

Paperwork Reduction Act Submission

Please read the instruction before completing this form. For additional forms or assistance in completing this forms, contact your agency's Paperwork Reduction Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 Seventeenth St. NW, Washington, DC 20503.

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| 1. Agency/Subagency Originating Request: U.S. Department of Housing and Urban Development Office of Public and Indian Housing | | 2. OMB Control Number: a. 2577-0157 b. None | |
| 3. Type of information collection: (check one) a. <input type="checkbox"/> New Collection b. <input checked="" type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change , of previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change , of previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note item A2 of Supporting Statement instructions. | | 4. Type of review requested: (check one) a. <input checked="" type="checkbox"/> Regular b. <input type="checkbox"/> Emergency - Approval requested by c. <input type="checkbox"/> Delegated 5. Small entities: Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 6. Requested expiration date: a. <input checked="" type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other (specify) | |
| 7. Title: Public Housing Capital Fund Financing | | | |
| 8. Agency form number(s): (if applicable) HUD-50029, HUD-50030, HUD-50070, HUD-50071, HUD-5084, HUD-5087, HUD-51000, HUD-51001, HUD-51002, HUD-51003, HUD-51004, HUD-51915, HUD-51915-A, HUD-51971-I, HUD-51971-II, HUD-52396, HUD-52427, HUD-52482, HUD-52483-A, HUD-52484, HUD-52485, HUD-52651-A, HUD-52832, HUD-52833, HUD-52834, HUD-52835, HUD-52836, HUD-52837, HUD-52842, HUD-52845, HUD-52846, HUD-52847, HUD-52848, HUD-52849, HUD-53001, HUD-53015, HUD-5370, HUD-5370EZ, HUD-5370C, HUD-5372, HUD-5378, HUD-5460 | | | |
| 9. Keywords: Housing, Public Housing, Contractor, ACC contract, TDC, annual formula grant, demolition, development, insurance, contract, competitive grant | | | |
| 10. Abstract: Streamlining of information collected to ensure that PHAs appropriately disburse and utilize the funds provided for modernization and new construction of Public Housing through the Capital Fund Program, and including Mixed-Finance and Capital Fund Financing transactions. | | | |
| 11. Affected public: (mark primary with "P" and all others that apply with "X") a. Individuals or households b. Business or other for-profit c. Not-for-profit institutions e. Farms f. Federal Government g. P State, Local or Tribal Government | | 12. Obligation to respond: (mark primary with "P" and all others that apply with "X") a. Voluntary b. P Required to obtain or retain benefits c. Mandatory | |
| 13. Annual reporting and recordkeeping hour burden: a. Number of respondents 3,105 b. Total annual responses 91,419 Percentage of these responses collected electronically 0 c. Total annual hours requested 334,132 d. Current OMB inventory 347,886 e. Difference (+,-) -13,754 f. Explanation of difference: 1. Program change: +6,590 2. Adjustment: -20,344 | | 14. Annual reporting and recordkeeping cost burden: (in thousands of dollars) Do not include costs based on the hours in item 13. a. Total annualized capital/startup costs 0 b. Total annual costs (O&M) 0 c. Total annualized cost requested 0 d. Total annual cost requested 0 e. Current OMB inventory 0 f. Explanation of difference: 1. Program change: 2. Adjustment: | |
| 15. Purpose of information collection: (mark primary with "P" and all others that apply with "X") a. Application for benefits b. Program evaluation c. General purpose statistics d. Audit e. X Program planning or management f. Research g. P Regulatory or compliance | | 16. Frequency of recordkeeping or reporting: (check all that apply) a. <input checked="" type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input checked="" type="checkbox"/> Reporting: 1. <input checked="" type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input checked="" type="checkbox"/> Monthly 4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input checked="" type="checkbox"/> Annually 7. <input type="checkbox"/> Biennially 8. <input checked="" type="checkbox"/> Other (describe) per Transaction | |
| 17. Statistical methods: Does this information collection employ statistical methods? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | 18. Agency contact: (person who can best answer questions regarding the content of this submission) Name: Lawrence Gnessin Phone: 202-708-0614 ext. 2676 | |

19. Certification for Paperwork Reduction Act Submissions

On behalf of the U.S. Department of Housing and Urban Development, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

Note: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320/8(b)(3) appears at the end of the instructions. The certification is to be made with reference to those regulatory provisions as set forth in the instructions.

