Form Approved OMB No. 3220-0002

Application For Determination Of Employee's Disability

Month	Day	Year		Office Numb		
pproved	•		-			
			Date Co			
		NAonth	Day	Year		
Applicati	on Number	Month	Day			
Applicati	on Number	Month				

Section 1 General Instructions

Before you complete this application, be sure to read Part 1 of booklet RB-1d, Employee Disability Benefits, which explains information you will need to answer many of the questions in this application.

Please read "Important Notices" on page 12 of this application.

Type or print legibly in ink. If you need more space than is provided to answer a question, use Section 9 for this purpose. If you do not know the answer to a question, print "Unknown" in the the space provided for the answer.

When entering dates, always use numbers. Also, be sure there is one number in each box. For example, you would enter June 06, 2007, as:

Mon	th	Da	ay	Year					
0	6	0	6	2	0	0	7		

Some items in this application will not apply to you so you will not need to answer them. Based on your answer to a question, you may be told to skip to another item number or even another section. Follow the instructions that tell you to "Go to" another item. These are designed to save you time and help you move through the application form quickly, filling in only necessary information. If no "Go to" instructions are given, answer the next item in order. **Do NOT skip any items unless directed to do so.**

If you are completing this application on behalf of someone else, you must answer each question as it applies to the applicant.

Section 2 Identifying Information

Check the information entered by the Railroad Retirement Board (RRB) for Items 1 through 5 for accuracy.

- ▶ If the information is correct, go to Section 3.
- ▶ If the information is not correct, enter the correct information.
- If the information is missing, fill it in.

mployee lentification		Employee's Name	e				
	2	Employee's Railro	oad Retireme	nt Claim Number		3 Employee's So	ocial Security Number
	48	a Employee's Stre	eet Address	<u> </u>	:		
entification 2	t	City and State				c ZIP Code	d County
	5	Daytime Telephor	ne Number				

Sec	tion 3 Information About Your Medical Condition	
Medical Condition	6 Describe the medical conditions causing you to file. Enter the ex Also enter if no medical records are being forwarded for each co	
When Condition	7 Enter the date this condition <i>began</i> to affect your ability to work.	Month Day Year
How Condition Affects Work	8 Enter an "X" in the appropriate box: Have you worked since the date in Item 7?	Yes ► Go to Item 9 No ► Go to Item 11
WUIK	9 Enter an "X" in the appropriate box: Has your condition caused you to change any aspect of your work (such as job duties, hours of work, attendance, etc.)?	➤ ☐ Yes ➤ Go to Item 10 ☐ No ➤ Go to Item 11
	10 Explain what the changes in your work circumstances were, the changes necessary.	dates they occurred, and why your condition made these
	CHANGES DATES	CONDITION
When Unable To Work	11 Enter the date you could no longer work because of your condition.	Month Day Year
	12 Describe how your condition prevents you from working.	
Current Work Status	13 Enter an "X" in the appropriate box: Does your condition prevent you from working now?	Yes ► Go to Section 4 No ► Go to Item 14
· .	14 Enter the date you again became able to work.	Month Day Year
Sect	ion 4 Information About Your Medical Care	
Medical Care or Examination	15a Enter an "X" in the appropriate box: Have you received medical care or been examined for your condition since the date in Item 7?	► Yes ► No ►
	b Enter an "X" in the appropriate box: Are you scheduled for any additional medical care for your condition (i.e., surgeries, etc.) after you file this application?	➤ Yes ➤ Explain below No ► Go to Item 16
	Explain:	
Treatment or Testing	16 Enter an "X" in the appropriate box: Have you been treated or tested (inpatient or outpatient) at a hospital, institution, or clinic, including a Department of Veterans Affairs or other government facility?	Yes ► Go to Item 17 No ► Go to Item 18

