

# Indian Housing Plan

These forms meet the minimum requirements for an Indian Housing Plan (IHP) required by the United States Department of Housing and Urban Development. In addition to these minimum requirements, a tribe/ tribally designated housing entity (TDHE) may elect to prepare a more comprehensive IHP. If a tribe/TDHE elects to prepare a more comprehensive plan, the required elements of this IHP must still be submitted on the prescribed HUD forms.

# Indian Housing Plan

Under the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4104 et seq.) HUD will provide grants, loan guarantees, and technical assistance to Indian tribes and Alaskan Native villages for the development and operation of low-income housing in Indian areas. Grants will be made to eligible recipients under the Indian Housing Block Grant Program. To be eligible for the grants, respondents must submit an Indian Housing Plan which meets the minimum requirements of the Act, consult with residents, prepare Title VI application/certification, submit performance reports, and maintain records for HUD monitoring and audit review.

Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

HUD will ensure that the information submitted complies with the requirements of the Act, approve/disapprove the IHP, and review and monitor the IHP. Responses to this collection of information are mandatory to obtain a benefit. The information requested does not lend itself to confidentiality.

This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.



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## Indian Housing Plan Cover Sheet Instructions

If the IHP is being submitted by a TDHE for more than one tribe, leave lines 1 and 2 blank and provide this information in line 10.

1. Enter the name and address of the tribe for whom the IHP is prepared.
2. Enter the name, telephone number, and address of the tribal contact person. The tribal contact person is the person who is best able to answer questions regarding the IHP. This information should be provided even if the IHP was prepared by a tribally designated housing entity (TDHE).
3. Complete the necessary information if the IHP is prepared by a TDHE on behalf of a tribe(s).
4. Enter the name, telephone number, and address of the TDHE contact person. The TDHE contact person is the person who is best able to answer questions regarding the IHP.
5. Check to indicate if the IHP is an original submission or an amended submission.
6. Enter the Federal fiscal year for which funding will be made available for the IHP. For example, October 1, 1997 to September 30, 1998 is fiscal year 1998.
7. Enter the HUD-assigned grant number.
8. Enter the estimated grant amount expected to be received for the 1-year plan period.
9. If the actual grant amount is not known at the time the IHP is submitted, leave the actual grant amount line blank.
10. Enter the name of the tribe and the name, telephone number, and address of the tribal contact person for each tribe included in this IHP. The tribal contact person is the person who is best able to answer questions regarding the IHP.
11. The cover sheet must be signed by an authorized official of the tribe or TDHE submitting the plan.

**For HUD use only:** The date and time the plan is received by HUD will be completed by Area Office of Native American Programs (ONAP) staff.

# Indian Housing Plan Table of Contents

This Table of Contents is for use by tribes/TDHEs to identify the location of the required elements of the Indian Housing Plan. For each element listed, enter the page number where the information can be found in the IHP.

		PageNumber
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	Table 1 - Statement of Needs .....	_____
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	Table 2 - Financial Resources .....	_____
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	Affordable Housing Resources including a description of:	
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	The structure/coordination/cooperation with other entities .....	_____
	The manner in which housing needs will be addressed .....	_____
	The manner in which 1937 Act housing will be protected/maintained .....	_____
	Existing/anticipated homeownership/rental programs .....	_____
	Existing/anticipated housing rehabilitation programs .....	_____
	All other existing/anticipated housing assistance .....	_____
	Housing to be demolished or disposed of .....	_____
	Coordination with tribal and State welfare agencies .....	_____
	The manner in which safety and resident involvement will be promoted .....	_____
	Organizational capacity and key personnel that will carry out IHP activities .....	_____
	Performance Objectives .....	_____
	Useful Life .....	_____
	Model Activities .....	_____
	Tribal and Indian Preference .....	_____
	Percentage of Planning and Administration .....	_____
Minimal Funding .....	_____	
Method of Payment .....	_____	
Environmental Review - Expression of Intent (Optional) .....	_____	
Standard Certification of Compliance .....	_____	
Tribal Certification .....	_____	

## Five Year Indian Housing Plan

Respond to each of the elements requested in the 5-year plan section of the IHP. In addition to text, information may be presented in the form of charts, tables, maps, etc.

The 5-Year Plan section of the Indian Housing Plan must contain, with respect to the 5-year period beginning with the fiscal year for which the plan is submitted, the following information:

1. **Mission Statement.** A general statement of the mission of the Indian tribe to serve the needs of the low-income families in the jurisdiction of the Indian tribe (as defined in the regulation under Indian Area) during the 5-year period.
2. **Goals and Objectives.** A statement of the goals and objectives of the Indian tribe to enable the tribe to serve the needs identified in the mission statement.
3. **Activities Plan.** An overview of the activities planned during the period including an analysis of the manner in which the activities will enable the tribe to meet its mission, goals, and objectives.

## One Year Indian Housing Plan

Respond to each of the elements requested in the 1-year plan section of the IHP. In addition to text, information may be presented in the form of charts, tables, maps, etc. If a particular section does not apply because the tribe/TDHE will not carry out a specified activity, enter NA.

