

U.S. DEPARTMENT OF AGRICULTURE
 GRAIN INSPECTION, PACKERS AND STOCKYARDS ADMINISTRATION
 PACKERS AND STOCKYARDS PROGRAM
**ANNUAL REPORT OF MARKETING
 AGENCY - SOC**

OMB Control No. 0580-0015

Response is required so that financial solvency can be monitored and to determine the amount of bond required to operate subject to the Packers and Stockyards Act (9 CFR 201.97). Information held confidential (9 CFR 201.96).

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0580-0015. The time requested to complete this information is estimated to average 2 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection.

INSTRUCTIONS: This report must be completed by any individual, partnership, corporation, or association engaged in the business of buying and selling livestock on their own account as a dealer or market agency buying livestock on a commission basis (order buyer) under the provisions of the Packers and Stockyards Act, 1921, as Amended and Supplemented. If the reporting registrant operates on a calendar year basis, this report must be returned no later than April 15 following the calendar year end. If the reporting registrant operates on a fiscal year basis, this report must be filed no later than 90 days after the close of the fiscal year. If the space provided for any item is insufficient, attach additional pages containing the required information and refer to the appropriate section and item number.

1. DOES THE FIRM OPERATE ON A FISCAL YEAR BASIS? YES NO
 IF YES, LIST FIRM'S FISCAL YEAR _____

2. LIST PERIOD COVERED BY THIS REPORT December 31, 20 _____.

RETURN COMPLETED FORM TO THE APPROPRIATE REGIONAL OFFICE; SEE SEPARATE INSTRUCTIONS FOR INFORMATION.

SECTION 1 - GENERAL INFORMATION

3. NAME AND ADDRESS OF REPORTING FIRM (Include Street and Mailing Address, ZIP + 4 code)	4. TELEPHONE NUMBER 5. Fax Number 6. E-Mail Address	7. TYPE OF ORGANIZATION (check one) <input type="checkbox"/> ASSOCIATION <input type="checkbox"/> CORPORATION <input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> L.L.C. <input type="checkbox"/> L.L.P. <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> OTHER _____
8. DOES THE REPORTING FIRM OPERATE A WEBSITE IN CONJUNCTION WITH ITS LIVESTOCK OPERATIONS? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF "YES," PROVIDE DETAILS _____	
9. DID ANY CHANGES IN THE FIRM'S ORGANIZATION OCCUR DURING THE REPORTING YEAR? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF "YES," PROVIDE DETAILS _____	
10. IS STOCKYARD LEASED? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF "YES," GIVE NAME AND ADDRESS OF LESSOR _____	

11. OWNERS, PARTNERS, OFFICERS, AND DIRECTORS

NAME	TITLE AND DUTIES	PERCENT OF OWNERSHIP	SOCIAL SECURITY NUMBER/TIN

The Privacy Act of 1974 requires this Agency to inform applicant that disclosures of social security numbers are optional and that the information sought on this form is required by 9 CFR 201.10. The sole use of the social security number(s) sought on this form is to distinguish between applicants and registrants that have identical or similar names. As this Agency maintains a large volume of applications and registrations, applicants are encouraged to supply social security numbers.

12. DOES ANY OWNER, OFFICER, DIRECTOR, STOCKHOLDER, OR EMPLOYEE OF YOUR FIRM OWN AN INTEREST IN ANY OTHER MARKET AGENCY, DEALER ORGANIZATION, STOCKYARD COMPANY, OR PACKING COMPANY? YES NO
 IF "YES," GIVE NAME OF PERSON, FIRM, AND EXTENT OF OWNERSHIP _____

