

# ANNUAL REPORT OF PACKERS

Response is required by law to ensure compliance with the Packers and Stockyards Act, 1921, as Amended and Supplemented. Failure to report after notice of default will result in forfeiture to the United States the sum of \$110 for each and every day of the continuance of such failure.

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1a. REPORT FOR YEAR ENDED:

**INSTRUCTIONS:** This report must be filed by any PACKER, as that term is defined in the Packers and Stockyards Act, 1921, as Amended and Supplemented, and must be filed not later than April 15 following the calendar year end or 90 days after the close of fiscal period if business is on other than a calendar year basis. If space provided in any item is not sufficient, attach additional sheets containing the information and make reference to the relevant section and item number.

SEE ENCLOSED INSTRUCTIONS BEFORE COMPLETING THIS REPORT.

1b. IF NOT FOR CALENDAR YEAR, INDICATE PERIOD COVERED

RETURN COMPLETED REPORT TO THE APPROPRIATE REGIONAL OFFICE; SEE SEPARATE INSTRUCTIONS FOR INFORMATION.

## SECTION 1. GENERAL INFORMATION

2. NAME AND ADDRESS OF PACKER (Include ZIP code)	3. TELEPHONE NUMBER  Fax Number	4. TYPE OF ORGANIZATION (check one) <input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> CORPORATION <input type="checkbox"/> <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> OTHER (specify) _____
5. State Incorporated/Registered/Formed		6. Date Incorporated/Registered/Formed
7. DID ANY CHANGE IN ORGANIZATION TAKE PLACE DURING THE YEAR? <input type="checkbox"/> YES <input type="checkbox"/> NO	8. IF YES, GIVE DETAILS	
9. DID YOU ACQUIRE ANY PLANTS THROUGH PURCHASE, MERGER, LEASE, ETC., DURING REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	10. DID YOU SELL OR LEASE ANY PLANTS TO OTHERS DURING REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	
11. DID YOU OPEN OR PERMANENTLY CLOSE ANY PLANTS DURING REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO IF YES, FSIS ESTABLISHMENT NUMBER AND TYPE OF CHANGE		

12. ACTIVITIES ENGAGED IN:     Slaughtering     Fabricating     Further Processing

13. OWNERS, PARTNERS, OFFICERS, AND DIRECTORS

a. NAME	b. TITLE	c. PERCENT OF OWNERSHIP	d. SOCIAL SECURITY NUMBER/TIN	e. MAILING ADDRESS (Street and No., City, State, and ZIP Code)

The Privacy Act of 1974 requires this Agency to inform applicant that disclosures of social security numbers are optional and that the information sought on this form is required by 9 CFR 201.10. The sole use of the social security number(s) sought on this form is to distinguish between applicants and registrants that have identical or similar names. As this Agency maintains a large volume of applications and registrations, applicants are encouraged to supply social security numbers.

14. IF REPORTING COMPANY IS OWNED OR CONTROLLED BY ANY CORPORATION OR INDIVIDUAL OTHER THAN THOSE LISTED UNDER ITEM 5, ABOVE, GIVE NAME AND ADDRESS OF CONTROLLING CORPORATION OR INDIVIDUAL

15. SUBSIDIARIES AND AFFILIATED COMPANIES WHOSE OPERATIONS ARE INCLUDED IN THIS REPORT (Include in all appropriate portions of report TOTAL operations of ALL subsidiaries and affiliated companies listed in this item.)

a. NAME OF COMPANY	b. LOCATION (City and State)	c. NATURE OF BUSINESS	d. PERCENTAGE OF CONTROL BY REPORTING COMPANY

SECTION 1. GENERAL INFORMATION - Continued

16. SUBSIDIARIES AND AFFILIATED COMPANIES WHOSE OPERATIONS ARE NOT INCLUDED IN THIS REPORT (Except for their feeding activities reported in Section 4.)