The 1-Year Plan section of the Indian Housing Plan must contain information, relating to the upcoming fiscal year for which assistance is to be made available, including the following:

1. **Goals and Objectives.** A statement of the goals and objectives to be accomplished during the period that are measurable as determined by the tribe/TDHE.
2. **Statement of Needs.** A statement of the housing needs of the low-income Indian families residing in the jurisdiction of the Indian tribe, and outside the jurisdiction where tribal needs require consideration, and the means by which such needs will be addressed during the 1-year period, including a description of:
  - a. the estimated housing needs and the need for assistance for the low-income Indian families in the jurisdiction, and outside the jurisdiction where tribal needs require consideration, including a description of the manner in which the geographical distribution of assistance is consistent with the geographical needs and needs for various categories of housing assistance; and
  - b. the estimated housing needs for all Indian families in the jurisdiction.
3. **Financial Resources.** An operating budget for the recipient including:
  - a. an identification and a description of the financial resources reasonably available to the recipient to carry out the purposes of NAHASDA, including an explanation of the manner in which amounts made available will leverage additional resources; and
  - b. the uses to which such resources will be committed, including eligible and required affordable housing activities under title II of NAHASDA and administrative expenses.
4. **Affordable Housing Resources.** A statement of the affordable housing resources currently available and to be made available during the period, including a description of:
  - a. the significant characteristics of the housing market in the jurisdiction, including the availability of housing from other public sources, private market housing, and the manner in which such characteristics influence the decision of the recipient to use grant amounts to be provided under NAHASDA for rental assistance, production of new units, acquisition of existing units, or rehabilitation of units;
  - b. the structure, coordination, and means of cooperation between the recipient and any other governmental entities in the development, submission, or implementation of housing plans, including a description of the involvement of private, public, and nonprofit organizations and institutions, and the use of loan guarantees under section 184 of the Housing and Community Development Act of 1992, and other housing assistance provided by the Federal Government for Indian tribes, including loans, grants, and mortgage insurance;
  - c. the manner in which the plan will address the needs identified pursuant to the Statement of Needs Section in paragraph 2;
    - d. the manner in which the recipient will protect and maintain the viability of housing owned and operated by the recipient that was developed under a contract between the Secretary and an Indian housing authority pursuant to the United States Housing Act of 1937;
    - e. any existing and anticipated homeownership programs and rental programs to be carried out during the period, and the requirements and assistance available under such programs;
    - f. any existing and anticipated housing rehabilitation programs necessary to ensure the long-term viability of the housing to be carried out during the period, and the requirements and assistance available under such programs;
    - g. all other existing or anticipated housing assistance provided by the recipient during the period, including transitional housing, homeless housing, college housing, supportive services housing, and the requirements and assistance available under such programs;
    - h. any housing to be demolished or disposed of, a timetable for such demolition or disposition, and any other information required by the Secretary with respect to such demolition or disposition including a financial analysis regarding the proposed demolition/disposition;
    - i. the manner in which the recipient will coordinate with tribal and State welfare agencies to ensure that residents of such housing will be provided with access to resources to assist in obtaining employment and achieving self-sufficiency;
    - j. the requirements established by the recipient to promote the safety of residents of such housing, facilitate the undertaking of crime prevention measures, allow resident input and involvement, including the establishment of resident organizations, and allow for the coordination of crime prevention activities between the recipient and tribal and local law enforcement officials; and
    - k. the entity that will carry out the activities under the plan, including the organizational capacity and key personnel of the entity.
5. **Performance Objectives.** In accordance with 24 CFR 1000.504, performance objectives are to be developed by each recipient and included in this 1-year plan. Performance objectives are criteria by which the recipient will monitor and evaluate its performance; provide quantitative measurements by which the recipient will gauge its performance; and determine the impact and benefit the grant beneficiary derives from the accomplishments obtained under the plan. For example, if in the IHP, the recipient indicates it will build new houses, the performance objective may be the completion of the homes within a certain time period and within a certain budgeted amount, and measure the numbers of tribal members and families served.

# Table 1 Statement of Needs

Name of Tribe:

Name of TDHE: (if applicable)

Grant Number:

Federal Fiscal Year: Tribal/TDHE Program Year:

Indian Area:

column a	All Indians in the Indian Area	
	All Income Levels column b	Low-Income column c
1. Total Indian Population		
2. Number of Indian Families		
3. Number of Elderly Indian Families		
4. Number of Near-Elderly Indian Families (optional)		
5. Number of Indian Families Living in Substandard Housing		
6. Number of Indian Families Living in Over-Crowded Conditions		
<b>Part II: Tribal Profile for Indian Area (Optional)</b>	All Income Levels	Low-Income
7.		

Type of Housing column a		Existing Housing column b	Unmet Needs for All Indian Families column c	Unmet Needs for Low-Income Indian Families column d
8. Rental Housing	a. Number of Units			
	b. Number of Units Needing Rehabilitation			
9. Homeowner Housing	a. Number of Units			
	b. Number of Units Needing Rehabilitation			
10. Supportive Service Housing (# of units)				
11. College Housing (# of units)				
12. Transitional Housing (# of units)				
13. Homeless Housing (# of beds)				

<b>Part IV: Other Current Status and Future Needs (Optional)</b>			
14.			
Data Source:			

**Instructions: Table 1: Statement of Needs**

Complete the table in accordance with the instructions below.

