

offices (see addresses in Appendix 1 to this document).

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

Individuals filing claims for black lung (pneumoconiosis) benefits under the provisions of Title IV of the Federal Coal Mine Health and Safety Act of 1969, as amended, including miners, and their surviving widows, children, dependent parents and siblings.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

Records included are medical and personal (name, date of birth, SSN, claim type, miner's date of death).

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

30 U.S.C. 901 et seq., 20 CFR 715.1 et seq., 20 CFR 720.1 et seq., 20 CFR 725.1 et seq.

**PURPOSE:**

These records are used as medical documentation in the processing of claims for black lung (pneumoconiosis) benefits under the provisions of Title IV of the Federal Coal Mine Health and Safety Act of 1969, as amended.

**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

In addition to the DOL-wide routine uses, disclosure may also be made to:

- a. Mine operators (and/or any party providing the operator with workers' compensation insurance) who have been determined potentially liable for the claim at any time after report of the injury or report of the onset of occupational illness, or the filing of a notice of injury or claim related to such injury or occupational illness, for the purpose of determining liability for payment.
- b. State workers' compensation agencies and the Social Security Administration for the purpose of determining offsets as specified under the Act.
- c. Doctors and medical services providers for the purpose of obtaining medical evaluations, physical rehabilitation or other services.
- d. Other Federal agencies conducting scientific research concerning the incidence and prevention of black lung disease.
- e. Legal representatives for the purpose of claimant, responsible operator and program representation on contested issues.
- f. Labor unions and other voluntary employee associations of which the claimant is a member for the purpose of exercising an interest in claims of members as part of their service to the members.

g. Contractors providing automated data processing or other services to the Department of Labor, or to any agency or entity to whom release is authorized, where the contractor is providing a service relating to the purpose for which the information can be released.

h. Federal, state or local agency if necessary to obtain information relevant to a Department decision concerning the determination of initial or continuing eligibility for program benefits, whether benefits have been or are being paid improperly, including whether dual benefits prohibited under any federal or state law are being paid; and salary offset and debt collection procedures, including any action required by the Debt Collection Act of 1982.

i. Debt collection agency that DOL has contracted for collection services to recover indebtedness owed to the United States.

j. Internal Revenue Service for the purpose of obtaining taxpayer mailing addresses in order to locate such taxpayer to collect, compromise, or write-off a Federal claim against the taxpayer; discharging an indebtedness owed by an individual.

k. Credit Bureaus for the purpose of receiving consumer credit reports identifying the assets, liabilities, income and expenses of a debtor to ascertain the debtor's ability to pay a debt and to establish a payment schedule.

l. Disclosure to the claimant, a person who is duly authorized to act on his/her behalf, or to others to whom disclosure is authorized by these routine uses, of information contained in the file may be made over the telephone. Disclosure over the telephone will only be done where the requestor provides appropriate identifying information to OWCP personnel. Telephonic disclosure of information is essential to allow OWCP to efficiently perform its functions in adjudicating and servicing claims.

**DISCLOSURE TO CONSUMER REPORTING AGENCIES:**

Not applicable.

**POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**

**STORAGE:**

Manual files.

**RETRIEVABILITY:**

Coal miner's name and social security number.

**SAFEGUARDS:**

Files located in restricted area of a Federal building under guard by security officers.

**RETENTION AND DISPOSAL:**

Files are destroyed 17 years after final adjudication or denial.

**SYSTEM MANAGER(S) AND ADDRESS:**

Associate Director, Division of Coal Mine Workers' Compensation, Department of Labor Building, Room C-3520, 200 Constitution Ave., NW, Washington, DC 20210, and district office deputy commissioners (see addresses in Appendix 1 to this document).

**NOTIFICATION PROCEDURE:**

Individuals wishing to inquire whether this system of records contains information about them should contact the system manager at the above address or district office deputy commissioner (see addresses in Appendix 1 to this document). Individuals must furnish their name, the coal miner's social security number and signature.

