Historically Black Colleges and Universities – Undergraduate Program

Faculty Survey

OMB Clearance Number: TBD

Privacy Notice: Information from this survey will be retained by the National Science Foundation (NSF), a federal agency, and will be an integral part of its Privacy Act System of Records in accordance with the Privacy Act of 1974 and maintained in the Education and Training System of Records 63 Fed. Reg. 264, 272 (January 5, 1998). These are confidential files accessible only to appropriate NSF officials, their staffs, and their contractors responsible for monitoring, assessing, and evaluating NSF programs. Only data in highly aggregated form will be made available to anyone outside of NSF for research purposes. Data submitted will be used in accordance with criteria established by NSF for monitoring research and education grants, and in response to Public Law 99-383 and 42 USC 1885c.

Public Burden: Submission of the requested information is voluntary. Reporting burden for this collection of information is estimated to average 20 minutes, including the time for reviewing instructions. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Suzanne Plimpton, Reports Clearance Officer for OMB Collection 3145-0204 (HBCU-UP), National Science Foundation, 4201 Wilson Blvd., Suite 295, Arlington, VA 22230.

HBCU Faculty Survey

Section A: Nature of Employment

*A1.	During the 2006 Fall Term at [FILL INSTNAME], did you have faculty status as defined by that institution?
	$\Box 0 = No$ $\Box 1 = Yes$
*A2.	Was your principal activity at [FILL INSTNAME] during the 2006 Fall Term (If you had equal responsibilities, please select one.)
	 □ 1 = Teaching □ 2 = Research □ 3 = Public Service □ 4 = Clinical Service □ 5 = Administration (e.g., Dean, Chair, Director, etc.) □ 6 = On sabbatical from this institution □ 7 = Other activity (e.g., technical activity such as programmer or technician; other institutional activities such as library services; subsidized performer, artist-in-residence, etc.)
*A3.	During the 2006 Fall Term, did [FILL INSTNAME] consider you to be employed full time or part time?
*A4.	Do you consider your part-time position at [FILL INSTNAME] to be your primary employment?
	$\square 0 = \text{No}$ If no, terminate survey and return in the enclosed, stamped, self-addressed envelope.
	\Box 1 = Yes
*A5.	In what year did you start working at the job you held during the 2006 Fall Term at [FILL INSTNAME]? Consider promotions in ranks as part of the same job.
	Year ⇒

*A6.	During the 2006 Fall Term, was your academic rank, title, or position at [FILL INSTNAME]
	(If no ranks are designated at your institution, select "Not applicable.")
	 □ 0 = Not applicable (No formal ranks are designated at this institution) □ 1 = Professor □ 2 = Associate professor □ 3 = Assistant professor □ 4 = Instructor □ 5 = Lecturer □ 6 = Other title (e.g., Administrative, Adjunct, Emeritus, other)
*A7.	In what year did you first achieve the rank of [FILL A6] at any institution?
	Year ⇒
*A8.	During the 2006 Fall Term at [FILL INSTNAME], were you
	 □ 1 = Tenured □ 2 = On tenure track but not tenured □ 3 = Not on tenure track □ 4 = Not tenured because institution had no tenure system
*A9.	In what year did you first achieve tenure at any postsecondary institution?
	Year ⇒
*A10.	What is your principal field or discipline of teaching at [FILL INSTNAME]? (Enter the name of the principal field or discipline in the box below. This name will be used to match against a list of academic fields, so please be specific and do not use abbreviations or acronyms. If you have no principal field, select the "Not applicable" box.
	Name of principal field /discipline of teaching ⇒
*A11.	Please select the code below to confirm your field of teaching.

If you do not agree with this code, select "None of these codes" to manually code the field.

Section B: Academic/Professional Background

*B1.	. What is the highest degree you have completed? Do not include honorary degrees.		
	(If you have none of the degrees or awards, select "Not applicable.")		
	 □ 0 = Not applicable (Do not hold a degree) □ 1 = Doctoral degree (Ph.D., Ed.D, etc.) □ 2 = First-professional degree (M.D., D.O., D.D.S. or D.M.D, LL.B., J.D., D.C., D.C.M., Pharm.D., Pod.D or D.P., D.V.M., O.D., M.Div. or H.H.L. or B.D.) 		
	 □ 3 = Master of Fine Arts, Master of Social Work (M.F.A., M.S.W.) □ 4 = Other master's degrees (M.A., M.S., M.B.A., M.Ed., etc.) □ 5 = Bachelor's degree (B.A., A.B., B.S., etc.) □ 6 = Associate's degree or equivalent (A.A., A.S., etc.) □ 7 = Certificate or diploma for completion of undergraduate program (other 		
	than associate's or bachelor's)		
*B2.	In what year did you receive your highest degree?		
	Year ⇒		
*B3.	In what field or discipline was your highest degree? ⇒		
B4.	Did you ever attend an HBCU?		
	$ \Box 0 = \text{No} \Box 1 = \text{Yes} $		
*B5.	Is the last job you held at [FILL INSTNAME] during the 2006 Fall Term the first faculty or instructional staff position you have held at a postsecondary institution? Do not include teaching assistant positions while you were working on your degree.		
	$\Box 0 = \text{No}$ $\Box 1 = \text{Yes} \longrightarrow \boxed{\text{If yes, skip to B7.}}$		

