COLLECTIONS MANAGER SURVEY

A. BACKGROUND INFORMATION

In this	section, we ask that you p	rovide identifying and ba	ackground ir	nformation	about your reporting	unit.	
A-1. N		Address: State, Zip:					
Na	me, title, and address of t	he individual completing	g this survey	<i>"</i> :			
	ntact information for the in	. •	•	Email: Telephoi n's governa			
	Private for-pro	fit organization		4. Stat	e agency		
	2. Private non-pro	ofit organization		5. Loc	al (county or municipa	al) agency	
	3. 4-year college	or university		6. Oth	er Please specify:		
A-3. W	hich of the following most	closely describes your	organizatior	n's primary	function or service? (Mark all that apply.)	
1.	Archaeological reposito	ry	7.	Living co		uarium, botanical gardei	n)
2.	Biological repository		8.	Regulat	ory agency		
3.	Environmental repositor	ту			c research organization	on	
4.	Medical research cente	r	9.		c research organization	OH	
5.	Public museum or herba	arium). Other	Please specify:		
6.	University or college mu	useum or herbarium					

B. REPORTING UNIT COLLECTIONS

United States

	Yes	T						(Ched	
	We have a	Proportion	of Collecti	ons in Each	Category H	laving Feder		that a	apply)
	collection(s) in this category:	None	1 - 10%	11 - 20%	21 - 50%	51 -75%	More than 75%	Currently?	Pas 5 yr:
1. Anthropology									
2. Archaeology									
3. Botany									
4. Entomology									
5. Ethnography									
6. Geology and Mineralogy									
7. Herpetology									
8. Ichthyology									
9. Invertebrate Paleontology								_	
10. Invertebrate Zoology (Apart from Entomology)								_	
11. Living Cell/Organismal Lines								_	
12. Mammology								_	
13. Microbiology								_	
14. Ornithology								_	
15. Paleobotany								_	
16. Physical Anthropology								_	
17. Vertebrate Paleontology								_	
18. Other, please specify									
B-2. What is the geographic scope of the majority	of obje <u>cts i</u> n the co	ollections t	hat you m	anage? (M	lark all tha	t apply.)			
1. Worldwide									
			5. Reg	jional withi	n the US				
2. Regional (continental or oceanic)									
			6. Loca	al within th	e US				
3. National level in some non-US regions			7 Oth	or places	anaoifu:		_	\neg	
			7. Uin	er. <i>please</i>	SDECITY:		1	I	

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B-3. What percentage of your unit's collections represent "types"				
not replicated in other repositories? (Mark just one)	Do any of these collections predate 1900?	Yes		
1. None		No		
2. 1 – 25%				
3. 26 – 50%	Do any of these collections document taxonomic	diversity?	Yes	
4. 51 – 75%	·	-	No	
5. 76 – 99%				
6. 100%	Do any of these collections document unique ge	ography?	Yes	
7. Do not know	, ,	· ,	No	

B-4. Please estimate the size of the collections residing in your unit, using the categories provided below using the appropriate unit of measurement:

	material (Record in cubic feet)	car (R
1. Anthropology		
2. Archaeology		
3. Botany		
4. Entomology		
5. Ethnography		
6. Geology and Mineralogy		
7. Herpetology		
8. Ichthyology		
9. Invertebrate Paleontology		
10. Invertebrate Zoology (Apart from Entomology)		
11. Living Cell/Organismal Lines		

12. Mammology 13. Microbiology 14. Ornithology 15. Paleobotany

16. Physical Anthropology 17. Vertebrate Paleontology 18. Other, please specify _

Living specimens Bulk material	Living specimens Individually catalogued (Record in	Living specimens Ancillary collections	Living specimens Ancillary collections (Record in	Living specimens Ancillary collections
(Record in cubic feet)	items)	(Record in items)	cubic feet)	(Record in linear feet)
cubic feet)	Ttellis)	Tiens)	cubic feet)	inicai icet)

NOTE: Column headings will appear as a drop-down menu to provide respondents with the opportunity to select the appropriate measurement unit.