The following is a summary of the topics, regarding the proposed collections of information that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of the information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to collected (see note in item 19 of the instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in item 18 of the Supporting Statement.

Signature of Program Official:

Date:

X

Signature of Senior Officer or Designee:

Date:

X
Wayne Eddins, Departmental Reports Management Officer,
Office of the Chief Information Officer

Supporting Statement for Paperwork Reduction Act Submissions

A. Justification:

Why is this information necessary?

Section 9 of the U.S. Housing Act of 1937, 42 U.S.C., 1437q, authorizes the Secretary of the Department of Housing and Urban Development (HUD) to make annual contributions to the public housing agencies (PHAs) and implement the grant program under the Capital Fund Program (CFP) for capital improvements for the existing low-income projects and construction of public housing units. As the units get old, they need modernization in order to bring them up to the standard that would provide healthy living environments to the low-income residents. Therefore, the PHAs rely on HUD's annual CFP contribution to maintain the healthy standard living in the public housing developments.

On October 21, 1998, Congress enacted the Quality Housing and Work Responsibility Act of 1998 (P.L. 105-276, approved October 21, 1998) (referred to as the "Public Housing Reform Act"). The Public Housing Reform Act made sweeping changes to HUD's public and assisted housing programs. Among other changes, Section 519 of the Public Housing Reform Act amended Section 9 of the 1937 Act. Section 9, as amended, establishes a capital fund for making assistance available to the PHAs or modernization and new construction of public housing (referred to as the "Capital Fund Program"). HUD regulations implementing Section 9 are found in 25 CFR 968 and 24 CFR 941. Section 535 of the Public Housing Reform Act amended Section 24 of the 1937 Act. Section 24, as amended, authorizes competitive public housing funded grants to demolish severely distressed public housing and revitalize the public housing site and surrounding area through the development of replacement public housing and non-public housing units (referred to as the "HOPE VI Program"). In addition, on December 16, 2003, Congress enacted the HOPE VI Reauthorization and Small Community Main Street Rejuvenation Act of 2003. This expanded the use of HOPE VI appropriated public housing funds to assist small units of local government in developing affordable housing that is related to Main Street rejuvenation projects. HUD has no implementing regulations specifically for Section 24.

Section 539 of the Public Housing Reform Act allows PHAs to own, operate, or assist in the development of mixed-finance Projects, i.e., projects developed using a mixture of other public or private funds with the above Capital Fund Program and HOPE VI Program funds ("Mixed-Finance"). Along with public housing unit development using public housing funds, Mixed-Finance transactions are used to develop housing under both the Capital Fund Program and the HOPE VI Program. HUD regulations implementing Mixed-Finance are found in 24 CFR 941. In order to implement the Capital Fund Program and HOPE VI Program Mixed-Finance, HUD collects certain information from each PHA.

The information collection items in this request approved under OMB Control No. 2577-0157, Public Housing Capital Fund Financing is being revised. A proposed rule revises the current application process for participation in mixed-finance public housing development programs, including HOPE VI, to simplify and streamline the application, review and approval processes. HUD is also requesting the disaggregation of the Demolition and Disposition Application and Reporting portion of this as this collection. The Demolition and Disposition Application and Reporting information collection will be reinstated under 2577-0075, which was discontinued in early 2006. The proposed disaggregation will simplify requests and approvals for both HUD and OMB. .

2. How is this information to be used?

This information is collected to ensure that guidelines for standardized modernization and development will provide a healthy living environment to low-income residents.

The following types of information are included in this collection:

Outline Specifications Regulation 24 CFR 941 require that the architects provide this information for a project development proposal. This information is used by the Department to ensure that the specifications for a public housing development project are in accordance with the Minimum Property Standards and local and State codes.

