
Attachment 1: Draft Participant Survey

Retirement News for Employers Newsletter Survey:

1. Which of the following best describes you?

- a. Employer/Plan Sponsor
- b. Enrolled Agent/Tax Preparer
- c. CPA (non-benefits professional)
- d. Attorney (non-benefits professional)
- e. Benefits Professional
- f. Financial Products/Investment Advisor
- g. Other _____

2. Please indicate (by percentage) the type of plan(s) that apply to you:

- SEP _____%
- SIMPLE IRA Plan _____%
- Profit-Sharing Plan _____%
- 401(k) Plan _____%
- Money Purchase Pension Plan _____%
- Target Benefit Plan _____%
- Defined Benefit Plan _____%
- Employee Stock Ownership Plan _____%
- Cash Balance Plan _____%
- Other (please specify): _____%
- Total: 100%

3. Do you regularly read the *Retirement News for Employers*?

Yes

No

4. If you do not read the *Retirement News for Employers*, please tell us your reason(s):

- Unaware of it
- Don't have time
- Articles are not relevant to my plan type (I have or serve _____ type of plan)
- Information is not useful
- Articles are at a level that is too technical
- Don't read the *Retirement News for Employers* for the following reason(s):

(Survey ends for participants answering no to question 3)

5. Which recurring article(s) of the *Retirement News for Employers* do you like?

- "We're Glad You Asked" (Pension Q&A column)
- "Desk Side Chat with Monika Templeman" (Director, EP Exam offers retirement advice)
- "Recent Guidance" (Recent IRS Guidance affecting retirement plans)
- "The Filing Cabinet" (Info on IRS retirement plan forms and publications)
- "DOL News" (Department of Labor's recent guidance on ERISA)
- "Product Profile" (An in-depth look at one of our publications)
- "Mark Your Calendar" (A reminder retirement plan deadlines)

6. What other topics would you like to see in the *Retirement News for Employers* Newsletter?

Please specify: _____

7. Do you pass the *Retirement News for Employers* on to other people?

Yes

No

8. If Question # 7 is answered "yes," to whom do you send the newsletter?

"Timing is Everything," a one-page information sheet included in the *Retirement News for Employers* that includes educational information for retirement plan participants.

9. Please tell us how you share "Timing is Everything."

- Post it in a centralized area (e.g., lunchroom, bulletin board)
- Print it and give it to employees individually (e.g., a payroll stuffer)
- E-mail "Timing is Everything" (or the entire *RNE*) to employees
- Do not share "Timing is Everything"
- Other _____

10. If you do not currently share "Timing is Everything" you would share it if:

11. Prior topics of "Timing is Everything" were: Retirement Plan Contributions, Saver's Credit, and Annual Benefit/Contribution Limitations.

What other topics would be beneficial to participants?

Please specify: _____

Thank you for your feedback.

This concludes the questionnaire. Thank you for your participation.