felony convictions
- Yes, some previously-recorded unflagged felony convictions
- No

(b) Are all new felony convictions being flagged?

- Yes
- No

(c) If no, does your criminal history record database contain sufficient information to enable identification and flagging all new felony convictions?

- Yes
- No

ADDITIONAL COMMENTS:

SECTION II: ARREST / FINGERPRINT REPORTING AND ENTRY

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

1. How many felony arrests were reported during calendar year 2006?

_____ arrests

2. (a) In 2006, what was the average time elapsed between the occurrence of a felony arrest and the receipt of the fingerprints and arrest data by the repository?

_____ days or _____ hours or Unknown

- (b) In 2006, what was the average time elapsed between receipt of felony arrest fingerprints by the repository and entry of identification data into the master name index?

_____ days or _____ hours or Unknown

- (c) In 2006, what was the average time elapsed between receipt of felony arrest fingerprints by the repository and entry of identification data into the criminal history database?

_____ days or _____ hours or Unknown

3. (a) In 2006, what was the average time elapsed between occurrence of non-felony arrest and the receipt of the fingerprints and arrest data by the repository?

_____ days or _____ hours or Unknown

- (b) In 2006, what was the average time elapsed between the receipt of non-felony arrest fingerprints by the repository and entry of identification data into the master name index?

_____ days or _____ hours or Unknown

(c) In 2006, what was the average time elapsed between the receipt of non-felony arrest fingerprints by the repository and entry of arrest data into the criminal history database?

_____ days or _____ hours or Unknown

4. How many fingerprints were submitted to the repository via LiveScan during 2006?

_____ criminal justice

_____ non-criminal justice

5. (a) How many law enforcement agencies are in your state?

_____ agencies

(b) Of those agencies, how many report arrest data by automated means?

_____ agencies

(c) What are the four largest arresting agencies in your state, and what percentage of statewide arrests are processed by each of those four agencies?

<u>Agency Name</u>	<u>Percent of statewide arrests processed</u>	<u>Are arrests reported via LiveScan devices?</u>
_____	_____%	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____%	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____%	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____%	<input type="checkbox"/> Yes <input type="checkbox"/> No

6. (a) As of December 31, 2006, was there a backlog of arrest data to be entered into the criminal history database?

- Yes
- No

(b) If yes, how many unprocessed or partially processed arrest data or fingerprint cards (work backlog) did you have at that time?

(c) How many person-days would it take to eliminate that backlog?

_____ person-days

7. (a) As of December 31, 2006, was there a backlog of arrest data to be entered into the AFIS database?

Yes

No

(b) If yes, how many unprocessed or partially processed fingerprint cards (work backlog) did you have at that time?

(c) How many person-days would it take to eliminate that backlog?

_____ person-days

8. (a) Is there a legal requirement that arresting agencies submit fingerprints and arrest data for all felony arrests to the repository?

Yes, statutorily required

Yes, submission required by policy, administrative rule or regulation

No

(b) Is there a legal requirement that arresting agencies submit fingerprints and arrest data for all non-felony arrests to the repository?

Yes, statutorily required

Yes, submission required by policy, administrative rule or regulation

No

9. What biometric information does your repository accept? Check all that apply.

Rolled ten-prints

Latent fingerprints

Flat prints

2-Finger prints for identification purposes

2-Finger prints for updating incarceration or release identification

Palm prints

Facial images / mug shots

Scars, Marks, and Tattoo images

Facial Recognition Data

1- or 2-Finger prints for updating disposition information

10. (a) As of December 31, 2006, how many images of scars, marks, and tattoos did you have in your repository database?

(b) As of December 31, 2006, how many facial images or booking photos did you have in your repository database?

(c) As of December 31, 2006, how many palm print images did you have in your repository database?

ADDITIONAL COMMENTS:

SECTION III: DATA QUALITY PROCEDURES

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

1. (a) Does the repository or some other agency perform random sample audits of contributing agencies to ensure accuracy and completeness of repository records, and to ensure that the agencies comply with applicable laws and regulations? Check all that apply:

- Number of Law Enforcement / Criminal Justice agencies audited in 2006 _____
- Number of Courts audited in 2006 _____
- Number of Non-criminal justice entities audited in 2006 _____
- Number of Others audited _____

Please identify: _____

- No audits performed

- (b) By whom / what agency were the audits conducted?

