SUPPORTING STATEMENT FOR THE ADMINISTRATION FOR NATIVE AMERICANS (ANA) GRANT APPLICATION DATA SUMMARY (GADS) FORM

OMB No. 0970-New (Previously, ANA collected information using a separate form for each competitive area (OMB No. 0970-0261, OMB No. 0970-0263, and OMB No. 0970-0264). ANA has consolidated the three previous information collections into the single GADS Instrument).

A. Justification

1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

The Grant Application Data Summary (GADS) information collections are conducted in accordance with 42 USC of the Native American Programs Act of 1972, as amended. These collections are necessary to evaluate applications for financial assistance and determine the relative focus of the projects for which such assistance is requested, as set forth in Sec. 806 [42 USC 2991d-1] (a)(1).

2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

The Grant Application Data Summary (GADS) collects information from applicants seeking grants from the Administration for Native Americans (ANA). ANA awards annual grants in three competitive areas. Previously, ANA collected information using a separate form for each competitive area (OMB No. 0970-0261, OMB No. 0970-0263, and OMB No. 0970-0264). ANA has consolidated the three previous information collections into the single GADS Instrument. The respondents are Tribal Governments, Native Non-Profits, Tribal Colleges and Universities.

The information collected from this form is used by ANA to develop several annual and historical/comparative reports for the Commissioner of ANA as well as Congress as to the types of projects ANA is funding and the focus of these projects. These reports are broken out by ANA program categories (SEDS, Alaska SEDS, Healthy Marriage, Language, and Environmental), "ANA Areas of Interest" (business development, youth development, etc), States, ANA Technical Assistance Regions, and type of applicants. These reports are prepared annually and the data collected from previous years is compared. This historical/comparative report helps ANA determine if more Technical Assistance is needed in certain regions; if different marketing strategies need to be developed to promote the ANA Funding Categories, if different applicants require more specific Technical Assistance, and how much funding is being requested from applicants within specific regions.

Applicants complete this as part of their funding applications. This standardized format will allow ANA to effectively develop these historical/comparative reports.

3. Describe whether, and to what extent the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g. permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.

Applicants are able to electronically submit the GADS form through the use of <u>www.grants.gov</u>. ANA has offered the grants.gov option for completing application requirements in order to ease the burden on applicants and encourage the submission of applications. The applicant has the option to submit a hard copy of this form with the application package or via <u>www.grants.gov</u>.

4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

ANA has reviewed information collection instruments and has determined that there are no existing forms which can be used to meet ANA's data collection needs.

5. If the collection of information impacts small businesses or other small entities (Item 5 of OMB Form 83-I), describe any methods used to minimize burden.

The information being requested has been held to the absolute minimum required for the intended use.

6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reduce burden.

Failure to collect this information would violate the legislative mandate of the Native American Programs Act of 1974 as amended. The GADS form is required one time only (at the time of application). Reducing the frequency of the GADS

form would hamper ANA's efforts to exercise oversight of its applications and would preclude ANA from offering timely training and technical assistance to applicants in need.

7. Explain any special circumstances that require the collection to be conducted in a manner:

There are no special circumstances requiring these collections to be conducted in any manner described in Item #7 of the OMB Supporting Statement Instructions and Guidance.

8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8 (d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

Attached are copies of the First and Second Federal Register Notices (February 21, 2007, Volume 72, p. 7,892 and April 16, 2007, Volume 72, p. 19,935, respectively). No public comments have been received in response to these notices.

9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

No payments or gifts have been or will be provided to any respondents.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

Information being requested in the GADS form is not considered confidential, therefore no additional safeguards are considered necessary beyond that customarily applied to routine government information. In rare cases, grantees may insert or attach information to their GADS form such as Tribal or Organizational Profiles that include contact information. ANA will take reasonable precautions to keep information contained in the GADS form private to the extent permitted by law. The GADS form will be "housed" electronically on the ANA shared drive and will be prudently maintained by ANA.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom

the information is requested, and any steps to be taken to obtain their consent.

This is not applicable. No information of a sensitive nature is requested in the GADS form.

12. Provide estimates of the hour burden of the collection of information.

INSTRUMENT	NUMBER OF RESPONDENTS	NUMBER OF RESPONSES PER RESPONDENT	AVERAGE BURDEN HOURS PER RESPONSE	TOTAL BURDEN HOURS
GADS Form (Includes the two methods of submission)	500	1	.5	250

ANNUAL BURDEN ESTIMATES

Estimated Total Annual Burden Hours:

250

The average reporting burden for these information collections includes the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information.

13. Provide an estimate of the annual cost burden to respondents or record keepers resulting from the collection of information.

The annual cost burden to respondents or record keepers resulting from the collection of information is expected to be zero.

14. Provide estimates of annualized cost to the Federal Government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from 12, 13, and 14 in a single table.

The estimated annualized cost to the government to collect and analyze this data is expected to be zero.

15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB Form 83-I.

There are no program changes or adjustments reported in items 13 and 14 of the OMB Form 83-I.

16. For collections of information whose results are planned to be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates and other actions.

The GADS form will not be published. The information on the GADS form will be tabulated and the aggregate data shared with Congress and other stakeholders on an annual basis.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.

This is not applicable.

18. Explain each exception to the certification statement identified in Item 19, "Certification for Paperwork Reduction Act Submissions," on Form OMB 83-I.

This is not applicable.