



DIRECT TRANSACTIONS OF U.S. REPORTER WITH FOREIGN AFFILIATE MANDATORY – CONFIDENTIAL QUARTERLY REPORT

1. If revised filing – Mark (X) here Affiliate ID

2. **Quarter ending date** – For this report, choose the date range within which the foreign affiliate’s quarter ends. For example, for the quarter ending 12/31, choose the range 11/16–2/15. Mark (X) one item below and enter the year.

| | | | |
|-----------|-----------|------------|------------|
| 2/16–5/15 | 5/16–8/15 | 8/16–11/15 | 11/16–2/15 |
|-----------|-----------|------------|------------|

| | | | |
|------|---|--|--|
| 2 | 0 | | |
| Year | | | |

MAIL REPORTS TO: U.S. Department of Commerce
Bureau of Economic Analysis
BE-69(Q)
Washington, DC 20230

OR

DELIVER REPORTS TO: U.S. Department of Commerce
Bureau of Economic Analysis
BE-69(Q)
Shipping and Receiving Section
M-100
1441 L Street, NW
Washington, DC 20005

OR

FAX REPORTS TO: 202– 606–5305

3. Name of U.S. Reporter

4. U.S. Reporter mailing address. Generally, each U.S. Reporter has a single address for all its BE-577 reports.

Public burden for this collection of information is estimated to average 1 hour per response (form). This burden includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate to Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230; and to the Office of Management and Budget, Paperwork Reduction Project (0608-0004), Washington, DC 20503.

5. Name of foreign affiliate

6. Country of location (country where affiliate’s physical assets are located or primary activity is carried out) – may differ from country of incorporation

7. Foreign affiliate is incorporated in country of location Yes No

CONTACT US FOR HELP:

Email: be577@bea.gov

Telephone: 202–606–5557 between 8:00 a.m. and 4:30 p.m. eastern time

Copies of form and instructions:
<http://www.bea.gov/bea/surveys/diasurv.htm>

8. If affiliate’s industry classification has changed, or if this is an initial filing, enter correct ISI code. (See BE-577 Instructions, pages 4–5.)

ISI code

9. If affiliate’s reporting status changed during period – Mark (X) one

| | |
|--|--|
| <input type="checkbox"/> Initial report – Affiliate was not previously reported – Complete Parts III and V. <input type="checkbox"/> Resumed report – Affiliate was previously reported but became temporarily exempt | Final report – Affiliate was: <input type="checkbox"/> Merged or reorganized – Attach explanation. <input type="checkbox"/> Sold or seized <input type="checkbox"/> Liquidated <input type="checkbox"/> Exempt – Affiliate fell below exemption level – Complete Certification of Exemption (on reverse side). <input type="checkbox"/> Inactivated For final report – Specify date of status change → |
|--|--|

Also complete Part III.

| | | |
|-------|-----|------|
| Month | Day | Year |
| | | |

IMPORTANT – This report covers items received from, paid to, or entered into intercompany accounts with the foreign affiliate. Please read the BE-577 Instructions before completing this form. **DATA SHOULD BE BASED ON THE BOOKS OF THE U.S. REPORTER EXCEPT FOR ITEMS 11–14, AND 30–38, WHICH SHOULD BE BASED ON THE BOOKS OF THE FOREIGN AFFILIATE.**

10. If the U.S. Reporter does not hold a direct equity interest in the foreign affiliate named in item 5, give the ID number of the foreign affiliate in this affiliate’s ownership chain that is directly owned by the U.S. Reporter. **Complete only Part II quarterly.**