- Repository Function
- State Police
- Judicial Agency
- State Accounting Office
- Other State Agency _____
- Other _____

2. (a) Has an audit of the repository's computerized criminal history database (other than ongoing systematic sampling) been conducted within the past five years to determine the level of accuracy and completeness of the criminal history record file?

- Yes
- No

- (b) If such an audit has been conducted, what was the date of the last audit?

(c) What period of time was covered by that audit?

_____ to _____

(d) By whom / what agency was that audit conducted?

Internal Repository Function

State Police

Judicial Agency

State Accounting Office

Other State Agency _____

Other _____

(e) Were any changes made as a result of the audit to improve data quality? Check all that apply.

Audit functions / procedures

Automation conversion / redesign / enhancements

Disposition / arrest reporting procedures / enhancements

Felony flagging

Fingerprint card / system conversion / enhancements

Inter-agency / local agency interface

Legislation

Plan / strategy development

Task force / advisory group establishment

Training seminars / policy and procedures manuals

Other _____

(f) If there is a URL or other source that may be accessed to view the results of your audit, please provide: _____

1. What procedures does the repository employ to prevent the entry and storage of inaccurate data, and to detect and correct inaccurate entries? Check all that apply.

Manual review of incoming source documents or reports

Computer edit and verification programs

Manual review of the criminal history record before dissemination

Random sample comparisons of repository files with stored source documents

Error lists returned to reporting agencies

Other _____

2. (a) What back-up recovery practices or technologies are used for computerized criminal history database?

Mirroring System

Routine back-up every _____ Days Weeks Months (circle one)

Other _____

(b) What back-up recovery practices or technologies are used for the AFIS database?

Mirroring system

Routine back-up every _____ Days Weeks Months (circle one)

Other _____

3. Are any initiatives to improve data quality currently under way?

Automation conversion / redesign / enhancements

Disposition / arrest reporting procedures / enhancements

Felony flagging

Fingerprint card / system conversion / enhancements

Inter-agency / local agency interface

Legislation

Plan / strategy development

Task force / advisory group establishment

Training seminars / policy and procedures manuals

None at this time

Other _____

ADDITIONAL COMMENTS:

SECTION IV: DATABASE SYSTEMS AND VENDORS

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

1. Is your state an AFIS partner with one or more states?

Yes

No

Is so, please list the names of your partner states: _____

2. As of December 31, 2006 was your state enhancing, upgrading or redesigning its computerized criminal history database system?

Yes

No

3. As of December 31, 2006 was your state enhancing, upgrading or redesigning its AFIS database system?

Yes

No

4. As of December 31, 2006 in what phase was your enhancement / upgrade?

Planning phase / securing funding

Drafting or finalizing specifications / RFP

Reviewing bids or proposals

Implementation and testing phase

5. Who was your AFIS vendor as of December 31, 2006?

- Lockheed –Martin
- Sagem Morpho
- Motorola-PrintTrak
- Cogent
- NEC
- Other _____

ADDITIONAL COMMENTS:

SECTION V: DISPOSITIONS

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

The following questions seek to determine to what extent the records in your criminal history record database contain final disposition information. (*Final Disposition is defined as release by police after charging, decline to proceed by prosecutor, or final trial court disposition*)

1. (a) How many final case dispositions were received by the repository during 2006?

_____ dispositions

- (b) Of those, how many were sent to the FBI?

_____ dispositions sent to the FBI

- (c) Of the dispositions forwarded to the FBI, what percent were sent by Machine Readable Data (MRD)?

_____ %

- (d) What percent were sent via hard copy / paper?

_____ %

- (e) What percent were sent by other than MRD or hard copy?

_____ %

2. What percentage of all arrests in the criminal history database have had final dispositions recorded?

_____ % Arrests entered within past five years

_____ % Arrests in entire database

3. (a) In 2006, what percentage of dispositions were submitted to the state criminal history repository?

_____ % by local agencies

_____ % by state court administrators office or other state entity

(b) Of those in 3(a) above, what percent were submitted electronically?

_____ % from local agencies

_____ % from state court administrators office or other state entity

4. Of the dispositions received at the repository during the year 2006, what percentage could not be linked to a specific arrest record?

_____ %

5. What procedures does your repository employ to encourage complete disposition reporting?

- Periodic generation of lists of arrests in the criminal history database for which final dispositions have not been reported
- Notices to criminal justice agencies
- Field visits to courts
- Field visits to arresting agencies
- Field visits to prosecutors
- Form letters
- Telephone calls
- Training
- Conferences / workshops / meetings
- Audits
- Other _____

6. Please provide a list of any other procedures that your repository used to track missing disposition information during 2006.