a. NAME OF COMPANY	b. LOCATION (City and State)	c. NATURE OF BUSINESS	d. PERCENTAGE OF CONTROL BY REPORTING COMPANY

17. OWNERS, PARTNERS, OFFICERS, AND DIRECTORS OF REPORTING COMPANY WHO ARE PARTNERS, OFFICERS, OR DIRECTORS IN, OR CONTROL OTHER FIRMS ENGAGED IN SLAUGHTERING LIVESTOCK OR POULTRY; MANUFACTURING OR PREPARING MEAT OR MEAT FOOD PRODUCTS; MARKETING AT WHOLESALE OR RETAIL, MEAT FOOD PRODUCTS; OPERATING A STOCKYARD, MARKET AGENCY, OR DEALER ORGANIZATION HANDLING LIVESTOCK OR LIVE POULTRY; OR FEEDING LIVESTOCK OR POULTRY.

a. NAME	b. POSITION IN REPORTING COMPANY	c. POSITION AND OWNERSHIP INTEREST IN OTHER COMPANY	d. NAME OF OTHER COMPANY	e. LOCATION OF OTHER COMPANY (City and State)	f. NATURE OF BUSINESS OF OTHER COMPANY

SECTION 2. - BEEF FABRICATION AND HOG CUTTING AND PROCESSING

18. DO YOU FABRICATE STEER AND HEIFER CARCASSES INTO BOXED BEEF?  YES  NO  
(IF YES, COMPLETE THIS SECTION)

a. NAME AND LOCATION OF PLANT	b. INSPECTION NUMBER	NUMBER OF CARCASSES FABRICATED INTO BOXED BEEF		e. TOTAL POUNDS OF BOXED BEEF (exclude trimmings and ground beef)
		c. FROM OWN SLAUGHTER	d. FROM OUTSIDE PURCHASES	
TOTAL				

19. DO YOU FABRICATE COW AND BULL CARCASSES INTO BOXED BEEF?  YES  NO  
(IF YES, COMPLETE THIS SECTION)

a. NAME AND LOCATION OF PLANT	b. INSPECTION NUMBER	NUMBER OF CARCASSES FABRICATED INTO BOXED BEEF		e. TOTAL POUNDS OF BOXED BEEF (exclude trimmings and ground beef)
		c. FROM OWN SLAUGHTER	d. FROM OUTSIDE PURCHASES	
TOTAL				

20. DO YOU CUT OR FURTHER PROCESS HOG CARCASSES?  YES  NO (IF YES, COMPLETE THIS SECTION)

a. NAME AND LOCATION OF PLANT	b. NUMBER OF CARCASSES	c. CUT AND SHIP (pounds)	d. FURTHER PROCESS (pounds)	e. TOTAL POUNDS

SECTION 3. LIVESTOCK SLAUGHTERING AND PROCESSING OPERATIONS (Include your livestock slaughtering operations and those of the subsidiaries and affiliated companies listed in Section 1, Item 5).

ALL LIVESTOCK SLAUGHTERED BY PLANT (Including item 2 of this section)

21a. NAME AND LOCATION OF PLANT	21b. FSIS Establishment # (or State equivalent)	NUMBER OF HEAD SLAUGHTERED					
		21c.		21d. CALVES	21e. HOGS	21f. SHEEP	21g. OTHER
		Steer and Heifers	Cows and Bulls				
TOTAL							

22. LIVESTOCK CUSTOM SLAUGHTERED BY YOU FOR OTHERS  
(Report for individual firms for whom you slaughtered 100 head or more)

NAME AND LOCATION OF FIRMS FOR WHOM SLAUGHTERED							
TOTAL VOLUME OF INDIVIDUAL FIRMS SLAUGHTERED LESS THAN 100 HEAD							
TOTAL							

23. LIVESTOCK CUSTOM SLAUGHTERED FOR YOU BY OTHERS  
(Report for individual firms who slaughtered 100 head or more for you)