**RECORD ACCESS PROCEDURES:**

Individuals wishing to request access to their records should contact the appropriate office listed in the Notification Procedure section. Individuals must furnish their name, coal miner's social security number, and signature.

**CONTESTING RECORD PROCEDURES:**

Individuals wishing to request amendment of any record should contact the appropriate office listed in the Notification Procedure section. Individual must furnish their name, the coal miner's social security number and signature.

**RECORD SOURCE CATEGORIES:**

Individual's medical records.

**SYSTEM EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:**

Not applicable.

**DOL/ESA-15**

**SYSTEM NAME:**

Office of Workers' Compensation Programs, Longshore and Harbor Workers' Compensation Act Case Files.

**SECURITY CLASSIFICATION:**

Unclassified.

**SYSTEM LOCATION:**

Case files are located in the district offices at the following addresses:  
 One Congress Street, 11th Floor, Boston, Massachusetts 02114.  
 ESA/OWCP/Longshore Division, 201 Varick Street, PO Box 249, New York, New York 10014-0249.  
 Gateway Building, Room 13180, 3535 Market Street, PO Box 7336, Philadelphia, Pennsylvania 19104.

Federal Building, Room 1026, 31 Hopkins Plaza, Baltimore, Maryland 21201.

Federal Building, Room 212, 200 Granby Mall, Norfolk, Virginia 23510.

Edward Ball Building, Suite 1040, 214 North Hogan Street, Jacksonville, Florida 32202.

701 Loyola Avenue, Room 13032, New Orleans, Louisiana 70113 525.

Griffin Street, Room 100, Dallas, TX 75202.

One South Green Building, Room 105, 12600 N. Featherwood Drive, Houston, Texas 77034.

Room 800, 230 South Dearborn Street, Chicago, Illinois 60604.

ESA/OWCP/Longshore Division, PO Box 3770, San Francisco, California 94119.

Box 50209, Room 5108, 300 Ala Moana Boulevard, Honolulu, Hawaii 96850.

401 E. Ocean Boulevard, Suite 720, Long Beach, California 90802.

1111 Third Avenue, Suite 620, Seattle, Washington 98101-3212.

1200 Upshur Street, NW, PO Box 56098, Washington, DC 20011.

Cases involving special issues may be temporarily in the National Office at 200 Constitution Avenue, NW, Room C-4315, Washington, DC 20210.

#### CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Employees injured or killed while working in private industry who are covered by the provisions of the Longshore and Labor Workers' Compensation Act and related acts.

#### CATEGORIES OF RECORDS IN THE SYSTEM:

Reports of injury by employees and employers; authorization for medical care, medical reports; medical and transportation bills; formal orders for or against payment of compensation, vocational rehabilitation plans, awards and progress reports; vital statistics such as birth, marriage, and death certificates; and enrollment and attendance records at educational institutions.

#### AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

33 U.S.C. 901 et seq. (20 CFR parts 701 et seq.); 36 D.C. Code 501 et seq.; 42 U.S.C. 1651 et seq.; 43 U.S.C. 1331 et seq.; 5 U.S.C. 8171, et seq.

#### PURPOSE(S):

To monitor the actions of insurance carriers, employers, and injured workers with respect to injuries reported under the Longshore and Harbor Workers' Compensation Act and related acts to ensure that eligible claimants receive appropriate benefits as provided by the Act.

#### ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

In addition to the DOL-wide routine uses, disclosure may also be made to:

a. The employer at any time after report of the injury or report of the onset of the occupational illness, or the filing of a notice of injury or claim related to such injury or occupational illness, and/or any party providing the employer with workers' compensation insurance coverage since the employer and insurance carrier are parties-in-interest to all actions on a case.

b. Doctors and medical service providers for the purpose of obtaining medical evaluations, physical rehabilitation or other services.

c. Public or private rehabilitation agencies to whom the injured worker has been referred for vocational rehabilitation services so that they may properly evaluate the injured worker's experience, physical limitations and future employment capabilities.