_____	_____
_____	_____
_____	_____
_____	_____

7. How is disposition information associated with arrest / charge information in your state's criminal history record database? Check all that apply to each system shown.

<u>Automated System</u>	By:	<u>Manual System</u>
<input type="checkbox"/>	Unique tracking number for individual subject	<input type="checkbox"/>
<input type="checkbox"/>	Unique arrest event identifier	<input type="checkbox"/>
<input type="checkbox"/>	Unique charge identifier	<input type="checkbox"/>
<input type="checkbox"/>	Arrest date	<input type="checkbox"/>
<input type="checkbox"/>	Subject name	<input type="checkbox"/>
<input type="checkbox"/>	Date of birth	<input type="checkbox"/>
<input type="checkbox"/>	Social Security Number	<input type="checkbox"/>
<input type="checkbox"/>	Reporting agency ORI	<input type="checkbox"/>
<input type="checkbox"/>	Unique state case number	<input type="checkbox"/>
<input type="checkbox"/>	FBI number	<input type="checkbox"/>
<input type="checkbox"/>	Name and reporting agency case number	<input type="checkbox"/>
<input type="checkbox"/>	Other means (please specify)	<input type="checkbox"/>
<input type="checkbox"/>	_____	<input type="checkbox"/>

8. Do the methods utilized by your repository for linking disposition information and arrest / charge information permit the linking of dispositions to **particular** charges?

- Yes
- No

ADDITIONAL COMMENTS:

SECTION VI: PROSECUTOR REPORTING

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

1. (a) Is there a legal requirement that the repository be notified when a prosecutor declines to prosecute a case?

Yes

No

- (b) How many such notifications did the repository receive during calendar year 2006?

2. (a) During the period January 1, 2006 through December 31, 2006, did the repository receive final prosecutor disposition information that could not be linked to arrest information in the criminal history record database?

Yes

No

- (b) If yes, how many final prosecutor dispositions did you receive that could not be linked?

_____ final prosecutor dispositions

- (c) What procedure(s) do you follow to obtain missing prosecutorial dispositions? Check all that apply.

Automated inquiry to the prosecutor upon a pre-determined period of time

Indicate time period _____

Automated inquiry to the prosecutor

Manual inquiry to the prosecutor

ADDITIONAL COMMENTS:

SECTION VII: COURT REPORTING

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

1. What percent of dispositions received at your repository come from a centralized entity?

_____ %

2. (a) As of December 31, 2006 was any court disposition data reported directly to the repository by automated means?

- Yes
 No

(b) If yes, indicate how transmitted:

- Email
 CD
 Tape
 Other _____

(c) As of December 31, 2006, what percent of dispositions were received directly from local courts?

_____ %

3. (a) As of December 31, 2006 was any court disposition data reported to the repository via centralized administrative offices (e.g. Administrative Office of the Courts) by automated means?

- Yes
 No

(b) If yes, indicate how transmitted:

- Email
- CD
- Tape
- Other _____

4. (a) Courts with felony jurisdiction are legally required to report final trial court dispositions to:

- State repository
- Administrative offices (e.g. Administrative Office of the Courts) which then forward to the state repository
- No legal reporting requirement exists

(b) In 2006, for what percent of felony cases did the repository receive final trial court dispositions? _____ %

5. In 2006, what was the average time elapsed between the occurrence of final felony trial court dispositions and receipt of information concerning such dispositions by the repository?

_____ days

6. In 2006, what was the average time elapsed between receipt of final felony trial court disposition information by the repository and entry of that information into the criminal history record database?

_____ days

7. As of December 31, 2006, was your state using LiveScan devices in the courtroom to link positive identifications with dispositions?

- Yes
- No

8. (a) As of December 31, 2006, was there a backlog of court disposition data to be entered into the criminal history database?

- Yes
- No

(b) If yes, how many *unprocessed or partially processed* court disposition forms (work backlog) did you have?

_____ forms

(c) How many person-days would it take to eliminate the backlog?

_____ person-days

9. (a) During the calendar year 2006, did the repository receive final court dispositions that could not be linked to arrest information in the criminal history record database?

- Yes
- No

(b) If yes, how many final court dispositions did you receive that could not be linked?

_____ final court dispositions

(c) What procedures do you follow when a link cannot be made? Check all that apply.