	or have experienced significant growth since 2000. (Mark			ave been introduced into your unit's collections	
	DNA total extracts				
	2. DNA libraries				
	Frozen/dried lyophilized specimens				
	4. Other, please specify				
B-6.	Since 2000, has the size of the unit's collections changed	through a	cquisitioning and/or d	eaccessioning specimens? (Mark only one.)	
	No change		[Skip to B-8]		
1.	Rapid expansion – more than 5% per year of net growth				
2.	Moderate expansion – from 1-5% per year of net growth				
3.	Steady-state				
4.	Moderate reduction – from 1-5% per year of net shrinkag	je			
5.	Rapid reduction – more than 5% per year of net shrinkag	je			
B-7.	Which of the following processes were responsible for the	e recent ch	anges in your reporting	ng unit's collections?(Mark all that apply.)	
1.	Collections made by research staff and students		6.	Changes in the structure of the institution	
2.	Collections made by research staff at other organizations		7.	Changes in the leadership of the institution	
3.	Changes in funding level		8.	Changes in the mission of the institution	
4.	Changes in staffing level		9.	Changes in organizational policies, regulations or laws	
5.	Changes in space allocation		10.	Donations, including orphaned collections	

B-8.	What are the p	rojections f	or future changes in size of the unit's collections? (Mark only one.)		
		1.	The present rate of increase (or decrease) will speed up over the next 5 years.		
		2.	The present rate of increase (or decrease) will continue.		
		3.	The present rate of increase (or decrease) will slow down over the next 5 years.		
B-9.	Orphaned of another inst	collections a tituiton.	given away any "orphaned" collections, that is, no longer wanted by another organization since are those of significant size or scope that have been deaccessioned by your institution or displayed please indicate whether any were Federally owned.)	€ 2000?	Check her if any were Federally
	1.	We have	received an orphaned collection which is now incorporated as part of our other collections.		owned:
	2.		received an orphaned collection but it remains in our backlog and/or remains in corporated into our other collections		
	3.	No, we h	ave not received any orphaned collections since 2000.		
	4.	We have	given away an orphaned collection since 2000.		
B-10	•		or made any long-term loans of significant size or scope since 2000? d please indicate whether any were Federally owned.)		Check her if any were Federally
	1.	We have	received a long-term loan that has been incorporated into our collection.		owned:
	2.	We have	received a long-term loan since 2000 that is part of our backlog.		
	3.	No, we h	ave not received any long-term loans since 2000.		
	4.	We have	made a long-term loan since 2000.		

C. STAFFING

In this section, we ask that you provide information regarding the staff responsible for collections within your reporting unit. This would include curators, collections managers, technicians, and other support staff with responsibilities for collections.

This would in	clud	e curators, collections managers, technic	cians, and o	ther suppo	ort staff wi	th respons	sibilities for	r collectior	ns.	
C 1 What is	tha a	ourrent staffing appointed with collection	o in vour un	ita /Mark	all that an	oly)				Please estimate
C-1. Wilat is	uie c	current staffing associated with collection		r of Staff (oly.)				the total number of students in
			Less	,					More than	each category
			than 1	1 to 2	3 to 5	6 to 10	11 to 20	21 to 30	30	in 2006.
1.Numbe	er of	permanent full-time paid staff								
2.Numbe	er of	permanent part-time paid staff								
		temporary full-time paid staff d on grants or hired for fixed terms)								
4. Numb	er of	temporary part-time paid staff								
5. Numb	er of	full-time volunteers								
5. Number of full-time volunteers6. Number of part-time volunteers.										
C-2. In gene	ral, i	s the current collections staff able to med	et the opera	tional nee	ds of the υ	ınit? (Mark	(just one.))		
	1.	Yes, the current staff can handle routing	e activities a	and mainte	enance of	the collect	ions			
	2.	No, the current staff cannot handle rout	ine activities	s and mair	ntenance o	of the colle	ections			
	3.	Do not know								
C-3. How wo	uld y	ou characterize the size of your unit's co	ollections sta	aff over the	e past five	years? (M	lark just or	ne.)		
	1.	Staff is increasing and new hires have b	been added]		
	2.	Staffing is stable and vacancies are fille	ed routinely]		
	3.	Staffing is declining due to attrition and	elimination	of positior	ıs]		
	4.	Staffing has been reduced through layo	offs and elim	ination of	positions]		
	5.	Don't know]		

