

UI REPORTS HANDBOOK NO. 401

ETA 9049 Worker Profiling and Reemployment Services Outcomes

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A. Facsimile of Form.

**ETA 9049 PROFILING AND REEMPLOYMENT SERVICES
OUTCOMES**

STATE:		REGION:		REPORT FOR PERIOD ENDING:	
SECTION A. CLAIMS EXPERIENCE FOR THOSE PROFILED AND REFERRED CLAIMANTS WHO WERE FIRST REFERRED IN REPORT QUARTER:					
1.	Number Exhausted				
2.	Average Compensated Duration				
3.	Average Benefits Paid				
4.	Base Period Wages				
SECTION B. EMPLOYMENT ACTIVITY FOR THOSE PROFILED CLAIMANTS WHO WERE FIRST REFERRED IN THE REPORT QUARTER:					
		Quarter After Referral			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Quarter Ending					
1.	Number Employed				
2.	Base Period Wages				
3.	Number Employed in Different Industry				
4.	Wages Earned in Quarter				

Comments:

OMB No.: 1205-0353 **OMB Expiration Date:** 03/31/2007 **OMB Burden Hours:** 53.0
OMB Burden Statement: Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number. Respondents obligation to reply to these reporting requirements are required to obtain or retain benefits (SSA302 (a)). Public reporting burden for this collection of information is estimated to average 636 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Unemployment Insurance, Room S-4516, Washington, DC 20210 (Paperwork Reduction Project 1205-0178).

B. Purpose

The ETA 9049 report contains information on the outcomes of reemployment service activities of claimants who, through the Worker Profiling and Reemployment Services (WPRS) program, are identified as likely to exhaust their

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UI benefits, selected for referral to reemployment services and referred to such services. The report uses existing administrative data and allows evaluations such as comparison over time of the numbers and percentages of individuals selected through the Worker Profiling and Reemployment Services (WPRS) system and referred from the selection pool who subsequently become reemployed in covered employment within the same state, and what percent of their former wages the new jobs represent.

C. Due Date and Transmittal

The report is due in the ETA National Office on the 20th of the second month in the 7th quarter following the quarter in which the claimants were first referred to services. Examples of time frames are given below:

Quarter First Referred	Quarters Reemployment Experience	Due Date
Jan – Mar 1996	Apr '96 – Mar '97	Nov 20 '97
Apr – Jun 1996	Jul '96 – Jun '97	Feb 20 '98
Jul – Sep 1996	Oct '96 – Sep '97	May 20 '98
Oct – Dec 1996	Jan '97 – Dec '97	Aug 20 '98

D. General Reporting Instructions

Data on this report deal with individuals in the regular Unemployment Insurance program who are profiled as to permanency of layoff and likelihood of exhaustion of benefits and who subsequently are selected from a "pool" of individuals identified as likely to exhaust benefits and referred from the unemployment insurance system to a service provider. Each report submission follows a cohort of these individuals who were first referred to services in a particular quarter to determine:

1. What is their experience under the regular program,
2. If they become reemployed in the following four quarters, in which quarters are they employed,
3. What wages they earn in those quarters, and
4. If the new employment reflected an industry change.

Section B. will be obtained from the state's wage records using a Social Security Number match. Information reported is for claimants referred during the report quarter.

Edit checks can be found in Handbook 402, Unemployment Insurance Required Reports User's Manual, Appendix C.

E. Definitions

Definitions, unless otherwise specifically noted, will follow the definitions found on the ETA 9048 and the ETA 5159. The profiled and referred claimants used as a basis for this report will be equal to the number of individuals reported as profiled and referred from the selection pool on the ETA 9048, item A.3., which was reported for the same report quarter.

1. Number Employed. The total number of claimants who were referred from the selection pool to services in the report quarter and who appeared on wage record files as having been employed in the quarter of reference.
2. Base Period Wages. The total amount of base period wages for all those profiled and referred claimants in the category specified. In Section A, it will be for all profiled claimants who were referred from the selection pool in the report quarter. For Section B, this will be the total amount of base period wages for all profiled claimants referred in the report quarter and who appeared on wage record files as having been employed in the quarter of reference.
3. Number Employed in Different Industry. The total number of profiled claimants who were referred to services from the selection pool in the report quarter who appeared in wage record files as having been employed in the quarter of reference and who were employed in a different three digit North American Industry Classification System (NAICS) code than that of their employer of record for their base period. Some states use primary employer while others use separating employer as their base period employer of record. Either is acceptable as long as it is reported consistently.
4. Wages Earned in the Quarter. The total amount of wages earned in the quarter of reference for those profiled claimants who were referred from the selection pool to services in the report quarter and who appeared on wage record files as having been employed in the quarter of reference.

F. Item by Item Instructions

1. Section A. Claims Experience.
 - a. Item 1. Number Exhausted. Enter the number of profiled claimants who were first referred from the selection pool to services during the report quarter who subsequently exhausted their benefits.
 - b. Item 2. Average Compensated Duration. Enter the average number of weeks compensated for claimants profiled claimants who were first referred from the selection pool to services during the report quarter. This

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is calculated by adding up all weeks compensated for these individuals and dividing by the number of individuals.

- c. Item 3. Average Total Benefits Paid. Enter the average benefits paid to those profiled and referred claimants in their benefit year who were first referred from the selection pool to services during the report quarter.
 - d. Item 4. Base Period Wages. Enter the total base period wages for all profiled and referred claimants who were first referred from the selection pool during the report quarter.
2. Section B. Employment Activity
- a. Item 1. Number Employed. For each quarter, enter the number of profiled claimants who were first referred to services during the report quarter who were employed in the referenced quarter.
 - b. Item 2. Base Period Wages. For each quarter, enter the total amount of base period wages for all those in B.1.
 - c. Item 3. Number Employed in Different Industry. For each quarter, enter the number of claimants reported in B.1. who were employed in an industry other than the industry of the base period employer. The State may use either last employer or primary employer, whichever is customary but should be consistent. Industry comparison will be done at the two digit North American Industry Classification System (NAICS) level.
 - d. Item 4. Wages Earned in Quarter. For each quarter, enter the total amount of wages reported in the quarter for those in B.1.