



SURVEY ON SEXUAL VIOLENCE, 2006

- Incident Form (Adult)

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
and ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
Economics and Statistics Admin.
U.S. CENSUS BUREAU

Incident Number ___ out of ___

1. On what date did the incident occur?
(If more than one date, report the most recent.)

Month Day Year

2. In what facility did the incident occur?

Name _____
 City/Place _____

3. Where did the incident occur? *(Mark (X) all that apply.)*

- 01 In the victim's cell/room (if the victim and perpetrator share a cell/room, count as the victim's cell)
- 02 In the perpetrator's cell/room
- 03 In a dormitory or other multiple housing unit
- 04 In a common area within a cell block (shower, dayroom)
- 05 In a temporary holding cell within the facility
- 06 In a program service area (commissary, kitchen, storage, laundry, cafeteria, workshop, or hallway)
- 07 Outside the facility
- 08 While in transit
- 09 Other - *Specify*

4. What time did the incident occur? *(Mark (X) all that apply.)*

- 01 Morning (6 a.m. to noon)
- 02 Afternoon (noon to 6 p.m.)
- 03 Evening (6 p.m. to midnight)
- 04 Overnight (midnight to 6 a.m.)

5. How many victims were involved in the incident?

Number of victims . . .

→ **If more than two victims were reported, report these characteristics in Notes.**

6. Victim #1: What was the victim's gender?

- 01 Male
- 02 Female

7. Victim #1: What was the victim's age at the time of the incident?

- 01 Under age 18
- 02 18 - 24
- 03 25 - 29
- 04 30 - 34
- 05 35 - 39
- 06 40 - 44
- 07 45 or older

8. Victim #1: What was the victim's race/ethnic origin?
(Mark (X) all that apply.)

- 01 White *(not of Hispanic origin)*
- 02 Black *(not of Hispanic origin)*
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native *(not of Hispanic origin)*
- 05 Asian *(not of Hispanic origin)*
- 06 Native Hawaiian or Other Pacific Islander *(not of Hispanic origin)*
- 07 Other racial category in your information system - *Specify*

9. Victim #2: What was the victim's gender?

- 01 Male
- 02 Female

10. Victim #2: What was the victim's age at the time of the incident?

- 01 Under age 18
- 02 18 - 24
- 03 25 - 29
- 04 30 - 34
- 05 35 - 39
- 06 40 - 44
- 07 45 or older

11. Victim #2: What was the victim's race/ethnic origin?
(Mark (X) all that apply.)

- 01 White *(not of Hispanic origin)*
- 02 Black *(not of Hispanic origin)*
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native *(not of Hispanic origin)*
- 05 Asian *(not of Hispanic origin)*
- 06 Native Hawaiian or Other Pacific Islander *(not of Hispanic origin)*
- 07 Other racial category in your information system - *Specify*

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 15 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

12. Did the victim(s) sustain any physical injury during the incident?

01 Yes → **a. What injuries occurred?**
(Mark) all that apply for all victims.)

- 01 Knife or stab wounds
- 02 Broken bones
- 03 Anal or vaginal tearing
- 04 Teeth chipped or knocked out
- 05 Internal injuries
- 06 Knocked unconscious
- 07 Bruises, black eye, sprains, cuts, scratches, swelling, welts
- 08 Other – Specify ↴

b. Did the victim(s) receive medical treatment for these injuries?

- 01 Yes
- 02 No

02 No (No injury sustained)

13. Who reported the incident?
(Mark) all that apply.)

- 01 Victim
- 02 Another inmate (non-victim)
- 03 Family of victim
- 04 Correctional officer/front line staff
- 05 Administrative staff
- 06 Medical/healthcare staff
- 07 Instructor/teacher
- 08 Counselor
- 09 Chaplain or other religious official
- 10 Other – Specify ↴

14. After the incident was reported, was the victim(s) – (Mark) all that apply for all victims.)

- 01 Given a medical examination
- 02 Administered a rape kit
- 03 Tested for HIV/AIDS
- 04 Tested for other sexually transmitted diseases
- 05 Provided with counseling or mental health treatment
- 06 None of the above

15. After the incident was reported, was the victim(s) – (Mark) all that apply for all victims.)

- 01 Placed in administrative segregation/protective custody
- 02 Placed in a medical unit, ward, or hospital
- 03 Confined to own cell/room
- 04 Given a higher custody level with the facility
- 05 Transferred to another facility
- 06 Other – Specify ↴

07 None of the above

16. What type of sexual violence was involved in the incident? (See the SSV Summary Form for definitions.)

- 01 Inmate-on-inmate nonconsensual sexual act → Complete Section A
- 02 Inmate-on-inmate abusive sexual contact → Complete Section A
- 03 Staff sexual misconduct → Complete Section B
- 04 Staff sexual harassment → Complete Section B

Section A – INMATE-ON-INMATE SEXUAL VIOLENCE

17. How many perpetrators were involved in the incident?

Number of perpetrators . . .

→ If more than two perpetrators were involved, report these characteristics in Notes.

