

FACILITIES AND EQUIPMENT

14. Facility Area in sq. ft.	Present	Add'l. for CoC Contract	List Machinery & Equipment required for this CoC Contract currently available. List separately additional equipment to be acquired. Use separate sheet if necessary.
(1) Administrative			
(2) Manufacturing			
(3) Storage - inside			
- outside			
(4) Other - (specify)			
Total			

15. Give percentage (dollarwise) of Inventory on hand for the proposed contract _____ %.

16. Total amount of proposed contract to be subcontracted \$ _____ %.

PLANT LOADING AND PRODUCTION SCHEDULES

17. Total Projected Plant Load Chart (Use a separate line for each existing and proposed contract and each item of present and projected commercial production. Show start and finish of each item by drawing a line between the month or week started and the month or week to be finished. Use separate spread sheet if greater detail is needed to evaluate capacity.)

Schedule Periods are in Months. Weeks.

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
A. Commercial																								
B. Government																								
C. CoC Application Contract																								
D. Other																								

Present explanation for production and scheduling overlaps; explain delinquent contracts

COST ANALYSIS

18. Check basis Unit Price, Total Contract

Direct Material _____	Contingencies _____
Direct Labor _____	Other (Specify) _____
Overhead _____	
Subcontracting _____	Profit _____
G & A _____	Total _____

SBA USE ONLY

19. Based on data contained in the foregoing and in the attached enclosures a CoC is I Concur
 Recommended Not Recommended I Do Not Concur (State reasons in items)

By _____

Signature _____

Title _____

Date _____

Reviewing Official _____

Title _____

Date _____

COMPARATIVE STATEMENTS OF SALES, PROFIT OR LOSS, ETC. Detailed Profit and Loss Statements Must Be Attached

	Fiscal Year Ends (Give Date): MM/DD/YY		
If a Corporation, Use This Block:			to date
Net Sales (Gross sales less returns and allowances)			
Depreciation			
Income Taxes			
Compensation of Officers (Included in expenses)			
Net Profit (After depreciation and Income Taxes)			
Dividends Paid			
If a Partnership or Proprietorship, Use This Block:			to date
Net Sales (Gross sales less returns and allowances)			
Depreciation			
Withdrawals (For Income Taxes)			
Personal Withdrawals by Owner or Partners			
Net Profit (After depreciation and withdrawals)			

B. MANAGEMENT

Information to be furnished as to each officer, partner, or proprietor of applicant

<u>Name</u>	<u>% of Ownership</u>	<u>Net Worth Outside of Applicant</u>
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PART III CERTIFICATION AND AGREEMENT

In order to comply with the provisions of Section 13, 15 U.S.C 642 of the Small Business Act, the applicant does hereby certify to and agree as follows:

- A. In the event SBA issues the Certificate of Competency herein applied for, then for a period of two years from the date upon which such Certificate shall have been issued, the applicant and his subsidiaries and affiliates agree to refrain from employing, tendering any offer of employment to, or retaining for professional services, any person who, on such date, or within one year prior thereto, shall have served as an officer, attorney, agent, or employee of SBA occupying a position or engaging in activities which SBA shall have determined involve discretion with respect to the granting of assistance under the above Act
- B. The names of all attorneys, accountants, appraisers, engineers, consultants, agents, or other persons engaged by or on behalf of the applicant for the purpose of expediting this application or obtaining a Certificate of Competency and the fees and/or other compensation paid to any person, are as follows:

Name	Occupation	Address (Include Zip Code)	Compensation

- C. The names of any members of the National or District Small Business Advisory Council who have any direct or indirect financial interest whatsoever in the applicant (such interest to include any direct or indirect financial interest in any other business entity or enterprise which is, in any way, connected with the undersigned) are to the best of my knowledge, information, and belief as follows:

Name	Address (Include Zip Code)

- D. To notify SBA in writing within five (5) days of any changes in items B and C above.
- E. The applicant further agrees, in order to insure the continued recognition of the integrity of the SBA Certificate of Competency program if the Certificate of Competency herein applied for is issued, to permit authorized employees or representatives of SBA access to the applicant's financial, production, or other business records and to the applicant's facilities at all reasonable times during the performance of the contract described in item 8.
- F. That all the statements and all other information set forth in this application and in all exhibits and documents submitted with or in connection with this application are, to the best of the applicant's information and belief, true and correct and are submitted for the purpose of inducing SBA to grant a Certificate of Competency to the applicant. To the best knowledge and belief of the applicant, neither the applicant nor any key employee of applicant (of an individual or if individually owned) nor any officer, partner, or key employee of the applicant (if a corporation, partnership, firm or other business entity) is now or ever has been a member of any organization, party, association, movement, group, or combination of persons which advocates the overthrow or destruction of the Government of the United States of America, or of any organization, party, association, movement, group, or combination of persons which has adopted a policy advocating, approving, or encouraging commissions of acts of force or violence to bring about the overthrow or destruction of the Government of the United States of America.

Date _____, _____ Signature _____

NOTE: Corporate applicants must execute application in corporate name, by duly authorized officer, and partnership applicants must execute application in firm name, together with signature of a general partner.

Section 16 of the Small Business Act, 15 U.S.C. 645, makes it a criminal offense punishable by fine of not more than \$5,000 or by imprisonment for not more than \$5,000 or by imprisonment for not more than two (2) years, or both, to make a statement knowing it to be false or make any misrepresentation to the Small Business Administration for the purpose of influencing in any way the action of the Administration.