**Table Heading**

Enter the name of the tribe for whom the table is prepared and the name of the tribally designated housing entity (TDHE) if the IHP is prepared by a TDHE on behalf of the tribe. Enter the HUD assigned tribal grant number for the Federal fiscal year for which the table is prepared and the Federal fiscal year for which assistance is to be made available. For example, October 1, 1997 to September 30, 1998 is Federal fiscal year 1998. Enter the starting date of the tribal/TDHE designated program year (mm/dd/yyyy).

Describe the Indian area which the data in the table represents. The Indian area is the area within which an Indian tribe operates affordable housing programs or the area in which a TDHE is authorized by one or more Indian Tribes to operate affordable housing programs.

**Definitions:** The following definitions are to be used when completing Table 1.

**Low-income family:** A low-income family is a family whose income does not exceed 80 percent of the median income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the findings of the Secretary or the agency that such variations are necessary because of prevailing levels of construction costs or unusually high or low family incomes.

**Family:** The term family includes, but is not limited to, a family with or without children, an elderly family, a near-elderly family, a disabled family, a single person, as determined by the Indian tribe.

**Median income:** The definition of median income, with respect to an Indian area, is the greater of the median income for the Indian area, which the Secretary shall determine; or the median income for the United States.

**Elderly family:** The term elderly family means a family whose head (or his or her spouse), or whose sole member, is an elderly person (at least 62 years of age). Such terms include 2 or more elderly persons living together, and 1 or more such persons living with 1 or more persons determined under the Indian housing plan for the agency to be essential to their care or well-being.

**Near-elderly family:** The term near-elderly family means a family whose head (or his or her spouse), or whose sole member, is a near-elderly person (at least 55 years of age and less than 62 years of age). Such terms include 2 or more near-elderly persons living together, and 1 or more such persons living with 1 or more persons determined under the Indian housing plan for the agency to be essential to their care or well-being.

The following definitions may be adopted by the tribe/THDE or the tribe/THDE may develop its own definitions.

**Substandard housing:** Substandard housing is a housing unit that is dilapidated; does not have operable indoor plumbing; does not have a usable flush toilet inside the unit for the exclusive use of a family; does not have a usable bathtub or shower inside the unit for the exclusive use of a family; does not have electricity, or has inadequate or unsafe electrical service; does not have a safe or adequate source of heat; should, but does not, have a kitchen; or has been declared unfit for habitation by an agency or unit of government.

**Dilapidated housing:** A dilapidated housing unit is a unit that does not provide safe and adequate shelter, and in its present condition endangers the health, safety, or well-being of a family; or a unit that has one or more critical defects, or a combination of

intermediate defects in sufficient number or extent to require considerable repair or rebuilding. The defects may involve original construction, or they may result from continued neglect or lack of repair or from serious damage to the structure.

**Overcrowded conditions:** Overcrowded means households with more than 1.01 persons per room.

**Units needing rehabilitation:** Units in need of rehabilitation are units which fail to meet the tribe's/TDHE's housing quality standards (HQS). The tribe's/TDHE's HQS must be at least as stringent as those used for the Section 8 program (see 24 CFR 982.401) unless the Area ONAP approves less stringent standards based on a determination that local conditions make the use of the Section 8 standards infeasible. Any less stringent standards must still, however, provide:

1. that the house is safe, in a physically sound condition with all systems performing their intended design functions;
2. a livable home environment;
3. an energy efficient building and systems which incorporate energy conservation measures;
4. adequate space and privacy for all intended household members.

**Supportive service housing:** Supportive service housing is housing, including housing units and group quarters, that has a supportive environment and includes a planned supportive service component. A planned supportive service component includes services provided to residents of supportive service housing for the purpose of facilitating the independence of residents. Examples of services include case management, medical or psychological counseling and supervision, child care, transportation, and job training.

**College housing:** College housing is housing, the primary purpose of which is to provide housing for students who are attending post high school educational facilities.

**Transitional housing:** Transitional housing is housing, the purpose of which is to facilitate the movement of homeless individuals and families to permanent housing within 24 months.

**Homeless housing:** Homeless housing is any facility, the primary purpose of which is to provide temporary or transitional shelter for the homeless in general or for specific populations of the homeless.

**Part I: Tribal Profile for Indian Area**

The information required in Part I relates to Indian persons and families.

Column a: Person and Family Categories: This column lists selected categories for data collection. Line 1 requests information in terms of persons and lines 2-6 requests information in terms of families.

Columns b and c should include all Indians in the Indian area.

Column b: All Income Levels: Enter the requested information for Indians at all income levels including low-income.

Column c: Low-Income: Enter the requested information for low-income Indians. This column is a subset of column b and therefore, the numbers entered in this column should never be greater than those entered in column b.

Line 1: Total Indian Population: Enter the total number of Indian persons.



Line 2: Number of Indian Families: Enter the total number of Indian families.

Line 3: Number of Elderly Indian Families: Enter the total number of elderly Indian families.

Line 4: Number of Near-Elderly Indian Families: Enter the total number of near-elderly Indian families. This information is optional.

Line 5: Number of Indian Families Living in Substandard Housing: Enter the number of Indian families who are currently living in substandard housing.

Line 6: Number of Indian Families Living in Over-Crowded Conditions: Enter the number of Indian families who are currently living in overcrowded housing.

**Part II: Tribal Profile for Indian Area (Optional)**

Part II is optional and allows tribes/TDHEs to provide information pertaining to any category of persons or families that they believe should be included in Table 1.

Column a: Person and Family Categories: Enter tribal/TDHE selected categories of persons or families. A definition of each category must be included as an attachment to this table.

Columns b and c: The instructions for these columns are the same as Part I except columns a, b, and c can represent Indians and non-Indians as determined by the categories selected.

Line 7: Enter the tribal/TDHE selected categories of persons and/or families. For example, non-Indians to be served under NAHASDA. (use as many lines as necessary numbered 7a, 7b, 7c, etc. An attachment may be used if needed.)

**Part III: Current Status and Future Needs**

The information required in Part III relates to Indian persons and families.

Column a: Type of Housing: This column lists selected housing categories.

Column b: Existing Housing: Enter the number of existing housing units (or beds in the case of homeless housing) in the Indian area for each housing category described on lines 8-13.

Column c: Unmet Needs for All Indian Families: Enter the number of new housing units (or beds in the case of homeless housing) needed for all Indian families for each housing category described on lines 8-13.

Column d: Unmet Needs for Low-Income Indian Families: Enter the number of new housing units (or beds in the case of homeless housing) needed for low-income Indian families for each housing category described on lines 8-13. This column is a subset of column c. Therefore, the numbers entered in this column should never be greater than those entered in column c.

Line 8a: Rental Housing-Number of Units: Enter the number of rental housing units including units that were developed under the 1937 Housing Act or are privately owned (include tribal housing) that are occupied or vacant. However, do not include supportive service housing, college housing, transitional housing, or homeless housing. These categories of housing are listed on lines 10-13.

Line 8b: Rental Housing-Number of Units Needing Rehabilitation: Enter the number of rental housing units (from those entered in line 8a) that are in need of rehabilitation.

Line 9a: Homeowner Housing-Number of Units: Enter the number of owner occupied housing units (occupied or vacant) including Turnkey III and Mutual Help housing developed under the 1937 Housing Act, and privately owned housing.

Line 9b: Homeowner Housing-Number of Units Needing Rehabilitation: Enter the number of owner housing units (from those entered in line 9a) that are in need of rehabilitation.

Line 10: Supportive Service Housing: Enter the number of supportive service housing units.

Line 11: College Housing: Enter the number of college housing units.

Line 12: Transitional Housing: Enter the number of transitional housing units.

Line 13: Homeless Housing: Enter the number of homeless housing in terms of beds.

**Part IV: Other Current Status and Future Needs (Optional)**

Part IV is optional and allows tribes/TDHEs to provide information pertaining to any category of housing that they believe should be included in Table 1. Additional information describing local circumstances and concerns may be included when the tribe determines it appropriate to the IHP.

Column a: Types of Housing: Enter tribal/TDHE selected types of housing. For example, elderly housing. A definition of each category must be included as an attachment to this table.

Columns b, c, and d: The instructions for these columns are the same as Part III.

Line 14: Enter the tribal/TDHE selected types of housing as described in column a above (use as many lines as necessary numbered 14a, 14b, 14c, etc. An attachment may be used if needed.)

Data Source: Describe the sources of data for the information contained in the table.

## Table 2 Financial Resources

Name of Tribe:

Name of TDHE: (if applicable)

Grant Number:

Federal Fiscal Year:

Tribal/TDHE Program Year:

Type of Submission:  Original  Amended

### Part I: Sources of Funds for NAHASDA Activities

Sources of Funds column a	Planned Amount column b
1. HUD Resources	
a. NAHASDA Block Grant	
b. NAHASDA Program Income	
c. NAHASDA Title VI	
d. Section 184 Loan Guarantee	
e. Indian Community Development Block Grant	
f. Drug Elimination Grants and Drug Technical Assistance	
g. Prior Year Funds	
h. Other	
2. Existing Program Resources	
a. 1937 Housing Act Programs	
b. Other HUD Programs	
3. Other Federal or State Resources	
a. BIA Home Improvement Program	
b. Other	
4. Private Resources	
a. Tribal Contributions for affordable housing	
b. Financial Institution	
c. Other	
5. Other	
6. Total Resources	

**Table 2 Financial Resources Continued**

**Part II: Allocation of Funds for NAHASDA Activities**

Activity column a		Budgeted Amount column b	Planned Number of Units column c	Number of Families column d
7. Indian Housing Assistance				
a.	Modernization (1937 Housing Act)			
b.	Operating (1937 Housing Act)			
8. Development				
a. Rental	1. Construction of new units			
	2. Acquisition			
	3. Rehabilitation			
b. Home-ownership	1. Construction of new units			
	2. Acquisition			
	3. Rehabilitation			
9. Housing Services				
10. Housing Management Services				
11. Crime Prevention and Safety				
12. Model Activities (specify below)				
a.				
13. Planning and Administration				
14. Reserves				
15. Other				
16. Total				

**Instructions: Table 2: Financial Resources**

The allocation of resources should be consistent with the statement of needs in the IHP including Table 1. Complete the table in accordance with the instructions below.

**Table Heading**

Name of tribe: Enter the name of the tribe for whom the table is prepared.

TDHE Name (if applicable): Enter the name of the tribally designated housing entity (TDHE) if the IHP is prepared by a TDHE on behalf of the tribe.