18. Perpetrator #1: What was the perpetrator's gender?

- 01 Male
- 02 Female

19. Perpetrator #1: What was the perpetrator's age at the time of the incident?

- 01 Under age 18
- 02 18–24
- 03 25–29
- 04 30–34
- 05 35–39
- 06 40–44
- 07 45 or older

20. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark) all that apply.)

- 01 White (not of Hispanic origin)
- 02 Black (not of Hispanic origin)
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native (not of Hispanic origin)
- 05 Asian (not of Hispanic origin)
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
- 07 Other racial category in your information system – Specify ↴

Section B – STAFF SEXUAL MISCONDUCT AND HARASSMENT

21. Perpetrator #2: What was the perpetrator's gender?

- 01 Male
- 02 Female

22. Perpetrator #2: What was the perpetrator's age at the time of the incident?

- 01 Under age 18
- 02 18–24
- 03 25–29
- 04 30–34
- 05 35–39
- 06 40–44
- 07 45 or older

23. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark (X)) all that apply.)

- 01 White (not of Hispanic origin)
- 02 Black (not of Hispanic origin)
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native (not of Hispanic origin)
- 05 Asian (not of Hispanic origin)
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
- 07 Other racial category in your information system – Specify ↴

24. What type of pressure or physical force was used by the perpetrator on the victim? (Mark (X)) all that apply for all perpetrators.)

- 01 Persuasion or talked into sexual activity
- 02 Bribery or black mail
- 03 Gave victim drugs or alcohol
- 04 Offered protection from other inmates
- 05 Threatened with physical harm
- 06 Physically held victim down or restrained in some way
- 07 Physically harmed or injured
- 08 Threatened with a weapon
- 09 Other – Specify ↴

- 10 None

25. What sanction was imposed on the perpetrator? (Mark (X)) all that apply for all perpetrators.)

- 01 Placed in solitary confinement or disciplinary segregation
- 02 Confined to own cell/room
- 03 Placed in higher custody level within same facility
- 04 Transferred to another facility
- 05 Loss of "good/gain" time or increase in "bad" time
- 06 Given extra work
- 07 Loss of privileges
- 08 Arrested
- 09 Referred for prosecution
- 10 Given new sentence
- 11 Other – Specify ↴

26. What was the nature of the incident?

(Mark (X)) all that apply.)

- 01 Physical force resulting in a nonconsensual sexual act
- 02 Pressure or abuse of power resulting in a nonconsensual sexual act
- 03 Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- 04 Unwanted touching for sexual gratification
- 05 Sexual harassment or repeated verbal statements of a sexual nature by staff
- 06 Sexual relationship between inmate and staff that appeared to be willing
- 07 Other – Specify ↴

- 08 Level of coercion unknown

27. How many staff were involved in the incident?

Number of staff. . .

→ If more than two staff were involved, report these characteristics in Notes.

28. Staff #1: What was the gender of the staff?

- 01 Male
- 02 Female

29. Staff #1: What was the age of the staff at the time of the incident?

- 01 24 or younger
- 02 25 – 29
- 03 30 – 34
- 04 35 – 39
- 05 40 – 44
- 06 45 – 54
- 07 55 or older

30. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark (X)) all that apply.)

- 01 White (not of Hispanic origin)
- 02 Black (not of Hispanic origin)
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native (not of Hispanic origin)
- 05 Asian (not of Hispanic origin)
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 Other racial category in your information system – Specify ↴

31. Staff #2: What was the gender of the staff?

- 01 Male
- 02 Female

32. Staff #2: What was the age of the staff at the time of the incident?

- 01 24 or younger
- 02 25 – 29
- 03 30 – 34
- 04 35 – 39
- 05 40 – 44
- 06 45 – 54
- 07 55 or older

33. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark (X)) all that apply.)

- 01 White (not of Hispanic origin)
- 02 Black (not of Hispanic origin)
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native (not of Hispanic origin)
- 05 Asian (not of Hispanic origin)
- 06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 Other racial category in your information system – Specify ↴

34. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark (X)) all that apply for all staff involved.)

- 01 Full or part-time paid employee
- 02 Contract employee or vendor
- 03 Volunteer or intern
- 04 Other – Specify ↴

35. What was the primary position description of the staff involved in the incident? (Mark (X)) all that apply for all staff involved.)

- 01 Administrator, including wardens, superintendents, assistants and others in administrative positions
- 02 Correctional officer/supervision staff
- 03 Clerical including secretaries, clerks, receptionists, and other administrative support
- 04 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- 05 Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- 06 Education staff, including instructors, teachers, librarians, and education assistants
- 07 Other program staff
- 08 Other staff – Specify ↴

36. At the time of the incident, how long had the staff worked at the facility? (Mark (X)) all that apply for all staff involved.)

- 01 Less than 6 months
- 02 6 months to 1 year
- 03 1 to 5 years
- 04 5 to 10 years
- 05 More than 10 years

37. What sanction was imposed on the staff? (Mark (X)) all that apply for all staff involved.)

- 01 Reprimanded or disciplined
- 02 Demoted or diminished responsibilities
- 03 Transferred to another facility
- 04 Arrested
- 05 Referred for prosecution
- 06 Discharged
- 07 Staff resigned (prior to completion of investigation)
- 08 Staff resigned (after investigation was completed)
- 09 Other – Specify ↴

NOTES