Form Approved OMB No. 3220-0002

Application For Determination Of Employee's Disability

	Officially	Filed		
Month	Day	Ye	ar	Office Number
Approved				
			Date	Coded
Applicati	on Number	Мо		Coded Year
Applicati	on Number	Mo		
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Section 1 General Instructions

Before you complete this application, be sure to read Part 1 of booklet RB-1d, Employee Disability Benefits, which explains information you will need to answer many of the questions in this application.

Please read "Important Notices" on page 12 of this application.

Type or print legibly in ink. If you need more space than is provided to answer a question, use Section 9 for this purpose. If you do not know the answer to a question, print "Unknown" in the the space provided for the answer.

When entering dates, always use numbers. Also, be sure there is one number in each box. For example, you would enter June 06, 2007, as:

Month	Day	Year
0 6	0 6	2 0 0 7

Some items in this application will not apply to you so you will not need to answer them. Based on your answer to a question, you may be told to skip to another item number or even another section. Follow the instructions that tell you to "Go to" another item. These are designed to save you time and help you move through the application form quickly, filling in only necessary information. If no "Go to" instructions are given, answer the next item in order. **Do NOT skip any items unless directed to do so.**

If you are completing this application on behalf of someone else, you must answer each question as it applies to the applicant.

Section 2 Identifying Information

Check the information entered by the Railroad Retirement Board (RRB) for Items 1 through 5 for accuracy.

- If the information is correct, go to Section 3.
- If the information is not correct, enter the correct information.
- ▶ If the information is missing, fill it in.

1 Employee's Name									
Employee's Railroad Retirement Claim Number	3 Employee's Social Security Number								
4a Employee's Street Address									
									
b City and State	c ZIP Code	d County							
b City and State Daytime Telephone Number	c ZIP Code	d County							

Sect	ion 3	Information About Your Medica	al Condition					•
Medical Condition		ibe the medical conditions causing you to f enter if no medical records are being forward				n and any s	secondary condition.	
When Condition Began		the date this condition began to affect ability to work.			Month	Day	Year	
How Condilion Affects Work		an "X" in the appropriate box: you worked since the date in Item 7?		>	Yes No		Item 9 Item 11	
	Has yo	an "X" in the appropriate box: our condition caused you to change any as (such as job duties, hours of work, attenda		•	Yes No		Item 10 Item 11	
		ain what the changes in your work circumst ges necessary.	tances were, the da	ates the	y occurred,	and why ye	our condition made th	nese
	CHA	NGES	DATES	co	ONDITION			
		•	 	 		. 		_
When Unable To Work		r the date you could no longer work use of your condition.		>	Month_	Day	Year	
	12 Desc	cribe how your condition prevents you from	n working.					
Current Work Status		r an "X" in the appropriate box: s your condition prevent you from working	now?	•	Yes No		Section 4 Item 14	
	14 Ente	r the date you again became able to work.	•	•	Month	Day	Year	
Sect	ion 4	Information About Your Medica	al Care					4
Medical Care or Examination	Hav	er an "X" in the appropriate box: we you received medical care or been exal ur condition since the date in Item 7?	mined for	>	Yes No	>		
	Are	ter an "X" in the appropriate box: e you scheduled for any additional medical ndition (i.e., surgeries, etc.) after you file th			Yes No	•	ain below o Item 16	
Treatment or Testing	Have at a	r an "X" in the appropriate box: e you been treated or tested (inpatient or o hospital, institution, or clinic, including a artment of Veterans Affairs or other govern	,	•	☐ Yes		o Item 17 o Item 18	

a Name of Facility	Address of Facility (Street Address, City, State, and ZIP
Attending Physician's Name	
·	
Enter an "X" in the appropriate	
Inpatient Outpatie	
Patient Number	Telephone Number (Include Area Code)
Dates Treated or Tested	escribe Type of Treatment or Testing
Dates Heated of Tested	escribe type of freatment of resulting
b Name of Facility	Address of Facility (Street Address, City, State, and ZIP
Attending Physician's Name	
	•
Enter an "X" in the appropriate	
Inpatient Outpatie	
Patient Number	Telephone Number (Include Area Code)
Dates Treated or Tested	Describe Type of Treatment or Testing
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c Name of Facility	Address of Facility (Street Address, City, State, and ZIF
Attending Physician's Name	
Enter an "X" in the appropriate	
Inpatient Outpatie	
Patient Number	Telephone Number (Include Area Code)
Dates Treated or Tested	Coording Type of Treatment on Tooting
Dates freated of fested	Describe Type of Treatment or Testing
1	

