

**SUPPORTING STATEMENT FOR 20 CFR 422.527 (PRIVATE PRINTING AND
MODIFICATION OF PRESCRIBED APPLICATIONS AND OTHER FORMS) AND FORM
SSA-1010 (REQUEST TO REPRODUCE, REPRINT, OR DISTRIBUTE SSA FORMS,
APPLICATIONS, OR OTHER PUBLICATIONS)**

Final Rules

OMB No. 0960-0663

A. Justification

1. *Section 1140(a)(2) of the Social Security Act* states that no person, institution, or organization can reproduce, reprint, or distribute any form, publication, or other application of the Social Security Administration (SSA) without written authorization from SSA for such activities. *Section 20 CFR 422.527 of the Code of Federal Regulations* is the regulation section codifying and describing these requirements.

We recently published new Final Rules for *20 CFR 422.527* which implemented provisions of *Section 1140(a)(2)(A) of the Social Security Act*, including the following: 1) SSA's publications were added to the list of items which needed pre-authorization to be reprinted privately; 2) SSA's authorization to reprint applications, forms, or publications is only needed if the person or company reprinting will charge a fee to do so; and 3) the procedures a person who intends to charge a fee must follow to obtain SSA's authorization were described.

This ICR is for the new provisions contained in the Final Rules and for form SSA-1010, the Request to Reproduce, Reprint, or Distribute SSA Forms, Applications, or other Publications, the IC instrument the public uses to fulfill these provisions.

2. The information required by this regulation section is used by SSA to determine if a person, institution, and organization should be allowed to reproduce an SSA application. Specifically, these requests are made using form SSA-1010 and must include the reason for the requested reproduction, the intended user of the form, the proposed modifications, if any, the proposed format (including printing and other specifications), the type of automatic data processing machinery for which the form is being designed, estimated printing quantity and cost per thousand applications, and any other information deemed necessary by SSA.
3. Due to the small number of respondents who must submit written requests, the Agency has not developed an electronic request format under its Government Paperwork Elimination Act plan.

0960-0663 Private Printing Reg Final Rules
2/5/2021

4. The nature of the information being collected and the manner in which it is collected preclude duplication. There is no other collection instrument used by SSA that collects data similar to that collected here.
5. This collection does not significantly impact small businesses or other small entities.
6. If this collection were not conducted, people, institutions, and organizations would have no means of requesting permission to reproduce SSA applications, forms, or publications when they might have legitimate reasons to do so which could benefit the public. Since the collection is initiated only at the discretion of the respondent, it cannot be conducted less frequently.

There are no technical or legal obstacles that prevent burden reduction.

7. There are no special circumstances that would cause this information collection to be conducted in a manner inconsistent with 5 CFR 1320.5.
8. The Federal Register Notice for the Notice of Proposed Rulemaking (NPRM) was published on August 16, 2007, at 72 FR 45991, and SSA received no public comments. The Final Rules for this regulation were published on December 27, 2007 at 72 FR 73260. SSA will forward any comments it receives in response to the Final Rules to OMB.

There have been no outside consultations with members of the public.

9. SSA provides no payment or gifts to the respondents.
10. This information collection is protected and held confidential in accordance with 42 U.S.C. 1306, 20 CFR 401 and 402, 5 U.S.C. 552 (Freedom of Information Act), 5 U.S.C. 552a (Privacy Act of 1974), and OMB Circular No. A-130.
11. The information collection does not contain any questions of a sensitive nature.
12. Approximately 9 respondents will submit 36 written requests annually. The response time for submitting such requests is 8 minutes, for a total burden of 43 hours. The total is reflected as burden hours, and no separate cost burden has been calculated.
13. There is no known cost burden to the respondents.
14. Based on historical data, SSA receives few requests from the public. Using a GS-12 salary, we estimate that it costs approximately \$4.52 to review and approve each request. Therefore, the estimated cost to the Government for this collection is approximately \$194 (\$4.54 x 43 burden hours).

15. There has been no change to the public reporting burden.
16. The results of the information collection will not be published.
17. For regulation section 422.527, we are not requesting an exception to publishing the OMB expiration date. For form SSA-1010, OMB has granted SSA an exemption from the requirement to print the OMB expiration date on its forms. SSA produces millions of public-use forms, many of which have a life cycle longer than that of an OMB clearance. SSA does not periodically (e.g. on an annual basis) revise and reprint its public-use forms. This exemption was granted to avoid making otherwise useable editions of forms obsolete only because the expiration date had been reached. In addition, Government waste has been avoided because stocks of forms would not have to be destroyed and reprinted.
18. SSA is not requesting an exception to the certification requirements at 5 CFR 1320.9 and related provisions at 5 CFR 1320.8(b)(3).

B. Collections of Information Employing Statistical Methods

Statistical methods are not used for this information collection.