

**OMB No. 0915-0285**  
**Expiration Date:**

**BPHC Policy Information Notice 99-08**  
**APPLICATION FOR**  
**For Medical/Dental Professional Liability Protection**  
**FEDERAL TORT CLAIMS ACT**

**Public Burden Statement:** An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0915-0285. Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: HRSA Reports Clearance Officer, 5600 Fishers Lane, Room 10-33, Rockville, Maryland, 20857.

<b>SECTION I - APPLICANT INFORMATION</b>	
GRANTEE NAME:	
DBA Name (if appropriate):	
UDS #:	
<input type="checkbox"/> Community Health	<input type="checkbox"/> Sub-Grantee
<input type="checkbox"/> Migrant Health	<input type="checkbox"/> Co-Applicant
<input type="checkbox"/> Health Care for the Homeless	<input type="checkbox"/> Sub-Recipient
<input type="checkbox"/> Health Care for Residents of Public Housing	
<input type="checkbox"/> School Health Programs	
ADDRESS:	
E-mail address:	
TELEPHONE #:	FAX #:
EXECUTIVE DIRECTOR:	Telephone Number:
MEDICAL DIRECTOR:	Telephone Number:

<b>SECTION II - CREDENTIALING SYSTEM</b>		
<b>Answer YES or NO to the following questions by marking the appropriate box. NO answers require explanation on a separate sheet</b>	<b>YES</b>	<b>NO</b>
Is professional educational background and postgraduate training verified?	<input type="checkbox"/>	<input type="checkbox"/>
Is primary source verification of licensure, certification, and/or registration performed?	<input type="checkbox"/>	<input type="checkbox"/>
Is board certification verified for physicians?	<input type="checkbox"/>	<input type="checkbox"/>
Is a copy of current licensure, certification, and/or registration on file?	<input type="checkbox"/>	<input type="checkbox"/>
Is a copy of hospital privileges on file, if applicable?	<input type="checkbox"/>	<input type="checkbox"/>
Are professional references obtained and reviewed?	<input type="checkbox"/>	<input type="checkbox"/>
Is a history of previous malpractice liability claims and adverse actions reviewed?	<input type="checkbox"/>	<input type="checkbox"/>
Are health care practitioners required to submit a personal statement or other evidence of health fitness at the time of credentialing?	<input type="checkbox"/>	<input type="checkbox"/>
Is the Health Center involved in peer review activities?	<input type="checkbox"/>	<input type="checkbox"/>
<p>If Yes, is it a formal process?</p> <p>(Formal means written procedures on peer review activities are formally adopted by the governing body and provide for adequate notice and opportunity for a fair hearing on any adverse recommendations.)</p>	<input type="checkbox"/>	<input type="checkbox"/>
Is the National Practitioner Databank queried in credentialing your health care practitioners?	<input type="checkbox"/>	<input type="checkbox"/>

**SECTION III – RISK MANAGEMENT POLICIES/PROCEDURES**

<b>Answer Yes or NO to the following questions by marking the appropriate box. NO answers require explanation on a separate sheet.</b>	<b>YES</b>	<b>NO</b>
Are there policies/procedures on the appropriate supervision and back-up of clinical staff?	<input type="checkbox"/>	<input type="checkbox"/>
Is a medical record maintained for every patient receiving care at the Health Center?	<input type="checkbox"/>	<input type="checkbox"/>
Are there policies/procedures that address triage, walk-in patients, and telephone triage?	<input type="checkbox"/>	<input type="checkbox"/>
Are there clinical protocols that define appropriate treatment and diagnostic procedures for selected medical conditions?	<input type="checkbox"/>	<input type="checkbox"/>
Is there a tracking system for patients who require follow-up of specialty referrals, hospitalization, x-ray, and lab results?	<input type="checkbox"/>	<input type="checkbox"/>
Are medical records periodically reviewed to determine quality, completeness, and legibility?	<input type="checkbox"/>	<input type="checkbox"/>
Is there a written Quality Assurance Plan approved by the governing body? <b>If yes, attach a copy of the most recent Quality Assurance Plan with the approval date noted.</b>	<input type="checkbox"/>	<input type="checkbox"/>
Are quality assurance findings used to modify policies/procedures in order to improve quality of care?	<input type="checkbox"/>	<input type="checkbox"/>

**SECTION IV – SERVICES TO NON-HEALTH CENTER PATIENTS**

Are services provided to non Health Center patients? If yes, check all that apply based on the examples listed in the Federal Register Notice (Vol. 60, pages 49417-18) issued September 25, 1995.

**COMMUNITY-WIDE INTERVENTIONS**

- School-based clinics
- School-linked clinics
- Health Fairs
- Immunization Campaign
- Outreach

**HOSPITAL-RELATED ACTIVITIES**

- Hospital call as required for privileges
- Emergency Room coverage as required for privileges

**COVERAGE-RELATED ACTIVITIES**

- Cross-coverage with community providers

If the services do not appear to fall under the examples cited, then the Health Center should submit a separate request to the Director, BPHC, for a determination of the applicability of FTCA coverage as outlined in Section V of this BPHC PIN.

**SECTION V - SIGNATURES**

**Requested Effective Date of FTCA Coverage:  
(FOR ORIGINAL DEEMING ONLY)**

EXECUTIVE DIRECTOR NAME:  
(Print or Type)

SIGNATURE:

DATE:

MEDICAL DIRECTOR NAME:  
(Print or Type)

SIGNATURE:

DATE:

