





## INSTRUCTIONS

### Trawl Permit Owner/Holder: Identification of Ownership Interest

This form must be submitted by corporations, partnerships, and other non-individual entities who own or hold a trawl endorsed Pacific Coast Groundfish limited entry permit under 50 CFR Part 660 Subpart G. Please type, or print legibly in ink; you may photocopy and attach additional sheets as necessary. Please sign in ink, have your signature notarized, retain a copy for your records, and mail the completed form to: **NMFS Northwest Region, Fisheries Permit Office, 7600 Sand Point Way NE, Bldg. #1, Seattle, WA 98115**. For information, contact the Fisheries Permit Office at 206-526-4353.

#### *GENERAL INFORMATION*

The information requested herein is needed by NMFS and the Pacific Fishery Management Council to assess and characterize the nature of ownership in the groundfish trawl fishery in order to develop appropriate policy for the trawl rationalization program.

#### *SPECIFIC INSTRUCTIONS*

##### ***BLOCK A - IDENTIFICATION OF PERMIT OWNER/HOLDER***

1. Indicate if the Permit owner/holder is a publicly held corporation. If yes, sign the certification in Block C and return the form to NMFS.
2.
  - (a) Indicate if this is a corporation.
  - (b) Indicate if this is a partnership.
  - (c) Indicate which state the business entity is registered in.
3. If the non-individual trawl permit owner/holder is an estate and all estate matters with regard to the disposition of the assets, including the permit have been finalized you must answer "YES". Please provide the date the estate was settled.

##### ***BLOCK B - IDENTIFICATION OF MEMBERS***

1. List the names of members. If a member is itself a corporation, partnership, or other such entity, you must also list the owners of that member at this time.
2. Please provide the business address for the individual who has ownership interest in the named entity.
3. Enter the percentage of ownership interest that each constituent member holds; for example, if there are three equal owners, enter "33-1/3" for each. The total interest of all members should equal 100 percent.

##### ***BLOCK C - CERTIFICATION***

- 1-3. Sign and date the application in the presence of Notary Public, and print your name.
4. Authorized representatives must submit proof of authorization from permit owner and state title.
- 5-7. To be completed by a Notary Public, not the person submitting this application.

### **PUBLIC REPORTING BURDEN STATEMENT**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, P.O. Box 21668, Juneau, AK 99802.

### **ADDITIONAL INFORMATION**

Before completing this form please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 679 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, et seq.); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, et seq.). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.”