

The Independent Evaluation of the CMHS BG Program

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Dear Planning Council Chair:

The Community Mental Health Services Block Grant (CMHS BG), funded by Congress to develop community-based systems of care for adults with serious mental illness and children with a serious emotional disturbance, is the largest Federal program dedicated to improving community mental health services. The sponsor of the program, the Substance Abuse and Mental Health Services Administration's Center for Mental Health Services, has contracted with Altarum, to conduct an independent evaluation of this program.

We are soliciting feedback about the CMHS BG from key program stakeholders. As the Chair of the State Planning Council, you have important insights and views about the intent, implementation, and impact of the CMHS BG in your State. We therefore would appreciate greatly your assistance with the evaluation by completing this survey. Most of the questions are closed-ended questions, where you will be asked to check the appropriate answer or answers. In addition, there are several open-ended questions, where you have the opportunity to comment. We urge you to be as honest and thoughtful as possible.

Please be assured that your answers will be strictly confidential. We will report responses to questions only in the aggregate, and we will never attribute specific comments to particular individuals. Your responses will not have any repercussions for your State and will not be used by the sponsoring organization to assess State compliance with the CMHS BG requirements; they will be used solely for the purposes of evaluating the CMHS BG as a whole.

Thank you very much for taking the time to participate.

Background Questions

- 1) **What group do you formally represent on your CMHS BG Planning Council? (Check one)**

- ☐ Consumers
☐ Family members
☐ Advocacy organization representatives
☐ Mental health providers
☐ State officials
☐ Health-related professionals (e.g., physician, nurse)
☐ Other (please describe) _____

- 2) **In what month and year did you become a member of the CMHS BG Planning Council?**

Month: _____
Year: _____

- 3) **In what month and year did you become the chair of the CMHS BG Planning Council?**

Month: _____
Year: _____

Application Development and Review

- 4a) **Do the five criteria provide an adequate framework for States to describe their State mental health systems?**

- ☐ Yes
☐ No

- 4b) **Please explain.**

- 4c) **Are there other criteria that could be helpful in developing States' plan?**

- ☐ Yes
☐ No

4d) If so, please discuss.

5) Who was involved in the development of your State's Fiscal Year (FY) 2005 CMHS BG State plan? (Check all that apply)

- ☐ Governor
- ☐ State Mental Health Director
- ☐ State CMHS BG Planner
- ☐ State Data Analysts
- ☐ CMHS BG Planning Council
- ☐ Planning council other than the CMHS BG Planning Council
- ☐ State agency other than the mental health agency (e.g., criminal justice, housing agency)
- ☐ Other (please describe) _____
- _____

6) What strategies or activities did your State use in the development of its FY 2005 CMHS BG State plan? (Check all that apply)

- ☐ An inventory of services
- ☐ A needs assessment
- ☐ State mental health strategic planning
- ☐ Stakeholder surveys
- ☐ Literature reviews
- ☐ Stakeholder interviews
- ☐ Other (please describe) _____
- _____

7) What resources did your State use in the development of its FY 2005 CMHS BG State plan? (Check all that apply)

- ☐ Surgeon General's Report on Mental Health
- ☐ New Freedom Commission Report
- ☐ FY 2004 CMHS BG application
- ☐ State mental health strategic plan
- ☐ State-collected mental health data
- ☐ Other (please describe) _____
- _____

8) Who was involved in the review of your State's FY 2005 CMHS BG State plan prior to submission? (Check all that apply)

- ☐ Governor
☐ State Mental Health Director
☐ State CMHS BG Planner
☐ State Data Analysts
☐ CMHS BG Planning Council
☐ Planning council other than the CMHS BG Planning Council
☐ State agency other than the mental health agency (e.g., criminal justice, housing agency)
☐ Other (please describe) _____

9) Did your CMHS BG Planning Council invite and receive feedback from the public on the State's FY 2005 CMHS BG State plan? If so, please indicate how. (Check all that apply)

Mechanism	Invited public comment? (Yes, No, Cannot answer)	Received public comment? (Yes, No, Cannot answer)
Web sites		
Public hearings at one location within the State		
Public hearings at multiple locations within the State		
Focus or consensus groups		
Review by sub-State CMHS BG planning authorities (e.g., counties planning boards)		
Other (please describe)		

10) How accurately did your State's FY 2005 State plan reflect current issues affecting its State mental health system?

