FISH & WIDLIFE SERVICE

Department of the Interior U.S. Fish and Wildlife Service

Expires <<Date>> OMB No. 1018-0022

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service (USFWS)

Type of Activity: Migratory Bird Scientific Collecting

- <Service Division title here> or <return address list attached>
- <Service Division address line 1>
- <Service Division address line 2>
- <Service Division contact phone>

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A.		Complete	if applying as an i	<u>ndividu</u> a	<u>l</u>						
1.a. Last name			1.b. First name		1.c. Middle name or	initial	1.d. Suffix				
2. Date of birth (mm/dd/yyyy)	3. Social Security No.		4. Occupation		5. Affiliation/ Doing	5. Affiliation/ Doing business as (see instructions)					
6.a. Telephone number	6.b. Alternate telephon	e number	6.c. Fax number		6.d. E-mail address	6.d. E-mail address					
						_					
		on behalf of			blic agency or institut	tion					
1.a. Name of business, agency, or ins	titution		1.b. Doing business as (dba)								
2. Tax identification no.		3. Description of	business, agency, or inst	titution							
4.a. Principal officer Last name	Principal officer Last name 4.b. Principal off				4.c. Principal officer Middle	incipal officer Middle name/ initial 4.d. Suffix					
5. Principal officer title			6. Primar	y contact			1				
7.a. Business telephone number	7.b. Alternate telephon	e number	7.c. Business fax numb	oer	7.d. Business e-mail	7.d. Business e-mail address					
С		All annlicants	complete address	informat	tion						
C. 1.a. Physical address (Street address;	Apartment #, Suite #, o	r Room#; no P.O	. Boxes)		-						
1.b. City	City 1.c. State 1		Zip code/Postal code:	1.e. Cour	nty/Province	rovince 1.f. Country					
2.a. Mailing Address (include if diffe	rent than physical addre	ess; include name	of contact person if appl	icable)		•					
2.b. City	b. City 2.c. State			2.d. Zip code/Postal code: 2.e. County/Pro							
	I	ı		1							
D.			plicants MUST con				+= 0.40				
Attach check or money order are requesting a substantiv such agencies, are exempt free	e amendment of your	existing valid per	rmit Federal, tribal, St	ate, and loc	eal government agencies, and	I those acting or					
2. Do you currently have or have	ve you ever had any Fed	leral Fish and Wil			·		No				
3. Certification: I hereby certify applicable parts in subchapt the best of my knowledge an	ter B of Chapter I of Ti	tle 50, and I certif	fy that the information su	ıbmitted in t	this application for a permit i	is complete and					
Signature (in l (mm/dd/yyyy)	,	erson responsible	for permit (No photoco	pied or stan	nped signatures)	Date of signatur	e				

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E. MIGRATORY BIRD SCIENTIFIC COLLECTING (Migratory Bird Treaty Act, 50 CFR 21.21, 21.23; Eagle Act, 50 CFR 22.21)

Note: A Federal Scientific Collecting Permit is required to capture or collect migratory birds for scientific purposes. Permits are available to scientists and researchers affiliated with scientific or educational institutions, organizations, or agencies. Anyone who will be assisting with the permitted activities must either possess their own Federal permit or have a letter from the permit holder designating them as a subpermittee under that permit. (They may also require a State permit.) The permit holder assumes all liability and responsibility for any activity conducted under the authority of the permit (50 CFR 13.50). Since a migratory bird permit doesn't authorize trespass on private or publicly managed lands, authorization from land owners or land managers is required to conduct activities on their property, including collection on Federal lands. Specimens collected under a scientific collecting permit must be released or deposited with a public scientific or educational institution upon completion of the study, unless a special purpose permit authorizing possession for a longer period is obtained. Eagle parts must be deposited with the National Eagle Repository. A scientific collecting permit may be valid for up to 3 years. Please read "What You Should Know About A Migratory Bird Scientific Collecting Permit" and the pertinent regulations before you sign and submit your application.