Contract for Development of A/E Services and CIAP A/E Services Regulation 24 CFR Section 85.36 requires a contractual agreement between public housing agencies, owners, and an architect/engineer for design and construction services. The contracts themselves do not require either party to submit any materials to HUD. PHAs (grantees) enter into contracts with HUD for design and construction services in the development of public housing. The contracts are agreements between the parties that specific services will be provided. Since this is a contractual agreement both parties sign it.

Periodic Estimate for Partial Payment and Related Schedules This information is collected under the authority of Section 6(a) of the U.S. Housing Act of 1937 and HUD regulations. Housing Agencies (HAs) are responsible for contract administration during project development. HAs must ensure project development work is completed in accordance with state laws and HUD requirements. The contractor/subcontractor reports provide summaries of payments, change orders, and schedules of materials stored for the project

Public Housing Construction Report PHAs are responsible for contract administration during project development and the hiring of architects or other persons licensed under the State law to assist and to advise them. Contract administration includes the submission of necessary information to the PHA by that advisor to monitor the status of construction.

Request for Approval of Public Housing Development of Mixed-Finance Development Public Housing Agencies (PHAs) must provide information to HUD before a proposal can be approved for development or mixed-finance development. Information on HUD-prescribed forms provides HUD with sufficient information to enable a determination that funds should or should not be reserved or a contractual commitment made. Regulations implemented in the U.S. Housing Act of 1937 as amended an Part 941 subpart F require PHAs to submit a full proposal and evidentiary material for a mixed-finance development.

PHA Development Budget/Cost Statement, Actual Development Cost Certificate, Acquisition and Relocation Report HUD administrative requirements necessitate that PHAs maintain certain records or submit certain documents pertaining to the cost in the development of low-income housing. Sections 5 and 6(b)(2)(A)(4) of the US Housing Act are the statutory requirements for this collection.

Analysis of Proposed Main Construction Contract This information collection is under the authority of Section 6(a) of the U.S. Housing Act of 1937. Under the Annual Contributions Contract (ACC), Public Housing Agencies (PHAs) must prepare and submit main construction contracts for projects being developed or proposed to be developed under the Low-Income Housing Program. Construction bids and budgets are submitted to HUD for review and approval prior to signing construction contracts. The information allows HUD and the PHAs to compare adjusted bid elements to the approved pre-bid estimate and to determine whether or not the amounts allocated to dwelling construction and equipment are within approval housing construction costs limits and whether or not the total development (TDC) is within the appropriate allowable limit.

Contract Administration – Public and Indian Housing Standard construction practices and HUD administrative and procurement requirements under 24 CFR Part 85.36(b) necessitate that PHAs and IHAs maintain certain records or submit certain documents in conjunction with the award or oversight of construction contracts for development of new low-income public housing developments or modernization of existing public housing developments.

Insurance Information Section 6(c)(4) requires that Housing Agencies comply with HUD procedures and requirements to assure that sound management practices will be followed in the operation of a public housing development. HUD regulations state that HAs must obtain certain types and amounts of property and casualty insurance to protect the funds, operations and property of each HA, as well as the Federal interest.

Demolition and Disposition Application and Reporting Authorized under 24 CFR Part 270 and Section 18 of the US Housing Act. PHAs apply to HUD's Special Application Center (SAC) in Chicago for approval for demolition or disposition of public housing properties. Once approved, PHAs report to the SAC when the action is complete. HUD is requesting the disaggregation of the Demolition and Disposition Application and Reporting portion of this as this collection. The Demolition and Disposition Application and Reporting information collection will be reinstated under 2577-0075, which was discontinued in early 2006. The proposed desegregation will simplify requests and approvals for both HUD and OMB.

HAs Annual Lead-Based Paint Activity Report Authorized under 42 USC 4821-4856 and 24CFR Part 35 &965. Requires HAs to collect, maintain, and report information regarding lead-based paint testing and abatement activities.

General Conditions of PHAs Construction Contracts The General Conditions provide PHAs, contractors and subcontractors performance and compliance requirements for project construction under the conventional bid method and modernization.

Modernization of Public Housing Section 119 of the US Housing Act of 1937 authorizes the Comprehensive Grant Program to govern the modernization needs of larger HAs, subject to congressional approval of the allocation forms.