Affiliate ID number

Part I U.S. REPORTER’S DIRECT EQUITY IN FOREIGN AFFILIATE’S Report amounts in thousands of U.S. dollars

| | | |
|------------------|---|-----|
| Quarterly | 11. Quarterly net income (loss) after provision for foreign income taxes | 101 |
| | • For items 12 and 13: Certain realized and unrealized gains (losses) (excluding translation adjustments) after provision for foreign income taxes | 111 |
| | 12. Included in item 11 – See Additional Instructions. | |
| | 13. Not included in item 11, but taken to other comprehensive income, excluding translation adjustments for an incorporated affiliate, or to owners’ equity for an unincorporated affiliate – See Additional Instructions. | 121 |
| | 14. Change in balance sheet translation adjustment account during quarter | 131 |
| | 15. Dividends on common and preferred stock (exclude stock and liquidating dividends) of incorporated foreign affiliate or distributed earnings of unincorporated foreign affiliate – Gross amount | 141 |
| | 16. Tax withheld on dividends or distributed earnings reported in item 15 | 151 |
| | 17. Dividends on common and preferred stock of incorporated foreign affiliate or distributed earnings of unincorporated foreign affiliate – Net amount (item 15 less item 16) | 161 |

Part II INTEREST RECEIPTS AND PAYMENTS (GROSS OF WITHHOLDING TAXES) BETWEEN U.S. REPORTER AND FOREIGN AFFILIATE Report amounts in thousands of U.S. dollars

| | | | | |
|------------------|---|---|--|---|
| Quarterly | For affiliates that are foreign banking affiliates of U.S. parents that are also banks, report only interest received on permanent invested debt capital. | U.S. Receipts – credited to U.S. Reporter or charged to foreign affiliate (1) | | U.S. Payments – credited to foreign affiliate or charged to U.S. Reporter (2) |
| | 18. Interest on amounts reported in items 19 and 20, including interest on capital leases | 171 | | 172 |

DEBT AND OTHER INTERCOMPANY BALANCES BETWEEN U.S. REPORTER AND FOREIGN AFFILIATE Report amounts in thousands of U.S. dollars

| | | |
|--|-----------------------------|-----------------------|
| | Beginning of quarter (1) | End of quarter (2) |
| 19. Owed to U.S. Reporter by affiliate (U.S. Receivables) | 221 | 222 |
| 20. Owed to affiliate by U.S. Reporter (U.S. Payables) | 231 | 232 |

| | | | | |
|---------------------|-----|-----|-----|-----|
| | 462 | 463 | 464 | 465 |
| BEA USE ONLY | 466 | 467 | 468 | 469 |

| Part III | | CHANGE IN U.S. REPORTER'S EQUITY IN CAPITAL STOCK AND/OR ADDITIONAL PAID-IN CAPITAL OF INCORPORATED FOREIGN AFFILIATE OR EQUITY INVESTMENT IN UNINCORPORATED FOREIGN AFFILIATE | |
|---|--|---|--------------------------------|
| Quarterly | | | |
| <p>Report transactions during the quarter that changed the U.S. Reporter's equity holdings in the foreign affiliate. Include equity changes due to treasury stock transactions with the U.S. Reporter and liquidating dividends. Exclude equity changes due to net income or (loss), stock and cash dividends, earnings distributions, balance sheet translation adjustments, treasury stock transactions with persons other than the U.S. Reporter and reorganizations in capital structure that do not affect total equity. Report all amounts at transaction value, i.e., the value of the consideration given (received) by the U.S. Reporter.</p> | | Amount paid or received Report amounts in thousands of U.S. dollars (1) | |
| <ul style="list-style-type: none"> • Increase in U.S. Reporter's equity interest in this affiliate | | | |
| 21. Establishment of affiliate or acquisition (partial or total) of equity interest in this affiliate by U.S. Reporter either from this affiliate or from other foreign persons | | 242 | |
| 22. Capital contributions and other transactions of the U.S. Reporter with foreign persons that increase equity in this affiliate – <i>Specify</i> | | 252 | |
| 23. Acquisition (partial or total) of equity interest in this affiliate by U.S. Reporter from other U.S. persons – Give name and address of seller → | | 262 | |
| <ul style="list-style-type: none"> • Decrease in U.S. Reporter's equity interest in this affiliate | | | |
| 24. Liquidation of affiliate or sale (partial or total) of equity interest in this affiliate by the U.S. Reporter either to the affiliate or to other foreign persons | | 272 | |
| 25. Return of capital contributions and other transactions of the U.S. Reporter with foreign persons that decrease equity in this affiliate – <i>Specify</i> | | 282 | |
| 26. Sale (partial or total) of equity interest in this affiliate by U.S. Reporter to other U.S. persons – Give name and address of new owner → | | 292 | |
| <ul style="list-style-type: none"> • For items 21 through 26 – Enter the amounts by which the transaction value — | | For acquisition (1) | For liquidation or sale (2) |
| 27. Exceeds the value carried on the books of the affiliate | | 301 | 302 |
| 28. Is less than the value carried on the books of the affiliate | | 311 | 312 |
| Part IV | | PROVISION FOR LOAN LOSS OF FOREIGN BANK AFFILIATES – TO BE COMPLETED FOR AFFILIATES IN BANKING ONLY | |
| Quarterly | | | |
| <p>Report amounts based on the U.S. Reporter's percentage of direct equity interest in the foreign affiliate.</p> | | Report amounts in thousands of U.S. dollars | |
| 29. Quarterly provision for loan loss – Amount charged to the loan loss reserve account. | | 313 | |