d. Federal, state and local agencies conducting similar or related investigations to verify whether prohibited dual benefits were provided, whether benefits have been or are being paid properly, including whether dual benefits prohibited by federal or state law are being paid; salary offset and debt collection procedures including those actions required by the Debt Collection Act of 1982.

e. Contractors providing automated data processing or other services to the Department of Labor, or to any agency or entity to whom release is authorized, where the contractor is providing a service relating to the purpose for which the information can be released.

f. Labor unions and other voluntary associations of which the claimant is a member or to an individual acting on behalf of the individual.

g. Internal Revenue Service for the purpose of obtaining taxpayer mailing addresses in order to locate such taxpayer to collect, compromise, or write-off a Federal claim against the taxpayer; discharging an indebtedness owed by an individual.

h. Credit Bureaus for the purpose of receiving consumer credit reports identifying the assets, liabilities, income and expenses of a debtor to ascertain the debtor's ability to pay a debt and to establish a payment schedule.

Note: Disclosure of information contained in the file to the claimant, a person who is duly authorized to act on his/her behalf, or to others to whom disclosure is authorized by these routine uses, may be made over the telephone. Disclosure over the telephone will only be done where the requestor provides appropriate identifying information.

Telephonic disclosure of information is essential to permit efficient administration and adjudication of claims.

#### DISCLOSURE TO CONSUMER REPORTING AGENCIES:

Not applicable.

#### POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

##### STORAGE:

Case files are maintained in manual files and magnetic tapes.

##### RETRIEVABILITY:

Case files are retrieved after identification by coded file number, which is cross-referenced to injured worker by name.

##### SAFEGUARDS:

Files are maintained under supervision of OWCP personnel during normal working hours. Files and magnetic tapes are maintained in locked offices after normal working hours. Confidential passwords are required for access to automated records.

##### RETENTION AND DISPOSAL:

Time retained varies by type of case, ranging from destroy 20 years after case is closed for lost-time disability cases to destroy 6 years and 3 months after death of last possible beneficiary.

##### SYSTEM MANAGER(S) AND ADDRESS:

Director for Longshore and Harbor Workers' Compensation, Room C-4315, U.S. Department of Labor, 200 Constitution Avenue, NW, Washington, DC 20210; and District Directors at the location of the district offices listed above.

##### NOTIFICATION PROCEDURE:

Requests, including name, date of injury, employer at time of injury, and case file number, if known, should be addressed to the system manager listed above, at the office where the case is located.

##### RECORD ACCESS PROCEDURES:

Individuals wishing to request access to records should contact the system manager indicated above.

##### CONTESTING RECORD PROCEDURE:

Individuals wishing to contest the contents of a record should contact the System Manager. Generally, opinions are not subject to amendment, only facts.

##### RECORD SOURCE CATEGORIES:

The system obtains information from injured employees, their qualified dependents, employers, insurance carriers, physicians, medical facilities,

educational institutions, attorneys, and State, Federal, and private vocational rehabilitation agencies.

**SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:**

Not applicable.

**DOL/ESA-24**

**SYSTEM NAME:**

Office of Workers' Compensation Programs, Longshore and Harbor Workers' Compensation Act Special Fund System.

**SECURITY CLASSIFICATION:**

Unclassified.

**SYSTEM LOCATION:**

Division of Longshore and Harbor Workers' Compensation, Room C-4315, Department of Labor Building, 200 Constitution Avenue, NW, Washington, DC 20210.

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

Persons receiving compensation and related benefits under the Longshore and Harbor Workers' Compensation Act.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

Medical and vocational rehabilitation reports, bills, vouchers and records of payment for compensation and related benefits, statements of employment status, and formal orders for payment of compensation.

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

33 U.S.C. 901 et seq. (20 CFR parts 701 et seq.); 36 D.C. Code 501 et seq.; 42 U.S.C. 1651 et seq.; 43 U.S.C. 1331 et seq.; 5 U.S.C. 8171 et seq.

**PURPOSE(S):**

This system provides a record of payments to claimants, their qualified dependents, or providers of services to claimants from the Special Fund established pursuant to Section 44 of the Act.

