

DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)
NOTICE OF CHANGE IN STATUS OF PLANT

(See instructions on next page)

- | | |
|---|------------------|
| 1. DISTILLED SPIRITS PLANT NUMBER | 2. SERIAL NUMBER |
| 3. EFFECTIVE DATE AND HOUR OF PROPOSED CHANGE | |

SECTION A. COMMENCEMENT, RESUMPTION, OR SUSPENSION OF DSP PRODUCTION

4. NOTICE IS HEREBY GIVEN THAT THE PROPRIETOR DESIRES, ON THE DATE AND HOUR SPECIFIED ABOVE, TO:

- | | |
|---|--|
| <input type="checkbox"/> Commence or resume operations for the production of spirits. | <input type="checkbox"/> Suspend operations for the production of spirits for a period of 90 days or more. |
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SECTION B. OPERATIONS BY ALTERNATING DSP PROPRIETORS

5. NOTICE IS HEREBY GIVEN THAT THE PROPRIETOR DESIRES, ON THE DATE AND HOUR SPECIFIED ABOVE, TO:

- | | |
|---|--|
| <input type="checkbox"/> Transfer the plant or a portion thereof to the alternating proprietor named below. | <input type="checkbox"/> Receive the plant or a portion thereof from the alternating proprietor named below. |
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NAME OF ALTERNATING PROPRIETOR

PLANT NO. UNDER ALTERNATING PROPRIETOR

SECTION C. ALTERNATE USE OF DSP PREMISES FOR CUSTOMS PURPOSES

6. NOTICE IS HEREBY GIVEN THAT THE PROPRIETOR DESIRES, ON THE DATE AND HOUR SPECIFIED ABOVE, TO:

- | | |
|---|---|
| <input type="checkbox"/> Curtail plant premises to permit temporary use of a portion thereof by Customs officers for the gauging of imported distilled spirits. | <input type="checkbox"/> Extend plant premises to include a portion thereof temporarily used by Customs officers for the gauging of imported distilled spirits. |
| <input type="checkbox"/> Curtail plant premises to permit temporary use of a portion thereof in a class 6 customs manufacturing bonded warehouse. | <input type="checkbox"/> Extend plant premises to include a portion thereof temporarily used in a class 6 customs manufacturing bonded warehouse. |

SECTION D. ALTERNATION OF DSP PREMISES WITH A BONDED WINERY, BONDED WINE CELLAR, TAXPAID WINE BOTTLING HOUSE, OR MANUFACTURER OF NONBEVERAGE PRODUCTS

7. NOTICE IS HEREBY GIVEN THAT THE PROPRIETOR DESIRES, ON THE DATE AND HOUR SPECIFIED ABOVE, TO:

- | | |
|--|---|
| A. <input type="checkbox"/> Curtail plant premises to commence or resume use as: | D. <input type="checkbox"/> Extend plant premises to include premises just previously used as: |
| <input type="checkbox"/> Bonded winery or bonded wine cellar premises. | <input type="checkbox"/> Bonded winery or bonded wine cellar premises. |
| <input type="checkbox"/> Taxpaid wine bottling house. | <input type="checkbox"/> Taxpaid wine bottling house. |
| <input type="checkbox"/> Manufacturer of nonbeverage products. | <input type="checkbox"/> Manufacturer of nonbeverage products. |
| B. <input type="checkbox"/> Curtail the premises of bonded winery or bonded wine cellar _____ ^{1/} for use as distilled spirits plant premises. | E. <input type="checkbox"/> Extend the premises of bonded winery or bonded wine cellar _____ ^{1/} to permit use of the distilled spirits plant premises curtailed in 7A above. |
| C. <input type="checkbox"/> Curtail the premises of taxpaid wine bottling house _____ ^{1/} for use as distilled spirits plant premises. | F. <input type="checkbox"/> Extend the premises of taxpaid wine bottling house _____ ^{1/} to permit use of the distilled spirits plant premises curtailed in 7A above. |