	a Name of Facility		Address of Facility (Street Address, City, State, and ZIP C						
}	Attending Physician's Nam	<u>. </u>							
		<u> </u>							
	Enter an "X" in the appropri								
-		tient	Telephone Number (Include Area Code)						
	Patient Number		()						
}	Dates Treated or Tested	Describe Type of Treatm	nont or Toeting						
	Dates Treated of Tested	Describe Type of Treatin	ent of Testing						
1									
-	b Name of Facility		Address of Facility (Street Address, City, State, and ZIP (
	Attending Physician's Name								
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\vdash	Enter an "X" in the appropri	ate box:							
	Inpatient 🔲 Outpa	tient 🔲							
	Patient Number		Telephone Number (Include Area Code)						
	Dates Treated or Tested	Describe Type of Treatm	nent or Testing						
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-	c Name of Facility		Address of Facility (Street Address, City, State, and ZIP						
-	Attending Physician's Name								
	• ,								
\vdash	Enter an "X" in the appropri	ate box:							
		tient 🔲							
F	Patient Number		Telephone Number (Include Area Code)						
			()						
	Dates Treated or Tested	Describe Type of Treatm	nent or Testing						
		· · · · · · · · · · · · · · · · · · ·							

Doctor Treatment	19 Enter information about each pe	rsonal physician or other						
(Cont)	a Name of Physician		Address of Physician (Street Address, City, State, and ZIP Code)					
	1							
		<u> </u>	· · · · · · · · · · · · · · · · · · ·					
	Patient Number		Telephone Number (Include Area Code)					
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	Dates Treated or Examined	Describe Type of Treat	ment or Examination					
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		 	<u></u>					
	b Name of Physician		Address of Physician (Street Address, City, State, and ZIP Code)					
•	·							
	:	•						
	Patient Number		Telephone Number (Include Area Code)					
		Examined Describe Type of Treatment or Examination Address of Physician (Street Address Telephone Number (Include Area C () Examined Describe Type of Treatment or Examination Examined Describe Type of Treatment or Examination Propriate box: Ployer referred you to a medical source latment since the date in Item 7? Address of Source (Street Address, a Name Propriate box: Outpatient Telephone Number (Include Area C ()	(
·	Dates Treated or Examined	Describe Type of Treati	ment or Examination					
		•						
lailroad mployer	20 Enter an "X" in the appropriate be	Telephone Number (Include Area Code) (☐ Yes ▶ Go to Item 21					
xamination	for examination or treatment sind							
			<u> </u>					
	21 Enter information about this exar	mination or treatment.						
	Name of Medical Source		Address of Source (Street Address, City, State, and ZIP Code)					
	Attending Physician's Name	·						
		· · · · · · · · · · · · · · · · · · ·						
	Enter an "X" in the appropriate b							
	Inpatient Outpatient							
	Patient Number		Telephone Number (Include Area Code)					
		<u> </u>	()					
ĺ	Dates Treated or Examined	Describe Type of Treatme	nt or Examination					
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Railroad Employer Examination (cont)	1.	Enter an "X" in the appropriate box: Have you been medically disqualified for work by your employe	er? ►	Yes No	Go to Note Go to Item	then Item 23 23
.•		Note: If answered "Yes," you must submit a co	opy of the	Disqualification	n Notice.	
Activity Restriction	23	Enter an "X" in the appropriate box: Has a medical doctor restricted your daily activities since the date in Item 7?	•	Yes No	Go to Item Go to Item	
	24	Enter the name of the medical doctor who imposed the restriction.	•			·
•	25	Enter the date the restriction began.	•	Month	Year	
	26	Describe the restriction.				
						•
	27	Enter the address of the medical doctor in Item 24 if it has not previously been entered in Items 17, 19, or 21.	Addr	ress (Street Ad	Idress, City, Sta	ate, and ZIP Code)
•						
Medication		Enter an "X" in the appropriate box: Has medication been prescribed for you?	·	Yes No	Go to Item Go to Sect	
	29	Enter from the prescription labels the following information fo Name or type of medication, dosage, and frequency. (For				times a day.)
		Name/Type Dosag	ge (Grams	, Number of Pi	ills, Etc.)	Frequency
	-					
·						
Secti	on	5 Information About Your Education And T	raining	· · · · · · · · · · · · · · · · · · ·		·.
Schooling	30a	Enter the highest grade of school you completed.	<u> </u>	<u> </u>	·	_
	b	Enter the last year that you attended school.	•	<u> </u>		
		Enter an "X" in the appropriate box: Have you attended technical school?	>	Yes I	➤ Go to Item ➤ Go to Item	
	32	Describe the type of technical school you attended.				
					<u> </u>	
		Enter an "X" in the appropriate box: Have you received a certification or license from the technica school you attended?	I	Yes I	➤ Go to Item ➤ Go to Item	5 × 2 ×
	34	Enter an "X" in the appropriate box: Is the certification or license you received currently valid?	>	Yes No		