Grant Number: Enter the HUD assigned tribal grant number for the Federal fiscal year for which the table is prepared.

Federal Fiscal Year: Enter the Federal fiscal year for which assistance is to be made available. For example, October 1, 1997 to September 30, 1998 is federal fiscal year 1998.

Tribal/TDHE Program Year: Enter the starting date of the tribal/TDHE designated program year (mm/dd/yyyy).

Type of Submission: Enter an "X" if this table is an original submission. Enter an "X" if this table amends a prior submission.

**Part I: Sources of Funds for NAHASDA Activities**

In part I of Table 2, list only the sources of funds and related dollar amounts that will be used for NAHASDA eligible activities. NAHASDA eligible activities are listed in Part II of this table.

If the exact amount of funds reasonably expected to be made available during the plan period is not known at the time the IHP is prepared, estimate amounts as accurately as possible.

Column a: Sources of Funds: This column lists selected sources of funds that may be used for NAHASDA activities. No data is required to be entered in this column unless a tribe/TDHE elects to include funding sources that are not already listed.

Column b: Planned Amount: Enter the amount of funds that are expected to be received during the period of time covered by the 1-year plan that will be used for NAHASDA eligible activities.

Line 1a: NAHASDA Block Grant: Enter the amount of NAHASDA block grant funds expected to be received during the period covered by 1-year plan.

Line 1b: NAHASDA Program Income: Enter the amount of NAHASDA program income that is expected to be received during the period covered by the 1-year plan. Program income is defined in the final rule.

Line 1c: NAHASDA Title VI: Enter the amount of NAHASDA Title VI funds expected to be received during the period covered by the 1-year plan.

Line 1d: Section 184 Loan Guarantee: Enter the amount of Section 184 Loan Guarantee funds expected to be reserved during the period covered by the 1-year plan.

Line 1e: Indian Community Development Block Grant: Enter the amount of Indian Community Development Block Grant funds expected to be received during the period covered by the 1-year plan which will be used for NAHASDA eligible activities.

Line 1f: Drug Elimination Grants and Drug Technical Assistance: Enter the amount of Drug Elimination Grant and Drug Technical Assistance funds expected to be received during the period covered by the 1-year plan which will be used for NAHASDA eligible activities.

Line 1g: Prior Year Funds: Enter the amount of NAHASDA funds from previous years that have not been programmed in a previous IHP, and are available for use during the period covered by the 1-year plan. No data is required on this line for the first year of NAHASDA.

Line 1h: Other: In column a, enter the name(s) of HUD programs that are not listed above, but for which funds are expected to be received during the period covered by the 1-year plan and are to be used for NAHASDA eligible activities. In column b, enter the amount of funds expected to be received.

Line 2a: 1937 Housing Act Programs: Enter the amount of unobligated funds from the programs listed below. Remaining funds from the programs listed below will be incorporated into the NAHASDA program as of October 1, 1997, and will be governed by NAHASDA rules.

- 1937 Housing Act Programs:
- Comprehensive Improvement Assistance Program
- Comprehensive Grant Program
- Development Programs
- Operating Subsidy and Cash Reserves
- Homebuyer Equity
- Proceeds From Sale

Line 2b: Other HUD Programs: Enter the amount of unobligated funds from the programs listed below.

- HOPE
- HOME
- Youthbuild
- Economic Development Supportive Services
- Family Investment Centers
- Section 8 Rental Assistance/Renewals
- Emergency Shelter Grants

Line 3a: BIA Home Improvement Program: Enter the amount of BIA Home Improvement Program funds expected to be received during the period covered by the 1-year plan which will be used for NAHASDA eligible activities.

Line 3b: Other: In column a, enter the name(s) of other federal or state resources that are not listed above, but for which funds are expected to be received during the period covered by the 1-year plan and are to be used for NAHASDA eligible activities. In column b, enter the amount of funds expected to be received.

Line 4a: Tribal Contributions for affordable housing: Enter the amount of tribal contributions expected to be received during the period covered by the 1-year plan which will be used for NAHASDA eligible activities.

Line 4b: Financial Institution: Enter the amount of funds expected to be received from financial institutions (banks, mortgage companies, credit unions, etc.) during the period covered by the 1-year plan which will be used for NAHASDA eligible activities. Do not include the amount of funds expected to be received under NAHASDA Title VI or Section 184. Funds from these sources will be listed in lines 1c and 1d, respectively.

Line 4c: Other: In column a, enter the name(s) of other private resources that are not listed above, but for which funds are expected to be received during the period covered by the 1-year plan and are to be used for NAHASDA eligible activities. In column b, enter the amount of funds expected to be received.

Line 5: Other: In column a, enter any other funding source that is not listed above, but for which funds are expected to be received during the period covered by the 1-year plan and are to be used for NAHASDA eligible activities. In column b, enter the amount of funds expected to be received.

Line 6: Total Resources: Enter the total amount of all resources by adding lines 1 through 5.

## Part II: Allocation of Funds for NAHASDA Activities

Column a: Activity: This column lists the NAHASDA Eligible Activities. If model activities are to be undertaken as part of the 1-year plan, they must be identified on line 12.

Column b: Budgeted Amount: Enter the amount of funds to be budgeted for each NAHASDA activity. This number should include direct program costs, e.g., program materials and contract costs associated with the eligible activity. Staff and overhead costs directly related to carrying out affordable housing activities can be determined to be eligible costs of the affordable housing activity or considered administration or planning (line 13) at the discretion of the recipient.

Column c: Number of Units: Enter the number of units to be completed for each activity which shows a budgeted amount in column b.

Column d: Number of Families: Enter the number of families that will be assisted through each activity which shows a budgeted amount in column b.

Line 7a: Modernization: Enter the requested information for modernization activities for housing previously developed or operated pursuant to a contract between the Secretary and an Indian housing authority. (1937 Housing Act units)

Line 7b: Operating: Enter the requested information for operation activities for housing previously developed or operated pursuant to a contract between the Secretary and an Indian housing authority. (1937 Housing Act units)

Line 8: Development: This line is a heading for lines 8a and 8b.

Line 8.a.1: Rental-Construction of new units: Enter the requested information for the construction of new rental units.

Line 8.a.2: Rental-Acquisition: Enter the requested information for the acquisition of new rental units.

Line 8.a.3: Rental-Rehabilitation: Enter the requested information for the rehabilitation of rental units.

Line 8.b.1: Home Ownership-Construction of new units: Enter the requested information for the construction of new homeownership units.

Line 8.b.2: Home Ownership-Acquisition: Enter the requested information for the acquisition of homeownership units.

Line 8.b.3: Home Ownership-Rehabilitation: Enter the requested information for the rehabilitation of homeownership units.

Line 9: Housing Services: Enter the requested information for housing services. Housing services include the provision of housing-related services for affordable housing, such as housing counseling in connection with rental or homeownership assistance, establishment and support of resident organizations and

resident management corporations, energy auditing, activities related to the provision of self-sufficiency and other services, and other services related to assisting owners, tenants, contractors, and other entities, participating or seeking to participate in other housing activities assisted pursuant to section 202 of NAHASDA.

Line 10: Housing Management Services: Enter the requested information for housing management services. Housing management services include the provision of management services for affordable housing, including preparation of work specifications, loan processing, inspections, maintenance, tenant selection, management of tenant-based rental assistance, and management of affordable housing projects.

Line 11: Crime Prevention and Safety: Enter the requested information for crime prevention and safety activities. Crime prevention and safety activities include the provision of safety, security, and law enforcement measures and activities appropriate to protect residents of affordable housing from crime. Costs for crime prevention and safety apply to 1937 Housing Act assisted units as well as other units.

Line 12: Model Activities (specify below): Housing activities under model programs are activities that are designed to carry out the purposes of NAHASDA. Note: Model activities must be approved by the Secretary. Listing a model activity on this table does not constitute Secretarial approval.

Line 12a: Enter the name of the model activity in column a. In columns b, c, and d, enter the requested information for the model activity. (use as many lines as necessary numbered 12a, 12b, 12c, etc.)

Line 13: Planning and Administration: Enter the requested information for planning and administration. Planning and administration are defined in the Final Rule.

Line 14: Reserves: If the tribe/TDHE plans to reserve any of its funds for use in future years, enter the amount in column b.

Line 15: Other: Any other use of funds should be identified here and a description of the activity should be included as an attachment to Table 2.

Line 16: Total: Enter the totals of lines 7 through 15.

### Table 3 Housing Profile

Name of Tribe:

Name of TDHE: (if applicable)

Grant Number:

Federal Fiscal Year:

Tribal/TDHE Program Year:

**Part I: 1937 Housing Act Inventory Under Management**

Housing Inventory column a	Number of Units (Subtotal) column b	Number of Units (Total) column c
1. Mutual Help Units Under Management as of September 30		
2. Low Rent Units Under Management as of September 30		
3. Turnkey III Units Under Management as of September 30		
4. Total Beginning 1937 Housing Act Inventory		
5. Units Planned to be Demolished or Disposed of During Plan Period	a. Mutual Help	
	b. Low Rent	
	c. Turnkey III	
	d. Total	(                      )
6. Units Planned to be Conveyed During Plan Period	a. Mutual Help	
	b. Low Rent	
	c. Turnkey III	
	d. Total	(                      )
7. Total Planned Ending 1937 Housing Act Inventory as of Sept. 30		

**Part II: 1937 Housing Act Inventory in the Development Pipeline**

8. Mutual Help Units in the Pipeline as of September 30		
9. Low Rent Units in the Pipeline as of September 30		
10. Total Units in Pipeline as of September 30		

**Part III: Section 8 Vouchers and Certificates**

11. Section 8 Vouchers and Certificates as of September 30		
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**Part IV: NAHASDA Units**

12. Total NAHASDA Units as of September 30		
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**Instructions: Table 3: Housing Profile**

Complete the table in accordance with the instructions below.

**Table Heading**

Name of Tribe: Enter the name of the tribe for whom the table is prepared.

Name of TDHE (if applicable): Enter the name of the tribally designated housing entity (TDHE) if the IHP is prepared by a TDHE on behalf of the tribe.

Grant Number: Enter the HUD assigned tribal grant number for the Federal fiscal year for which the table is prepared.

Federal Fiscal Year: Enter the Federal fiscal year for which assistance is to be made available. For example, October 1, 1997 to September 30, 1998 is federal fiscal year 1998.

Tribal/TDHE Program Year: Enter the starting date of the tribal/TDHE designated program year (mm/dd/yyyy).