**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

In addition to the DOL-wide routine uses, disclosure may also be made to:

a. The employer at any time after report of the injury or report of the onset of the occupational illness, or the filing of a notice of injury or claim related to such injury or occupational illness, and/or any party providing the employer with workers' compensation insurance coverage since the employer and insurance carrier are parties-in-interest to all actions on a case.

b. Doctors and medical service providers for the purpose of obtaining

medical evaluations, physical rehabilitation or other services.

c. Public or private rehabilitation agencies to whom the injured worker has been referred for vocational rehabilitation services so that they may properly evaluate the injured worker's experience, physical limitations and future employment capabilities.

d. Federal, state and local agencies conducting similar or related investigations to verify whether prohibited dual benefits were provided, whether benefits have been or are being paid properly, including whether dual benefits prohibited by federal or state law are being paid; salary offset and debt collection procedures including those actions required by the Debt Collection Act of 1982.

e. Contractors providing automated data processing or other services to the Department of Labor, or to any agency or entity to whom release is authorized, where the contractor is providing a service relating to the purpose for which the information can be released.

f. Labor unions and other voluntary associations of which the claimant is a member or to an individual acting on behalf of the individual

g. Internal Revenue Service for the purpose of obtaining taxpayer mailing addresses in order to locate such taxpayer to collect, compromise, or write-off a Federal claim against the taxpayer; discharging an indebtedness owed by an individual.

h. Credit Bureaus for the purpose of receiving consumer credit reports identifying the assets, liabilities, income and expenses of a debtor to ascertain the debtor's ability to pay a debt and to establish a payment schedule.

Note: Disclosure of information contained in the file to the claimant, a person who is duly authorized to act on his/her behalf, or to others to whom disclosure is authorized by these routine uses, may be made over the telephone. Disclosure over the telephone will only be done where the requestor provides appropriate identifying information. Telephonic disclosure of information is essential to permit efficient administration and adjudication of claims.

**DISCLOSURE TO CONSUMER REPORTING AGENCIES:**

Not applicable.

**POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**

**STORAGE:**

Case files are maintained in manual files and magnetic tapes.

**RETRIEVABILITY:**

Files are retrieved after identification by injured worker's name.

**SAFEGUARDS:**

Files are maintained under supervision of OWCP personnel during normal working hours. Confidential passwords are required for access to automated records. Files and magnetic tapes are in Federal office building.

**RETENTION AND DISPOSAL:**

Files are destroyed 7 years after last payment is made.

**SYSTEM MANAGER(S) AND ADDRESS:**

Director for Longshore and Harbor Workers' Compensation, Room C-4315, U.S. Department of Labor, 200 Constitution Avenue, NW, Washington, DC 20210.

**NOTIFICATION PROCEDURE:**

Requests, including name and case number, if known, should be addressed to system Manager.

**RECORD ACCESS PROCEDURES:**

Individuals wishing to request access to records should contact the system manager indicated above.

**CONTESTING RECORD PROCEDURE:**

Individuals wishing to contest the contents of a record should contact the System Manager. Generally, opinions are not subject to amendment, only facts. Same as above.

**RECORD SOURCE CATEGORIES:**

The system obtains information from injured employees, their qualified dependents, employers, insurance carriers, physicians, medical facilities, educational institutions, attorneys, and State, Federal, and private vocational rehabilitation agencies.

**SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:**

Not applicable.

**DOL/ESA-25**

**SYSTEM NAME:**

Office of Federal Contract Compliance Programs, Management Information System (OFCCP/MIS), which includes the Compliance Review Information System (CRIS) and the Complaint Administration System (CAS).

**SECURITY CLASSIFICATION:**

Unclassified.

**SYSTEM LOCATION:**

Room C-3325, Department of Labor, 200 Constitution Avenue, NW, Washington, DC 20210; Computer Science Corporation, 11700 Montgomery Road, Beltsville, MD 20705; OFCCP Regional Offices, see Appendix 1 to this document for addresses.