- Create a “dummy” arrest segment with information from the court disposition record
- Create a “dummy” court segment with information from the correctional data
- Enter the court information into the database without any linkage to a prior arrest
- Do not enter the unlinked court information
- Return to arresting agency to obtain arrest fingerprint cards or arrest information
- Other _____

(d) What procedure(s) do you follow to obtain missing dispositions? Check all that apply.

- Automated inquiry to a specific court or statewide court administrator upon a pre-determined period of time. Indicate that time period: _____
- Automated inquiry to a specific court or statewide court administrator
- Manual inquiry to a specific court or statewide court administrator

10. (a) With regard to felony convictions, which of the following does your state law provide for?

- Expungement of the conviction
- Setting aside of the conviction
- Granting a pardon
- Restoration of offender’s civil rights
- Sealing of conviction record

(b) If you checked any of the above, how is each such conviction record treated by the repository?

	<i><u>Destroyed by</u></i> <i><u>Repository</u></i>	<i><u>Retained with</u></i> <i><u>action noted</u></i>	<i><u>Record is</u></i> <i><u>sealed</u></i>	<i><u>No action</u></i> <i><u>taken</u></i>	<i><u>Other</u></i>
Expungements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Set asides	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pardons	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Restoration Orders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sealing of records	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

ADDITIONAL COMMENTS:

SECTION VIII: CORRECTIONAL REPORTING

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

1. In 2006, what was the average time elapsed between admission or release of offenders by correctional facilities and receipt of information concerning such admissions or releases by the repository?

State prison system admissions _____ days

State prison system releases _____ days

Local detention center releases _____ days

2. In 2006, what was the average time elapsed between receipt of correctional data by the repository and entry of that information into the criminal history database?

_____ days

3. (a) Do any correctional agencies currently report admission / release / status change information to the repository by automated means?

Yes

No

- (b) If yes, how many correctional agencies currently report by automated means?

_____ Agencies representing _____% of the admission/release/status change activity

4. (a) As of December 31, 2006, was there a backlog of correctional data to be entered into the criminal history database?

Yes

No

(b) If yes, how many unprocessed or partially processed correctional reports (work backlog) did you have?

_____ reports

(c) How many person-days would it take to eliminate that backlog?

_____ days

5. Is there a legal requirement that the state prison system or local detention centers report to the repository concerning the admission or release of sentenced felony offenders?

State prison system admissions	<input type="checkbox"/> Yes	<input type="checkbox"/> No
State prison system releases	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Local detention center releases	<input type="checkbox"/> Yes	<input type="checkbox"/> No

6. Is there a legal requirement that persons committed to correctional facilities following conviction on felony charges be fingerprinted upon reception, and those fingerprints forwarded to the repository? Check all that apply.

State Prison System
 Local Detention Centers

7. Is there a legal requirement that probation/parole information be reported to the repository?

Probation information	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Parole information	<input type="checkbox"/> Yes	<input type="checkbox"/> No

ADDITIONAL COMMENTS:

SECTION IX: REPOSITORY ADMINISTRATION

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

1. (a) Does your state charge a fee for conducting a search of the criminal history record database for non-criminal justice purposes?

Yes

No

- (b) If yes, what fees are charged for the state record search?

Fingerprint-supported search: \$_____ retained
 \$_____ non-retained
 \$_____ volunteer (retained)
 \$_____ volunteer (not retained)

Name search: **via Internet** \$_____ non-profit
 \$_____ government
 \$_____ volunteer (retained)
 \$_____ volunteer (not retained)
 \$_____ others

URL for citizen access to purchase criminal history information, if available: _____

via Mail \$_____ non-profit
 \$_____ government
 \$_____ volunteer (retained)
 \$_____ volunteer (not retained)
 \$_____ others

Additional service fees: \$_____ mailed finger-print cards / forms
 \$_____ "no resubmission of prints" for repeat applicant
 \$_____ retained service
 \$_____ "rapback" service

2. How are fees allocated?

- All fees go to the state general fund, with repository funded by general fund allotment
- A percentage of fees goes to support repository operations: _____ %
- All fees go to support repository operations
- Other _____

3. Does your current system allow for electronic submissions to IAFIS?

- Yes Criminal % _____ Non-Criminal % _____
- No

4. (a) As of December 31, 2006, does your repository conduct "lights out" processing of fingerprints (an identification decision is made without fingerprint technician intervention)?