- ☐ Not accurate
☐ Somewhat accurate
☐ Very accurate
☐ Completely accurate
☐ Cannot answer

11) What are the strengths of the process for developing the State CMHS BG State plan?

12) What are the weaknesses of the process for developing the State CMHS BG application?

13) What are supports that facilitate CMHS BG Planning Council involvement in the CMHS BG State plan development process?

14) What do you see as the barriers to CMHS BG Planning Council involvement in the CMHS BG State plan development process?

15a) Do you have any recommendations for improving the process of developing the State CMHS BG State plan?

- ☐ Yes
☐ No

15b) If so, what are they?

16a) Are there unintended positive results of CMHS BG Planning Council involvement in the CMHS BG State plan development and submission process?

- ☐ Yes
- ☐ No
- ☐ Cannot answer

16b) If so, please describe.

16c) Are there unintended negative results of CMHS BG Planning Council involvement in the CMHS BG State plan development and submission process?

- ☐ Yes
- ☐ No
- ☐ Cannot answer

16d) If so, please describe.

CMHS BG Planning Council Involvement in CMHS BG Activities**17) In FY 2005, how involved was the CMHS BG Planning Council in the following activities?**

Activity	Not involved	Somewhat involved	Moderately involved	Very involved	Extremely Involved	Cannot answer
Reviewing the State's CMHS BG State plan						
Monitoring and evaluating the allocation and adequacy of State mental health services funded in part through the CMHS BG						
Educating legislators						
Providing information to the public						
Creating study groups to develop recommendations for the State's CMHS BG State plan						
Developing products (e.g., documents related to cultural competence)						
Implementing surveys (e.g., consumer surveys)						
Drafting State legislation						
Implementing special projects						
Developing the State's CMHS BG State plan						
Participating in a needs assessment						
Reviewing data from the State mental health information system						
Other (please describe)						

18a) Did you have an opportunity to review the FY 2005 State plan?

- ☐ Yes
☐ No
☐ Cannot answer

18b) If so, how much time did you, as a CMHS BG Planning Council member, have to review the State's FY 2005 CMHS BG State plan?

- ☐ Less than a week
☐ 1 week to 2 weeks
☐ 2 weeks to a month
☐ More than a month
☐ Cannot answer

19) To what extent do you agree that the amount of time that the CMHS BG Planning Council had to review the FY 2005 CMHS BG State plan was adequate?

- ☐ Strongly disagree
☐ Somewhat disagree
☐ Neither agree nor disagree
☐ Somewhat agree
☐ Strongly agree
☐ Cannot answer

20) For each CMHS BG Planning Council group below, please rate the level of participation in the review of the State's FY 2005 CMHS BG State plan.

CMHS BG Planning Council Group	Not involved	Somewhat involved	Moderately involved	Very involved	Extremely involved	Cannot answer
Consumers						
Family members						
Advocacy organization						
Mental health providers						
State officials						
Health-related professionals (e.g., physician, nurse)						
Other (please describe)						

21) How frequently does your CMHS BG Planning Council meet in a typical year?

- ☐ We don't meet at least once a year
☐ Once or twice
☐ 3 to 4 times
☐ 5 or more times
☐ Cannot answer

- 22) How does the CMHS BG Planning Council provide feedback about the State's CMHS BG State plan to the State Mental Health Agency (SMHA)?

- 23) Please rate the extent to which you agree with each of the following statements.

Statement	Strongly disagree	Somewhat disagree	Neither disagree nor agree	Somewhat agree	Strongly agree	Cannot answer
The CMHS BG Planning Council solicits my opinion as a council member						
The CMHS BG Planning Council respects my opinion as a council member						
The SMHA staff respects the opinions of the CMHS BG Planning Council						
The SMHA staff solicits the opinions of the CMHS BG Planning Council beyond what is legislatively required						
The CMHS BG Planning Council supports my participation (e.g., transportation, reimbursement for expenses, stipend)						

- 24a) Does the CMHS BG Planning Council work with the SMHA to implement CMHS BG activities?