NAME AND LOCATION OF FIRMS WHO SLAUGHTERED FOR YOU							
TOTAL VOLUME OF INDIVIDUAL FIRMS SLAUGHTERED LESS THAN 100 HEAD							
TOTAL							

24. LIST TOP THREE OUT-OF-STATE CUSTOMERS, BY DOLLAR VOLUME, YOU SOLD EDIBLE PRODUCT TO DURING THE YEAR

NAME	ADDRESS	DOLLAR VOLUME

**SECTION 4. PROCUREMENT OF LIVESTOCK FOR SLAUGHTER.** Include your slaughter livestock procurement activities and those of the subsidiaries and affiliated companies reported in line 15. If you reported two or more plants under line 21, also submit a separate Supplemental Report Form P&SP-3100 for each plant. Line 25 through 35, report numbers of livestock by category.

ITEM LIVESTOCK PURCHASED BOTH LIVE WEIGHT AND CARCASS WEIGHT PURCHASES	NUMBER OF HEAD					
	Steers and Heifers	Cows and Bulls	CALVES	HOGS	SHEEP	OTHER
25. LIVESTOCK ON HAND FOR SLAUGHTER OR FEEDING AT THE BEGINNING OF THE REPORTING PERIOD						
26. LIVESTOCK TRANSFERRED FROM FEEDING						
27. LIVESTOCK PURCHASED FOR SLAUGHTER DIRECTLY AT THE PACKING PLANT, BUYING STATIONS, COUNTRY POINTS, AND FEEDLOTS						
28. LIVESTOCK PURCHASED AT AUCTION MARKETS, VIDEO SALES, AND TERMINAL STOCKYARDS						
29. LIVESTOCK PURCHASED FROM OTHER PACKERS						
30. LIVESTOCK SOLD						
31. LIVESTOCK ON HAND FOR SLAUGHTER OR FEEDING AT THE END OF THE REPORTING PERIOD						
32. TOTAL HEAD OF LIVESTOCK SLAUGHTERED						
33. OF TOTAL LIVESTOCK SLAUGHTERED, NUMBER PURCHASED AND PAID FOR ON A DRESSED WEIGHT OR A CARCASS MERIT BASIS						
34. DO YOU OWN OR OPERATE A SCALE ON WHICH YOU WEIGH LIVESTOCK PURCHASED FOR SLAUGHTER ? <input type="checkbox"/> YES <input type="checkbox"/> NO						
35. DO YOU USE ANY TYPE OF ELECTRONIC EVALUATION DEVICE (other than a scale) FOR PAYMENT DETERMINATION <input type="checkbox"/> YES <input type="checkbox"/> NO (IF YES, LIST TYPE OF EQUIPMENT AND MANUFACTURER?)						

**SECTION 5 . LIVESTOCK FEEDING AND CONTRACTING ACTIVITIES** (include your domestic livestock feeding activities and those of the subsidiaries and affiliated companies reported in line 15.) Also complete a separate supplement (form P&SP-3100) for each plant per type of livestock.

36. LIVESTOCK FED BY YOU	NUMBER OF HEAD (removed from feed for slaughter during reporting period)				
	STEERS AND HEIFERS	COWS AND BULLS	CALVES	HOGS	SHEEP
37. LIVESTOCK OWNED BY YOU, BUT FED BY OTHERS	NUMBER OF HEAD (removed from feed for slaughter during reporting period)				
	STEERS AND HEIFERS	COWS AND BULLS	CALVES	HOGS	SHEEP

