

ETA 9050 First Payment Time Lapse

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UI REPORTS HANDBOOK NO. 401

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A. Facsimile of Form

ETA 9050, TIME LAPSE OF ALL FIRST PAYMENTS (EXCEPT WORKSHARE)

Time Lapse of All First Payments (except Workshare), Intrastate				
Days	TOTAL (1)	UI (2)	UCFE (3)	UCX (4)
Total				
<=7				
8-14				
15-21				
22-28				
29-35				
36-42				
43-49				
50-56				
57-63				
64-70				
> 70				
Time Lapse of All First Payments (except Workshare), Interstate				
Days	TOTAL (1)	UI (2)	UCFE (3)	UCX (4)
Total				
<=7				
8-14				
15-21				
22-28				
29-35				
36-42				
43-49				
50-56				
57-63				
64-70				
> 70				

UI REPORTS HANDBOOK NO. 401

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A. Facsimile of Form (cont.)

ETA 9050, TIME LAPSE OF PARTIAL/PART TOTAL FIRST PAYMENTS (EXCEPT WORKSHARE)

Time Lapse of Partial/Part Total First Payments (except Workshare), Intrastate				
Days	TOTAL (1)	UI (2)	UCFE (3)	UCX (4)
Total				
<=7				
8-14				
15-21				
22-28				
29-35				
36-42				
43-49				
50-56				
57-63				
64-70				
> 70				

Time Lapse of Partial/Part Total First Payments (except Workshare), Interstate				
Days	TOTAL (1)	UI (2)	UCFE (3)	UCX (4)
Total				
<=7				
8-14				
15-21				
22-28				
29-35				
36-42				
43-49				
50-56				
57-63				
64-70				
> 70				

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A. Facsimile of Form (cont.)

ETA 9050, TIME LAPSE OF WORKSHARE FIRST PAYMENTS

Days	Time Lapse of Workshare First Payments
Total	
<=7	
8-14	
15-21	
22-28	
29-35	
36-42	
43-49	
50-56	
57-63	
64-70	
> 70	

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B. Purpose

The ETA 9050 report contains monthly information on first payment time lapse. This report concerns the time it takes States to pay benefits to claimants for the first compensable week of unemployment. Similar time lapse data was formerly reported in Section C of the ETA 5159 report. That data addressed first payment time lapse for total unemployment only. This report contains monthly time lapse data for all first payments, i.e., total, partial and part-total. A separate section of this report is reserved for Workshare (Short-Time Compensation) first payments only. Workshare will be reported separately and is excluded from that part of the report for "ALL" first payments.

C. Due Date and Transmittal

The report is due in the ETA National Office on the 20th of the month following the month to which the data relates. This report will be transmitted

D. General Reporting Instructions

The First Payment Time Lapse measure requires that the State computer read the universe of all first payment records. These counts are categorized by Intrastate and Interstate claims payments and, within those categories, the number that are under the State UI program, Unemployment Compensation for Federal Employees (UCFE), and Unemployment Compensation for Ex-Servicemembers (UCX). While included under the "All" category the sub-category of partial/part-total first payment time lapse will also be reported using a separate entry screen. These categories are further broken out by the number of first payments by program type and time lapse at seven day intervals.

Workshare will be reported separately and is excluded from that part of the report for "ALL" first payments.

- Includes total, partial/part-total first payments for new, additional and transitional claims.
- Includes combined wage claims first payments reported in the appropriate category determined by the nature of the base period wages.
- Includes offsets and intercepts.
- Excludes episodic claims programs such as Extended Benefits, Disaster Unemployment Assistance, and Trade Readjustment Allowances.

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- Excludes retroactive payment for a compensable waiting period.
- Workshare will be reported separately and therefore is excluded from that part of the report for "ALL" first payments.

Edit checks can be found in Handbook 402, Unemployment Insurance Required Reports User's Manual, Appendix C.

E. Definitions

Definitions, unless otherwise specified in these instructions, will follow the definitions for the ETA 5159 found elsewhere in this handbook.

1. First Payment. The first benefit payment for the earliest compensable week in the benefit year.

Includes total, partial and part-total payments, full or partial offsets to satisfy an outstanding overpayment, a Child Support Intercept order or a Food Stamp overissuance, waiting weeks waived by the Governor under a State law and compensated because of a legally recognized disaster, and payments resulting from the reversal of a single or multi-claimant adjudication by a lower or higher authority appeal decision or by a court decision.

If two or more weeks of benefits are paid at the same time by separate checks or by one check, then the earliest week ending date in the benefit year is the first payment.

Excludes waiting weeks that are compensated retroactively under State law after payment of benefits for a number of qualifying weeks paid.

Examples of situations under which first payments are included or excluded for reporting purposes are provided below:

- a. Examples for including first payments in this report:

- 1) Claimant is disqualified from receiving a UI check for his/her first compensable week in the benefit year due to excessive earnings. Claimant has no earnings the second week and is paid for that week. The payment for the second week is a first payment because the first week is not considered a week claimed inasmuch as he/she is not considered unemployed during that week. Thus, the second week in the series becomes the first compensable week.

