

**Part 41 – Security Futures Products
OMB Collection #3038-0059**

Reporting	Estimated # of Respondents or Recordkeepers Per Year	Reports Annually by Each Respondent	Total Annual Responses	Estimated Average Number of Hours Per Response	Estimated Total Number of Hours of Annual Burden In Fiscal Year
41.3 Application for exemption by intermediaries	5	1	5	25,000	125
41.23(a)(1)-(5) Listing of SFPs	3	20	60	4	240
41.23(a)(6) and 41.24(a)(5)	3	25	75	.033	2.48
41.25 (a)(1) Reporting of data	3	20	60	1	60
41.27(c) Rules prohibiting exemptions	1	1	1	4	4
41.27(e) Rules permitting exemptions	1	1	1	4	4
41.31 SFPCM designation (one time only)	1	1	1	5	5
41.32 SFPCM continuing obligations	3	20	60	4	240
41.33 Application for exemption by SFPCM	1	1	1	40	40
41.41 FCM/B-D disclosure	60	40	2,400	.25	600
41.49 Margin rule changes	3	5	15	4	60
<i>Subtotal Reporting Requirements</i>	<i>84</i>		<i>2,679</i>		<i>1,380.48</i>
Recordkeeping					
41.41(a)(2) Handling of customer accounts	60	1	60	4	240
<i>Subtotal Recordkeeping Requirements</i>	<i>60</i>	<i>1</i>	<i>60</i>	<i>4</i>	<i>240</i>

TOTAL REPORTING AND RECORDKEEPING

144

2,739

0.592

1,620.48