| Part V | | SELECTED ANNUAL DATA – TO BE COMPLETED ONCE EACH YEAR | | |
|---|--|---|-----|------|
| Annual | | Month | Day | Year |
| <p>Complete items below once a year, no later than the second report following the close of the fiscal year. If an initial report, complete items 30–38 as of the ending date of the quarter for the initial report.</p> | | 322 | | |
| 30. Foreign affiliate's fiscal year (or, if an initial report, for quarter) ending date. | | | | |
| 31. U.S. Reporter's percent of direct ownership based on equity interest if an incorporated affiliate, or an equivalent interest in an unincorporated affiliate. <i>Round to the nearest percent.</i> | | 332 | | % |
| Section A | | U.S. REPORTER'S EQUITY IN FOREIGN AFFILIATE'S ANNUAL NET INCOME | | |
| Annual | | Report amounts in thousands of U.S. dollars | | |
| 32. U.S. Reporter's direct equity in affiliate's annual net income (loss) after provision for foreign income taxes | | 342 | | |
| Section B | | U.S. REPORTER'S SHARE OF TOTAL OWNERS' EQUITY IN FOREIGN AFFILIATE AT YEAR END (OR QUARTER END IF AN INITIAL REPORT) | | |
| Annual | | Report amounts in thousands of U.S. dollars | | |
| <p>U.S. Reporter's direct equity in foreign affiliate as of the date given in item 30</p> <ul style="list-style-type: none"> • Incorporated foreign affiliate – | | | | |
| 33. Total equity – <i>Equals the sum of items 34 through 37.</i> | | 352 | | |
| 34. Capital stock and additional paid-in capital | | 362 | | |
| 35. Retained earnings (deficit) | | 372 | | |
| 36. Accumulated other comprehensive income (loss): Translation adjustment component | | 382 | | |
| 37. All other components including other comprehensive income | | 392 | | |
| 38. • Unincorporated foreign affiliate – U.S. Reporter's share of total owners' equity. (In calculating owner's equity, assets, or liabilities of the affiliate carried on any owners', as well as those carried on the affiliate's, books should be included. Owner's equity should include cumulative earnings not distributed.) | | 402 | | |

| CERTIFICATION OF EXEMPTION | | Amount – Report amounts in thousands of U.S. dollars | | Amounts in 39, 40, and 41 are for affiliate's fiscal year ending (2) | | |
|--|--|--|-------|---|------|--|
| | | (1) | Month | Day | Year | |
| <p>A. The affiliate is exempt because none of the three items listed below was greater than \$40 million (positive or negative) at any time during the affiliate's fiscal year.</p> | | 481 | 502 | | | |
| 39. Total assets, | | | | | | |
| 40. Annual sales or gross operating revenues, excluding sales taxes, and | | 491 | | | | |
| 41. Annual net income after provision for foreign income taxes. | | 501 | | | | |
| <p>B. <input type="checkbox"/> The affiliate is exempt because it is indirectly owned and neither of the following items exceeds \$1 million.</p> <ul style="list-style-type: none"> • Item 19, column (2) (foreign affiliate's end-of-quarter payables to the U.S. Reporter) • Item 20, column (2) (foreign affiliate's end-of-quarter receivables from the U.S. Reporter) | | | | | | |