<u>USGS Bird Banding Permits</u>: A banding permit issued by the U.S. Geological Survey's Bird Banding Lab is required for all migratory bird banding and marking activities. Banding permits may also authorize blood and feather sampling of birds captured for banding. If you have a banding permit that authorizes these activities, you don't need a scientific collecting permit to conduct these activities; however, any other capture and sampling activities not covered by a banding permit will require a scientific collecting permit.

<u>Protected Species</u>: Only birds listed in the Code of Federal Regulations (50 CFR 10.13) require a federal migratory bird permit. To view a list of species in the U.S. and their status under the Migratory Bird Treaty Act, go to: http://www.fws.gov/migratorybirds/issues/nonnative/MBTA-protected&NonprotectedSpecies.htm

You should be as specific as possible in your responses to the questions below. We strongly recommend that you submit your application at least 60 days prior to the date that you need your permit, as required by 50 CFR 13.11(c).

1. <i>Pl</i>	ease answer the following questions:										
a	. What is the purpose of your collecting activity (check all that apply)?										
	(1) Individual Research Project										
	(2) Museum/Institutional Collection (accessioned for research, teaching, or reference)										
	(3) Other (specify):										
b	• Do you wish to be permitted to salvage specimens of migratory birds you find dead, infertile eggs, and inactive nests?										
	Yes No										
c	Provide the date you wish to start collecting (month/day/year):										
	d. Provide the date you anticipate completing collection and holding of birds (month/year):										
e	Do you wish to be permitted to import/export lawfully acquired specimens to/from the United States for scientific or educational purposes?										
	YesNo										
f.	You must retain records relating to the activities conducted under your permit for at least 5 years after the date of expiration of your permit. Is										
	the physical address you provided in Section C on page 1 of this application the address where your records will be kept?										
	Yes No If "no", provide the physical address										
g	. Have you obtained all required State permits or approvals to conduct this activity?										
	Yes If "yes", attach a copy of the approval(s) Have applied None required										

2. Please attach a description of your proposed activity, including:

- **a.** A completed copy of the <u>attached Collecting Activity Table</u> identifying the species and quantity of migratory birds you propose to collect, where collecting will occur, the type of collecting, and the duration of collection activities.
- b. Justification for the proposed scientific collecting, including an outline or study proposal for any proposed research. If live-capture is proposed, describe the method of capture. If applicable, describe where and for how long live birds will be maintained in captivity, and provide a description of the enclosures. If trap and relocate is proposed, provide location(s) where birds will be released to the wild, including specific sites if known. If migratory game bird species will be collected during an established hunting season, specify if the proposed method of collecting is currently authorized under applicable hunting regulations or will require authorization for a special collecting method.

3. Please provide the following information as part of your outline, study plan, or on a separate sheet of paper:

- **a.** A full description of your background and expertise in conducting the proposed activities. If this information is already contained in another permit file in your permitting office, you may refer to the permit number for that file.
- **b.** The name and address of the public scientific or educational institution(s) to which all migratory bird specimens will be donated upon completion of the study.
- c. If you propose to collect in the United States but do not reside here, provide the name of a contact, an address, a telephone number, a Fax number (if available), and email address where you can be reached in the United States.
- d. If you propose to import/export specimens collected here or abroad, describe the types of specimens that will be shipped (e.g., blood, tissue, whole specimens, eggs), to and from whom the specimens will likely be shipped, and the U.S. port(s) of entry/exit you will use (list attached). (Note: You may require additional permits for species protected by the Convention on International Trade in Endangered Species (CITES), the U.S. Endangered Species Act, or the Wild Bird Conservation Act. Bald and golden eagles may not be imported or exported.)

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PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to the standard permit form 3-200 that must be completed as an application for a U.S. Fish and Wildlife Service or CITES permit. The General Permit Procedures in 50 CFR 13 address the permitting process. For simplicity, all licenses, permits, registrations, and certificates will be referred to as a permit.