REVISION OF INFORMATION:

Request for Approval of Public Housing Development or Mixed-Finance Development Public Housing Agencies (PHAs) must provide information to HUD before a proposal can be approved for development or mixed-finance development. Information on HUD-prescribed forms and proposed required documents provides HUD with sufficient information to enable a determination that funds should or should not be reserved or a contractual commitment made. Regulations implemented in the U.S. Housing Act of 1937 as amended an Part 941 subpart F require PHAs to submit a full proposal and evidentiary materials for a mixed-finance development. HUD is publishing a Proposed Rule that is intended to streamline HUD’s internal approval process. This Proposed Rule requires the PHA to submit to HUD specific Mixed-Finance legal documents (“evidentiaries”) and a Mixed-Finance certification that all HUD statutory and regulatory requirements will be implemented in the development of Mixed-Finance housing units. The Proposed Rule also requires that the PHA retain all evidentiaries that relate to other parties to the Mixed-Finance development, to be furnished to HUD as requested, on a case-by-case basis. Examples of these evidentiaries include private loan documents, investor agreements, etc.

All of the elements of the Capital Fund calculation and implementation are derived annually from the information PHAs provide in the following prescribed forms:

| Form/Document | Title | Description |
|-----------------------|---|---|
| Unique Legal Document | Homeownership Addendum to the Annual Contributions Contract | Amends the ACC to add newly developed homeownership units and describes the development transaction. |
| HUD-50029 | Homeownership Term Sheet | Used to summarize a homeownership proposal or develop a public housing or affordable housing project. |
| HUD-50030 | Mixed-Finance Rental Term Sheet | Used to develop a Mixed-Finance rental project. |
| HUD-50070 | Certification for a Drug Free Workplace | Used by the PHA to certify that no work will be performed by an entity that has been suspended or debarred due to drug use. |
| HUD-50071 | Certification of payments to influence federal transactions | PHAs submit this certificate each year to HUD at the time of getting the CFP grant |
| HUD-5084 | Contract for Inspection Services | Provides a format for construction inspection services contract. |
| HUD-5087 | Outline Specifications | Architects submit outline specifications before the plans are developed for a public housing project. |
| HUD-51000 | Schedule of Amounts for Contract Payments | Used to provide construction progress schedule and schedule of amounts for contract payments. |
| HUD-51001 | Periodic Estimate for Partial Payment | Provides an item by item listing of work including the value of work completed to date. |
| HUD-51002 | Schedule of Change Orders | Records all change orders which have been processed and completed. |
| HUD-51003 | Schedule of Materials Stored | Contractor records all materials stored on site (quantity, types and price). |
| HUD-51004 | Schedule of Materials Store | Contractor uses to summarize the materials stored on site. |
| HUD-51915 | Contract for Development of A/E Services | A contract between the PHA and the Architect/Engineer (A/E) for design & construction services. |
| HUD-51971-I, II | Offer of Sale of Real Property | Used to decide whether or not funds should be reserved or a contractual agreement made. |
| HUD-52396 | Analysis of Proposed Main Construction Contract | Reports pro-bid, actual bid, final bid and proposed changes for various elements in a construction project. |
| HUD-52427 | Actual Development Cost Certificate | Certifies what the actual bid, final bid and proposed changes for various elements in a construction projects. |
| HUD-52482 | Guide Form of Turnkey Developer's Packet | Provides a potential turnkey developer with information necessary to make a proposal. |
| HUD-52483-A | Proposal for Public Housing Project | Used for developing a public housing project. Determines whether or not a contract should be established. |
| HUD-52484 | Development Cost Budget/Cost Statement | Used to review and approve development funds. |
| HUD-52485 | Demonstration of Financial Feasibility | Used to demonstrate financial feasibility of a project. |
| HUD-52651-A | Site, Design and Cost Report (PIH) | Summarizes site documents when a project involves 1-4 family projects. |