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C-4. How do you view the outlook for collection staffin	ng levels over the next 5 years	? (Mark just one.)	
1. We expect to add new staff positions.			
2. We expect to be able to fill vacancies	as they arise.		
3. We expect to lose positions as people	e move or retire.		
4. We expect to lay off staff and eliminat	te positions.		
C-5. Which of the following are the most important ch	allenges related to current and	d future staffing: (Mark all that apply.)	
1. Finding qualified applicants for staff positions			
2. Retaining qualified staff members			
3. Providing training for basic collection activities			
4. Attracting staff with expertise in collection inform	matics		
D. COLLECTIONS FUNDING			
In this section, we ask that you provide information rec	garding your collections fundin	g.	
D-1. If you received Federal support for collection ope		mprovement, please indicate which of the following	
Federal agencies provided such support since 20	JUU: (Cneck all that apply):	9. National Institute of Standards and Technology	
National Science Foundation		10. Other agencies of the Department of Commerce	
2. National Institutes of Health		11. National Aeronautics and Space Administration	
3. Other agencies in the Department			
of Health and Human Services 4. US Department of Agriculture		12. Department of Energy	
5. US Geological Survey		13. Department of Defense	
6. National Park Service		14. Department of Homeland Security	
		15. Institute of Museum and Library Services	
7. Other agencies of the Department of the Interior	<u> </u>	16. National Endowment for the Arts	
8. National Oceanic and Atmospheric Administration		17. National Endowment for the Humanities	

Individual donors

Please specify:

Other sources

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D-2. Consider	ing all	sources of funding, how do you view the outlo	ook for support of yo	our collections in the next 5 years? (Mark	ijust one.):				
		1.We expect significant increases in fun	ding.						
	2. We expect steady growth in funding, above inflationary increases.								
	eases.								
		4.We expect our funding to erode from i	nflation or decrease	e slightly in real terms.					
		5. We expect significant funding cuts.							
		6. Don't know.							
D-3. Are you p	lannin	g any significant increases or decreases in fur	nding from any of the	e following sources? (Mark all that apply)				
			Expect Increase	Expect Decrease					
	1.	Endowment							
	1.	Lindownient							
	2.	Federal grants and contracts							
	3.	State government grants and contracts							
	4.	County or municipal grants and contracts							
	5.	Industrial grants and contracts							
	6.	Private gifts and grants							

E. FACILITIES

In this section, we ask that you provide information on the building(s) in which your collections are stored and the associated build	ding systems.
E-1. Please select the most appropriate description of the amount of space allocated to your collections from the list below. (Mark	just one.)
1. Our current space allocation provides for at least 5 years of projected growth.	
2. Our current space allocation will be adequate for the next few years.	
 Our current space allocation is barely adequate. We will need additional space and/or renovations to increase capacity as soon as possible. 	
4. Our current space allocation is inadequate. We have needed additional capacity for years.	
E-2. For those collections with <i>inadequate space allocations</i> , indicate areas of MAJOR needs: (Mark all that apply.)	
1. Renovation of current on-site storage facilities to increase capacity	
2. Installation of higher-density on-site storage systems	
3. Construction of additional on-site storage facilities	
4. Renovation of current off-site storage facilities to increase capacity	
5. Installation of higher-density off-site storage systems	
6. Construction of additional off-site storage facilities	

E-3. Please indicate the adequacy of your collections storage facilities using the table below: (Mark all that apply.)

	Proportion	n of Collec	ctions		
					More
	Less than	5 to	11 to	26 to	than
	5%	10%	25 %	50 %	Half
These collection areas and/or building systems were constructed or renovated within the past 5 years.					
These collection areas and/or building systems are satisfactory and the objects housed therein are being preserved according to community standards.	 				
The objects in these collection areas are accessible but they are deteriorating due to inadequate facilities and/or building systems (HVAC, plumbing, etc.)	 				
The objects in these collection areas are inaccessible and are deteriorating due to unacceptable facilities and/or building systems.					

E-4. For those collections housed in areas with <i>inadequate or</i>	r unacceptable conditions, indicate areas of MAJOR needs (Mark all that apply):
Renovation or replacement of buildings	
Replacement or upgrading of heating and ventilation systems	
3. Installation, replacement or upgrading of air conditioning	
4. Installation, replacement or upgrading of humidity controls	
5. Correction of lighting problems	
6. Fire safety	

E-5. Please indicate the quality of your collections storage systems using the table below. (Mark all that apply.)