*B6.	In what year did you begin your first faculty or instructional staff position at a postsecondary institution? (Do not include time when you were a teaching or research assistant.)				
	Year ⇒				
*B7.	Since receiving your highest degree, have you held any positions outside of postsecondary institutions?				
	$ \Box 0 = \text{No} \Box 1 = \text{Yes} $				
B8.	If yes, were these positions/was this position in any of the following employment sectors (please check all that apply)?				
	 □ Education □ A Private For-Profit company, business or individual □ A Private Not-for Profit, tax-exempt or charitable organization □ Self-Employment in own Not Incorporated business, professional practice, or farm □ Self-Employment in own Incorporated business, professional practice, or farm □ Local Government (e.g., city, county) □ U.S. Military Service, active duty or Commissioned Corps (e.g. USPHS, NOAA) □ U. S. Government as a civilian employee □ Other (Please Specify) 				
<u>Secti</u>	on C: Instructional Responsibilities and Workload				
*C1.	Next, we would like to ask you about the classes or sections that you taught during the 2006 Fall Term at [FILL INSTNAME]. Please do not include individualized instruction. Questions about independent study, intern supervision, one-on-one supervision, and one-on-one instruction in performance, clinical, or research settings come later. (If none, select, "no classes.")				
	How many				
	a. Classes/sections for credit towards degree did you teach? ⇒				

	b. Classes/sections not for credit towards degree did you teach? ⇒
	(Guidance on Counting Classes Count multiple sections of the same course separately. For example, Sociology 101 taught to two different groups of students would count as two classes.
	Count lab or discussion sections as part of the same class unless they have separate credits assigned to them. For example, a biology class with lectures, labs, and discussion sections each week counts as one class.)
	0 = No classes 1 = 1 class
	· .
	19 = 19 classes 20 = 20 or more classes
C2.	Since (Year HBCU-UP began), has your overall teaching load
	☐ Increased?☐ Decreased?☐ Stayed the same?
*C3.	Did you have teaching assistants, readers, graders, or lab assistants for any of the credit classes you taught during the 2006 Fall Term at [FILL INSTNAME]?
	$ \Box 0 = \text{No} \\ \Box 1 = \text{Yes} $
C4.	Since (Year HBCU-UP began) has the availability of teaching assistants, readers, graders, or lab assistants for your classes
	☐ Increased?☐ Decreased?☐ Stayed the same?
*C5.	During the 2006 Fall Term, did you provide individual instruction for credit to any student at [FILL INSTNAME]? By individual instruction, we mean independent study, supervising student teachers or interns, and one-on-one

	nstruction like working with students in clinical or research setting. Do not nclude dissertation or thesis committee work.			
	$ \begin{array}{ccc} \square & 0 = \text{No} \\ \square & 1 = \text{Yes} \end{array} $ If no, skip to C9.			
:С6.	How many undergraduate students received individual instruction for credit from you during the 2006 Fall Term?			
	Number of students \Rightarrow			
•C7.	For the students who received individual instruction for credit from you during he 2006 Fall Term, how many were			
	If none, enter "0.")			
	Undergraduate students ⇒			
	Graduate students ⇒			
	First-professional students (e.g., dental, medical, law, theology) >			
€C8.	For the students who received individual instruction for credit from you during the 2006 Fall Term, what was the total number of hours you spent each week with your (If less than one hour, enter "1.")			
	Undergraduate students ⇒			
	Graduate students ⇒			
	First-professional students (e.g., dental, medical, law, theology) ==			
C9.	Were you assigned additional for-credit activities such as coordination of a program, grant administration, or curriculum development during the 2006 Fall Term?			
	$ \Box 0 = \text{No} \Box 1 = \text{Yes} $			