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| HUD-52832 | Modernization of Public Housing Under the Comprehensive Grant Program: Reporting Requirements | Describes all improvements necessary to bring the development to standards. |
| HUD-52833 | Management Needs Assessment | Identifies all improvements needed to upgrade the management and operations of the PHA. |
| HUD-52834 | Five-Year Action Plan | Provides the 5-Year plan. |
| HUD-52835 | Local Government Statement | Provides signatures from local government officials. |
| HUD-52836 | HA Board Resolution/Comprehensive Plan/Annual Statement | This is used by the HA board to approve the Comprehensive Grant plan or annual statement. |
| HUD-52837 | Annual Statement/ Performance Plan and Evaluation Report | Provides planned physical and management improvements which are to be funded out of that year's grant. |
| HUD-52842 | Performance and Evaluation Report/Replacement Reserve | Report on replacement reserve when the reserve has been funded with CGP funds. |
| HUD-52845 | Capital Fund Financing Program Model Term Sheet | Provides summary information type and structure of the CFFP transaction for which a PHA is requesting HUD approval |
| HUD-52846 | Capital Fund Financing Program Periodic Payment Debt Service Schedule | Provides the amount of Debt payment, source of payment, portion of CFP funds and date of payment for a CFFP bond transaction |
| HUD-52847 | Capital Fund Financing Program Monthly Debt Service Schedule | Provides the amount of debt payment, source of payment, portion of CFP funds and date of payment for a CFFP direct loan transaction. Two parts to this form: Part A: Annual Summary and Part B: Monthly Detail. |
| HUD-52848 | Capital Fund Financing Program Cost of Financing | Provides a cost analysis of the use of funds for financing |
| HUD-52849 | Capital Fund Financing Program List of Participating PHAs | Provides a summary breakdown of financial information for each PHA participating in a CFFP pooled transaction |
| HUD-53001 | Actual Modernization Cost Certificate | Report on actual Cost of a modernization program on its completion |
| HUD-53015 | Turnkey Contract of Sale Parts 1&2 | Contract between developer and housing authority to develop a turnkey public housing project |
| HUD-5370 | General Conditions of the Construction Contract (PHAs) | Provides general conditions for construction contracts including work, construction and administrative requirements |
| HUD-5370C | General Conditions, Non-Construction Contract | Provides general conditions for non-construction and maintenance contracts including conduct of work and administrative requirements |
| HUD-5372 | Contract Administration (PIH) | Charts the plan of construction progress and schedule of payments |
| HUD-5378 | Public Housing Construction Report | Used to track construction progress. |
| HUD-5460 | Insurance Information | Used to establish an insurable value of project at the time of construction. |
| Unique Legal Document proposed in the Rule | Mixed-Finance Amendment to the Annual Contributions Contract | Legal contract between HUD and the PHA. This document contains the terms and conditions of the Mixed-Finance transaction. |
| Unique Legal Document proposed in the Rule | Mixed-Finance Certifications and Assurances (Draft document/form) | Legal document that states the low-income rental and other restrictions on the use housing units within the Mixed-Finance development. |
| Unique Legal Document proposed in the Rule | Mixed-Finance Declaration of Restrictive Covenants | Title insurance policy issued on the Mixed-Finance development. |
| Unique Legal Document proposed in the Rule | Mixed-Finance Final Title Policy | Opinion by the attorney representing the PHA that Mixed-Finance development legal evidentiaries are correct and legally sufficient. |
| Unique Legal Document proposed in the Rule | Mixed-Finance Legal Opinion | Draft Certification by the PHA that HUD's statutory and regulatory requirements will be met in the production of the Mixed-Finance development. |
| Electronic Input | Public Housing Information Center Certification of Accuracy | Used to certify that all CFP Formula Grant related data in the Public Housing Information Center is accurate and up to date. |

3. Describe whether, and to what extent, the collection of information is automated?

HUD electronically collects public housing finance, inventory and development data from the PHAs through its Public Housing Information Center, an automated data entry/database system. This data is reported under other collection numbers throughout HUD's Office of Public and Indian Housing. Some of this data is used by the Capital Fund Program to determine the amount of funding that each PHA should receive through annual formula grants. HUD is now requiring each PHA to certify to the accuracy and timeliness of the data that they submitted. This new certification is collected electronically.