	Proportion	n of Collec	ctions		
					More
	Less than	5 to	11 to	26 to	than
	5%	10%	25 %	50 %	Half
These collections are housed in storage systems that were installed or upgraded within the past 5 years. They represent best practices.					
These collection areas are housed in satisfactory storage systems. The objects in these collections are fully accessible and are being preserved according to community standards.					
The objects in these collection areas are accessible but they are deteriorating due to outdated and/or poorly maintained storage systems.					
The objects in these collection areas are inaccessible and are deteriorating due to unacceptable storage systems.					

E-6. Please describe the curation and preservation practices associated with your collections using the table below. (Mark all that apply.)

	Proportion	ss than 5 to 11 to 26 to the			
	Loop than	E to	11 to	26 to	More
	5%				than Half
These collections are properly labeled, documented and cataloged, and they are preserved with appropriate materials.					
These collection areas are cataloged but their labeling and preservation materials are not optimal. They are accessible but their scientific value is diminished by their level of curation/preservation.					
These collections have been cataloged but their labeling and preservation materials are unacceptable. They lack important specimen data (locality, taxonomic name) and they are preserved with substandard materials that are causing damage to them.					
These collections have not been unpacked and/or cataloged, and they are therefore inaccessible due to lack of curation.					

F. Ancillary Material

In this section, we ask you to provide information on the ancillary materials associated with your main collection.

- F-1. Please estimate the size of your ancillary materials collections using one or more of the following measures: (e.g., catalogs, archival information, images, maps, recordings)
 - 1. Individually cataloged items (e.g., recordings)
 - 2. Linear feet of shelf space
 - 3. Cubic feet of storage areas

_			
_			

F-2. Please indicate the quality of the storage systems for ancillary materials using the table below. (Mark all that apply.)

	Proportion	n of Ancilla	ary Mate	rials	
					More
	Less than	5 to	11 to	26 to	than
	5%	10%	25 %	50 %	Half
These ancillary materials are housed in storage systems that were installed or upgraded within the past 5 years.					
These ancillary materials are housed in satisfactory storage systems. The objects in these collections are fully accessible and are being preserved according to community standards.					
These ancillary materials are accessible but they are deteriorating due to outdated and/or poorly maintained storage systems.					
The ancillary materials areas are inaccessible and are deteriorating due to unacceptable storage systems.					

F-3. Please describe the curation and preservation practices associated with your ancillary materials using the table below. (Mark all that apply.)

	Proportion	n of Ancilla	ary Mate	rials	
					More
	Less than	5 to	11 to	26 to	thar
	5%	10%	25 %	50 %	Half
Ancillary materials are properly labeled, documented and cataloged, and they are preserved with appropriate materials.					
Ancillary materials areas are cataloged but their labeling and preservation materials are not optimal. They are accessible but their scientific value is diminished by their level of curation/preservation.					
Ancillary materials have been cataloged but their labeling and preservation materials are unacceptable. They lack important data (provenance, dates) and they are preserved with substandard materials that are causing damage to them.					
Ancillary materials have not been unpacked and/or cataloged, and they are therefore inaccessible due to lack of curation. Their current state of preservation could be causing them damage.					

G. USES AND USERS

In this section, we ask that you provide information on professionals who make visits to your institution and borrow materials for various purposes. In your responses, do not include visitors to exhibit areas or educational departments.

G-1	. What is the primary purpose of the collection? (Mai	rk all tha	t apply.)			
1.	Basic research			7.	Conservation	
2.	Biomedical research			8.	Trade and/or economic development	
3.	Agriculture and food safety			9.	Homeland security	
4.	Public health			10.	Environmental monitoring	
5.	Public safety			11.	Applied research in other disciplines	
6.	Education			12.	Other, please specify:	
G-2	. Who are the primary users of the collection? (Mark	all that a	apply.)			
1.	Intramural research staff			7.	Researchers from State/local agencies	
2.	Intramural students			8.	Researchers from non-profit organizations	
3.	Research staff from other US organizations			9.	Regulatory agencies	
4.	Students from other US organizations			10.	Government / policy administrators	
5.	Researchers and/or students from non-US organize	ations		11.	Commercial entities	
6.	Researchers from Federal agencies			12.	General public	
				13.	Other, please specify	

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G-3	. Since 2000, has the collection attracted any new	categories of	users? (Mark j	ust one.)	
	No				
	Yes		Please explai	n:	
Н.	ACCESSIBILITY OF THE COLLECTION	١			
In th	nis section we ask that you provide information on the	ne availability	of your collection	ons, their related data, and their ancillary collections	
	 Please estimate the proportion of your collections as opposed to collections that are in unprocess None of our collections are physically accessible Less than 5% of our collections to 25% of our collections 		•	•	
H-2	. For those collections that are physically inaccessi	ble, indicate t	the areas of MA	JOR need. (Mark all that apply)	
1.	Additional on-site storage area		5.	Renovation of off-site storage systems	
2.	Renovation of on-site storage systems		6.	Additional off-site storage systems	
3.	Additional on-site storage systems		7.	Improvement of environmental controls for temperature, humidity, light	
4.	Additional off-site storage area			. , ,, ,,	

8. Additional staff to process backlog

H-3. Please estimate the proportion of your ancillary materials collections that are physically accessible to professional visitors, as opposed to collections that are in unprocessed backlogs or are in inaccessible storage areas:					
None of our ancillary materials are physically accessible		26 to 50% of our ancillary materials			
Less than 5% of our ancillary materials		51 to 75% of our ancillary materials			
6 to 25% of our ancillary materials		76 to 99% of our ancillary materials			
		100% of our ancillary materials			

H-4. Using the table that follows, please estimate the proportion of your collections that are cataloged and/or available online: (Mark all that apply.)

	Proportion of Collections							
	Nissa	1 to	6 to	11 to	26 to	51 to	76 to	0/
Cataloged.	None	5%	10%	25%	50%	75%	99 %	100 %
Computerized database, not on the Web.								
Computerized database is accessible on the Web								
Represented by digital images on the Web								
Represented by scientific data in addition to catalog data on the Web								
(e.g., measurements, chemical composition, other properties)	I							

H-5. Using the table below, please estimate the proportion of your collections that will be cataloged and/or made available online in the next 5 years: (Mark all that apply.)

	Proportion of Collections							
		1 to	6 to	11 to	26 to	51 to	76 to	
	None	5%	10%	25%	50%	75%	99 %	100 %
Cataloged.								
Computerized database, not on the Web.								
Computerized database is accessible on the Web								
Represented by digital images on the Web								
Represented by scientific data in addition to catalog data on the Web (e.g., measurements, chemical composition, other properties)								

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	$\vdash C \cap A \cap A$	PROPERTY	RICHIS
	1 () ()	1 1 1 1 1 1 1 1 1 1	1 / 1 / 11 1 / 1

In this section, we ask that you provide information about your unit's policies and practices relative to intellectual property rights.

I-1. To what degree does your unit assert its ownership of intellectual property rights associated with objects in the collection? (Mark all that apply.)

 All qualified visiting researchers, students, and borrowers of material are free to publish their observations, analyses, images, and to use other derived benefits of the collection without restriction or repayment. 	
2. Users/borrowers of specimens must provide copies of all published material related to the collection.	
3. All uses of the collection must be acknowledged in publication.	
4. Material derived from borrowed specimens (e.g., DNA extracts) may not be lent to a third party.	
5. Other restrictions apply to the use of intellectual property associated with the collection's specimens	
6. Other, please specify:	

NSF Scientific Collections Survey March 2007 I-2. Does your unit have a written policy regarding intellectual property rights for non commercial uses? (Mark just one) If yes, when was it updated? No Yes J. ANALYTIC INSTRUMENTATION In this section, we ask that you provide information about analytical instruments and capabilities directly associated with your unit's collections. Your responses to the following questions should reflect only those instrument capabilities that are co-located with the collections, belong to the organization, and are available to professional visitors and students to the collection. J-1. Please identify any specialized analytical instruments associated with your institution's collections that you have or plan on acquiring in the next 5 years: (Mark all that apply.) None (Thank you. This concludes the survey) Dedicated Part of to Currently Plan on Collections a Multi-User Have or Acquiring Activities Facilty or Digital imaging equipment Specialized microscopes (SEM, TEM, optical) DNA sequencers X-ray machines Other, please specify _____ J-2. Please indicate who may use your institution's specialized analytical instruments: (Mark all that apply.). The instruments are used only by the research staff of the reporting unit 1. The instrument(s) are available to qualified visitors, including students, without charge The instrument(s) are available to qualified visitors, including students, 3. and we charge only for consumable supplies that they use. The instrument(s) are available to qualified visitors, including students.

and we charge them a user's fee (e.g. hourly,daily) and for consumable supplies that they use

This completes the survey. Thank you for participating!