- ☐ Yes
☐ No
☐ Don't know

24b) If so, please describe the quality of this working relationship.

25a) How often does the SMHA make modifications to the State CMHS BG State plan based on the feedback from the CMHS BG Planning Council?

- ☐ Never
☐ Rarely
☐ Sometimes
☐ Frequently
☐ Always
☐ Don't know

25b) Does the State communicate back to the CMHS BG Planning Council about whether recommendations were incorporated?

- ☐ Yes
☐ No
☐ Don't know

25c) If so, how?

26) What are the strengths of the CMHS BG Planning Council's involvement in the CMHS BG Program?

27) What are the weaknesses of the CMHS BG Planning Council's involvement in the CMHS BG Program?

28a) Do you have any recommendations for improving the CMHS BG Planning Council's involvement in the CMHS BG Program?

☐ Yes

☐ No

28b) If so, what are they?

29a) Are there unintended positive results of the CMHS BG Planning Council involvement in SMHA activities?

☐ Yes

☐ No

☐ Cannot answer

29b) If so, please describe.

29c) Are there unintended negative results of the CMHS BG Planning Council involvement in SMHA activities?

☐ Yes

☐ No

☐ Cannot answer

29d) If so, please describe.

30a) Do you think that your CMHS BG Planning Council influences State-level policy?

- ☐ Yes
☐ No
☐ Don't know

30b) If so, which of the following activities did your CMHS BG Planning Council engage in to influence State-level policy? (Check all that apply)

- ☐ Developing special reports
☐ Providing testimony
☐ Sponsoring public meetings or hearings
☐ Collaborating with other agencies or groups
☐ Advocating within the council (e.g., for a specific issue or population)
☐ Disseminating planning-related information
☐ Other (please describe)

30c) If yes to question 30a, please describe how State-level policy was influenced by your CMHS BG Planning Council's activities.

CMHS BG Allocation and Distribution

31) What are the strengths of the process for allocating and distributing CMHS BG funds?

32) What are the weaknesses of the process for allocating and distributing CMHS BG funds?

33a) Do you have any recommendations for improving the process for allocating and distributing CMHS BG funds?

- ☐ Yes
☐ No

33b) If so, what are they?

Regional Review Process

34) What are the strengths of the regional review process?

35) What are the weaknesses of the regional review process?

36) Please rate the extent to which you agree that each possible change listed below would improve the regional review process.

Potential change	Strongly disagree	Somewhat disagree	Neither disagree nor agree	Somewhat agree	Strongly agree	Cannot answer
Submit a joint CMHS BG application and implementation report on December 1						
Develop a more structured CMHS BG application in order to make it easier to review						
Review the CMHS BG application and previous year's implementation report simultaneously						
Formally identify State technical assistance (TA) needs as part of the regional review						
Utilize technology to determine CMHS BG compliance prior to the onsite regional review						
Create a regional partnership program to promote opportunities for inter-State information exchange						
Provide separate TA to States and reviewers on developing appropriate performance indicators						
Provide TA on developing the CMHS BG State plan						
Provide TA on submitting National Outcome Measures						
Provide TA on evidence-based practices						
Other (please describe)						

37a) Are there unintended positive results of the regional review process?

- ☐ Yes
☐ No
☐ Cannot answer

37b) If so, please describe.

37c) Are there unintended negative results of the regional review process?

- ☐ Yes
☐ No
☐ Cannot answer

37d) If so, please describe.