- Yes
- No

(b) If yes, what percentage of submitted criminal fingerprints are handled with "lights out" processing? _____%

(c) If yes, what percentage of submitted non-criminal applicant fingerprints are handled with "lights out" processing? _____%

(d) If yes, what is the total percentage of fingerprints handled with "lights out" processing? _____%

5. (a) As of December 31, 2006, does your repository receive mental health information to facilitate firearm suitability determinations?

- Yes
- No

(b) If yes, how many records are in the mental health record database?

_____ records

(c) Name of agency providing mental health information: _____

6. (a) Has your repository provided state mental health records to the FBI to facilitate firearm suitability determinations through the National Instant Criminal Background Check System (NICS)?

- Yes
- No

(b) If yes, how many records have been provided to the FBI for NICS?

_____ records

7. (a) Does your repository receive protection order information?

- Yes
- No

(b) If yes, how many records are in the state protection order record database as of December 31, 2006?

_____ records

(c) Of those in 7(b), how many are also in the FBI-NCIC Protective Order File?

_____ records

8. (a) As of December 31, 2006, what were the operating hours at your state repository?

Hours Per Day for Fingerprint Technicians

Monday – Friday 8 10 12 14 16 24

Saturday 8 10 12 14 16 24

Sunday 8 10 12 14 16 24

(b) Hours per day with fingerprint technicians on site?

Monday – Friday 8 10 12 14 16 24

Saturday 8 10 12 14 16 24

Sunday 8 10 12 14 16 24

(c) To describe how the following submissions are processed at your repository, please check one box for each submission type shown:

Criminal justice submissions: only when fingerprint technicians available
 fully automated when technicians no available
 partial processing when technicians not available

Non-Criminal justice submissions only when fingerprint technicians available
 fully automated when technicians no available
 partial processing when technicians not available

Latent fingerprint submissions only when fingerprint technicians available
 fully automated when technicians no available
 partial processing when technicians not available

Internet-based name queries only when fingerprint technicians available
 fully automated when technicians no available
 partial processing when technicians not available
 not applicable

Other _____ only when fingerprint technicians available
 fully automated when technicians no available
 partial processing when technicians not available

9. In addition to criminal history information, to what records does your state's repository provide access?

- Sex Offender Registry
- Orders of Protection
- Wants & Warrants
- Retained Applicant Prints
- Other _____

10. What other types of information services are provided?

- Wanted persons
- Protection order
- Firearm registration
- Community notification (please list types provided)

Rapback services

Other _____

11. (a) Does your state provide bulk data to commercial third parties for redissemination”

Yes

No

(b) What fee(s) does your state charge to those commercial entities?

\$ _____ per _____

ADDITIONAL COMMENTS:

SECTION X: NON-CRIMINAL BACKGROUND CHECKS

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

X-1: BACKGROUND CHECKS:

1. Is there a state legal requirement to perform background checks for any of the following?
Check all that apply.

- Nurses / elderly caregivers
- Daycare providers
- Residents of home daycare providers' homes
- School teachers
- Non-teaching school employees
- Volunteers with children
- Prospective foster care parents
- Prospective adoptive parents
- Relative caregivers
- Non-teaching school personnel

2. Does your state offer a “rapback” service to provide automatic updates or notifications of results when changes to records occur?

- Yes, always
- Yes, but only for the following _____
- No

X-2: FINGERPRINT-BASED SEARCHES

1. What percentage of non-criminal fingerprints were captured electronically in 2006?

_____ %

2. (a) What is the average processing time from receipt at the repository to dissemination of criminal history record when a positive identification is made?

For electronic requests: _____ days

For mail requests _____ days

(b) Has a goal been established for maximum processing time?

Yes, _____ days

No

3. Does your state retain fingerprints submitted for any of the following non-criminal justice purposes?

Licensing

Private sector employment

Employment by justice agencies

Employment by non-criminal justice government agencies

Retention limited to private sector employment involving vulnerable populations, e.g., children, the elderly and the disabled

Other _____

No. This state does not retain non-criminal justice fingerprints for any reason.

4. If your state does retain non-criminal justice fingerprints for any purpose, how are the fingerprints utilized? Check all that apply.