)	a Name of Physician		Address of Physician (Street Address, City, State, and ZIP Cod				
			•				
	Patient Number	<u> </u>	Telephone Number (Include Area Code)				
,			()				
	Dates Treated or Examined	Describe Type of Treat	ment or Examination				
	b Name of Physician	<u> </u>	Address of Physician (Street Address, City, State, and ZIP Co				
	<u>-</u> -						
	Patient Number		Telephone Number (Include Area Code)				
	Dates Treated or Examined	Describe Type of Treat	ment or Examination				
	Dates Heated of Examined	i	mont of Examination				
	20 Enter an "X" in the appropriate b	lov.					
r tion	Has your railroad employer refer for examination or treatment sin	rred you to a medical soul	Yes ► Go to Item 21 No ► Go to Item 22				
- 1	21 Enter information about this exa	-1					
		mination or treatment.					
	Name of Medical Source	mination or treatment.	Address of Source (Street Address, City, State, and ZIP Cod				
	Name of Medical Source Attending Physician's Name	mination or treatment.	Address of Source (Street Address, City, State, and ZIP Cod				
	Attending Physician's Name		Address of Source (Street Address, City, State, and ZIP Cod				
		DOX:	Address of Source (Street Address, City, State, and ZIP Cod				
	Attending Physician's Name Enter an "X" in the appropriate to	DOX:	Address of Source (Street Address, City, State, and ZIP Coo				
	Attending Physician's Name Enter an "X" in the appropriate to Inpatient Outpatien Patient Number	oox: t	Telephone Number (Include Area Code) ()				
	Attending Physician's Name Enter an "X" in the appropriate to Inpatient Outpatien Patient Number	DOX:	()				

Railroad Employer Examination (cont)	22	Enter an "X" in the appropriate box: Have you been medically disqualified for work by your employer?	•	Yes No	>	Go to Note	e then Item 23
(oonly		Note: If answered "Yes," you must submit a copy	of the D	Disqualificati	ion l	Votice.	
Activity Restriction	23	Enter an "X" in the appropriate box: Has a medical doctor restricted your daily activities since the date in Item 7?	•	Yes No	>	Go to Item	
	24	Enter the name of the medical doctor who imposed the restriction.	>				
	25	Enter the date the restriction began.	•	Month		Year	
	26	Describe the restriction.					
	27	Enter the address of the medical doctor in Item 24 if	Addre	ess (Street	Addr	ress. Citv. St	ate, and ZIP Code)
		it has not previously been entered in Items 17, 19, or 21.	,,,,,,,				,
Medication	28	Enter an "X" in the appropriate box: Has medication been prescribed for you?	•	Yes No	>	Go to Item	
	29	Enter from the prescription labels the following information for a Name or type of medication, dosage, and frequency. (For e	ill medica xample, l	ations preso Penicillin, 1	ribe .5 g	d for you: ram tablet, 3	3 times a day.)
-		Name/Type Dosage	(Grams,	Number of	Pilk	s, Etc.)	Frequency
Secti	on	5 Information About Your Education And Tra	ainina				
Schooling	_	Enter the highest grade of school you completed.	•				
	t	Enter the last year that you attended school.					
	31	Enter an "X" in the appropriate box: Have you attended technical school?	>	Yes	>	Go to Ite	
	32	Describe the type of technical school you attended.					
	33	Enter an "X" in the appropriate box: Have you received a certification or license from the technical school you attended?	•	Yes	s ▶	Go to Ite	
	34	Enter an "X" in the appropriate box: Is the certification or license you received currently valid?	>	Yes No	;		

Schooling (Cont)	35 Enter an "X" in the approp Did you receive specialize	oriate box: ed training?			o to Item 36 to Section 6							
	36 Enter the type of specializ	zed training you rec	eived and the per	od of time you received it.								
	Туре			Dates								
		· · · · · · · · · · · · · · · · · · ·		<u> </u>	·							
	37 Enter an "X" in the approp Have you used any of this		ork?	> =	o to Item 38 o to Section 6							
	38 Describe when and how you have used this training in your work.											
				·								
Sect	tion 6 Information A	bout Your Dail	y Activities									
ctivities	39 Check the one box after each activity listed below that best describes your ability to do that activity. • EASY – I can easily do the activity. • HARD – I can do the activity with difficulty or with help. • NOT AT ALL – I cannot do the activity even with help.											
	Activity	Easy Hard	Not At All	Explanation - Explain ea	ch "HARD" answer							
	Sitting		 									
	Standing											
	Walking											
	Eating											
	Bathing		▶									
	Dressing (Tying Shoes, Combing Hair, etc.)	1			<u>.</u>							
	Other Bodily Needs		□ ►									
	Indoor Chores (Meal Preparation, Laundry, Cleaning, etc.)		.									
	Outdoor Chores (Shopping, Yardwork, etc.)		I									
	Driving a Motor Vehicle		>									
	Using Public Transportation		•									
	Conducting Personal Busine: (Talking to and Dealing with Other People)	ss	.									
	Reading English (For examp newspapers and magazines)		•									
	Writing English (For examp notes and letters)	le,	-									

