# **Paperwork Reduction Act Submission**

Please read the instruction before completing this form. For additional forms or assistance in completing this forms, contact your agency's Paperwork Reduction Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 Seventeenth St. NW, Washington, DC 20503.

Agency/Subagency Originating Request:     U.S. Department of Housing and Urban Development     Office of Legislation & RegulationsOffice of the Secretary	2. OMB Control Number: a. <b>2501-0020</b> b. None
3. Type of information collection: (check one)  a. New Collection  b. Revision of a currently approved collection  c. Extension of a currently approved collection  d. Reinstatement, without change, of previously approved collection for which approval has expired  e. Reinstatement, with change, of previously approved collection for which approval has expired  f. Existing collection in use without an OMB control number  For b-f, note item A2 of Supporting Statement instructions.  7. Title:	Type of review requested: (check one)  a. Regular  b. Emergency - Approval requested by  c. Delegated  5. Small entities: Will this information collection have a significant economic impact on a substantial number of small entities?  Yes No  6. Requested expiration date:  a. Three years form approval date  b. Other (specify)
8. Agency form number(s): (if applicable) None	
9. Keywords: Housing, Affordable communities, affordable housing, removal of re	egulatory barriers, regulatory reform
13. Annual reporting and recordkeeping hour burden:  a. Number of respondents  b. Total annual responses  Percentage of these responses collected electronically  Total annual hours requested  Current OMB inventory  e. Difference (+,-)  Explanation of difference:  1. Program change:  2. Adjustment:  -2,160	14. Annual reporting and recordkeeping cost burden: (in thousands of dollars) a. Total annualized capital/startup costs \$0.00 b. Total annual costs (O&M) \$0.00 c. Total annualized cost requested \$0.00 d. Total annual cost requested \$0.00 e. Current OMB inventory \$0.00 f. Explanation of difference: 1. Program change: 2. Adjustment:
Description of Information collection: (mark primary with "P" and all others that apply with "X")     a. P Application for benefits	16. Frequency of recordkeeping or reporting: (check all that apply)  a. Recordkeeping b. Third party disclosure  b. Reporting:  1. On occasion 2. Weekly 3. Monthly  4. Quarterly 5. Semi-annually 6. Annually  7. Biennually 8. Other (describe)
Does this information collection employ statistical methods?  Yes No Name	y contact: (person who can best answer questions regarding the content of this sion) : Camille Acevedo :: 202 708-3055

## 19. Certification for Paperwork Reduction Act Submissions

On behalf of the U.S. Department of Housing and Urban Development, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

**Note:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320/8(b)(3). appear at the end of the instructions. The certification is to be made with reference to those regulatory provisions as set forth in the instructions.

The following is a summary of the topics, regarding the proposed collections of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of the information;
  - (iii) burden estimate;

Signature of Program Official:

- (iv) Nature of response (voluntary, required for a benefit, or mandatory);
- (v) Nature and extent of confidentiality; and
- (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to collected (see note in item 19 of the instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in item 18 of the Supporting Statement.

Date:

x	
Signature of Senior Officer or Designee:	Date:
X	
Wayne Eddins, Departmental Reports Management Officer, Office of the Chief Information Officer	

## **Supporting Statement for Paperwork Reduction Act Submissions**

#### A. Justification

Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that
necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of
information.

HUD's America's Affordable Communities Initiative focuses on breaking down regulatory barriers that impede the production or rehabilitation of affordable housing. As part of this initiative, HUD is examining federal, state and local regulations to identify those regulations that present significant barriers to the production or rehabilitation of affordable housing. HUD is reviewing its own regulations to identify regulatory barriers to affordable housing that HUD can and should change. HUD's intention is to lead by example. Another effort of the Initiative includes providing incentives to state and local governments to remove regulatory barriers. As part of HUD's FY2004 SuperNOFA, HUD included, as a policy priority, the removal of regulatory barriers. An applicant that meets the criteria of a policy priority is eligible to receive higher points. The removal of regulatory barriers was not only a policy priority in HUD's FY2004 SuperNOFA but in the FY2005 SuperNOFA and all other NOFAs published independently from the SuperNOFA.

This non-monetary award announcement is designed to present an additional incentive to states, local, and tribal governments to become active in removing barriers to affordable housing to the extent feasible. This award serves to publicly recognize communities that have taken a leadership role in reexamining their existing regulatory systems and have taken the necessary steps to promote the construction and development of affordable housing.

The 1990 Cranston-Gonzales National Affordable Housing Act, Congress, for the first time, recognized the importance of public policies and processes to the supply of affordable housing. Section 105(b)(4) requires state and local governments to explain as part of their Comprehensive Housing Affordability Strategy (CHAS)--now included in HUD's Consolidated Plan-- whether a proposed public policy affects housing affordability and describe the jurisdiction's strategy to remove or ameliorate negative effects, if any, of such policies (see 24 CFR 91.210(e) and 24 CFR 91.310(d)). Congress, in Title XII of the 1992 Housing and Community Development Act, reiterated its interest in this important subject by authorizing grants for regulatory barrier removal and established a Regulatory Barriers Clearinghouse (see <a href="https://www.hud.gov/grants/index.cfm">https://www.hud.gov/grants/index.cfm</a>). In the American Homeownership Act of 2000, Congress reauthorized the Clearinghouse and simplified procedures for a barrier removal grant program.