	b.	If yes, what were they? \Rightarrow			
*C10.	The next items ask about the average number of hours each week during the 2006 Fall Term at [FILL INSTNAME] that you did the following activities. (If none, enter "0." If less than one hour, enter "1." If not sure, give your best estimate.)				
	How r	nany hours per week did you spend			
	a.	On undergraduate and graduate thesis or dissertation committees, comprehensive exams or orals committees, or examination or certification committees? ⇒			
	b.	On administrative committee work? Please include curriculum, personnel, governance, and other committees at the department, division, institution, and system levels. ⇒			
	c.	With students you were assigned to advise? (Do not include hours spent working with student in their theses, dissertations, or independent studies.) ⇒			
	d.	In regularly scheduled office hours in person or online? ⇒			
	e.	On mentoring activities in the following categories: i. Mentoring in a research lab ⇒			
C9.	Please indicate your level of agreement with the following statements: Since (Year that HBCU-UP began at your institution) has the following occurred at your institution				
	1 = St $2 = So$ $3 = So$	Levels of Agreement: 1 = Strongly Agree 2 = Somewhat Agree 3 = Somewhat Disagree 4 = Strongly Disagree			
	a.	Institutional support for faculty research and scholarship has increased (more release time, reduction of workload, institutional funding, etc. have been provided)? \Rightarrow			

	b.	teaching ass		instruction has increased (mology, etc. have been provided—	
	c.		ses have been revised t	o include more research.	
	d.		_	M faculty has involved more	
	e.		•	s increased expectations that	•
	f.		ses have been revised t	o reflect national trends for e	effective
<u>Se</u>	ction E): Scholarl	y Activities		
D1		, we would like wing have you	-	scholarly activities. How ma	any of the
	have the sa	been accepted ame work only	for publication. Coun	publications, include only we multiple publications/presence publications that are not publicati	ntations of
			How many total?	How many since beginning employment at your institution?	How many since (Year HBCU began)?
a.	publication refereed p				
	or trade jo	ournals?			
b.	Articles a publication	ournals? ccepted for on in orofessional			

published in juried

media?

		How many total?	How many since beginning employment at your institution?	How many since (Year HBCU began)?	
*d.	Articles published in nonrefereed professional or trade journals; or creative works published in nonjuried media or in-house newsletters?				
*e.	Published reviews of books, articles, or creative works; or chapters in edited volumes?				
*f.	Textbooks, other books; monographs; research or technical reports disseminated internally or to clients?				
*g.	Presentations at conferences, workshops, etc.?	·			
h.	Exhibitions in informal learning settings such as museums, libraries, science/tech centers, zoos or arboretums?				

i.	coı	rents and mputer software vducts?
j.		ant proposals omitted?
k.		ant proposals aded?
	*D2.	What is your principal field of discipline or scholarly activity?
		(Enter the name of your principal field/discipline of scholarly activity. This name will be used to match against a list of academic fields, so please be specific and not use abbreviations or acronyms.)
		Name of principal field/discipline of scholarly activity ⇒
	*D3.	How would you describe your principal scholarly activity during the 2006-07 academic year? Is it
		 □ 1 = Basic research □ 2 = Applied or policy-oriented research or analysis □ 3 = Literary, performance, or exhibitions □ 4 = Program and curriculum design and development □ 5 = Other ⇒
	*D4.	During the 2006-07 academic year, are any of your scholarly activities at [FILL INSTNAME] funded? Do not include consulting services and research included as part of your basic salary.
		$ \Box 0 = No \Box 1 = Yes $
	D5.	Please indicate which scholarly activities have been supported and the sources of funding since (Year in which institution began participation in HBCU-UP) (check all that apply):
		 a. Writing of journal articles, book chapters, books, book reviews. Not applicable Institutional (your institution) Federal government

■ THE URBAN INSTITUTE 10

*On NSOPF Survey

	☐ State gov	ernment		
	☐ Local go	vernment		
	☐ Private f	oundation		
	\Box Industry			
	☐ Other (p	lease specify)⇒	
			ces, workshops, etc.	
	☐ Not appl			
		onal (your ins	stitution)	
	☐ Federal a			
	☐ State gov			
	☐ Local go			
	☐ Private f	oundation		
	\Box Industry			
	\Box Other (p	lease specify)⇒	
	c. Scientific res	earch (basic	or applied).	
	☐ Not appl	icable		
	☐ Institution	onal (your ins	titution)	
	☐ Federal a	government		
	☐ State go	ernment		
	☐ Local go	vernment		
	☐ Private f	oundation		
	☐ Industry			
	<u> </u>	lease specify)⇒	
	· ·	1		
_				
<u>Se</u>	ction E: Job Sa	tistaction	<u>1</u>	
E1.		number "1"	ILL INSTNAME] would you sa), somewhat satisfied (2), some	
			Prior to (Year HBCU began)	Since (Year HBCU-UP began)
	The authority you had decisions about the comethods in your instructivities.	ontent and		
	The institutional supp implementing technol			