An automated system, the Subsidy and Grant Information System, is currently under development. It will automate all financial forms. However, progress on the system is slow and may take a few years. In addition, new Capital Fund Program and Capital Fund Financing proposed rules are in Departmental Clearance. The Capital Fund rule will make major changes to the program. Until these rules become final, decisions cannot be made on which existing forms will be retained, changed or cancelled and on the specifics of information automation or. Therefore, realistically, automation is at least two years away.

4. Duplication of Information

This information is not being collected elsewhere. The information being collected is specific to current funding, therefore the information has not been previously collected.

5. Does the collection of information impact small businesses or other small entities?

This collection of information does not significantly impact small businesses or entities.

6. Describe the consequences to Federal program or policy activities if the collection is not conducted or is conducted less frequently.

The Department would not be able to ensure that funds were distributed and used effectively if this collection was conducted less frequently. This collection ensures that participants act responsibly with the Program funds.

7. Explain any special circumstances

HUD-51001 and HUD-51002 are prepared more than 4 times because the payments to the contractors are usually on monthly basis. HUD-5378 is the construction report, which the architect or the inspecting personnel prepare after the inspection and these inspections are on monthly basis.

8. Identify the date and page number of the Federal Register notice soliciting comments on the information.

The Proposed Rule was published in the *Federal Register* on December 27, 2006. The Preamble includes a request for public comment on Paperwork Reduction.

9. Explain any payments or gifts to respondents, other than remuneration of contractors or grantees.

No payments or gifts to respondents are provided.

10. Describe any assurance of confidentiality provided to respondents.

The information provided is not of a confidential nature.

11. Justify any questions of a sensitive nature, such as sexual, religious beliefs, and other matters that are commonly considered private.

The information collected does not contain questions of a sensitive nature.

12. Annual Reporting Burden

The annual reporting burden hours are based on the experience and estimated amount of time that takes to report the requested information. For non-Mixed-Finance development, the number of respondents is based on the fact that there are 3,100 housing authorities nation-wide and all of these housing authorities receive funds from, and are subject to the requirements of, the Capital Fund program. In some cases the number of respondents is less than 3,100. These respondent numbers are based on HUD's historic number of public housing or Mixed-Finance development projects that begin annually. All respondents are sub-sets of the 3,100 PHAs, except for approximately 5 homeownership and 5 mixed-finance projects per year, which are implemented by units of local government under a relatively small, annual set-aside of HOPE VI appropriated funds.