- 2. Indicate how, by whom and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.
  - The information presented by applicants for the award is used to select the award winners. The information presented by the applicants also provides the Initiative with good examples of how regulatory barriers are removed and affordable housing made possible or increased in communities across America.
- 3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden
  - The information is collected from applicants electronically, via the Internet.
- 4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.
  - This information is not currently collected through other means.
- 5. If the collection of information impacts small businesses or other small entities (Item 5 of OMB Form 83-I) describe any methods used to minimize burden.
  - This collection of information does not impact small businesses.
- 6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.
  - If an award is to be given under the Affordable Communities Initiative, the information must be collected once to determine the award winners. There are no technical or legal consequences, however, on the Initiative, the Initiative's policy or any other HUD programs.

- 7. Explain any special circumstances that would cause an information collection to be conducted in a manner:
  - requiring respondents to report information to the agency more than quarterly;
  - requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;
  - requiring respondents to submit more than an original and two copies of any document;
  - requiring respondents to retain records other than health, medical, government contract, grant-in-aid, or tax records for more than three
    years;
  - in connection with a statistical survey, that is not designed to produce valid and reliable results than can be generalized to the universe of study;
  - requiring the use of a statistical data classification that has not been reviewed and approved by OMB;
  - that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
  - requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

There are no special circumstances.

- 8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.
  - Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping disclosure, or reporting format (if any) and the data elements to be recorded, disclosed, or reported.
  - Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years -- even if the collection of information activity is the same as in prior periods. There may be circumstances that preclude consultation in a specific situation. These circumstances should be explained.

This information collection was announced in the Federal Register on August 17, 2007 (Vol. 72, No. 159) on page 46233. No comments were received.

- 9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.
  - The decision to provide a non-monetary award was based on the following: (1) publicly recognizing communities that have taken a leadership role in examining their regulatory systems that may impede the construction or rehabilitation of affordable housing; and (2) serving as an incentive to other communities to take such leadership role.
- 10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation or agency policy. No assurance of confidentiality is provided. No assurance of confidentiality is needed. The information being solicited is not proprietary or confidential in any other respect.
- 11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

No questions of a sensitive nature are asked.

- 12. Provide estimates of the hour burden of the collection of information. The statement should:
  - indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally estimates should not include burden hours for customary and usual business practices;
  - if this request covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens in Item 13 of OMB Form 83-I; and
  - provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead this cost should be included in Item 13.

It is estimated their will be approximately 30 respondents, with an estimated average burden of 8 hours per response, for a total hour burden of 240 hours. Preparation of the response is primarily a clerical task. The respondent is asked to describe, in essay form, the regulatory barriers that were overcome in a community that resulted in or now will result in more affordable housing in the community or the preservation of affordble housing. Respondents are requested to limit their submissions to no more than four pages.

The annual cost to each respondent is estimated at a wage rate of \$25 per hour, for an annualized cost to each respondent of \$200 and a total annualized cost to respondents collectively of \$6,000. The estimate hour burden may be higher for some communities.

Affordable housing and affordable communities are popular subjects and communities or jurisdictions already have compiled information that presents their successful efforts to increase or maintain affordable housing.

- 13. Provide an estimate of the total annual cost burden to respondents or recordkeepers resulting from the collection of information (do not include the cost of any hour burden shown in Items 12 and 14).
  - The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life); and (b) a total operation and maintenance purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s) and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities;
  - If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collection services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10) utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.
  - generally, estimates should not include purchases of equipment or services, or portions thereof made: (1) prior to October 1, 1995, (2) to achieve regulatory compliance with requirements not associated with the information collection, (3) for reasons other than to provide information or keep records for the government, or (4) as part of customary and usual business or private practices.

There is no cost burden to respondents other than the cost burden shown in item 12.

14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from Items 12, 13, and 14 in a single table.

After consultation with HUD employees who have been responsible for the preparation of applications for funding, we estimate the annual cost to the federal government to be \$5,000.

This is calculated as follows: Approximately five GS-15 personnel will spend 20 hours each reviewing the applications received and preparing recommendations. At a cost of approximately \$50 per hour, this totals \$5,000.

- 15. Explain the reasons for any program changes or adjustments reported in Items 13 and 14 of the OMB Form 83-I.
  - This is an extension of a currently approved collection. The adjustments to Item 13 on the OMB 83-I is due to fewer respondents.
- 16. For collection of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.
  - Results will not be published.
- 17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.
  - The expiration date of OMB approval will be displayed
- 18. Explain each exception to the certification statement identified in item 19.
  - There are no exceptions to the certification.

#### B. Collections of Information Employing Statistical Methods

The collection of information does not employ statistical methods.