**38. COMPLETE CATEGORY OF LIVESTOCK.** (To be completed for all plants where firm's annual total slaughter is greater than 100,000 steers and heifers, 100,000 sheep, or 1,000,000 hogs) (refer to form P&SP -3100 supplemental form only by species when threshold met)

	a. DELIVERY DATE	b. FORWARD 1 CONTRACT	c. MARKETING 2 AGREEMENTS	d. PACKER FED 3	e. OTHER 4	f. TOTAL SLAUGHTERED FOR MONTH
JANUARY						
FEBRUARY						
MARCH						
APRIL						
MAY						
JUNE						
JULY						
AUGUST						
SEPTEMBER						
OCTOBER						
NOVEMBER						
DECEMBER						
39g.	TOTAL					

1. FIXED PRICE OR BASIS CONTRACT
2. AGREEMENT TO PURCHASE LIVESTOCK AT A FUTURE DATE WITH THE PRICE TO BE DETERMINED AT OR AFTER THE TIME OF SLAUGHTER
3. INCLUDE ALL COMPANY-OWNED LIVESTOCK FED FOR SLAUGHTER, WHETHER CUSTOM FED OR FED IN A COMPANY OWNED OR OPERATED LOT AND ANY PARTNERSHIP, JOINT VENTURE, OR OTHER FEEDING ARRANGEMENT
4. ANY LIVESTOCK PURCHASED OVER TWO WEEKS IN ADVANCE OF SLAUGHTER AND NOT LISTED IN COLUMNS 1, 2, or 3

**SECTION 6. FINANCIAL INFORMATION** (Complete this Section as of the end of the reporting period including financial information for all subsidiaries and affiliated companies listed in line 15. *IN ADDITION*, complete this Section for EACH of these subsidiary and affiliated company engaged in slaughtering of livestock.)

**NOTE:** A copy of financial statements or audit reports, if available for the period covered by this report, may be furnished in lieu of the Balance Sheet and Statement of Income information below, provide that information requested herein but not shown in such statements or reports is furnished under this section.

SUBSIDIARY NAME (if applicable)	Fiscal Year Ending
<b>40. STATEMENT OF INCOME</b>	
<b>A SALES</b>	
Gross Sales Less Sales Returns and Allowances:	
Meat and Meat Food Products (include all edible products and byproducts)	\$ _____ 101
Other (include all other sales) _____	_____ 102
	<b>NET SALES</b> 103 \$ _____
<b>B COST OF SALES</b>	
Opening Inventory .....	_____ 104
Livestock Purchases .....	_____ 105
Meat and Meat Food Products Purchased .....	_____ 106
Other Purchases .....	_____ 107
<b>Subtotal</b>	_____ 108
Less: Closing Inventory .....	_____ 109
	<b>TOTAL COST OF SALES</b> 110 _____
	<b>GROSS INCOME</b> 111 _____
<b>C. OPERATING EXPENSES</b>	
Manufacturing .....	_____ 112
Advertising .....	_____ 113
Sales Promotion .....	_____ 114
Sales Brokerage .....	_____ 115
Selling (other than above) .....	_____ 116
Delivery .....	_____ 117
General and Administrative .....	_____ 118
Depreciation and Amortization .....	_____ 119
Interest .....	_____ 120
Consulting Fees .....	_____ 121
Other .....	_____ 122
	<b>TOTAL OPERATING EXPENSES</b> 123 _____
	<b>OPERATING INCOME (LOSS)</b> 124 _____
<b>D. OTHER INCOME</b>	
Interest .....	_____ 125
Other (specify) .....	_____ 126
_____	_____ 127
	<b>TOTAL OTHER INCOME</b> 128 _____
	<b>TOTAL INCOME</b> 129 _____
<b>E. OTHER EXPENSES</b>	
(specify) .....	_____ 130
_____	_____ 131
	<b>TOTAL OTHER EXPENSES</b> 132 _____
	<b>INCOME (LOSS) BEFORE INCOME TAXES</b> 133 _____
<b>F. PROVISION FOR INCOME TAXES</b>	
Current .....	_____ 134
Deferred .....	_____ 135
	<b>TOTAL INCOME TAX</b> 136 _____
	<b>NET INCOME (LOSS)</b> 137 _____
<b>G. Retained Earnings/Owners' Capital at Beginning of Period</b> .....	
Additions to Owners' Capital -- If Unincorporated (+) .....	_____ 138
Dividends Declared/Owners' Withdrawals (-) .....	_____ 139
Other Deductions (specify) (-) .....	_____ 140
_____	_____ 141
	<b>NET ADJUSTMENT</b> 142 _____
Retained Earnings/Owners' Capital at End of Period .....	_____ 143 _____

