

**SUPPORTING STATEMENT**  
**1110-0009**  
**ANALYSIS OF LAW ENFORCEMENT OFFICERS KILLED AND ASSAULTED**

A revision of this currently approved collection is requested in addition to a 3-year extension.

The revisions on the existing form are:

1. Adjust the Rev. date to 1-10-07; Delete, Form Approved, replace with OMB No. 1110-0009; Under the OMB No., insert Expires 4-30-07
2. In the first paragraph below the agency address. The first sentence should read as:  
  
This report is authorized by law Title 28, Section 534, U.S. Code.
3. Page 2, second box down, delete 'Handling mentally deranged persons' and replace with, 'Handling persons with mental illness'.
4. Page 8, in the shaded box, delete 'Date', and replace with 'Month/Day/Year'.
5. Page 8, the second sentence in the NOTE at the bottom of the page should read as:

If this questionnaire was completed because an officer was assaulted and sustained personal injury with a firearm or a knife or other cutting instrument, we ask that the report be forwarded to the agency's state Uniform Crime Reporting Program or forwarded directly to the FBI, Criminal Justice Information Services Division, Attention: LEOKA Program, Module E-3, 1000 Custer Hollow Road, Clarksburg, West Virginia 26306; telephone (304) 625-4830, or facsimile to (304) 625-3566. Under the Paperwork Reduction Act, you are not required to complete this form unless it contains a valid OMB control number. The form takes approximately one hour to complete.

A. Justification.

1. Necessity of Information Collection

In 1930, under Title 28, Section 534, U.S. Code, *Acquisition, Preservation, and Exchange of Identification Records; Appointment of Officials*, the FBI was designated by the Attorney General to acquire, collect, classify, and preserve national data on criminal offenses as part of the Uniform Crime Reports (UCR). In 1971, as a result of a Presidential directive, the UCR Program expanded its collection of data to include more detailed information on incidents in which law enforcement officers were feloniously and/or accidentally killed and was later expanded to include serious assaults of officers assaulted in the line of duty.

The Form 1-701, *Analysis of Law Enforcement Officers Killed and Assaulted (LEOKA)*, information collection provides the national UCR Program with a detailed description of circumstances in which law enforcement officers were feloniously or accidentally killed in the line of duty.

This information collection is a necessity in order for the FBI to maintain a database and serve as the national clearinghouse for the collection and dissemination of law enforcement officers killed and assaulted statistics and to ensure publication of the annual edition of *LEOKA*.

## 2. Needs and Uses

Officers killed and assaulted data are collected and maintained by the UCR Program. Dissemination of the data are provided in the annual publication *LEOKA*. This publication serves as a valuable source of annual, as well as 5- and 10-year data on officer deaths and assaults. Officer death and assault statistics are used for research. Training centers specifically use the *LEOKA* publication as a tool to develop training initiatives that support officer safety. In addition, UCR participants utilize the *LEOKA* data for research, as do government officials, special interest groups, academe, and all who are concerned about the men and women who serve in law enforcement. Examples of other entities utilizing the data:

- a. FBI/Quantico and the National Academy request *LEOKA* information.
- b. City, county, state, federal, and tribal law enforcement agencies request *LEOKA* information to perform research on specific topics of interest, i.e., use of body armor, weapon information, etc.
- c. Local, state, and national legislators request *LEOKA* information.
- d. Liaison is maintained with the White House to inform of officer deaths on a monthly basis.
- e. The data collection has inspired the further publication of three studies entitled: *Killed in the Line-of-Duty*, *In the Line of Fire*, and *Violent Encounters*.

## 3. Use of Information Technology

Currently, 0 percent of participating law enforcement agencies submit Form 1-701 electronically. Although recently the UCR Program made this form available as a pdf fillable form on the FBI Intranet. In this pdf format, it is possible for the victim's agency to complete the form as a soft copy document. The paths to access these forms are <http://cjis.fbinet/library/forms/csmuforms.htm> and [http://cjis.fbinet/pss/csmu\\_forms.htm](http://cjis.fbinet/pss/csmu_forms.htm) 1-701 "Analysis of Law Enforcement Officers Killed and Assaulted".

