

FOREIGN-OWNED COMPANY QUESTIONNAIRE

Complete answers to all questions must be provided for each foreign-owned company participating in the proposed ATP project. Submit additional documentation, if necessary. [See Chapter 1, Section B.3.-7. in the ATP Proposal Preparation Kit for explanation.]

Title of Proposal:

Name of Submitting Organization:

1. Is the foreign-owned company incorporated in the United States, or is the LLC, partnership, or sole proprietorship with foreign ownership organized in the United States? (NOTE: A company, LLC, partnership, or sole proprietorship is considered foreign owned if it is majority owned or controlled by a non-U.S. entity, and the non-U.S. entity, or its ultimate parent, if any, is incorporated outside the United States.)

No. You are ineligible for an ATP award. Do not continue.

Yes. Please continue.

2. Name of foreign-owned company and U.S. address where research for the project will be conducted.

3. Name of ultimate foreign parent, address, and country of incorporation. Also provide percentage of ownership.

4. What is the type of participation of the foreign-owned company?

Single company

Joint venture lead company

Joint venture participant

5. What is the role of the foreign-owned company? (Check all that apply)

Key contributor to the high-risk tasks of the project

Minor contributor to the high-risk tasks of the project

Supplier of materials, equipment, or software services

Manufacturer

Other. Please explain.

6. What skills, capabilities, and resources does the foreign-owned company bring to the project? How will the company's role affect project milestones and the plan for commercialization?

7. Provide a brief description of:

a. Facility or facilities where project activities will be carried out;

b. Location;

c. Square footage; and

d. Special equipment.

8. How many employees will be dedicated to the project?

9. Provide the names, titles, and main responsibilities of key project staff.

10. Will there be any activities performed outside the United States?

No.

Yes. If yes, a completed R&D Work Performed Outside the United States by the Recipient or Subcontractor Questionnaire must be submitted.

11. Does the foreign-owned company expect to develop any new products or apply any new processes to its product lines incorporating the ATP supported technology?

No.

Yes. If yes, provide the following:

a. For each new product or process, when will it first occur?

b. Where?

c. If in the United States, which facility?

d. Which U.S. product line?

e. Other? Please explain.

12. a. Provide investments that the foreign-owned company has made in research, development, manufacturing, distribution, sales, and marketing in the United States during the past 3 years.

b. State approximate dollar value.

13. Describe the relationship between the foreign-owned company and its ultimate foreign parent.

Include financial arrangements, intercompany research agreements, and intellectual property arrangements. [See Chapter 1, Section F.1.-3. in the ATP Proposal Preparation Kit for explanation.]