GENERAL INSTRUCTIONS:

- Complete all blocks/lines/questions in Sections A or B, and C and D. Complete all of Section E.
- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application in blue ink. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page one of the application or if applicable on the attached address list.
- Keep a copy of your completed application.
- Please plan ahead. Allow at least 60 days for your application to be processed. Some applications may take longer than 90 days to process. (50 CFR 13.11)
- Applications are processed in the order they are received.
- Additional forms and instructions are available from http://permits.fws.gov/.

COMPLETE EITHER SECTION A OR SECTION B:

Section A. Complete if applying as an individual:

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. *Fax and e-mail are not required if not available.*
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing power of attorney must be included with the application.
- Affiliation/ Doing business as (dba): business, agency, organizational, or institutional affiliation *directly* related to the activity requested in the application (e.g., a taxidermist is an individual whose business can *directly* relate to the requested activity). The Division of Management Authority (DMA) will **not** accept *doing business as* affiliations for individuals.

Section B. Complete if applying as a business, corporation, public agency, or institution:

- Enter the complete name of the business, agency or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. **Primary Contact** is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

ALL APPLICANTS COMPLETE SECTION C:

- For all applications submitted to the Division of Management Authority (DMA) a physical U.S. address is **required**. Province and Country blocks are provided for those USFWS programs which use foreign addresses and are not required by DMA.
- Mailing address is address where communications from USFWS should be mailed if different than applicant's physical address.

ALL APPLICANTS COMPLETE SECTION D:

Section D.1 Application processing fee:

- An application processing fee is required at the time of application; unless exempted under 50 CFR13.11(d)(3). The application processing fee is assessed to partially cover the cost of processing a request. The fee does not guarantee the issuance of a permit. Fees will not be refunded for applications that are approved, abandoned, or denied. We may return fees for withdrawn applications prior to any significant processing occurring.
- Documentation of fee exempt status is not required for Federal, tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies. Those applicants acting on behalf of such agencies must submit a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

Section D.2 Federal Fish and Wildlife permits:

• List the number(s) of your most current FWS or CITES permit or the number of the most recent permit if none are currently valid. If applying for re-issuance of a CITES permit, the original permit must be returned with this application.

Section D.3 **CERTIFICATION**:

• The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application in blue ink. This signature binds the applicant to the statement of certification. This means that you certify that you have read and understand the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

Please continue to next page

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APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT

Paperwork Reduction Act, Privacy Act, and Freedom of Information Act - Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:

- 1. The gathering of information on fish and wildlife is authorized by:
 - (Authorizing statutes can be found at: http://www.gpoaccess.gov/cfr/index.html and http://www.fws.gov/permits/ltr/ltr.shtml.)
 - a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
 - b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50CFR 17;
 - c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
 - d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, et. seq.), 50 CFR 18;
 - e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
 - f. Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
 - g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), http://www.cites.org/, 50 CFR 23;
 - h. General Provisions, 50 CFR 10;
 - i. General Permit Procedures, 50 CFR 13; and
 - j. Wildlife Provisions (Import/export/transport), 50 CFR 14.
- 2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed on form.
- 3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
- 4. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
 - a. Routine disclosure to subject matter experts, and Federal, tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
 - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
 - c. Routine disclosure to Federal, tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
 - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
 - e. Routine disclosure to Federal, tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds
 - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
 - g. Routine disclosure to the appropriate Federal, tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
 - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains,
 - i. Routine disclosure to the General Accounting Office or Congress when the information is required for the evaluation of the permit programs.
 - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
- 5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
- 6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for a Migratory Bird Scientific Collecting permit application is 4 hours for a new permit, 1 hour for an amendment, and 1 hour for recordkeeping. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act - Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

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-Designated Ports

Generally, all wildlife (including parts and products) must be imported or exported through one of the following designated ports.

