

SECTION 4: Sanctions

FI = Food Instrument

1 The State Agency uses a point system in sanctioning vendors

Select One
 Yes
 No

2 Vendors who are disqualified but who subsequently request an administrative hearing:

Check all that apply

- May accept WIC food instruments during the appeal process
- May not accept WIC food instruments during the appeal process
- Determined on a case-by-case basis

Section 4: Vendor Relations

1 The State Agency meets with representatives from retailer associations to resolve problems and solicit ideas on improvements in WIC program operations.

Check all that apply

- At least once a year
- Every 2 years
- Once every 5 years
- Less frequently than every 5 years
- As needed

Section 4: Compliance Buy Procedures

1 Check below the types of Compliance Buys conducted when a suspect vendor is investigated:

Check all that apply

Trafficking (exchange of FI's for cash)	<input type="checkbox"/> Always	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Never
Sale of non-food items	<input type="checkbox"/> Always	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Never
Sale of unauthorized/excessive food items	<input type="checkbox"/> Always	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Never
Sale of alcohol/tobacco	<input type="checkbox"/> Always	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Never
Safe Buy All FI foods purchased to see if vendor will overcharge	<input type="checkbox"/> Always	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Never
Partial Buy Not purchasing all FI foods to see if vendor will charge for food not purchased	<input type="checkbox"/> Always	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Never

2 Compliance buys are usually conducted by:

Check all that apply

- Neighborhood residents or program participants employed by WIC
- Local WIC Agency staff
- WIC State Agency subdivision/regional staff
- WIC State Agency staff
- Other State Agency staff
- Investigators retained on a contract basis (e.g. Pinkerton, Wells Fargo)
- Law enforcement bodies

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