Activities (cont)	40 Enter any additional information that describes your daily activities during a normal day (i.e., a typical day fro you get up until you go to bed).									
					•					
										
Sect	ion	7 Informatio	n About Your W	ork And Earning	gs 					
Work for an Employer Last 12 Months	41	Yes ► Go to Item No ► Go to Item								
	42		before any deduction your expected gross							
		January	February	March	April	May	June			
	 									
		July	August	September	October	November	December			
	43	Enter your earnings	before any deduction	ns for each month <i>la</i>	st year.					
		January	February	March	April	May	June			
				···ar or i						
						<u></u>				
		July	August	September	October	November	December			
			·							
_										
Work Next 12	44	Enter an "X" in the a	ppropriate box:		· 🗖 Ye	s > Go to Item 4	F			
Months			ork during the next 12	2 months?		• • • • • • • • • • • • • • • • • • • •	_			
		(Include self-employ	ment, if any.)		No ▶ Go to Section 8					
	45	Enter the name and	address of the person	on or						
		company for whom		>	•					
		(if self-employed, er	nter "Self.")	•						
		<u> </u>								
		C-1 H d-1-(-)								
	46	Enter the date(s) yo (For example: "June	u expect to work. and .lulv"	_						
		Indefinitely starting								
	<u></u>									
	4/	(If you are self-emp	ount you expect to ea loved, enter the	ım.						
		net amount.)	,,							

Secti	ion	8 General Information					
Filing AA-1	48	Enter an "X" in the appropriate box: Are you filing Form AA-1 at this time?	•	Yes I			em 54 em 49
Self- Employment		Enter an "X" in the appropriate box: Have you been self-employed in the last 12 months?	•	Yes I	_		ote and Item 50 em 50
		NOTE: If answered "Yes," also complete and return to the RRB F	orm A	AA-4, Self Er	nploy	nent	Questionnaire.
Worker's Compensation		Enter an "X" in the appropriate box: Since the date in Item 7, have you received, or expect to receive, worker's compensation payments?	>	Yes I			ote and Item 51 em 51
	(NOTE: Proof of the amount(s) and effective date(s) of your worked	er's con	mpensation i	s requi	red.	
Public Disability Benefits		Enter an "X" in the appropriate box: Since the date in Item 7, have you received, or do you expect to receive, disability benefits under a Federal, state, or local government plan or law based on employment <i>not</i> covered under the Social Security Act? (Answer "No" if your benefits are railroad retirement, social security, Veterans Affairs or welfare benefits.)	•	Yes I			ote and Item 52 em 52
	(NOTE: Proof of the amount(s) and effective date(s) of your public	disab	oility is require	ed.		
Social Security Benefits		Enter an "X" in the appropriate box: Have you filed, or expect to file, for monthly social security disability benefits or SSI?	•	Yes No			em 53 em 54
	53	Enter the social security claim number under which you have filed or will file.	•			1_	
Criminal Offense		Enter an "X" in the appropriate box: Within the past 12 months, have you been imprisoned or given a sentence of confinement due to a conviction for a criminal offense?	.	Yes No			eem 55 Section 9
	55	Enter the date of the conviction.	•	Month	Da	у	Year
	56	Enter an "X" in the appropriate box: Is your disability related to the commission of the criminal offense?	•	Yes No	1	,	
	57	Enter the date of the sentence of confinement.	•	Month	Da	У	Year
	58	Enter the date that confinement began.	•	Month	Da	iy	Year
	59	Enter an "X" in the appropriate box: Is your disability related to your confinement?	•	Yes No	1		
	60	Enter an "X" in the appropriate box: Has the confinement ended?	•	Yes No			tem 61 Section 11

ction	9	Remarks
62	the that	s section is to be used for the continuation of answers to other items. Be sure to include the item number at beginning of the answer you wish to continue. You may also use this space to enter any additional information tyou feel may be important to include.
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Section 10 Relinquishment Of Rights By Disability Annuity Applicant Only

I authorize the RRB to relinquish any rights I may have to return to work for a railroad employer, which will affect the payment of my own or my spouse's annuity. Based on this authorization, my rights will be relinquished when I reach full retirement age (FRA) or at age 60-FRA if I become entitled to a supplemental annuity or if my spouse becomes entitled to a spouse's annuity. I understand this authorization remains in effect unless my disability annuity terminates before FRA or before a supplemental or spouse's annuity becomes payable. My rights will also be relinquished if I am eligible for a reduced age and service annuity and choose to receive this type of annuity if my disability is denied.