Anchorage, AK

P.O. Box 190045 Anchorage, Alaska 99519 907/271- 6198; 907/271-6199 fax

Atlanta, GA

P.O. Box 45287 Atlanta, Georgia 30320 404/763-7959; 404/763-7560 fax

Baltimore, MD

40 S. Gay Street, #223 Baltimore, Maryland 21202 410/865-2127; 410/865-2129 fax

Boston, MA

70 Everett Avenue, Suite 315 Chelsea, MA 02150 617/889-6616; 617/889-1980 fax

Chicago, IL

Wildlife Inspection Program P.O. Box 66726 Chicago, Illinois 60666-0726 773/894-2910; 773/894-2916 fax

Houston

16639 W. Hardy Houston, Texas 77060-6230 281/446-1284; 281/540-0357 fax

Dallas/Ft. Worth, TX

1717 West 23rd, Suite 105 DFW Airport, Texas 75261 972/574-3254; 972/574-4669 fax

Honolulu, HI

3375 Koapaka St. #F275 Honolulu, Hawaii 96819-1867 808/861-8525; 808/861-8515 fax

Los Angeles, CA

370 Amapola Ave. #114 Torrance, California 90501 310/328-6307; 310/328-6399 fax

Louisville

601 Broadway, Suite 115-A Louisville, Kentucky 40202 502/582-5989; 502/582-5981 fax

Memphis

University of Memphis- South Camp Memphis, Tennessee 38512 901/360-7007; 901/360-7010

Miami, FL

10426 N.W. 31st Terrace Miami, Florida 33172 305/526-2610; 305/526-7480 fax

Newark, NJ

1210 Corbin St SeaLand Bldg, 2nd Fl. Elizabeth, New Jersey 07201 973/645-6171; 973/645-6533 fax

New Orleans, LA

2424 Edenborn, Room 100 Metairie, Louisiana 70001 504/219-8870; 504/219-8868 fax

New York, NY

70 E. Sunrise Hwy. #419 Valley Stream, New York 11580 516/825-3950; 516/825-3597 fax

Portland, OR

7000 NE Airport Way, Rm C2732 Portland, Oregon 97238 503/231-6135; 503/231-6133 fax

San Francisco, CA

1633 Old Bayshore Hwy., Ste. 248 Burlingame, California 94010 650/876-9078; 650/876-9701 fax

Seattle, WA

2580 South 156th Street Seattle, Washington 98158 206/764-3463; 206/764-3485 fax

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Migratory Bird Scientific Collecting Permit Application Collecting Activity Table

In the table below, list the species (scientific and common name listed in 50 CFR 10.13) and quantity of migratory birds and eggs you propose to collect; the location(s) where collecting is proposed (State and, if known, specific Counties or sites); and the type of collecting you propose (e.g., trap and release, trap and relocate, trap and retain, lethal collection, viable egg collection). If this will be a multi-year project, indicate the species and quantities to be collected each year or throughout the duration of the project. See the accompanying Instructions for Completing the Scientific Collecting Activity Table below. Use the categories provided below as much as possible but if your collecting request does not fit the categories below, provide further clarification in your written outline, study proposal, or justification. **Attach the completed table to your Scientific Collecting Application.**

APPLICANT NAME: DATE:

SCIENTIFIC COLLECTING ACTIVITY TABLE										
Species (Scientific and Common Name)	State	County or Site (if known)	Collection Period (Calendar Years)	Lethal Collection	Viable Egg Collection	Trap & Retain	Trap & Release	Trap & Relocate	Maximum Annual Total Requested	Total Quantity Requested
Example - 3 yr study, same quantity/year: Anas strepera (gadwall)	OR	Harney (Malheur NWR)	Annually	5	5	0	100	0	110	330
Example - 2 yr study, different quantity/year: Anas crecca (green-winged teal)	VA		Year 1	10	0	0	50	0	60	310
	"		Year 2	50	0	0	200	0	250	