Column a: Housing Inventory: Column a lists housing inventory including 1937 Housing Act units, Section 8 Vouchers and Certificates, and NAHASDA units.

Column b: Number of Units (Subtotal): Enter the subtotal amount for the number of units as requested.

Column c: Number of Units (Total): Enter the total amount for the numbers of units as requested.

**Part I: 1937 Housing Act Inventory Under Management**

Line 1: Mutual Help Units Under Management as of September 30: Enter the number of Mutual Help units which are/were owned or operated pursuant to an Annual Contributions Contract (ACC) and under management as of September 30 of the Federal fiscal year preceding the Federal fiscal year that funding will be received for this 1-year plan. For example, October 1, 1997 to September 30, 1998 is Federal fiscal year 1998. If a tribe's 1-year plan will cover the period of time from October 1, 1997 to September 30, 1998, the number of Mutual Help units entered on this line should be the number of units as of September 30, 1997.

Line 2: Low Rent Units Under Management as of September 30: Enter the number of Low Rent units which are/were owned or operated pursuant to an ACC and under management as of September 30 of the Federal fiscal year preceding the Federal fiscal year that funding will be received for this 1-year plan. For example, October 1, 1997 to September 30, 1998 is Federal fiscal year 1998. If a tribe's 1-year plan will cover the period of time from October 1, 1997 to September 30, 1998, the number of Low Rent units entered on this line should be the number of units as of September 30, 1997.

Line 3: Turnkey III Units Under Management as of September 30: Enter the number of Turnkey III units which are/were owned or operated pursuant to an Annual Contributions Contract ACC and under management as of September 30 of the Federal fiscal year preceding the Federal fiscal year that funding will be received for this 1-year plan. For example, October 1, 1997 to September 30, 1998 is Federal fiscal year 1998. If a tribe's 1-year plan will cover the period of time from October 1, 1997 to September 30, 1998, the number of Turnkey III units entered on this line should be the number of units as of September 30, 1997.

Line 4: Total beginning 1937 Housing Act Inventory: Enter the total of lines 1 through 3.

Line 5a: Mutual Help Units Planned to be Demolished or Disposed of During Plan Period: Enter the number of Mutual Help units planned to be demolished or disposed of during the 1-year plan period. Do not include conveyed units.

Line 5b: Low Rent Units Planned to be Demolished or Disposed of During Plan Period: Enter the number of Low Rent units planned to be demolished or disposed of during the 1-year plan period. Do not include conveyed units.

Line 5c: Turnkey III Units Planned to be Demolished or Disposed of During Plan Period: Enter the number of Turnkey III units planned to be demolished or disposed of during the 1-year plan period. Do not include conveyed units.

Line 5d: Total: Enter the total of lines 5a through 5c.

Line 6a: Mutual Help Units Planned to be Conveyed During Plan Period: Enter the number of Mutual Help units planned to be conveyed to homebuyers during the 1-year plan period.

Line 6b: Low Rent Units Planned to be Conveyed During Plan Period: Enter the number of Low Rent units planned to be conveyed to tenants during the 1-year plan period.

Line 6c: Turnkey III Units Planned to be Conveyed During Plan Period: Enter the number of Turnkey III units planned to be conveyed to homebuyers during the 1-year plan period.

Line 6d: Total: Enter the total of lines 6a through 6c.

Line 7: Total Planned Ending 1937 Housing Act Inventory as of September 30: Enter the total of line 4 minus lines 5d and 6d. This is the estimated total assisted housing stock which is owned or operated pursuant to an ACC and under management by a tribe/TDHE at the end of the Federal fiscal year for which funding will be received for this 1-year plan.

**Part II: 1937 Housing Act Inventory in the Development Pipeline**

Line 8: Mutual Help Units in the Pipeline as of September 30: Enter the number of Mutual Help units which are in the development pipeline (planned or under construction) as of September 30 of the Federal fiscal year preceding the Federal fiscal year that funding will be received for this 1-year plan. For example, October 1, 1997 to September 30, 1998 is Federal fiscal year 1998. If a tribe's 1-year plan will cover the period of time from October 1, 1997 to September 30, 1998, the number of Mutual Help units entered on this line should be the number of units as of September 30, 1997.

Line 9: Low Rent Units in the Pipeline as of September 30: Enter the number of Low Rent units which are in the development pipeline (planned or under construction) as of September 30 of the Federal fiscal year preceding the Federal fiscal year that funding will be received for this 1-year plan. For example, October 1, 1997 to September 30, 1998 is Federal fiscal year 1998. If a tribe's 1-year plan will cover the period of time from October 1, 1997 to September 30, 1998, the number of Low Rent units entered on this line should be the number of units as of September 30, 1997.

Line 10: Total Units in Pipeline as of September 30: Enter the total of lines 8 and 9.

**Part III: Section 8 Vouchers and Certificates**

Line 11: Section 8 Vouchers and Certificates as of October 1: Enter the total number of Section 8 Vouchers and Certificates approved for the tribe/TDHE as of September 30 of the Federal fiscal year preceding the Federal fiscal year that funding will be received for this 1-year plan. For example, October 1, 1997 to September 30, 1998 is Federal fiscal year 1998. If a tribe's 1-year plan will cover the period of time from October 1, 1997 to September 30, 1998, the number of Section 8 Vouchers and Certificates entered on this line should be the number of units as of September 30, 1997.