	_				•								
Schooling (Cont)	35 Enter an "X" Did you rece	in the appropriately specialized t		,				•	Yes	s ▶	Go to Item 36 Go to Section 6		
	36 Enter the typ	e of specialized	training	you re	ceived a	and th	ne period	of time	you rece	ived it			
	Туре	<u> </u>						Dates			e de la companya de l		
			•										
	37 Enter an "X" Have you us	in the appropriated any of this tra		your w	ork?			•	Yes	s >	Go to Item 38 Go to Section 6	<u> </u>	
	38 Describe wh	en and how you	have us	ed this	training	g in yo							
		· .											
Sect	ion 6 Info	rmation Abou	ut You	r Dai	ly Act	iviti	es						
Activities		an easily do the	activity.				est desc	ribes you	r ability t	o do 1	hat activity.		
		an do the activity L – I cannot do t			n with h		Г	<u> </u>	<u>.</u>				
	Activity		Easy	Hard	Not At All			Explana	ation - Ex	cplain	each "HARD" answer		
	Sitting					F		<u>.</u>			·		
	Standing	<u>-</u>) ,			_				
	Walking					<u> </u>		· 			·		
	Eating					•						<u>.</u>	
	Bathing					>					<u></u>		
	Dressing (Tying Combing Hair, e	Shoes, etc.)				•				٠			
	Other Bodily Ne	eds				•			: . 	•			
÷	Indoor Chores (Preparation, La Cleaning, etc.)	Meal undry,				>	·				<u> </u>		
	Outdoor Chores (Shopping, Yard					>		 					
	Driving a Motor	Vehicle				>							
	Using Public Tra	ansportation				•					<u></u>		
	Conducting Pers (Talking to and I with Other Peop	Dealing				>		•					
	Reading English newspapers and					>							
	Writing English notes and letter					•							

ctivities cont)	40			scribes your daily act	ivities during a norma	al day (i.e., a typical	day from the time								
you get up until you go to bed). Section 7 Information About You ark for an Imployer st 12 Have you worked for pay for a railrough the last 12 months? (Do not include current month, enter your expected January February July August 43 Enter your earnings before any deducurrent month, enter your expected January February 43 Enter your earnings before any deducurry February 44 Enter an "X" in the appropriate box: Do you expect to work during the net (Include self-employment, if any.)															
	ection 7 Information About Your Work And Earnings 41 Enter an "X" in the appropriate box: Have you worked for pay for a railroad or nonrailroad employer in the last 12 months? (Do not include any self-employment.) 42 Enter your earnings before any deductions for each month you have already worked this year. Then starting with current month, enter your expected gross earnings for this month and each remaining month this year. January February March April May Jun July August September October November Decer 43 Enter your earnings before any deductions for each month last year. January February March April May Jun July August September October November Decer 44 Enter an "X" in the appropriate box: Do you expect to work during the next 12 months? (Include self-employment, if any.)														
Secti	on	7 Informatio	n About Your W	ork And Earnin	gs										
ployer st 12	41	Have you worked for pay for a railroad or nonrailroad employer in													
}	42														
		January	February	March	April	Мау	June								
• [•									
ĺ						<u> </u>	<u> </u>								
.		July	August	September	October	November	December								
j															
				. ·		·									
	43	Enter your earnings	before any deductio	ns for each month <i>la</i>	st year.		<u></u>								
• {	÷	January February		March	April	May	June								
					,										
		July	August	September	October	November	December								
Section 7 Information About Your Work And Earnings	<u> </u>														
Work for an "X" in the appropriate box: Have you worked for pay for a railroad or nonrailroad employer in the last 12 months? (Do not include any self-employment.) Yes Go to Item 42 the last 12 months? (Do not include any self-employment.) No Go to Item 44															
		company for whom y	ou expect to work.	on or											
,		(For example: "June	and July";	>											
}	-														
4				ırn.			:								