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- 2) Payments for a series of weeks "pended" are authorized and paid on the same date as a result of a nonmonetary determination reversal. No weeks were paid before the pended weeks were paid. First payment is for the earliest compensable week in the benefit year. All other weeks are reported as continued weeks compensated on ETA 9051 report.
 - 3) Claimant receives a check for a week of unemployment after filing an additional claim. No previous weeks were claimed. Thus, the payment for the first compensable week of the additional claim is considered to be the first payment.
 - 4) First compensable weeks paid to totally or partially offset an overpayment or an intercept requirement are considered to be first payments.
 - 5) Claimant is disqualified for the first compensable week due to a nonmonetary issue. Upon appeal, the determination is reversed. However, the payment for that week was not made until after the second week was paid. Thus, the payment for the first week (the appealed week) should be reported as the first payment because it is for the first (earliest) compensable week in the benefit year.
 - 6) A series of weeks pending is paid on the same date as a result of a reversal of a nonmonetary determination. Prior to payment of these weeks, the claimant requalified, filed an additional claim and was paid. The first payment is reported for the first compensable week in the benefit year. All other weeks paid, including those based on the additional claim, should be reported as continued weeks compensated on the ETA 9051 report.
- b. Examples for excluding first payments from this report:
- 1) Claimant is disqualified for the first compensable week due to a nonmonetary issue, does not appeal the determination, claims the second week and is paid for that week. The second week paid is NOT considered to be the first payment because it does not represent the first (earliest) compensable week in the benefit year (the second week should be reported as a continued week compensated on the ETA 9051 report). Thus, there will never be a first payment reported for this claimant.
 - 2) Claimant is compensated retroactively for a waiting week, under State law, after being paid benefits for 9 consecutive weeks. In this

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situation, the retroactive payment for the waiting week is not considered to be the first payment. The week immediately following the waiting week is the first (earliest) compensable week. Thus, the retroactive payment for the waiting week should be reported as a continued week compensated on the ETA 9051 report.

2. First Payment Time Lapse. A measurement of the number of days from the week ending date of the first compensable week in the benefit year to the date the payment is made in person, mailed or offset or intercept is applied on the claim.
3. Mail Date. The mail date is the date the SESA actually mails the first payment to the claimant. SESAs determine the mail date and provide DOL with its procedure(s) to derive it.

When multiple weeks are paid at the same time, the earliest week is reported as the first payment.

4. Joint Claims. Claims involving (1) a combination of State unemployment trust funds and Federal program funds or (2) a combination of Federal program funds.
Includes claims with State UI in combination with UCFE and/or UCX employment and wages (UI/UCFE/UCX, UI/UCFE, or UI/UCX) and UCFE claims in combination with UCX employment and wages (UCFE/UCX).

Excludes claims that do not include a combination of State UI and UCFE and/or UCX employment and wages or a combination of UCFE and UCX employment and wages.

5. Partial/Part-Total Payments. Payments that are reduced from the claimant's weekly benefit amount as a result of wages, commissions, bonuses, tips or gratuities, back-pay awards, odd jobs or self-employment income.
6. Total Payments. Payments for weeks of total unemployment in which the claimant received a full weekly benefit check that is not reduced as a result of wages, commissions, bonuses, tips or gratuities, back-pay awards, odd jobs or self-employment income.
7. State Unemployment Insurance (UI). A State program that provides benefits to individuals financed (1) wholly from State trust funds (UI) or (2) partially from State trust funds and partially from UCFE and/or UCX program funds (joint UI/UCFE, UI/UCX, UI/UCFE/UCX claim).
8. Unemployment Compensation for Federal Employees (UCFE). A Federal program that provides benefits to individuals based on Federal civilian service.

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Includes claims based (1) wholly on Federal civilian service (UCFE, no UI) or (2) partially on Federal civilian service and partially on Federal military service (UCFE/UCX) claim.

Excludes claims funded partially from State trust funds.

9. Unemployment Compensation for Ex-Servicemembers (UCX). A Federal program that provides benefits to individuals based on Federal military service.

Includes claims based wholly on Federal military service (UCX only).

Excludes claims funded partially from State trust funds and/or Federal civilian service.

10. Workshare (Short-Time Compensation (STC) Program). A State program which is an alternative to employee layoffs, whereby a group of workers simply work shorter work weeks and are compensated for their lost work time with partial benefits. Such benefits are payable to these individuals as a percentage of their weekly benefit amount equivalent to the percentage of work hours reduced. Benefits would not be payable to these workers under a State's normal partial benefits formula. To participate in the Workshare or STC program employers must submit their plans to the State agency for approval.