	instructional activities.		
	e. Quality of equipment and facilities available for classroom instruction.		
	d. Institutional support for teaching improvement (including grants, release time, and professional development funds).		
*e.	e. Your workload.		
*f.	f. Your salary.		
*g.	g. The benefits available to you.		
*h.	n. Your job at this institution, overall.		
Se	ection F: Sociodemographic	<u>Characteristics</u>	
*F1	F1. The last few questions we ask you your job. Are you	to describe yourself and	d your opinions about
	\Box 1 = Male \Box 2 = Female		
*F2	F2. In what year were you born?		
	Enter year⇒	_	
*F3	F3. Are you Hispanic or Latino?		
	$ \Box 0 = No \Box 1 = Yes $		
*F4	F4. Please select one or more of the fo Are you	llowing choices to bes	t describe your race.
	 ☐ American Indian or Alaska Nati ☐ Asian ☐ Black or African American ☐ Native Hawaiian or Other Pacifi ☐ White 		

	0 = No 1 = Yes
*F5.	On November 1, 2006, were you
	 □ 1 = Single and never married □ 2 = Married □ 3 = Living with partner or significant other □ 4 = Separated, divorced, or widowed
*F6.	How many dependent children do you support?
	(A dependent child is a person 24 years old or younger for whom you provide at least half of his/her financial support.)
	Number of dependent children ⇒
	0 = None 1 = 1 2 = 2
	· ·
	9 = 9 10 = 10 or more dependents
*F7.	Were you born in the United States?
	$\Box 0 = No$ $\Box 1 = Yes$
*F8.	Are you a United States Citizen?
	$ \Box 0 = \text{No} \Box 1 = \text{Yes} $
F9.	If you answered no to question F8, are you
	 □ A US permanent resident □ Other non-citizen □ Do not wish to report

■ THE URBAN INSTITUTE 13 *On NSOPF Survey

Section G: Opinions

G1. Do you strongly agree, somewhat agree, somewhat disagree, or strongly disagree that at [FILL INSTNAME]...

Levels	of Agreement:
1 = Str	ongly Agree
2 = Soi	mewhat Agree
$3 = So_1$	mewhat Disagree
4 = Str	ongly Disagree
*a.	Good teaching is rewarded ⇒
b.	Involvement with students is rewarded ⇒
c.	There is a high level of student-faculty interaction ⇒
*d	Part-time faculty are treated fairly ⇒
*e.	Female faculty members are treated fairly ⇒
*f.	Faculty who are members of racial or ethnic minorities are treated fairly ⇒

FACULTY SURVEY WORKING DRAFT LETTER

Date

Faculty Member Title Street Address City, State Zip Code

Dear Faculty Member,

Your help is needed with a brief survey of STEM faculty members from HBCU-UP institutions. The survey is funded by a National Science Foundation contract and conducted by the Urban Institute and Nustats.

The survey that will take about 15 minutes to complete and we have provided several options for doing so. Of course, your individual responses to the survey will be kept confidential and the information you provide will be grouped with that of all others who complete the survey. The box below provides options for responding to the survey:

Survey Response Options

- Mail: To respond by mail, simply fill out the paper survey and return it in the enclosed business reply envelope.

On-line: To respond via the Internet, please go to www.addressTBDsurvey.com and enter the PIN TBD to access the survey. If you experience technical difficulties when accessing the survey, please call 1-800-TBD.

▶ **Telephone:** To respond by telephone, please call the Data Source interview line at 1-800-TBD and request the HBCU survey. An interviewer will be available to take your call between the hours of 9AM and 9 PM Eastern Time.

Please complete the survey using your preferred option—mail, internet, or telephone—at your earliest convenience. If you have any general questions about the survey, you may call TBD at 800-TBD. Your time and cooperation are greatly appreciated. We look forward to hearing from you.

Dear Faculty Member,

Your help is needed with a brief survey of STEM faculty members from HBCU-UP institutions. The survey is funded by a National Science Foundation contract and conducted by the Urban Institute and Nustats.

The web-based survey takes about 20 minutes to complete. Of course, your individual responses to the survey will be kept confidential and the information you provide will be grouped with that of all others who complete the survey.

On-line: Please go to www.addressTBDsurvey.com and enter the PIN TBD to access the survey. If you experience technical difficulties when accessing the survey, please call 1-800-TBD.

Please complete the survey at your earliest convenience. If you have any general questions about the survey, you may call TBD at 800-TBD. Your time and cooperation are greatly appreciated. We look forward to hearing from you.