Sect	tior	8 General Information		· 	
Filing AA-1	48	Enter an "X" in the appropriate box: Are you filing Form AA-1 at this time?	•	☐ Yes ►	Go to Item 54 Go to Item 49
Self- Employment	49	Enter an "X" in the appropriate box: Have you been self-employed in the last 12 months?	>	☐ Yes ►	Go to Note and Item 50 Go to Item 50
	(NOTE: If answered "Yes," also complete and return to the RRB F	orm A	A-4, Self Emp	oloyment Questionnaire.
Vorker's Compensation		Enter an "X" in the appropriate box: Since the date in Item 7, have you received, or expect to receive, worker's compensation payments?	>	☐ Yes ►	Go to Note and Item 51 Go to Item 51
	(NOTE: Proof of the amount(s) and effective date(s) of your worke	's cor	mpensation is r	required.
Public Disability Benefits	51	Enter an "X" in the appropriate box: Since the date in Item 7, have you received, or do you expect to receive, disability benefits under a Federal, state, or local government plan or law based on employment <i>not</i> covered under the Social Security Act? (Answer "No" if your benefits are railroad retirement, social security, Veterans Affairs or welfare benefits.)	>	☐ Yes ▶ ☐ No ▶	Go to Note and Item 52 Go to Item 52
	(NOTE: Proof of the amount(s) and effective date(s) of your public	disab	ility is required	
ocial ecurity enefits	52	Enter an "X" in the appropriate box: Have you filed, or expect to file, for monthly social security disability benefits or SSI?	>	☐ Yes ►	Go to Item 53 Go to Item 54
	53	Enter the social security claim number under which you have filed or will file.	>		
riminal ffense	54	Enter an "X" in the appropriate box: Within the past 12 months, have you been imprisoned or given a sentence of confinement due to a conviction for a criminal offense?	•	☐ Yes ▶	Go to Item 55 Go to Section 9
	55	Enter the date of the conviction.	•	Month	Day Year
		Enter an "X" in the appropriate box: Is your disability related to the commission of the criminal offense?	•	Yes No	
	57	Enter the date of the sentence of confinement.	>	Month	Day Year
	58	Enter the date that confinement began.	•	Month	Day Year
ļ		Enter an "X" in the appropriate box: Is your disability related to your confinement?	>	Yes No	
		Enter an "X" in the appropriate box: Has the confinement ended?	>	☐ Yes ▶	Go to Item 61 Go to Section 11
*	 61	Enter the date confinement ended.	_	Month	Day Year

Sect	ion	9	Remarks
narks		the	is section is to be used for the continuation of answers to other items. Be sure to include the item number at beginning of the answer you wish to continue. You may also use this space to enter any additional information at you feel may be important to include.
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Section 10 Relinquishment Of Rights By Disability Annuity Applicant Only

I authorize the RRB to relinquish any rights I may have to return to work for a railroad employer, which will affect the payment of my own or my spouse's annuity. Based on this authorization, my rights will be relinquished when I reach full retirement age (FRA) or at age 60-FRA if I become entitled to a supplemental annuity or if my spouse becomes entitled to a spouse's annuity. I understand this authorization remains in effect unless my disability annuity terminates before FRA or before a supplemental or spouse's annuity becomes payable. My rights will also be relinquished if I am eligible for a reduced age and service annuity and choose to receive this type of annuity if my disability is denied.

