

**U.S. Department of Energy Loan Guarantee for Projects that Employ Innovative Technologies**

This form is for use of Applicants seeking a U.S. Department of Energy Loan Guarantee pursuant to Title XVII of the Energy Policy Act of 2005, Public Law 109-58 (22 USC 16511, et seq.) and is governed by 10 CFR Part 609. After completing this form, please print two copies. Next, click on the "Submit by Email" button above to submit this data electronically. Select "Other" to save this data in an electronic format. Email this file to the Loan Guarantee Program Office at [lgprogram@hq.doe.gov](mailto:lgprogram@hq.doe.gov). Upload supporting documentation using DOE's Industry Interactive Procurement System (<https://e-center.doe.gov>) and submit two hard copies to the address below. It is highly recommended that all hard copies be sent via Express Mail. Please note that Pre-Applications should not exceed 100 pages and Applications should not exceed \_\_\_ pages. For more information, please visit our website at <http://www.lgprogram.energy.gov>.

Mail All Paper Copies to:  
Director  
U.S. DOE Loan Guarantee Program Office  
1000 Independence Ave, SW  
Washington, DC 20585-0121

If you need assistance or have any questions please contact the Loan Guarantee Program Office at (202) 586-8336 or email us at [lgprogram@hq.doe.gov](mailto:lgprogram@hq.doe.gov).

\_\_\_\_\_ **Pre-Application**    \_\_\_\_\_ **Application (Check one)**

In reference to DOE Solicitation No. \_\_\_\_\_  
If you are submitting an Application, please identify the Invitation No. \_\_\_\_\_

**GENERAL INFORMATION**

**Organization Name**

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| Contact Last Name | First Name | Position/Title |
|-------------------|------------|----------------|
|                   |            |                |

| Phone Number | Fax Number |
|--------------|------------|
|              |            |

**Address**

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|  |
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| City | State | 9 Digit Zip Code |
|------|-------|------------------|
|      |       |                  |

| Email | Federal Taxpayer ID | DUNS Number |
|-------|---------------------|-------------|
|       |                     |             |

| Project Location – City | State | 9 Digit Zip Code |
|-------------------------|-------|------------------|
|                         |       |                  |

**PROJECT SPONSORS (ASSET HOLDERS)**

| Organization Name | Contact / Phone | Address | City | State | Zip Code | Country |
|-------------------|-----------------|---------|------|-------|----------|---------|
|                   |                 |         |      |       |          |         |
|                   |                 |         |      |       |          |         |
|                   |                 |         |      |       |          |         |

**SUMMARY OF LOAN GUARANTEE REQUEST**

|                               |     |   |    |
|-------------------------------|-----|---|----|
| Requested Period of Guarantee | yrs | Total Project Costs*                            | \$ |
| Equity*                       | \$  | Proposed Guaranteed Amount*                     | \$ |
| Debt*                         | \$  | Requested Loan Guarantee to Debt Instrument     | %  |
| Debt to Equity Ratio          | :   | Requested Loan Guarantee to Total Project Costs | %  |

\* Please indicate dollars in millions

**CATEGORY OF PROJECT**

| Category | Description  | Check Box |
|----------|--|-----------|
| 1        | Renewable Energy Systems   |           |
| 2        | Advanced Fossil Energy Technology (including coal gasification meeting the criteria in paragraph 1703 (d) of EPLA 2005)                    |           |
| 3        | Hydrogen fuel cell technology for residential, industrial or transportation applications   |           |
| 4        | Advanced nuclear energy facilities   |           |
| 5        | Carbon capture and sequestration practices and technologies, including agricultural and forestry practices that store and sequester carbon |           |
| 6        | Efficient electrical generation, transmission and distribution technologies  |           |
| 7        | Efficient end-use energy technologies  |           |
| 8        | Production facilities for fuel efficient vehicles including hybrid and advanced diesel vehicles  |           |
| 9        | Pollution control equipment  |           |
| 10       | Refineries, meaning facilities at which crude oil is refined into gasoline   |           |

**PRIVACY ACT NOTICE**

This information collection provides the following assurance of confidentiality to respondents, under the Freedom of Information Act (5 U.S.C. 552):

“Patentable ideas, trade secrets, proprietary, or confidential commercial or financial information, disclosure of which may harm the applicant, should be included in an Application only when such information is necessary to convey an understanding of the proposed project. The use and disclosure of such data may be restricted, provided the applicant includes the following legend on the first page of the project narrative and specifies the pages of the Application which are to be restricted:

‘The data contained in pages \_\_\_\_\_ of this Application have been submitted in confidence and contain trade secrets or proprietary information, and such data shall be used or disclosed only for evaluation purposes, provided that if this applicant receives an award as a result of or in connection with the submission of this Application, DOE shall have the right to use or disclose the data herein to the extent provided in the award. This restriction does not limit the government’s

right to use or disclose data obtained without restriction from any source, including the applicant.’

“To protect such data, each line or paragraph on the pages containing such data must be specifically identified and marked with a legend similar to the following:

‘The following contains proprietary information that (name of applicant) requests not be released to persons outside the Government, except for purposes of review and evaluation.’”

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**CERTIFICATION**

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The undersigned certifies that the data and information submitted and the representations made in this Pre-Application and any attachments to this Pre-Application are true and correct, to the best of the Applicant’s knowledge and belief after due diligence, and that the Applicant has not omitted any material facts.

The undersigned further certifies to having full authority to bind the Applicant.

Applicant (Organization Name)

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|  |       |
|--|-------|
| Name of Applicant’s Authorized Officer ( <i>will fulfill on-line certification</i> ) | Title |
|--|-------|

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|  |      |
|--|------|
| Signature of authorized officer ( <i>for paper copy only</i> ) | Date |
|--|------|

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