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SCIENTIFIC COLLECTING ACTIVITY TABLE – SUPPLEMENTAL SHEET

licant Name:							Биррісп	ental Page N	diliber	
Species (Scientific and Common Name)	State	County or Site (if known)	Collection Period (Calendar Years)	Lethal Collection	Viable Egg Collection	Trap & Retain	Trap & Release	Trap & Relocate	Maximum Annual Total Requested	Total Quantity Requested

INSTRUCTIONS FOR COMPLETING COLLECTING ACTIVITY TABLE

Species

Identify the species you propose to collect. Use the scientific and common name(s) listed in 50 CFR 10.13. (See list at http://www.fws.gov/migratorybirds/issues/nonnative/MBTA-protected&NonprotectedSpecies.htm

State

Specify the state where you propose to collect the species. Enter only one state per row.

County or Site (If Known)

You may enter one or more counties, boroughs, or specific collection sites where the collection will occur per row. This block may be left blank.

Collection Period (Calendar Years)

If your project is a single year project, the collection period is "Year 1." If your project is a multiyear project and you intend to collect the same number of specimens annually, the collection period is "Annually." If your project is a multiyear project, the quantity of specimens will vary each year, and you will be collecting opportunistically each year, the collection period is "Permit Duration (3 years)." If your project is multiyear and the number of specimens will vary each year but you intend to collect a specific quantity of specimens each year, the collection period is "Year 1," "Year 2," and "Year 3," as needed; you will need to duplicate rows for that species.

Types of Collecting Activities

Do not overlap quantities; the quantities entered in the various types of collecting activities across each row must be mutually exclusive.

Lethal Collection

Enter the maximum quantity of specimens of that species that you propose to kill. This includes shooting or any other proposed method of lethal collection.

Viable Egg Collection

Enter the maximum quantity of eggs (believed to be viable) you plan to collect. If you plan to collect nests with eggs, estimate the maximum number of eggs per nest. This also includes viable eggs that are collected, incubated, and the hatchlings retained or released. Collection of eggs known to be nonviable is considered salvage and should not be included here.

Trap & Retain

Enter the maximum quantity of specimens of that species that you propose to live-trap and retain in captivity permanently (either life-long or euthanized upon completion of the study).

Trap & Release

Enter the maximum quantity of specimens of that species that you propose to live-trap and release at the capture site (e.g., after collection of samples or data). This may include prolonged holding prior to release.

Trap & Relocate

Enter the maximum quantity of specimens of that species that you propose to live-trap at the capture site and release at another location. This may include prolonged holding prior to release.

My Collecting Request Doesn't Fit Your Collecting Categories

Use the categories provided as much as possible; provide further clarification in your written outline, study proposal, or justification.

Maximum Annual Total Requested

Add the quantities per species from all types of collecting that you have listed; enter the sum in this column (e.g. [Maximum Annual Total Requested] = [Lethal Collection] + [Viable Egg Collection] + [Trap & Retain] + [Trap & Release] + [Trap & Relocate]). If your project is a multiyear project, the quantity of specimens will vary each year, and you will be collecting opportunistically each year, you may leave the Maximum Annual Total Requested block blank.

Total Quantity Requested

If your project is a single year project, the Total Quantity Requested is the same as the Maximum Annual Total Requested. If your project is a multiyear project and you intend to collect the same number of specimens annually, the Total Quantity Requested is three times the Maximum Annual Total Requested (e.g. [Total Quantity Requested] = 3 x [Maximum Annual Total Requested]). If your project is a multiyear project, the quantity of specimens will vary each year, and you will be collecting opportunistically each year, the Total Quantity Requested is the sum of all types of collecting that you have listed (e.g. [Maximum Annual Total Requested] = [Lethal Collection] + [Viable Egg Collection] + [Trap & Release] + [Trap & Relocate]). If your project is multiyear and the number of specimens will vary each year but you intend to collect a specific quantity of specimens each year, add the Maximum Annual Totals for Year 1, Year 2, and Year 3 (as applicable).