



Department of the Interior  
U.S. Fish and Wildlife Service  
**CITES EXPORT PROGRAMS**

Expires XX/XX/XXXX  
OMB No. 1018-XXXX

**Return to:** Division of Management Authority (DMA)  
U.S. Fish and Wildlife Service  
4401 N. Fairfax Drive, Room 700  
Arlington, VA 22203  
1-800-358-2104 or 703-358-2104

**Type of Activity:** American Ginseng Export Program  
**STATE OR TRIBE:** \_\_\_\_\_  
**HARVEST YEAR:** \_\_\_\_\_  
**PREPARED BY:** \_\_\_\_\_  
*(Convention on International Trade in Endangered Species of Wild Fauna and Flora)*

| <b>If the information requested has not changed from last year, please indicate "No Change."</b>  |                  |                  |                                     |
|---|------------------|------------------|-------------------------------------|
| Below, please provide the amount of ginseng harvested.  |                  |                  |                                     |
| SOURCE OF GINSENG   | HARVESTED (lbs.) | CERTIFIED (lbs.) | ESTIMATED NUMBER OF ROOTS PER POUND |
| WILD*   |                  |                  |                                     |
| ARTIFICIALLY PROPAGATED*  |                  |                  |                                     |
| Program administered by (Department or Agency name):  |                  |                  |                                     |
| Ginseng certification by (Department or Agency name):   |                  |                  |                                     |
| Has your State or Tribe's enabling legislation, ginseng regulations or ginseng certification form been modified in the last year? If yes, please provide a copy.  |                  |                  |                                     |
| Does your agency certify all wild ginseng harvested? If no, when would your agency not certify wild ginseng harvested in the State or on the tribal lands, and can uncertified ginseng be sold or transported outside the State or Tribe?                                       |                  |                  |                                     |
| Does your agency certify all artificially propagated ginseng harvested? If no, when would your agency not certify artificially propagated ginseng harvested in the State or on the tribal lands, and can uncertified ginseng be sold or transported outside the State or Tribe? |                  |                  |                                     |
| When does your agency certify wild/artificially propagated ginseng (e.g., at time of harvest, at time of sale)?   |                  |                  |                                     |
| How does your agency handle wild/artificially propagated ginseng entering the State or Tribe from another State or Tribe? Is this procedure the same for both certified (by another State or Tribe) and uncertified ginseng?  |                  |                  |                                     |

\*Artificially propagated plants are defined under 50 CFR 23.64.

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|---|
| <p>Do all resident and non-resident individuals and companies dealing in (i.e., buying or selling) ginseng in your State or Tribe have to be registered or licensed? If no, please explain.</p>   |
| <p>Can wild and/or artificially propagated ginseng be sold at any time during the year?</p>   |
| <p>How often are dealers required to report the sale, purchase or export of ginseng (e.g., monthly, quarterly)?</p>   |
| <p>Do you track unsold and/or un-exported ginseng from one season or one year to the next? Please explain.</p>  |
| <p>What is the wild ginseng harvest season in your State or Tribe?</p>  |
| <p>Does your State or Tribe have a harvest season for artificially propagated ginseng? If so, what is it?</p>   |
| <p>Within the wild and artificially propagated ginseng reported above, are there other sub-categories of ginseng production that you track in your State or Tribe? If so, please describe each sub-category (e.g., woods grown, wild simulated) and, if available, what quantities have been harvested and certified?</p> |
| <p>Is there a minimum allowable size/age for harvest of wild and/or artificially propagated ginseng in your State or Tribe?</p>   |
| <p>Is harvest of wild ginseng allowed on State or tribal lands?</p>   |
| <p>Does your State or Tribe require ginseng diggers to have written permission from private landowners before harvesting? Do dealers need to supply copies of these written permissions when having ginseng certified?</p>  |
| <p>Please provide the average age of wild-harvested ginseng from your State or tribal lands?</p>  |
| <p>Please provide an estimate of the average number of roots per pound (dry weight) of wild ginseng harvested in your State or on your tribal lands for the most current season, and describe the methodology you used to calculate the estimate.</p>   |
| <p>Does your State or Tribe require or encourage diggers to plant seeds from harvested wild ginseng plants at the site of harvest? If so, please describe any guidelines. Does your State or Tribe allow diggers to plant ginseng seeds from other sources (e.g., commercial sources)?</p>                                |

Based on your information, what is the effect of the current harvest level of American ginseng in your State or on your tribal lands on the wild population? (positive, negative, no impact)

Please provide your assessment of the status of ginseng in the State or on your tribal lands (i.e., stable, increasing, declining). If population levels are declining, please provide the State or Tribe's professional assessment of the reason for the decline and any steps being taken to address it.

On a separate sheet of paper, please provide a brief description of any research, including ginseng population monitoring, being conducted in your State or Tribe on American ginseng.

Please provide details of any ginseng-related violations found and/or prosecuted in your State or on your tribal lands during the last year.



**FEDERAL FISH AND WILDLIFE PERMIT**  
**Paperwork Reduction Act, Privacy Act, and Freedom of Information Act – Notices**

**In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, *et seq.*) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:**

1. The gathering of information on fish and wildlife is authorized by:  
(Authorizing statutes can be found at: <http://www.gpoaccess.gov/cfr/index.html> and <http://www.fws.gov/permits/ltr/ltr.shtml>.)
  - a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
  - b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50CFR 17;
  - c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
  - d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, *et. seq.*), 50 CFR 18;
  - e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
  - f. Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
  - g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), <http://www.cites.org/> , 50 CFR 23;
  - h. General Provisions, 50 CFR 10;
  - i. General Permit Procedures, 50 CFR 13; and
  - j. Wildlife Provisions (Import/export/transport), 50 CFR 14.
2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed on form.
3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
4. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
  - a. Routine disclosure to subject matter experts, and Federal, tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
  - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
  - c. Routine disclosure to Federal, tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
  - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
  - e. Routine disclosure to Federal, tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
  - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
  - g. Routine disclosure to the appropriate Federal, tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
  - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
  - i. Routine disclosure to the General Accounting Office or Congress when the information is required for the evaluation of the permit programs.
  - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for a/an American Ginseng Export Program application varies from 2 to 85 hours. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

**Freedom of Information Act – Notice**

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].