| | Form/Document | No. of Respondents | Frequency | Total Responses | Hours per Response | Total Hours | Cost per hour | Total Cost |
|----|--|--------------------|-----------|-----------------|--------------------|-------------|---------------|-------------|
| 1 | Homeownership Addendum to ACC | 40 | 1 | 40 | 8 | 320.0 | \$44 | \$14,080 |
| 2 | HUD-50029 | 40 | 1 | 40 | 40 | 1,600.0 | \$44 | \$70,400 |
| 3 | HUD-50030 | 70 | 1 | 70 | 40 | 2,800.0 | \$44 | \$123,200 |
| 4 | HUD-50070 | 1500 | 1 | 1,500 | 0.5 | 750.0 | \$26 | \$19,500 |
| 5 | HUD-50071 | 3,100 | 1 | 3,100 | 0.1 | 310.0 | \$26 | \$8,060 |
| 6 | HUD-5084 | 3,100 | 1 | 3,100 | 1 | 3,100.0 | \$26 | \$80,600 |
| 7 | HUD-5087 | 50 | 1 | 50 | 3 | 150.0 | \$44 | \$6,600 |
| 8 | HUD-51000 | 240 | 1 | 240 | 1 | 240.0 | \$26 | \$6,240 |
| 9 | HUD-51001 | 2,550 | 12 | 30,600 | 3.5 | 107,100.0 | \$26 | \$2,784,600 |
| 10 | HUD-51002 | 1,600 | 5 | 8,000 | 1 | 8,000.0 | \$26 | \$208,000 |
| 11 | HUD-51003 | 500 | 2 | 1,000 | 1.5 | 1,500.0 | \$26 | \$39,000 |
| 12 | HUD-51004 | 500 | 2 | 1,000 | 2.5 | 2,500.0 | \$26 | \$65,000 |
| 13 | HUD-51915 HUD-51915-A | 2630 | 1 | 2,630 | 3 | 7,890.0 | \$26 | \$205,140 |
| 14 | HUD-51971-I, II | 80 | 1 | 80 | 1.5 | 120.0 | \$26 | \$3,120 |
| 15 | HUD-52396 | 96 | 1 | 96 | 2 | 192.0 | \$26 | \$4,992 |
| 16 | HUD-52427 | 88 | 1 | 88 | 0.5 | 44.0 | \$26 | \$1,144 |
| 17 | HUD-52482 | 40 | 1 | 40 | 2 | 80.0 | \$26 | \$2,080 |
| 18 | HUD-52483-A | 40 | 1 | 40 | 2 | 80.0 | \$26 | \$2,080 |
| 19 | HUD-52484 | 350 | 4 | 1,400 | 5 | 7,000.0 | \$26 | \$182,000 |
| 20 | HUD-52485 | 40 | 1 | 40 | 1 | 40.0 | \$26 | \$1,040 |
| 21 | HUD-52651-A | 40 | 1 | 40 | 2.5 | 100.0 | \$26 | \$2,600 |
| 22 | HUD-52832 | 3,100 | 1 | 3,100 | 35 | 108,500.0 | \$26 | \$2,821,000 |
| 23 | HUD-52833 | 3,100 | 1 | 3,100 | 13 | 40,300.0 | \$26 | \$1,047,800 |
| 24 | HUD-52834 | 3,100 | 1 | 3,100 | 2 | 6,200.0 | \$26 | \$161,200 |
| 25 | HUD-52835 | 3,100 | 1 | 3,100 | 0.5 | 1,550.0 | \$26 | \$40,300 |
| 26 | HUD-52836 | 3,100 | 1 | 3,100 | 0.5 | 1,550.0 | \$26 | \$40,300 |
| 27 | HUD-52837 | 3,100 | 1 | 3,100 | 0.5 | 1,550.0 | \$26 | \$40,300 |
| 28 | HUD-52842 | 3,100 | 1 | 3,100 | 5 | 15,500.0 | \$26 | \$403,000 |
| 29 | HUD-52845 | 25 | 1 | 25 | 40 | 1,000.0 | \$44 | \$44,000 |
| 30 | HUD-52846 | 25 | 1 | 25 | 16 | 400.0 | \$44 | \$17,600 |
| 31 | HUD-52847 | 25 | 1 | 25 | 8 | 200.0 | \$44 | \$8,800 |
| 32 | HUD-52848 | 25 | 1 | 25 | 8 | 200.0 | \$44 | \$8,800 |
| 33 | HUD-52849 | 25 | 1 | 25 | 1 | 25.0 | \$44 | \$1,100 |
| 34 | HUD-53001 | 3,100 | 1 | 3,100 | 0.2 | 620.0 | \$26 | \$16,120 |
| 35 | HUD-53015 | 40 | 1 | 40 | 3 | 120.0 | \$26 | \$3,120 |
| 36 | HUD-5370, 5370EZ | 2,694 | 1 | 2,694 | 1 | 2,694.0 | \$26 | \$70,044 |
| 37 | HUD-5370C | 2,694 | 1 | 2,694 | 0.5 | 1,347.0 | \$26 | \$35,022 |
| 38 | HUD-5372 | 590 | 1 | 590 | 1 | 590.0 | \$26 | \$15,340 |
| 39 | HUD-5378 | 158 | 24 | 3,792 | 0.25 | 948.0 | \$26 | \$24,648 |
| 40 | HUD-5460 | 40 | 1 | 40 | 1 | 40.0 | \$26 | \$1,040 |
| 41 | Mixed-Finance Amendment to the Annual Contributions Contract | 70 | 1 | 70 | 8 | 560.0 | \$200 | \$112,000 |