SECTION E. (IDENTITY AND NATURE OF CHANGE) ON A SEPARATE SHEET

SECTION F. DISPOSITION OF MATERIALS AND PRODUCTS ON DSP PREMISES

8a. ON THE EFFECTIVE DATE AND HOUR OF THIS NOTICE, THE PROPRIETOR NAMED BELOW (ITEM 10)

(Check one of the boxes below and check as many of the account items as are applicable)

- | | | | |
|---|--|----------------------------------|--|
| | In the production account: | In the storage account: | In the processing account: |
| <input type="checkbox"/> Transfer ^{2/} to the alternating proprietor named above <i>(in section B)</i> | <input type="checkbox"/> Distilling Material | <input type="checkbox"/> Spirits | <input type="checkbox"/> Bulk Spirits <i>(including denatured spirits)</i> |
| <input type="checkbox"/> Receive from the alternating proprietor named above <i>(in section B)</i> | <input type="checkbox"/> Unfinished Spirits | <input type="checkbox"/> Wines | <input type="checkbox"/> Spirits for Redistillation |

8b. REMOVE, BEFORE THE EFFECTIVE DATE AND HOUR, FROM PREMISES AFFECTED BY THE CHANGE:

- | | | | |
|---|--------------------------------|---|-----------------------------------|
| <input type="checkbox"/> All finished bottled spirits | <input type="checkbox"/> Wines | <input type="checkbox"/> Other spirits <i>(including denatured spirits)</i> | <input type="checkbox"/> Articles |
|---|--------------------------------|---|-----------------------------------|

8c. RETAIN IN PROCESSING ACCOUNT DURING THE PERIOD OF ALTERNATE PROPRIETORSHIP:

- | | |
|--|---|
| <input type="checkbox"/> Denatured spirits in locked tanks | <input type="checkbox"/> Articles in locked tanks |
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9. DATE

10. PROPRIETOR

11. BY *(Signature and Title)*

SECTION E. IDENTITY OF DSP, BN, BWC, OR TPWBH PREMISES (OR PORTION THEREOF) AFFECTED, AND NATURE OF CHANGE

Refer specifically (*by number or letter, where applicable*) to description of premises in TTB F 5110.41, Registration of Distilled Spirits Plant, and to plans, as applicable, to show precise identification of the premises or part thereof affected. If temporary curtailment and extension of premises under item 6 or 7 is proposed, show what the status of all areas, rooms, or buildings, and/or pieces of equipment, including spirits lines, will be after such change is made. (*If necessary, attach properly identified supplemental sheets.*)

FOOTNOTES

¹Show registration number of BN, BWC, or TPWBH.

–²Transferee must prepare TTB F 5100.16 if required under 27 CFR 19.506.

INSTRUCTIONS

1. Notice must be submitted in duplicate to the Director, National Revenue Center, Alcohol and Tobacco Tax and Trade Bureau, 500 Main St, Ste 8002, Cincinnati, OH 45202-5215 before the proprietor of a distilled spirits plant may change the status of his/her plant.
2. This form may be used to alternately extend and curtail DSP, BN, BWC, or TPWBH premises for use of the premises by one of the registrants, if the registered operations are owned by the same person. Likewise, this form may be used to alternately curtail and extend DSP premises for use in the manufacture of nonbeverage products if the DSP and MNBP operations are owned by the same person.
3. Notices by alternate proprietors must be submitted by both proprietors in duplicate. The two Notices (*one to transfer, one to receive*) should be filed simultaneously.
4. Notices to alternate, curtail, or extend premises by the same proprietor must also be submitted in duplicate.
5. Notices to commence, suspend, or resume production operations must have been received by the Director, National Revenue Center prior to the effective date of the change.

PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1995. The information collection is used to determine the eligibility of the applicant to engage in certain operations, to determine location and extent of operations, and to determine whether the operations will be in conformity with Federal laws and regulations. The information requested is required to obtain or retain a benefit and is mandatory by statute (26 USC 5172).

The estimated average burden associated with this collection of information is 1 hour per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, D.C. 20220.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.