SECTION 6. FINANCIAL INFORMATION (continued)

SUBSIDIARY NAME (if applicable)	Fiscal Year Ending	
<b>41. BALANCE SHEET -- ASSETS</b>		
<b>A. CURRENT ASSETS</b>		
Cash on Hand and in Banks (enter overdraft on next page under Current Liabilities)	.....	\$ _____ 201
Temporary Cash Investments (marketable securities, certificates of deposit, commercial paper, etc)	.....	_____ 202
Accounts Receivable		
From Trade	203 \$ _____	
From Others (owners, officers, employees, subsidiaries and affiliated companies)	204 _____	
Total Accounts Receivable	205 _____	
Less Allowance for Doubtful Accounts	206 _____	_____ 207
Notes and Loans Receivable (due within 1 year)		
Due from Subsidiaries and Affiliated Companies	208 _____	
Due from Owners, Directors, Officers, Stockholders	209 _____	
Due from Others	210 _____	_____ 211
Inventories		
Livestock	212 _____	
Meat and Meat Food Products	213 _____	
Other Inventories	214 _____	_____ 215
Advances Made on Livestock and Meat	.....	_____ 216
Prepaid Expenses (rent, Insurance, etc.)	.....	_____ 217
Other Current Assets	.....	_____ 218
	<b>TOTAL CURRENT ASSETS</b>	<b>219 \$ _____</b>
<b>B. INVESTMENTS</b>		
Subsidiaries and Affiliated Companies (section 2, line 15 only)	.....	_____ 220
Other	.....	_____ 221
	<b>TOTAL INVESTMENTS</b>	<b>222 _____</b>
<b>C. FIXED ASSETS</b>		
Land	.....	_____ 223
Buildings, Equipment, and Other Fixed Assets	224 _____	
Less Accumulated Depreciation and Amortization	225 _____	_____ 226
	<b>NET FIXED ASSETS</b>	<b>227 _____</b>
<b>D. OTHER ASSETS</b>		
Notes Receivable (due after 1 year)	.....	_____ 228
Goodwill, Patents, Trademarks	.....	_____ 229
Other Assets (specify)	.....	_____ 230
	.....	_____ 231
	<b>TOTAL OTHER ASSETS</b>	<b>232 _____</b>
	<b>TOTAL ASSETS</b>	<b>233 _____</b>

SECTION 6. FINANCIAL INFORMATION (continued)

