

ANNUAL REPORT OF LIVE POULTRY DEALERS

Response is required by law to ensure compliance with the Packers and Stockyards Act, 1921, as Amended and Supplemented. Failure to report after notice of default will result in forfeiture to the United States the sum of \$100 for each and every day of the continuance of such failure.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0580-0015. The time requested to complete this information is estimated to average 6 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

1. REPORT FOR YEAR ENDED

2. IF NOT FOR CALENDAR YEAR, INDICATE PERIOD COVERED:

RETURN COMPLETED REPORT TO APPROPRIATE REGIONAL OFFICE, SEE SEPARATE INSTRUCTIONS FOR INFORMATION.

INSTRUCTIONS: This report must be filed by any individual, partnership, corporation, or association, as determined by the Secretary, that is engaged in the business of a live poultry dealer as the term is defined in the Packers and Stockyards Act, 1921, and should be returned not later than April 15 following the calendar year end or 90 days after the close of fiscal period if business is on other than a calendar year basis. If space provided in any item is not sufficient, attach additional sheets containing the information and make reference to the relevant Section and item number.
 SEE ENCLOSED INSTRUCTIONS BEFORE COMPLETING THIS REPORT.

SECTION 1. GENERAL INFORMATION

3. NAME AND ADDRESS OF POULTRY DEALER <i>(Include ZIP +4 code)</i>	4. TYPE OF ORGANIZATION <i>(check one)</i> <input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> CORPORATION <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> OTHER (specify) _____ 5. IF A CORPORATION, STATE, IN WHICH INCORPORATED	7. TELEPHONE NUMBER 8. Fax Number 9. E-Mail Address
10. DOES THE REPORTING FIRM OPERATE A WEBSITE IN CONJUNCTION WITH ITS POULTRY OPERATIONS? <input type="checkbox"/> YES <input type="checkbox"/> NO IF YES, PROVIDE DETAILS		
11. DID ANY CHANGE IN ORGANIZATION TAKE PLACE DURING THE YEAR? <input type="checkbox"/> YES <input type="checkbox"/> NO	12. IF YES, PROVIDE DETAILS	
13. DID YOU ACQUIRE ANY PLANTS THROUGH PURCHASE, MERGER, LEASE, ETC., DURING REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	14. DID YOU SELL OR LEASE ANY PLANTS TO OTHERS DURING REPORTING PERIOD? <input type="checkbox"/> YES <input type="checkbox"/> NO	
15. ACTIVITIES ENGAGED IN: <input type="checkbox"/> Slaughtering <input type="checkbox"/> Producing poultry under growing arrangement <input type="checkbox"/> Dealing-selling poultry for slaughter		
16. OWNERS, PARTNERS, OFFICERS, AND DIRECTORS		

NAME	TITLE	MAILING ADDRESS (Street and No., City, State, and Zip Code)

17. IF REPORTING COMPANY IS OWNED OR CONTROLLED BY ANY CORPORATION OR INDIVIDUAL OTHER THAN THOSE LISTED UNDER LINE 16 ABOVE, GIVE NAME AND ADDRESS OF SUCH CORPORATION OR INDIVIDUAL:

SECTION 3. FINANCIAL INFORMATION (Complete this Section as of the end of the reporting period including financial information for all subsidiaries and affiliated companies listed in line 18. *IN ADDITION*, complete this Section for EACH subsidiary and affiliated companies engaged in slaughtering poultry).

NOTE: A copy of financial statements or audit report, if available for the period covered by this report, may be furnished in lieu of the Balance Sheet and Statement of Income information below, PROVIDED information requested herein but not shown in such statements or reports is furnished under this section.

SUBSIDIARY NAME (if applicable): _____ Fiscal Year Ending: _____

1. STATEMENT OF INCOME

A SALES:

Gross Sales Less Sales Returns and Allowances:
 Live Poultry and Poultry Products (include all edible products and byproducts) \$ _____ 35.
 Other (Include all other sales) _____ 36.
NET SALES 37. \$ _____

B COST OF SALES:

Opening Inventory _____ 38.
 Live Poultry Purchases _____ 39.
 Poultry Growouts _____ 40.
 Other Purchases (include poultry products) _____ 41.
 _____ 42.
 Less: Closing Inventory _____ 43.
TOTAL COST OF SALES 44. _____
GROSS INCOME 45. _____

C. OPERATING EXPENSES:

Manufacturing _____ 46.
 Advertising _____ 47.
 Sales Promotion _____ 48.
 Sales Brokerage _____ 49.
 Selling (other than above) _____ 50.
 Delivery _____ 51.
 General and Administrative _____ 52.
 Depreciation and Amortization _____ 53.
 Interest _____ 54.
 Other _____ 55.
TOTAL OPERATING EXPENSE 66 _____
OPERATING INCOME (LOSS) 57 _____

D. OTHER INCOME:

Interest _____ 58.
 Other (specify) _____ 59.

TOTAL OTHER INCOME 60 _____
TOTAL INCOME 61 _____

E. DEDUCTIONS:

(specify) _____ 62.