#### 4. Efforts to Identify Duplication

This information collection was authorized in direct response to the enactment of Title 28, Section 534, U.S. Code. The FBI's UCR Program is the only agency collecting extensive data on law enforcement officers killed and assaulted in the line of duty.

#### 5. Minimizing Burden on Small Businesses

This information will have no significant impact on small business. No small business will be affected by this collection.

#### 6. Consequences of Not Conducting or Less Frequent Collection

The national UCR Program would be unable to meet guidelines of the 1971 Presidential directive. An accurate and timely accounting of law enforcement officers killed in the line of duty would not be possible. The law enforcement community has an ever-increasing need for timely and accurate data on officer deaths for a variety of purposes. The data maintained on felonious deaths, accidental deaths, and assaults on officers can further assist the law enforcement community in possibly reducing officer deaths/assaults.

#### 7. Special Circumstances

It is only when an officer has been feloniously or accidentally killed in the line of duty that a victim officer's agency is contacted and requested to complete Form 1-701 or it is when an agency has reported that an officer was assaulted and sustained injury with a firearm or a knife or other cutting instrument that the agency is contacted and requested to complete Form 1-701.

#### 8. Public Comments and Consultations

The 60 and 30 day notice was published in the Federal Register and no comments were received.

#### 9. Provision of Payments or Gifts to Respondents

The FBI's UCR Program does not provide any payment or gift to respondents.

#### 10. Assurance of Confidentiality

Form 1-701 collects the name of the slain/assaulted officer and also the name and FBI number of the offender. This information is collected on Form 1-701 as Part I, Personal Data Pertaining to Victim Officer; offender information is collected as Part III, Offender Data. According to UCR

Program policy, the victim name is only released for condolence purposes, and the confidentiality of the offender is maintained.

11. Justification for Sensitive Questions

Form 1-701 does not collect information of a sensitive nature.

12. Estimate of Respondent's Burden

The estimated cost of the respondent's burden for this data collection is as follows:

Number of respondents	275
Number of responses per respondent	1
Total annual responses	275
Minutes per response	60
Annual hour burden	275

13. Estimate of Cost Burden

There are no direct costs to law enforcement to participate in the UCR Program other than their time to respond. Respondents are not expected to incur any capital, start-up, or system maintenance costs associated with this information collection.

14. Cost to Federal Government

It is difficult to estimate the annual cost to the federal government under the clearance request. The following are generalized projections based upon prior collection activity as well as activities anticipated over the next 3 years.

CSMU Staff

Minutes to process each 1-701 form	60
Total number of 1-701 forms	275
Total number of hours to process	275
Staff costs to process	\$8,162

At \$29.68/hour (GS 11/6 wage)  
275 x \$29.68 = \$8,162

LEOKA Data Collection and Processing Costs

\$902,000 (Cost projections provided by CJIS Financial Management Unit)

The reduction in cost is due to this publication no longer being prepared as a hard copy document. However, there would be associated costs to prepare the web-based document; costs are not yet available for inclusion in this justification.

a. Processing costs by staff	\$ 8,162
b. Data collection and processing costs	\$902,000
e. Total cost to federal government	\$910,162

15. Reason for Change in Burden

There is no increase in burden on the individual respondents; however, the overall annual burden hours have decreased. This is an adjustment; a decrease from 305 to 275 which is a decrease of 30 due to the reduction in the number of respondents. The number of respondents would vary from year to year because the respondent is only contacted when a line of duty death/assault is reported.

16. Anticipated Publication Plan and Schedule

The LEOKA publication is released annually. There is a yearly timeline prepared in order to meet publication deadlines. Once notification of an officer death is received, FBI field offices are contacted to disseminate Form 1-701 to the victim officer's agency. Published assault data are derived from data submissions received from the UCR Program. National, regional, and state assault data are published in the annual edition of *LEOKA*.

Request for missing Jan-Jun data	August and September
Request for missing 12 month data	February and March, following year
Deadline to submit data	mid-March
Data processing/analysis	July-June
Publication of data	<i>LEOKA</i> /October of following year

17. Display of Expiration Date

The UCR Program will display the expiration date for OMB approval of the information collection.

18. Exception to the Certification Statement

The UCR Program does not request an exception to the certification of this information collection.

B. Collection of Information Employing Statistical Methods.

The UCR Program does not employ statistical methods when collecting this information.