IIOII	11 Certification									
n 63	63 Enter an "X" in the appropriate box: Will you have a guardian or other representative sign this application on your behalf? Yes ► Go to Note and Item No ► Go to Item 64								64	
(NOTE: If answered "Yes," That person must also com									
64	I know that if I make a false or fraudulent statement in order to receive benefits from the RRB or if I fail to disclose earnings or report employment of any kind to the RRB, I am committing a crime which is punishable under Federal law. I have received booklets, <i>RB-1d</i> , <i>Employee Disability Benefits</i> , and <i>RB-9</i> , <i>Employee and Spouse Events That Must Be Reported</i> . I understand that I am responsible for reporting any events that would affect my annuity, as explained in these booklets.									
	I certify that the information !	gave to the	RRB on th	is application	on is true	to the be	st of my knov	wledge.		
	I agree to immediately notify the RRB: • If I work for any employer, railroad or nonrailroad, or perform any self-employment work; • If my condition improves; • If I am confined in a jail, prison, penal institution, or correctional facility due to a conviction for a criminal offense; • If I begin to receive worker's compensation payments (or any other public benefit based on disability), or if the amount of my payment changes; • If my address changes.									
	I know that if I am receiving a punishable by Federal law the	disability a								
	Signature (First Name, Middle Initial, Last Name)	•								
	Date	•	Month	Day	Y	'ear				
65	If this certification is signed b				sses who	know the	person sign	ning must sign be	elow,	
	a. Signature of Witness									
	Address (Number and Str	eet)								
	City, State, and ZIP Code									
	Daytime Telephone Numb	er (include	area code) 7 ()					
	b. Signature of Witness									
-	Address (Number and Str	eet)								
	City, State, and ZIP Code		`					·		
	Daytime Telephone Numl	er (include	area code	<u> </u>		•				
		,		•						

Before you return your application, check to make sure that:

- **Every** question that applies to you has been answered.
- ▶ You have entered "unknown" in any answer space for which you were unable to answer a question.
- ▶ You have signed and dated the application.
- You have included all the needed proofs listed in the letter you received with this application.

When you received your application, you should also have received a pre-addressed return envelope. If you do not have this envelope, you can use any envelope as long as it is addressed to the RRB office shown on page 13. No matter which envelope you use, you must put the correct postage on the envelope. Be careful to provide enough postage, because your application and the accompanying forms may weigh more than a standard letter. The U.S. Postal Service will not deliver your application unless it has the correct postage.

Make one final check before you seal the envelope to ensure that the following are enclosed:

- ► NEEDED PROOFS
- ▶ THE APPLICATION FORM ITSELF
- ▶ ADDITIONAL FORMS YOU WERE ASKED TO COMPLETE

Note: Make no entries on page 13, which is the receipt for your claim. After the RRB receives your application, they will complete the blanks on the receipt and send it back to you. When it is returned to you, you will know that the RRB has received your application and has started the work needed to determine if you are entitled to benefits. If you do not receive the receipt within a month after you filed this application, please contact us so we can find out what is causing the delay.

Important Notices

PAPERWORK REDUCTION AND PRIVACY ACT NOTICE

This notice is given under the Paperwork Reduction Act of 1995 and the Privacy Act of 1974. The Privacy Act requires that the Railroad Retirement Board (RRB) tell you the following whenever we ask you for information.

- 1) The law which allows us to ask for the information;
- 2) whether that law requires you to give us that information and what, if anything, might happen to you if you do not give it to us;
- 3) the reason why the information is requested; and
- the persons, organizations, and agencies to which we may release the information without your permission.

The RRB's authority for requesting this information is Section 7(b) of the Railroad Retirement Act (RRA) of 1974. Providing us with this information is voluntary on your part. However, if you fail to provide us with the requested information we may be unable to pay you any benefits. The RRB needs this information to determine whether or not you are eligible to receive such benefits and, if so, the amount you are entitled to receive. If your annuity application is approved and we begin to pay you benefits, information that we may request from you in the future will be used to determine whether you are entitled to continue to receive such benefits.