| | Form/Document | No. of Respondents | Frequency | Total Responses | Hours per Response | Total Hours | Cost per hour | Total Cost |
|----|---|--------------------|-----------|-----------------|--------------------|-------------|---------------|-------------|
| 42 | Mixed-Finance Certifications and Assurances | 70 | 1 | 70 | 0.25 | 17.5 | \$200 | \$3,500 |
| 43 | Mixed-Finance Declaration of Restrictive Covenants | 70 | 1 | 70 | 0.25 | 17.5 | \$200 | \$3,500 |
| 44 | Mixed-Finance Final Title Policy | 70 | 1 | 70 | 0.25 | 17.5 | \$200 | \$3,500 |
| 45 | Mixed-Finance Legal Opinion | 70 | 1 | 70 | 1 | 70.0 | \$200 | \$14,000 |
| 46 | Public Housing Information Center Certification of Accuracy | 3100 | 1 | 3,100 | 2 | 6,200.0 | \$26 | \$161,200 |
| | Totals | | | 91,419 | | 334,132 | | \$8,926,710 |

13. Additional Cost to Respondents

There are no additional costs to respondents other than what is reported in Item 12.

14. Annualized cost to the Federal Government

The estimated annualized cost to the Federal Government is based on the hourly rate of \$44, the 2006 General Pay Scale for a GS 14 Step 1, which represents the staff of HUD's Office of Public Housing Investments (OPHI). This office primarily administers the Capital Fund Program, and both administers and implements the Capital Fund Financing Program, and Mixed-Finance transactions for the Capital Fund, Capital Fund Financing, and HOPE VI Programs. OPHI has approximately 40 full-time employees that work on these programs. 40 x \$44 x 2080 hours per year, equals \$3,660,800.

There is no additional cost to the Government related to processing less complex Capital Fund public housing development, since such processing is included as part of standard Field Office staff work.

15. Explain any program changes or adjustments.

Adjustments:

1. The prior PRA submission, which conglomerated several older programs into the Capital Fund Program, 2577-0157, partially omitted existing mixed-finance and homeownership collections. The burden is adjusted for Documents #1, 2, and 3, above, by +4,080 hours, from 640 hours to 4,720 hours, and +\$191,680.
2. The prior PRA submission also under reported the burden of complex Capital Fund Financing Program transaction forms. It included only the time to fill in the forms and did not include preparatory time. The per hour cost was set at \$25, the wage for less complex work and has been raised to \$44, the level for more complex work. Documents #28 through 33, above, had been reported at 142.5 hours and \$3,563. They are now reported at 1,825 hours and \$80,300. The burden is adjusted by +1,682 hours and \$76,737.
3. The per hour costs have been increased since the last PRA submission to account for wage increases. Filing was estimated at \$13 and is now estimated at \$14 per hour. Non-complex Program Analyst wages have been increased from \$25 to \$26 per hour, and the wages for complex transactions have been changed from \$40 to \$44 per hour. This wage adjustment increased the collection cost by \$347,627.
4. Documents #4 and 6, which had been cancelled and not reported in the previous PRA submission, are being reinstated. These documents increase the total burden by +3,850 hours and \$100,100.
5. The previous PRA consolidated several collection numbers into one new number. Since that time, the forms from those various collection numbers have been reviewed. Several were found to request duplicate information and others were found to have been cancelled or still in existence, but not used. The removal of these forms decreases the total burden by -29,947 hours and -\$1,268,712.

Revisions:

1. The collection revision for the Proposed Rule (Documents #42 through 46, above) increases the total burden by +682.5 hours and +\$136,500.
2. A new electronic Document, #47, requires the PHAs to certify to the accuracy and timeliness of all Capital Fund Program related Public Housing Information Center data that is related to HUD's determination of the PHAs' Capital Fund annual formula grants. This increases the total burden by +6,200 hours and \$161,200.
3. To disaggregate Demolition and Disposition Application and Reporting results in a reduction of -291 hours.

16. If the information will be published, outline plans for tabulation and publication.

The results of this information collection will not be published.

17. OMB Expiration Date

HUD is not seeking approval to avoid displaying the OMB expiration date.

18. Certification of Paperwork Reduction Act Submission There is no exception to Item # 19 "Certification of Paperwork Reduction Act Submission."

B. Collections of Information Employing Statistical Methods.

The collection of information does not employ statistical methods.