TOTAL DEDUCTIONS 63. _____
INCOME (LOSS) BEFORE INCOME TAXES 64. _____

F. EXPENSE INCOME TAXES:

Current _____ 65.
 Deferred _____ 66.
TOTAL INCOME TAX 67 _____
NET INCOME (LOSS) 68 _____

G. Retained Earnings/Owners' Capital at Beginning of Period 69. _____

Additions to Owners' Capital -- if unincorporated or net income if incorporated _____ 70.
 Dividends Declared/Owners' Withdrawals _____ 71.
 Other Deductions (specify) _____ 72.
NET ADJUSTMENT 73. _____

Retained Earnings/Owners' Capital at End of Period 74. _____

SECTION 3. FINANCIAL INFORMATION (continued)

SUBSIDIARY NAME (if applicable):	Fiscal Year Ending:	
1. BALANCE SHEET -- ASSETS		
A. CURRENT ASSETS:		
Cash on Hand and in Banks (enter overdraft on next page under Current Liabilities)	\$ _____ 75
Temporary Cash Investments (marketable securities, certificates of deposit, commercial paper, etc.)	_____ 76
Accounts Receivable:		
From Trade	77 _____	
From Others (owners, officers, employees, subsidiaries, and affiliated companies)	78 _____	
Less Allowance for Doubtful Accounts	79 _____	_____ 80
Notes and Loans Receivable (due within 1 year):		
Due from Subsidiaries and Affiliated Companies	81 _____	
Due from Owners, Directors, Officers, Stockholders	82 _____	
Due from Others	83 _____	_____ 84
Inventories:		
Live Poultry	85 _____	
Poultry Products	86 _____	
Other Inventories	87 _____	_____ 88
Advances Made on Live Poultry	89 _____	
Prepaid Expenses (rent, insurance, etc.)	90 _____	
Other Current Assets	91 _____	_____ 92
	TOTAL CURRENT ASSETS	93 \$ _____
B. INVESTMENTS:		
Subsidiaries and Affiliated Companies (line 22 only)	_____ 94
Other	_____ 95
	TOTAL INVESTMENTS	96 _____
C. FIXED ASSETS:		
Land	_____ 97
Buildings, Equipment, and Other Fixed Assets	98 _____	
Less Accumulated Depreciation	99 _____	_____ 100
	NET FIXED ASSETS	101 _____
D. OTHER ASSETS:		
Notes Receivable (due after 1 year)	_____ 102
Goodwill, Patents, Trade-marks	_____ 103
Other Assets (specify)	_____ 104
	TOTAL OTHER ASSETS	105 _____
	TOTAL ASSETS	106 _____

SECTION 3. FINANCIAL INFORMATION (continued)

SUBSIDIARY NAME (If applicable):

Fiscal Year End ing:

2. BALANCE SHEET - LIABILITIES AND OWNERS' EQUITY

A. CURRENT LIABILITIES:

Bank Overdraft (per books)	\$ _____	107
Accounts Payable -Poultry (purchases and growout contracts)	_____	108
Accounts Payable - Other	_____	109
Notes and Loans Payable (due within 1 year):			
Due to Banks, Financing and Lending Companies	110 \$ _____		
Due to Subsidiaries and Affiliated Companies	111 _____		
Due to Owners, Directors, Officers, Stockholders	112 _____		
Due to Others	113 _____		114
Accrued Liabilities	_____	115
Accrued Federal and Other Income Taxes	_____	116
Accrued Other Taxes	_____	117
Other Current Liabilities	_____	118
		TOTAL CURRENT LIABILITIES	119 \$ _____

B. LONG-TERM LIABILITIES (Due after 1 year):

Due to Subsidiaries and Affiliated Companies	_____	120
Due to Owners, Directors, Officers, Stockholders	_____	121
Due to Others	_____	122
		TOTAL LONG-TERM LIABILITIES	123 _____
		TOTAL LIABILITIES	124 _____

C. DEFERRED INCOME TAXES

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D. OWNERS' EQUITY:

If Incorporated:			
Capital Stock - Preferred and Common	_____	126
Additional Paid-In Capital	_____	127
Retained Earnings	128 _____		
Less Cost of Treasury Stock	129 _____		
Total Retained Earnings	_____	130
If Unincorporated:			
Owners' Capital - - Net of Withdrawals	_____	131
		TOTAL EQUITY	132 _____
TOTAL LIABILITY AND EQUITY			133 _____

137 CONTINGENT LIABILITIES (attach explanation)

SECTION 4. ACCOUNTS RECEIVABLE AND/OR INVENTORY FINANCING ARRANGEMENTS

<p>135. As of the end of the reporting period, were Accounts Receivable and/or Inventories, or any part thereof, pledged, assigned, or hypothecated as collateral under a financing or loan agreement? (If answer is "YES", complete questions 136 through 145.)</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p><i>(If the answer is YES, fully explain)</i></p>	<p>136. Names and addresses of financial lending institutions having security interests:</p> <p>_____</p> <p>_____</p> <p>_____</p>
<p>137. Are Inventories collateralized under the agreement?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>	<p>138. Are Accounts Receivable collateralized under the agreement?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>
<p>139. Enter borrowing limit under the agreement:</p> <p style="text-align: center;">\$ _____</p>	<p>140. Enter borrowing payable as of the end of the reporting period:</p> <p style="text-align: center;">\$ _____</p>
<p>141. Are any Company owners, directors, officers, or stockholders named as a guarantor under the agreement?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>	<p>142. If Company is a subsidiary of another, is the Parent Company named as a guarantor under the agreement?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> NOT APPLICABLE</p>
<p>Restrictions and covenants under financing or loan agreement:</p> <p>143. Minimum cash balance to be maintained on deposit \$ _____</p> <p>144. Minimum working capital ratio to be maintained (give ratio) _____</p> <p>145. Others (describe)</p>	
<p>146. Name and location of banks used for poultry payments (Attach list if additional space is needed):</p>	

SECTION 5 - CERTIFICATION: I certify that this report has been prepared by me or under my direction, and that to the best of my knowledge and belief, said report correctly reflects the operations of the reporting poultry dealer.

147. DATE	148. TITLE	149. SIGNATURE (sole proprietor, partner, or officer responsible if a corporation)