**Part IV: NAHASDA Units**

Line 12: Total NAHASDA Units as of October 1: Enter the total number of units that have been completed (are occupied or are ready for occupancy) with NAHASDA funds as of September 30 of the Federal fiscal year preceding the Federal fiscal year that funding will be received for this 1-year plan. For example, October 1, 1997 to September 30, 1998 is Federal fiscal year 1998. If a tribe's 1-year plan will cover the period of time from October 1, 1997 to September 30, 1998, the number of NAHASDA units entered on this line should be the number of units as of September 30, 1997. No data is required on this line for the first year of NAHASDA.

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**Other Submissions:**

Recipients of NAHASDA funds are required to prepare and submit the following information:

1. **Useful Life:** Sections 205(a)(2) and 209 of NAHASDA requires that housing remain affordable. Each recipient will determine the “useful life” period for its units. The useful life of each assisted housing unit in each development must be described. This information may be described here or in the affordable housing resources section of the 1-year plan.
2. **Model Housing Activities:** Recipients are required to submit proposals to operate model housing activities or other housing programs as defined in NAHASDA sections 201(b)(2) and 202(6) for non low-income families. Assistance to non low-income Indian families must be in accordance with the Final Rule. If a model housing activity is to be undertaken during the 1-year plan period, proposals may be included here, in the affordable housing resources section of the 1-year plan, as an amendment to the IHP, or by special request to HUD at any time. All proposals must be approved by the Secretary prior to beginning any model housing activity.
3. **Tribal and other Indian Preference:** Section 201(b)(4) of NAHASDA allows preference for tribal members and other Indian families. If preference will be given to tribal members or other Indian families, the preference policy must be described. This information may be provided here or in the affordable housing resources section of the 1-year plan.
4. **Planning and Administration:** In accordance with the Final Rule, state the percentage of annual grant funds which will be used for planning and administrative purposes. If the amount is over 20 percent of the annual grant amount, HUD approval is required.
5. **Minimal Funding:** As stated in the Final Rule, the first year of NAHASDA participation, a tribe whose allocation is less than \$50,000 under the need component of the formula shall have its need component of the grant adjusted to \$50,000. Certify here that there is a need for funding.
6. **Method of Payment:** In accordance with the Final Rule, state the method of payment the tribe/THDE will use to request its grant funds.



## Native American Housing Assistance and Self-Determination Act

### Environmental Review - Expression of Intent

To facilitate the completion of environmental review responsibilities under the Indian Housing Block Grant program, this Expression of Intent will provide HUD with information needed to assist the tribe in completion of these activities and to schedule resources needed to complete environmental review responsibilities. Environmental reviews are completed for individual activities included in the Indian Housing Plan. The tribe can choose to complete the environmental review for some or all activities and can decide at a later date to change its decision.

- The tribe plans to assume the status of a Federal official under the National Environmental Policy Act of 1969 and the other provisions of law listed in 24 CFR 58.5 insofar as the provisions of the Act and such other provisions of law apply to the Indian tribe's proposed program pursuant to 24 CFR part 58.
- The tribe plans to request HUD to fulfill environmental review responsibilities stated above pursuant to 24 CFR part 50.
- The tribe plans to work with HUD and provide information and studies to HUD to allow HUD to fulfill environmental review responsibilities stated above pursuant to 24 CFR part 50.
- The tribe plans to assume the responsibilities stated above except for the following listed activities for which it will request HUD to fulfill the environmental review responsibilities.

Native American Housing Assistance and  
Self-Determination Act  
Indian Housing Plan  
Standard Certification of Compliance

This certification is for use with the Indian Housing Plan (IHP). If the IHP is prepared and submitted by a Tribally Designated Housing Entity on behalf of a tribe, it must be accompanied by a tribal certification from the recognized tribal government covered under the IHP.

In accordance with the applicable statutes, the recipient certifies that:

(A) it will comply with title II of the Civil Rights Act of 1968 in carrying out this Act, to the extent that such title is applicable, and other applicable Federal statutes;

The following certifications will only apply where applicable based on program activities

- (B) it will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under the Native American Housing and Self-Determination Act of 1996 (the Act), in compliance with such requirements as may be established by the Secretary;
- (C) policies are in effect and are available for review by the Secretary and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under the Act;
- (D) policies are in effect and are available for review by the Secretary and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under the Act; and
- (E) policies are in effect and are available for review by the Secretary and the public governing the management and maintenance of housing assisted with grant amounts provided under the Act.

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Signature of Authorized Official

Date

X

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Title

Native American Housing Assistance and Self-Determination Act

Indian Housing Plan Tribal Certification

This certification is for use with the Indian Housing Plan (IHP) when a Tribally Designated Housing Entity (TDHE) prepares the IHP on behalf of an Indian tribe. This certification must be executed by the recognized tribal government covered under the IHP.

The recognized tribal government of the grant beneficiary certifies that:

- (1) it had an opportunity to review the IHP and has authorized the submission of the IHP by the housing entity; or
- (2) it has delegated to such TDHE the authority to submit an IHP and amendments to the IHP on behalf of the tribe without prior review by the tribe.

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Signature of Authorized Official

Date

X

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Title