ecti	on	11	Cert	ificati	on		·		· 				_		· 	
ation	63	Will y	an "X" ou have ation o	a gua	rdian o	rothe		entative s	sign this		>	Yes	>	Go to Note a		64
														sign this applic n <i>Of Pay</i> ee.	cation.	
		earnir I have Be R e	ngs or receive	eport er ed book 1. I unde	nployn lets, <i>R</i>	nent of B-1d ,	any kir Emplo y	nd to the l vee Disab	RRB, I am <i>pility Bend</i>	comm efits, a	itting a	a crime wh -9, <i>Emplo</i>	ich is yee a	RRB or if I fai s punishable u and Spouse E ect my annuity	nder Fed vents Ti	eral lav hat Mus
		I certi	fy that t	he infor	mation	I gav	e to the	RRB on 1	this applic	ation is	true t	o the best	of m	y knowledge.		
		•	If my of If I am	rk for a condition confingin to re gin to re	ny emponimpro ed in a eceive o unt of n	oloyer, oves; jail, p worke ny pay	railroad rison, po r's comp	enal instit	ution, or o	orrecti	onal fa		o a c	nt work; conviction for a t based on dis		offens
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		Date		٠			>	Month	Day		Ye	ar				
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		a. Sig	nature	of Wit	ness							÷				
		Ad	dress (I	Vumbe	and S	treet)										
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	1	b. Sig	nature	of Wit	ness						<u>·</u>					
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Before you return your application, check to make sure that:

- Every question that applies to you has been answered.
- ▶ You have entered "unknown" in any answer space for which you were unable to answer a question.
- You have signed and dated the application.
- ▶ You have included all the needed proofs listed in the letter you received with this application.

When you received your application, you should also have received a pre-addressed return envelope. If you do not have this envelope, you can use any envelope as long as it is addressed to the RRB office shown on page 13. No matter which envelope you use, you must put the correct postage on the envelope. Be careful to provide enough postage, because your application and the accompanying forms may weigh more than a standard letter. The U.S. Postal Service will not deliver your application unless it has the correct postage.

Make one final check before you seal the envelope to ensure that the following are enclosed:

- ▶ NEEDED PROOFS
- ► THE APPLICATION FORM ITSELF
- ► ADDITIONAL FORMS YOU WERE ASKED TO COMPLETE

Note: Make no entries on page 13, which is the receipt for your claim. After the RRB receives your application, they will complete the blanks on the receipt and send it back to you. When it is returned to you, you will know that the RRB has received your application and has started the work needed to determine if you are entitled to benefits. If you do not receive the receipt within a month after you filed this application, please contact us so we can find out what is causing the delay.

Important Notices

PAPERWORK REDUCTION AND PRIVACY ACT NOTICE

This notice is given under the Paperwork Reduction Act of 1995 and the Privacy Act of 1974. The Privacy Act requires that the Railroad Retirement Board (RRB) tell you the following whenever we ask you for information.

- 1) The law which allows us to ask for the information;
- 2) whether that law requires you to give us that information and what, if anything, might happen to you if you do not give it to us;
- 3) the reason why the information is requested; and
- 4) the persons, organizations, and agencies to which we may release the information without your permission.

The RRB's authority for requesting this information is Section 7(b) of the Railroad Retirement Act (RRA) of 1974. Providing us with this information is voluntary on your part. However, if you fail to provide us with the requested information we may be unable to pay you any benefits. The RRB needs this information to determine whether or not you are eligible to receive such benefits and, if so, the amount you are entitled to receive. If your annuity application is approved and we begin to pay you benefits, information that we may request from you in the future will be used to determine whether you are entitled to continue to receive such benefits.

Although the information we request is almost never used for any purpose other than the payment of benefits under the RRA, the RRB does have the authority to release the following information to the indicated individuals, organizations, and/or agencies without your approval:

- Information may be released to an attorney, the Office of the President, a Congressional office, a labor union or the Department of State's embassy or consular offices if they allege to be representing you at your request.
- 2) Information may be released to other people who are receiving benefits based on the same railroad retirement account as you are, if the information affects their payments from the RRB.
- 3) Information may be released to a person who will receive benefits on your behalf if the RRB decided that some medical condition keeps you from receiving your own benefits; such information may also be released in determining whether such a medical condition exists and who is suitable to receive such benefits for you.
- 4) Information (including medical records) may be released to people or organizations who are working for the RRB.
- 5) Information may be released to the U.S. Treasury Department or Postal Service to issue payments and to investigate lost, forged, or stolen payments.
- 6) Information may be released to your last employer to make sure that you are eligible to receive railroad retirement benefits and you continue to receive any available medical benefits, and to any railroad employer (or to its insurance company) to make sure that you can receive any private retirement or insurance benefits which may be offered by the employer.
- 7) Information may be released to the Social Security Administration, Centers for Medicare & Medicaid Services, Pension Benefit Guarantee Corporation, Office of Personnel Management, Department of Veterans Affairs, or Federal, State, or local welfare or public aid agencies to determine if you can receive benefits from their organizations and if any previous benefits were paid incorrectly.
- 8) Information may be released to the Internal Revenue Service or to State and local taxing authorities for figuring your taxes and for use in audits.
- 9) Your last address and the name of your last employer may be released to the Department of Health and Human Services to be used in the Parent Locator Service.
- 10) Information may be released to the General Accounting Office for audits and for collecting overpayments owed to the RRB or Social Security Administration.
- 11) Information may be released to the U.S. Department of Labor as required by the Federal Coal Mine and Safety Act.
- 12) Information may be released in certain cases for law enforcement purposes and for court proceedings.
- 13) Information about the determination and recovery of an overpayment made to you may be released to any other person from whom any portion of the overpayment is being recovered.
- 14) Your name and address may be released to a Member of Congress to inform you about current or proposed legislation which could affect the railroad retirement system.
- 15) Information may be released to Professional Standard Review Organizations and State Licensing Boards when services provided by physicians or practitioners suggest unethical or unprofessional conduct.

We estimate this form takes an average of 35 to 60 minutes per response to complete, including the time for reviewing the instructions, getting the needed data, and reviewing the completed form. Federal agencies may not conduct or sponsor, and respondents are not required to respond to, a collection of information unless it displays a valid OMB number. If you wish, send comments regarding the accuracy of our estimate or any other aspect of this form, including suggestions for reducing completion time, to Chief of Information Resources Management, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092.

Computer Matching And Privacy Protection Act Notice

The Computer Matching and Privacy Protection Act of 1988 requires the RRB to advise you that information you have provided may be used, without your consent, in automated matching programs. These matching programs are a computer comparison of RRB records with records kept by other Federal, State, or local governmental agencies. Information from these matching programs can be used to establish or verify a person's eligibility for federally funded or administered benefit programs and for repayment of payments or delinquent debts under these programs.

Receipt For Your Claim

Employee Applicant's Name	RRB Claim Number	Date Claim Received
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	A	

Your application for railroad retirement disability benefits has been received and will be processed as quickly as possible. If you change your address or if there is some other change that may affect your claim, you or your representative should report the change. The changes to be reported are listed below. Always give us your claim number when writing or calling about your claim. If you have any questions about your claim, we will be glad to help you.

If you need to personally visit one of our field offices, please call for an appointment. You will not be refused service if you do not have an appointment, but our staff can serve you better when an appointment is made. Most offices are open to the public from 9:00 AM to 3:30 PM, Monday through Friday.

Always Report These Changes to the RRB

- · WORK If you work for any employer, railroad or nonrailroad, or perform any self-employment work.
- · CONDITION If your condition improves.
- WORKER'S COMPENSATION (or any other benefit based on disability) —
 If you begin to receive worker's compensation payments (or any other public benefit based on disability), or if the amount of your payment changes.
- CRIMINAL OFFENSE If you are confined in a jail, penal institution, or correctional facility due to a conviction for a criminal offense.
- ADDRESS If your address changes.

How To Report Changes

When a change occurs after you are entitled to disability benefits, you should report the change at once. You can make your reports by telephone, mail, or in person, whichever you prefer.

To report any of the above changes, contact:

Telephone Number:

(9:00 AM - 3:30 PM)

If for some reason you cannot contact that office, you should contact:

► US RAILROAD RETIREMENT BOARD 844 N RUSH STREET CHICAGO IL 60611-2092