Implementation Report Process

**38) Who was involved in the development of your State's FY 2005 implementation report?
(Check all that apply)**

- ☐ Governor
☐ State Mental Health Director
☐ State CMHS BG Planner
☐ State Data Analysts
☐ CMHS BG Planning Council
☐ Planning council other than the CMHS BG Planning Council
☐ State agency other than the mental health agency (e.g., criminal justice, housing agency)
☐ Other (please specify) _____

39) What strategies or activities did your State use in the development of its FY 2005 implementation report? (Check all that apply)

- ☐ Review of FY 2005 State CMHS BG State plan
☐ Analysis of State-collected mental health data
☐ Other (please describe) _____

40) In a typical year, does the CMHS BG Planning Council review the draft implementation report?

- ☐ Yes
☐ No
☐ Cannot answer

41) Please rate the extent to which your State's implementation report examines adherence to the CMHS BG State plan.

- ☐ Not at all
☐ Somewhat
☐ Mostly
☐ Completely
☐ Cannot answer

42) What impact does the ability to modify your State's CMHS BG application (including target performance indicators) after Federal approval have on the implementation report's utility in assessing adherence to the CMHS BG State plan?

- ☐ No impact
☐ Some impact
☐ Moderate impact
☐ Considerable impact
☐ Cannot answer

43) What are the strengths of the implementation report?

44) What are the weaknesses of the implementation report?

45a) Do you have any recommendations for improving the implementation report?

- ☐ Yes
☐ No

45b) If so, what are they?

46a) Is the implementation report used for anything other than satisfying CMHS BG requirements?

- ☐ Yes
☐ No
☐ Don't know

46b) If so, how is it used?

Program Development and Support

47) What are the strengths of CMHS BG program development and support provided by your State to subrecipients?

48) What are the weaknesses of CMHS BG program development and support provided by your State to subrecipients?

49a) Do you have any recommendations for improving CMHS BG program development and support provided by your State to subrecipients?

☐ Yes

☐ No

49b) If so, what are they?

50a) Are there any unintended positive results of CMHS BG program development and support provided by your State to subrecipients?

☐ Yes

☐ No

☐ Cannot answer

50b) If so, please describe.

50c) Are there any unintended negative results of CMHS BG program development and support provided by your State to subrecipients?

☐ Yes

☐ No

☐ Cannot answer

50d) If so, please describe.

CMHS BG-related Outcomes

51a) Has the availability of CMHS BG funds made it possible for the State to fund programs/initiatives that it otherwise would not have been able to fund?

- ☐ Yes
☐ No
☐ Cannot say

51b) If so, what types of programs/initiatives?

52a) Has the availability of CMHS BG funds made it possible for the State to follow Federal policy recommendations (e.g., recommendations from the New Freedom Commission Report) that it otherwise would not have been able to follow?

- ☐ Yes
☐ No
☐ Cannot say

52b) If so, which Federal policy recommendations were followed and how?

53a) In FY 2005, did your CMHS BG Planning Council receive CMHS BG-related TA?

- ☐ Yes
☐ No
☐ Cannot say

53b) If so, on what topics?

53c) Has your CMHS BG Planning Council experienced a change because of that TA?

- ☐ Yes
☐ No
☐ Cannot say

53d) If so, please discuss that change.

54) Please rate the extent to which you agree with each of the following statements.

Statement	Strongly disagree	Somewhat disagree	Neither disagree nor agree	Somewhat agree	Strongly agree	Cannot answer
The CMHS BG Planning Council is an active, integrated part of the State CMHS BG planning process						
As a result of CMHS BG activities, States have improved their coordination of State mental health services and programs						
There has been an increase in the number of evidence-based practices and innovative services available because of the CMHS BG program						

Statement	Strongly disagree	Somewhat disagree	Neither disagree nor agree	Somewhat agree	Strongly agree	Cannot answer
The CMHS BG program has contributed to improving the quality of States' mental health services						
The CMHS BG program has contributed to a decrease in unmet treatment need						
As a result of CMHS BG activities, there has been an increase in consumer involvement in the State mental health system						
As a result of CMHS BG activities, there has been an increase in utilization of community-based services						
Programs initiated with CMHS BG funds have been continued using State and other funding sources						
States have leveraged CMHS BG resources to implement policy changes						

55) How has your CMHS BG Planning Council helped move your State's mental health system in a positive direction?