Although the information we request is almost never used for any purpose other than the payment of benefits under the RRA, the RRB does have the authority to release the following information to the indicated individuals, organizations, and/or agencies without your approval:

- Information may be released to an attorney, the Office of the President, a Congressional office, a labor union or the Department of State's embassy or consular offices if they allege to be representing you at your request.
- 2) Information may be released to other people who are receiving benefits based on the same railroad retirement account as you are, if the information affects their payments from the RRB.
- 3) Information may be released to a person who will receive benefits on your behalf if the RRB decided that some medical condition keeps you from receiving your own benefits; such information may also be released in determining whether such a medical condition exists and who is suitable to receive such benefits for you.
- 4) Information (including medical records) may be released to people or organizations who are working for the RRB.
- 5) Information may be released to the U.S. Treasury Department or Postal Service to issue payments and to investigate lost, forged, or stolen payments.
- 6) Information may be released to your last employer to make sure that you are eligible to receive railroad retirement benefits and you continue to receive any available medical benefits, and to any railroad employer (or to its insurance company) to make sure that you can receive any private retirement or insurance benefits which may be offered by the employer.
- 7) Information may be released to the Social Security Administration, Centers for Medicare & Medicaid Services, Pension Benefit Guarantee Corporation, Office of Personnel Management, Department of Veterans Affairs, or Federal, State, or local welfare or public aid agencies to determine if you can receive benefits from their organizations and if any previous benefits were paid incorrectly.
- 8) Information may be released to the Internal Revenue Service or to State and local taxing authorities for figuring your taxes and for use in audits.
- 9) Your last address and the name of your last employer may be released to the Department of Health and Human Services to be used in the Parent Locator Service.
- 10) Information may be released to the General Accounting Office for audits and for collecting overpayments owed to the RRB or Social Security Administration.
- 11) Information may be released to the U.S. Department of Labor as required by the Federal Coal Mine and Safety Act.
- 12) Information may be released in certain cases for law enforcement purposes and for court proceedings.
- 13) Information about the determination and recovery of an overpayment made to you may be released to any other person from whom any portion of the overpayment is being recovered.
- 14) Your name and address may be released to a Member of Congress to inform you about current or proposed legislation which could affect the railroad retirement system.
- 15) Information may be released to Professional Standard Review Organizations and State Licensing Boards when services provided by physicians or practitioners suggest unethical or unprofessional conduct.

We estimate this form takes an average of 35 to 60 minutes per response to complete, including the time for reviewing the instructions, getting the needed data, and reviewing the completed form. Federal agencies may not conduct or sponsor, and respondents are not required to respond to, a collection of information unless it displays a valid OMB number. If you wish, send comments regarding the accuracy of our estimate or any other aspect of this form, including suggestions for reducing completion time, to Chief of Information Resources Management, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092.

Computer Matching And Privacy Protection Act Notice

The Computer Matching and Privacy Protection Act of 1988 requires the RRB to advise you that information you have provided may be used, without your consent, in automated matching programs. These matching programs are a computer companson of RRB records with records kept by other Federal, State, or local governmental agencies. Information from these matching programs can be used to establish or verify a person's eligibility for federally funded or administered benefit programs and for repayment of payments or delinquent debts under these programs.

Receipt For Your Claim

Employee Applicant's Name	RRB Claim Number	Date Claim Received
	A	

Your application for railroad retirement disability benefits has been received and will be processed as quickly as possible. If you change your address or if there is some other change that may affect your claim, you or your representative should report the change. The changes to be reported are listed below. Always give us your claim number when writing or calling about your claim. If you have any questions about your claim, we will be glad to help you.

If you need to personally visit one of our field offices, please call for an appointment. You will not be refused service if you do not have an appointment, but our staff can serve you better when an appointment is made. Most offices are open to the public from 9:00 AM to 3:30 PM, Monday through Friday.

Always Report These Changes to the RRB

- · WORK If you work for any employer, railroad or nonrailroad, or perform any self-employment work.
- CONDITION If your condition improves.
- WORKER'S COMPENSATION (or any other benefit based on disability) –
 If you begin to receive worker's compensation payments (or any other public benefit based on disability), or if the amount of your payment changes.
- CRIMINAL OFFENSE If you are confined in a jail, penal institution, or correctional facility due to a conviction for a criminal offense.
- · ADDRESS If your address changes.

How To Report Changes

When a change occurs after you are entitled to disability benefits, you should report the change at once. You can make your reports by telephone, mail, or in person, whichever you prefer.

To report any of the above changes, contact:

Telephone Number:

(9:00 AM - 3:30 PM)

If for some reason you cannot contact that office, you should contact:

► US RAILROAD RETIREMENT BOARD 844 N RUSH STREET CHICAGO IL 60611-2092