SECTION 2 - BOND INFORMATION

13. NUMBER OF PUBLIC SALE DAYS COVERED BY THIS REPORT _____

14. GROSS VALUE OF LIVESTOCK SOLD ON COMMISSION \$ _____

15. TOTAL COST OF LIVESTOCK PURCHASED ON COMMISSION \$ _____

16. TOTAL COST OF LIVESTOCK PURCHASED ON A DEALER BASIS \$ _____ 17. _____

SECTION 3 - ANALYSIS OF CUSTODIAL BANK ACCOUNT FOR SHIPPERS' PROCEEDS

18. BALANCE AS PER BANK STATEMENT		\$ _____
19. DEPOSITS IN TRANSIT (in mail or bank, but not on statement)		_____
20. CERTIFICATES OF DEPOSIT DESIGNED AS CUSTODIAL FUNDS AND PURCHASED FROM BANK CARRYING CUSTODIAL ACCOUNT		_____
21. SAVINGS ACCOUNT BALANCE DESIGNATED AS CUSTODIAL FUNDS DEPOSITED IN BANK CARRYING CUSTODIAL ACCOUNT		_____
22. PROCEEDS ON HAND		_____
23. PROCEEDS RECEIVABLE		_____
24. TOTAL DEBITS		\$ _____
25. OUTSTANDING CHECKS AND DRAFTS WHICH HAVE NOT CLEARED BANK		_____
26. PROCEEDS DUE CONSIGNORS OF LIVESTOCK FOR WHICH NO CHECKS WERE ISSUED		_____
27. EXPENSE ITEMS INCIDENT TO SALES REMAINING IN ACCOUNT		_____
28. TOTAL CREDITS		_____
29. OVERAGE (explain) (carry to line 33)	_____	_____
30. Shortage (explain) (carry to line 70)	_____	_____

NOTE: A copy of financial statements or audit report, if available for period, covered by this report, may be furnished in lieu of the Balance Sheet and Profit and Loss information; PROVIDED, information requested herein but not shown in such statements or reports is furnished.

SECTION 4 - BALANCE SHEET AS OF CLOSE OF REPORTING PERIOD

A. CURRENT ASSETS			
1. Cash			
a. On Hand	31.	\$ _____	
b. General Bank Account (enter overdraft below under current liabilities)	32.	_____	
c. Overage in Analysis of Custodial Bank Account (from line 29)	33.	_____	34. _____
2. Inventories			
a. Livestock	35.	_____	
b. Feed	36.	_____	
c. Other (specify)	37.	_____	38. _____
3. Marketable Securities: U.S. Government Bonds and Others			39. _____
4. Accounts Receivable			
a. One Year Old or Less			
(1) Due from Trade	40.	_____	
(2) Due from Affiliates and Subsidiaries	41.	_____	
(3) Due from Officers	42.	_____	
(4) Due from Employees	43.	_____	
(5) Due from Others	44.	_____	
b. Subtotal (40 thru 44)	45.	_____	
c. More than One Year Old	46.	_____	
d. Subtotal (45 and 46)	47.	_____	
e. Less Allowance for Doubtful Accounts	48.	(_____)	49. _____
5. Notes Receivable Due within One Year (including current portion, due within one year, of long-term notes)			50. _____
6. Other Current Assets			
a. Prepaid Expenses	51.	_____	
b. Interest Receivable	52.	_____	
c. Other (specify)	53.	_____	54. _____
7. TOTAL CURRENT ASSETS			55. \$ _____
B. INVESTMENTS			
1. Farms and Real Estate	56.	_____	
2. Stocks and Bonds (cost or market value, whichever is lower)	57.	_____	
3. Other (specify)	58.	_____	
4. Total Investments			59. _____
C. FIXED ASSETS			
1. Land	60.	_____	
2. Buildings, Structures, and Equipment	61.	_____	
3. Less Allowance for Depreciation	62.	(_____)	63. _____
4. Total Fixed Assets			64. _____
D. OTHER ASSETS			
1. Long-term Notes Receivable (not due within one year)(excluding portion included in line 50 above)	65.	_____	
2. Other	66.	_____	
3. Total other Assets			67. _____
E. TOTAL ASSETS			68. _____

SECTION 4 - BALANCE SHEET (continued)