SUBSIDIARY NAME (if applicable)			Fiscal Year Ending
<b>42. BALANCE SHEET - LIABILITIES AND OWNERS' EQUITY</b>			
<b>A. CURRENT LIABILITIES</b>			
Bank Overdraft (per books)		\$ _____	301
Accounts Payable - Livestock		_____	302
Accounts Payable- Meat and Other		_____	303
Notes and Loans Payable (due within 1 year)			
Due to Banks, Financing and Lending Companies	304	\$ _____	
Due to Subsidiaries and Affiliated Companies	305	_____	
Due to Owners, Directors, Officers, Stockholders	306	_____	
Due to Others	307	_____	308
Accrued Liabilities		_____	309
Accrued Federal and Other Income Taxes		_____	310
Accrued Other Taxes		_____	311
Other Current Liabilities		_____	312
		<b>TOTAL CURRENT LIABILITIES</b>	<b>313</b>
			\$ _____
<b>B. LONG-TERM LIABILITIES (due after 1 year)</b>			
Due to Banks, Financing and Lending Companies		_____	314
Due to Subsidiaries and Affiliated Companies		_____	315
Due to Owners, Directors, Officers, Stockholders		_____	316
Due to Others		_____	317
		<b>TOTAL LONG-TERM LIABILITIES</b>	<b>318</b>
		<b>TOTAL LIABILITIES</b>	<b>319</b>
<b>C. DEFERRED ITEMS</b>			
		_____	320
<b>D. OWNERS' EQUITY</b>			
If Incorporated:			
Capital Stock - Preferred and Common		_____	321
Additional Paid-In Capital		_____	322
Retained Earnings	323	_____	
Less Cost of Treasury Stock	324	_____	
Total Retained Earnings		_____	325
If Unincorporated			
Owners' Capital - - Net of Withdrawals		_____	326
		<b>TOTAL OWNERS' EQUITY</b>	<b>327</b>
		<b>TOTAL LIABILITIES &amp; OWNERS' EQUITY</b>	<b>328</b>
<b>E. CONTINGENT LIABILITIES (attach explanation)</b>			

43. PACKERS BUYING LIVESTOCK FOR SLAUGHTER MUST COMPLETE LINES 48 THROUGH 58.

**BOND INFORMATION**

NOTE: Include Total Purchase Price of ALL Livestock Purchased

1. Total Livestock Purchased for Feeding	_____	401
2. Total Livestock Purchased for Slaughter	_____	402
<b>TOTAL LIVESTOCK PURCHASED</b>	\$ _____	<b>403</b>

**ACCOUNTS RECEIVABLE AND/OR INVENTORY FINANCING ARRANGEMENT(S)**

<p>44. As of the end of the reporting period, were Accounts Receivable and/or Inventories, or any part thereof, pledged, or assigned as collateral under a financing or loan agreement? (If answer is YES, complete questions 50 through 57 and fully explain)</p> <p style="text-align: center;"><input type="checkbox"/> YES      <input type="checkbox"/> NO</p>	<p>45. Name and address of financial lending institutions having security interests</p> <p>_____</p> <p>_____</p> <p>_____</p>
<p>46. Are Inventories collateralized under the agreement?</p> <p style="text-align: center;"><input type="checkbox"/> YES      <input type="checkbox"/> NO</p>	<p>47. Are Accounts Receivable collateralized under the agreement?</p> <p style="text-align: center;"><input type="checkbox"/> YES      <input type="checkbox"/> NO</p>
<p>48. Enter borrowing limit under the agreement</p> <p style="text-align: center;">\$ _____</p>	<p>49. Enter borrowing payable as of the end of the reporting period</p> <p style="text-align: center;">\$ _____</p>
<p>50. Are any Company owners, directors, officers, or stockholders named as a guarantor under the agreement?</p> <p style="text-align: center;"><input type="checkbox"/> YES      <input type="checkbox"/> NO      <input type="checkbox"/> NOT APPLICABLE</p>	<p>51. If Company is a subsidiary of another, is the Parent Company named as a guarantor under the agreement?</p> <p style="text-align: center;"><input type="checkbox"/> YES      <input type="checkbox"/> NO      <input type="checkbox"/> NOT APPLICABLE</p>
<p>52. Restrictions or covenants under financing or loan agreement</p> <p>(a) Minimum cash balance to be maintained on deposit      \$ _____</p> <p>(b) Minimum working capital ratio to be maintained (give ratio)      _____</p> <p>(c) Others (describe) _____</p>	
<p>53. Name and location of banks used for livestock payments (attach list if additional space is needed)</p>	

**SECTION 7 - CERTIFICATION:** I certify that this report has been prepared by me or under my direction, and that to the best of my knowledge and belief, this report correctly reflects the operations of the reporting packer.

54. DATE	55. TITLE	56. SIGNATURE (Owner, partner, or corporate officer if a corporation)