F. Item by Item Instructions

Enter in each column and time lapse interval all first payments made during the report period for Intrastate and Interstate claims. Workshare first payments are reported separately.

1. All Intrastate First Payments. (Includes Total and Partial/Part-Total Payments)
- a. Column 1, Total Intrastate First Payments. Enter under column 1 the total number of all Intrastate first payments and individual totals for each time lapse interval. Each total reported in this column equals the sum of columns 2, 3, and 4.
- b. Column 2, UI Intrastate First Payments. Enter under column 2 the total number of all State UI Intrastate first payments and individual totals for each time lapse interval. These payments represent State UI only and State UI in combination with UCFE and/or UCX (joint claims).

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- c. Column 3, UCFE Intrastate First Payments. Enter under column 3 the total number of all UCFE Intrastate first payments and individual totals for each time lapse interval. These payments represent UCFE only and UCFE in combination with UCX (joint claims).
 - d. Column 4, UCX Intrastate First Payments. Enter under column 4 the total number of all UCX Intrastate first payments and individual totals for each time lapse interval. These payments represent UCX only.
2. All Interstate First Payments. (Includes Total and Partial/Part-Total Payments)
- a. Column 1, Total Interstate First Payments. Enter under column 1 the total number of all Interstate first payments and individual totals for each time lapse interval. Each total reported in this column equals the sum of columns 2, 3, and 4.
 - b. Column 2, UI Interstate First Payments. Enter under column 2 the total number of all State UI Interstate first payments and individual totals for each time lapse interval. These payments represent State UI only and State UI in combination with UCFE and/or UCX (joint claims).
 - c. Column 3, UCFE Interstate First Payments. Enter under column 3 the total number of all UCFE Interstate first payments and individual totals for each time lapse interval. These payments represent UCFE only and UCFE in combination with UCX (joint claims).
 - d. Column 4, UCX Interstate First Payments. Enter under column 4 the total number of all UCX Interstate first payments and individual totals for each time lapse interval. These payments represent UCX only.

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3. Intrastate Partial/Part-Total First Payments Only.
 - a. Column 1, Total Intrastate Partial/Part-Total First Payments. Enter under column 1 the total number of all Intrastate partial/part-total first payments and individual totals for each time lapse interval. Each total reported in this column equals the sum of columns 2, 3, and 4.
 - b. Column 2, UI Intrastate Partial/Part-Total First Payments. Enter under column 2 the total number of all State UI Intrastate partial/part-total first payments and individual totals for each time lapse interval. These payments represent State UI only and State UI in combination with UCFE and/or UCX (joint claims).
 - c. Column 3, UCFE Intrastate Partial/Part-Total First Payments. Enter under column 3 the total number of all UCFE Intrastate partial/part-total first payments and individual totals for each time lapse interval. These payments represent UCFE only and UCFE in combination with UCX (joint claims).
 - d. Column 4, UCX Intrastate Partial/Part-Total First Payments. Enter under column 4 the total number of all UCX Intrastate partial/part-total first payments and individual totals for each time lapse interval. These payments represent UCX only.

4. Interstate Partial/Part-Total First Payments Only.
 - a. Column 1, Total Interstate Partial/Part-Total First Payments. Enter under column 1 the total number of all Interstate partial/part-total first payments and individual totals for each time lapse interval. Each total reported in this column equals the sum of columns 2, 3, and 4.
 - b. Column 2, UI Interstate Partial/Part-Total First Payments. Enter under column 2 the total number of all State UI Interstate partial/part-total first payments and individual totals for each time lapse interval. These payments represent State UI only and State UI in combination with UCFE and/or UCX (joint claims).
 - c. Column 3, UCFE Interstate Partial/Part-Total First Payments. Enter under column 3 the total number of all UCFE Interstate partial/part-total first payments and individual totals for each time lapse interval. These payments represent UCFE only and UCFE in combination with UCX (joint claims).

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- d. Column 4, UCX Interstate Partial/Part-Total First Payments. Enter under column 4 the total number of all UCX Interstate partial/part-total first payments and individual totals for each time lapse interval. These payments represent UCX only.
5. Workshare First Payments.
 - a. First Payments. Enter the total number of all Workshare first payments and individual totals for each time lapse interval.
6. Comments. Explain in the comments area significant variations in time lapse in benefit payments from levels in the prior period or the same period one year ago.
 - a. Administrative Factors. Describe administrative factors, such as changes in operating procedures, issuance of rules and regulations, and staff turnover. These may affect data reported in such a way that they cannot be compared with data from prior reports or with current reports from other State agencies.
 - b. Legal Factors. Describe legal factors, such as new laws or policies. These may affect data reported in such a way that they cannot be compared with data from prior reports or on current reports from other State agencies.
 - c. Economic Factors. Describe economic factors which may affect data reported in such a way that conditions will be reflected in any of the tabulations. Cover such factors affecting benefit payment time lapse, e.g., mass layoffs and seasonal fluctuations in employment.