LIABILITIES AND NET WORTH

F. CURRENT LIABILITIES

1. Bank Overdraft (per book)	69. \$		
2. Shortage in Analysis of Custodial Bank Account (from line 30)	70.		
3. Payables, Accruals, and other Current Liabilities			
a. Due to Trade	71. \$		
b. Due to Suppliers	72.		
c. Due to Affiliates and Subsidiaries	73.		
d. Due to Officers	74.		
e. Due to Employees	75.		
f. Advances and Deposits on Livestock	76.		
g. Taxes	77.		
h. Demand Notes Payable	78.		
i. Notes, Mortgages, and Bonds Due within One Year (including payments on long-term debts due within one year)	79.		
j. Other	80.	81.	
4. Total Current Liabilities			82. \$

G. LONG-TERM LIABILITIES

1. Notes, Mortgages, and Bonds Payable (excluding payments due within one year, included in line 79 above)	83. \$		
2. Less Sinking Fund	84.	85.	
3. Other		86.	
4. Total Long-term Liabilities			87.

H. TOTAL LIABILITIES

88. \$

I. NET WORTH

1. If Incorporated:			
a. Capital Stock- Preferred	89.		
b. Capital Stock - Common	90.		
c. Paid in Capital	91.		
d. Retaining Earnings	92.		
2. If Unincorporated:			
a. Owner's Capital	93.		
b. Undivided Profits (+) or Less (-)	94.		
3. Total Net Worth			95.

J. TOTAL LIABILITIES AND NET WORTH

96.

SECTION 5 - VOLUME OF LIVESTOCK HANDLED DURING YEAR

	NUMBER OF HEAD					
	Steers and Heifers	Cows and Bulls	CALVES	HOGS	SHEEP	OTHER
97. Livestock consigned by others to your firm for sale during year						
98. Livestock consigned by you to your firm						
99. Total of lines 97 and 98						
100. Livestock bought on commission out of consignments to your firm						
101. Livestock bought on commission at other than your own firm						

SECTION 6 - INCOME STATEMENT FOR ALL OPERATIONS

A. INCOME

- 1. Selling Commissions 102. \$ _____
- 2. Yardage 103. _____
- 3. Buying Commissions 104. _____
- 4. Gross Profit (+) or Loss (-) from Market Support Account (from Section 7) 105. _____
- 5. Gross Profit (+) or Loss (-) from Dealer Operations (from Section 8) 106. _____
- 6. Gross Profit (+) or Loss (-) from Feed Account 107. _____
- 7. Other Income (specify) _____
 _____ 108. _____

8. Total Income 109. _____

B. EXPENSES

- 1. Wages and Bonuses 110. _____
- 2. Insurance 111. _____
- 3. Utilities 112. _____
- 4. Taxes 113. _____
- 5. Rent 114. _____
- 6. Depreciation 115. _____
- 7. Travel and Entertainment (including auto expenses) 116. _____
- 8. Repairs and Maintenance 117. _____
- 9. Interest 118. _____
- 10. Advertising 119. _____
- 11. Bad Debts 120. _____
- 12. Trucking and Hauling 121. _____
- 13. Other Operating Expenses _____
 _____ 122. _____

14. Total Expenses 123. _____

C. NET INCOME (+) OR LOSS (-) 124. \$ _____

SECTION 7 - MARKET SUPPORT ACCOUNT (livestock purchased from consignments to support the market)

	NUMBER OF HEAD					
	STEERS AND HEIFERS	COWS AND BULLS	CALVES	HOGS	SHEEP	OTHER
125. LIVESTOCK PURCHASED FROM CONSIGNMENTS TO SUPPORT THE MARKET						
126. Gross Profit (+) or Loss (all species) (carry to line 105)	\$ _____					

SECTION 8 - DEALER OPERATIONS

	NUMBER OF HEAD					
	STEERS AND HEIFERS	COWS AND BULLS	CALVES	HOGS	SHEEP	OTHER
127. DEALER LIVESTOCK - NUMBER OF HEAD PURCHASED						
126. Gross Profit (+) or Loss (all species) (carry to line 106)	\$ _____					

SECTION 9 - CERTIFICATION: I certify that this report has been prepared by me or under my direction, and that to the best of my knowledge and belief, this report correctly reflects the operations of the reporting firm.

129. DATE	130. TITLE (Owner, Partner, Officer)	131. SIGNATURE