Matched against existing criminal history database

Matched against latent fingerprint database

Flagged and matched against subsequent criminal fingerprint submissions

Other _____

5. What information is contained in the results for fingerprint-based non-criminal justice background checks?
- Full record
 - Convictions only
 - Juvenile records
 - Arrests without disposition-over 1 year old
 - Other _____
6. What is the identification rate of fingerprint-based non-criminal background checks?
- _____ %

X-3: NAME-BASED SEARCHES

1. How many name-based non-criminal background checks were performed in 2006?

Received via internet _____
 Received via mail _____
 Received via telephone _____

 Total received _____

2. (a) What identifiers are required for a name-based search? Check all that apply.

- First name
- Last name
- Date of birth
- Year of birth
- Gender
- Social Security Number
- Race
- Addresses
- Hair color
- Eye color

(b) What are the minimum identifiers that have to “hit” before a response is returned? Check all that apply.

- First name
- Last name
- Date of birth
- Year of birth
- Gender
- Social Security Number
- Race
- Addresses
- Hair color
- Eye color

(c) Does your name-based background check offer either of the following?

- Phonetic-assisted spelling features
- “Loose” spelling features (first name abbreviations, jr / sr assistance, etc)

3. What information is contained in the results for a name-based non-criminal background check? Check all that apply.

- Full record
- Convictions only
- Juvenile records
- Arrests without disposition-over 1 year old
- Other _____

4. What is the identification rate for name-based non-criminal background checks performed?

_____ %

X-4: INTERNET ACCESS

1. (a) Does your state provide web-based non-criminal background checks?

- Yes
- No

(b) Which agency maintains the website? _____

(c) If yes, what is required for internet access for the general public?

- Registration / account information only
- Credit card payment information only

(d) Are fees involved for internet access for the general public (not including any registration or account fees)?

- Yes, \$ _____ per _____
- No

2. (a) If a private agency maintains the website, how much does it collect per transaction?

\$ _____ per _____

(b) Of that amount, what how much is returned to the repository?

\$ _____ per _____

ADDITIONAL COMMENTS:

SECTION XI: LIVESCAN AVAILABILITY

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

1. Please indicate the number of LiveScan devices in use as of December 31, 2006:

(a) Total number of LiveScan devices in use: _____

(b) Total number of LiveScan devices available for criminal justice purposes only: _____

(c) Total number of LiveScan devices available for non-criminal justice purposes only: _____

(d) Total number of LiveScan devices used for both criminal and non-criminal justice purposes: _____

2. Please indicate:

(a) Total number of agencies that submit criminal prints via LiveScan: _____

(b) Total number of agencies that submit non-criminal prints via LiveScan: _____

(c) Total number of agencies without LiveScan devices that receive LiveScan services from agencies that do have that equipment (e.g. a sheriff might submit arrest prints on behalf of 20 agencies): _____

3. Does your state repository process fingerprints for non-criminal justice purposes?

Yes

No

4. Does your state repository forward fingerprints for non-criminal justice (92-544) purposes to the FBI (without regard to whether any processing of these prints occurred at the repository)?
- Yes
 No
5. Are any LiveScan devices used to capture non-criminal justice purpose fingerprints in your state?
- Yes
 No
6. (a) Does your state have a certification program for persons taking fingerprints?
- Yes
 No
- (b) If yes, was this program established through legislation?
- Yes; Authority Citation: _____
 No
7. (a) Has your state privatized the taking of non-criminal justice purposes fingerprints?
- Yes
 No
- (b) If yes, how was this accomplished? _____

8. If LiveScan devices are used for non-criminal justice purposes, do any of these devices print a fingerprint card that is then mailed to the repository?
- Yes
 No
9. If LiveScan devices are used for non-criminal justice purposes, does a data communications network also enable direct transmission to the repository?
- Yes
 No
10. Has your state funded or provided any LiveScan devices for non-criminal justice purposes?
- Yes
 No

11. Have any non-state sources (e.g. private sector, volunteer organizations, tribal gaming) funded or provided LiveScan devices for non-criminal justice use?

Yes

No

ADDITIONAL COMMENTS:

SECTION XII: SEX OFFENDER REGISTRY

This section completed by

Name _____ Title _____

Agency _____

Phone _____ Email _____

Date Completed _____

1. Total number of registered sex offenders in your state as of December 31, 2006? _____
2. Number of registered sex offenders on publicly available state registry? _____
3. What percentage of registered sex offenders are on publicly available state registry? _____ %
4. Is there a flag on your state's computerized criminal history for sex offenders?
 Yes
 No
5. Is there a flag on your state's computerized criminal history for protective orders?
 Yes
 No

ADDITIONAL COMMENTS: