INSTRUCTIONS FOR COMPLETING

THE AIDS DRUG ASSISTANCE PROGRAM

QUARTERLY REPORT

HIV/AIDS Bureau

Division of Science and Policy

Health Resources and Services Administration

5600 Fishers Lane, Room 7-90

Rockville, MD 20857

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INSTRUCTIONS FOR COMPLETING THE ADAP REPORT

Public reporting burden for this collection of information is estimated to average 7.5 hours per respondent year including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0915-0294.

Who Completes the ADAP Report?

The ADAP Quarterly Report is a condition of the ~~Title II~~Part B Grant award. Each grantee of record must complete the form. The grantee may designate one person to take responsibility for the completion of the form. However, the name and contact information of the ADAP Coordinator or Administrator must be entered in Item #6 on the Cover Page. HRSA does not require any other data submissions by ADAP grantees.

~~ADAP grantees have no other data submission requirements. This report replaces the monthly report, quarterly pricing report, and Section 7 of the CARE Act Data Report that grantees submitted prior to 2005.~~

What Are the Due Dates?

The ADAP Quarterly Report is due on the last business day of the month following the completion of the quarter. The table below provides the general schedule.

Quarter	Period	Report Due Date
1*	April 1–June 30	July 31
2	July 1–September 30	October 31
3	October 1–December 31	January 31
4	January 1–March 31	April 30

*Section 2 of the ADAP Quarterly Report (Annual Submission) is completed and submitted only once each year, with the first quarterly report of the ADAP fiscal year.

In the event that one of these due dates falls on a Saturday, Sunday, or Federal holiday, the due date would be the next business day.

How Do Grantees Submit Reports?

The ADAP Quarterly Report is a 'Deliverable' in the HRSA Electronic Handbooks (EHBs). This means that in order to complete and to submit the AQR, ADAP grantees must first be registered with the HRSA EHBs. For information on registering in the EHBs, follow the instructions in the HRSA EHB Home Page - https://grants.hrsa.gov/webexternal/

If you need additional assistance or if you encounter any other problems or issues in the registeration process, grantees should contact the HRSA Call Center with any of the contact addresses shown below.

ADAP grantees must review their data carefully before submission. If you need to change already submitted data and the submission deadline has not passed, you may request to 'Un-submit' and correct the data. You may not request an 'Un-submit' after the submission deadline. HAB must approve an un-submit request before you can make any changes.

ADAP grantees should submit reports through the HRSA Web site. Grantees should print a hard copy of their submission for their records. HRSA encourages grantees to use the online submission, as this will decrease the amount of time needed to complete the report. If a grantee cannot access the Web—either because of system problems or issues with logging in—the grantee should contact the Web Technical Assistance provider (address below) for assistance including one-time alternatives for submitting data.

Please review your data carefully before submitting it. Once you have submitted your quarterly report form, you will no longer have the option to edit the data online. If revisions to the final submission must be made, a hard copy of the report may be mailed to ADAP Quarterly Report, HRSA, Division of Service Systems, ADAP Branch, 5600 Fishers Lane, Rockville, MD 20857, or faxed to 301-443-5271.

To submit data online, go to the EHB Home page - https://grants.hrsa.gov/webexternal/: https://deis.hrsa.gov/hab/adapreports/

For Further Assistance, Call the HRSA Call Center:

HRSA Call Center

Email: **CallCenter@HRSA.Gov**

Toll Free: **1-877-464-4772 or (877) Go4-HRSA**

Local DC
Metro Area: **(301) 998-7373**

Fax: **(301) 998-7377**

Hours: **Monday thru Friday 9:00am – 5:30pm ET**

HRSA Electronic Handbooks: **https://grants.hrsa.gov/webexternal/**

The HRSA Call Center is closed on Government Holidays.

SECTIONS OF THE REPORT

Cover Page

After you have successfully registered with the HRSA EHBs, much of the information in the Cover Page would be populated automatically. However, you can still edit the items in the Cover Page, including: To log in to the Web data entry for the ADAP quarterly report, you will submit your ADAP code number. This should display the information in HRSA's files, including:

1. **Grantee name**

   The grantee name must match the organization name on the Notice of Grant Award. There should be no abbreviations or acronyms unless they are also used in the Notice of Grants Award (NOGA)

2. **Grant number**

   This is the grant number displayed on your Notice of Grant Award.

3. **ADAP number**

   This is a four-digit State ADAP number assigned by the ADAP BranchHAB, Division of Service Systems (DSS). If you do not know the code number for your program, contact your HRSA Project Officer.

4. **D-U-N-S number**

   This number, assigned by Dun & Bradstreet, indicates the grantee's credit worthiness. D-U-N-S numbers can be requested from http://www.dnb.com/us/www.dnb.com.

5. **Grantee address**

   This address should match the mailing address of the grantee of record. There should be no abbreviations or acronyms unless they are also used in the (NOGA).

6. **Contact information**

   The name, title, telephone and fax numbers, as well as official e-mail addressand contact information of the person who administers or coordinates the State or Territory ADAP should be provided.

7. **Report quarter**

   The report quarter is the one for which you are submitting the report (i.e., the quarter that was just completed).

   Verify that all information is correct, edit as needed, and then proceed to Section 1. If entering the ADAP four-digit number into the online system does not populate the fields, check your ADAP number again and retry. If it still does not work, you should contact your

Project Officer at HRSA to verify the number or contact the technical assistance contractor cited on the Web page.

If you cannot submit your AQR online for any reason, you should contact the HRSA Call Centeryour Project Officer to indicate the problem and make alternative arrangements for your mandatory data submission, then submit a hard copy by fax or mail. You will need to type your report and include a cover sheet with the above information and your signature and mail it to ADAP Quarterly Report, HRSA, Division of Service Systems, ADAP Branch, 5600 Fishers Lane, Rockville, MD 20857, or fax it to 301-443-5271.

Section 1: Quarterly Submission

This section must be completed *every quarter*.

A. CLIENT UTILIZATION

These questions include Client information, Funding, Expenditure and Drug Pricing information.

unduplicated client counts, client demographics, and program changes.1.

Unduplicated client counts

a. Total number of unduplicated clients enrolled in ADAP at any time during the quarter:

Unduplicated clients refers to the total number of individuals who are enrolled or certified as eligible to receive medications in your ADAP, regardless of whether they used ADAP services during the quarter for which you are reporting data. To obtain an unduplicated client count, an individual receiving multiple units of service must be counted only once. Anonymous clients should *not* be reflected in this total.

Note: It is HRSA's expectation that ADAPs must provide actual counts of clients rather than estimates.

b. Total number of NEW clients enrolled in the ADAP

New clients enrolled in ADAP this quarter refers to individuals who applied to ADAP for the first time and met the financial and medical eligibility criteria of the ADAP during the quarter for which you are reporting data, regardless of whether they used ADAP services. This number should be less than Item 1a.

*Note: Item 1b does not include individuals who have been recertified as eligible or individuals who have been reinstated as enrolled clients after a period of having been decertified. Examples of clients who should **NOT** be included in this number are the following:*

- *Clients who have moved out of the State and then returned, and*

- *Clients who move on and off ADAP because of fluctuations in eligibility for a Medicaid/Medically Needy program, based on whether they met spend-down requirements.*

c. Total number of unduplicated clients receiving at least one drug

This item refers to the total number of individuals who received *at least one* drug through the ADAP during the quarter for which you are reporting data. This should be an unduplicated number that counts each client only once, even if the client has had several prescriptions filled through the ADAP. This number should be less than or equal to Item 1a.

d. Total number of NEW clients receiving at least one drug

This item refers to the number of new clients this quarter (Item 1b) who also received at least one drug during the quarter for which you are reporting data.

e. Clients who received any type of insurance service (premiums, co-pays, deductibles)

Indicate the total number of clients for whom ADAP funds were used to pay insurance premium, co-payments, deductibles, or other health insurance services.

f.   NEW clients who received any type of insurance service (premiums, co-pays, deductibles)

Indicate the number of clients for whom ADAP funds were used to pay insurance premiums, co-payments, deductibles, or other health insurance services for the first time during the reporting quarter.

2. Gender

The totals in the columns a–f of the table in Item 2 must be the same as the numbers provided in the corresponding lines in Item 1. For this item, the clients should be asked which gender they identify most with. Clients who identify with more than one category (e.g., "male" and "transgender") should be classified as "unknown/unreported." The columns should provide the following information:

a. By total enrolled clients

Provide an unduplicated count of the total number of clients who are male, female, transgender, or unknown/unreported. The total for this column should equal Item 1a. ~~TIf you are completing the form online, t~~he total at the bottom of this column will be calculated automatically.

b. By new enrolled clients

Provide an unduplicated count of the total number of newly enrolled clients (during the reporting period) who are male, female, transgender, or unknown/unreported. The total for this column should equal Item 1b. The total at the bottom of this column will be calculated automatically.

c. ~~If you are completing the form online, the total will be calculated automatically.~~

BBy total clients served

Provide an unduplicated count of the total number of clients who received at least one medication during the reporting quarter and who are male, female, transgender, or

unknown/unreported. The total for this column should equal Item 1c. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

d. By new clients served

Provide an unduplicated count of the total number of newly enrolled clients who received at least one medication during the reporting quarter and who are male, female, transgender, or unknown/unreported. The total for this column should equal Item 1d. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

e. By insurance clients

Provide an unduplicated count of the total number of clients for whom ADAP funds were used to pay for insurance (including premiums, co-pays, and deductibles) during the reporting quarter and who are male, female, transgender, or unknown/unreported. The total for this column should equal Item 1e. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

f. By new insurance clients

Provide an unduplicated count of the total number of clients for whom ADAP funds were used to pay for insurance (including premiums, co-pays, and deductibles) for the first time reporting quarter and who are male, female, transgender, or unknown/unreported. The total for this column should equal Item 1f. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

3. Age ~~No estimates!~~

The totals in columns a–f of the table in Item 3 must be the same as the numbers provided in the corresponding line in Item 1. For this item, provide the number of clients by their age categories. If there are clients that do not provide their age and the health care provider makes an educated estimate for the purposes of treatment, the estimate should be reported, and this should also be noted in the Comments or Clarifications section (Question 12). If there are clients for whom their age cannot be determined, their number must be entered as unknown/unreported. The columns should provide the following information:

a. By total enrolled clients

Provide an unduplicated count of the total number of clients who fit into each age category. The total for this column should equal Item 1a. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

b. By new enrolled clients

Provide an unduplicated count of the total number of newly enrolled clients (during the reporting period) who fit into each age category. The total for this column should equal Item 1b. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

c. By total clients served

Provide an unduplicated count of the total number of clients who received at least one medication during the reporting quarter and who fit into each age category. The total for this column should equal Item 1c. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

d. By new clients served

Provide an unduplicated count of the total number of newly enrolled clients who received at least one medication during the reporting quarter who fit into each age category. The total for this column should equal Item 1d. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

e. By insurance clients

Provide an unduplicated count of the total number of clients for whom ADAP funds were used to pay for insurance (including premiums, co-pays, and deductibles) during the reporting quarter who fit into each age category. The total for this column should equal Item 1e. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

f. By new insurance clients

Provide an unduplicated count of the total number of clients for whom ADAP funds were used to pay for insurance (including premiums, co-pays, and deductibles) for the first time reporting quarter who fit into each age category. The total for this column should equal Item 1f. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

4. Race/Ethnicity

The totals in the columns a–f of the table in Item 4 must be the same as the numbers provided in the corresponding line in Item 1. For this item, clients should be reported according to their self-reported race/ethnicity. See the glossary for definitions of OMB approved terms to describe race and ethnicity. Do not assume or guess for Item 4. The columns should provide the following information:

a. By total enrolled clients

Provide an unduplicated count of the total number of clients who identified with each race/ethnicity category. The total for this column should equal the first line of Item 1. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

b.   By new enrolled clients

Provide an unduplicated count of the total number of newly enrolled clients (during the reporting period) who identified with each race/ethnicity category. The total for this column should equal the second line of Item 1. The total at the bottom of this column will be calculated automatically.

c.   If you are completing your form online, the total will be automatically calculated.

By total clients served

Provide an unduplicated count of the total number of clients who received at least one medication during the reporting quarter who identified with each race/ethnicity category. The total for this column should equal the third line of Item 1. The total at the bottom of this column will be calculated automatically.

If you are completing your form online, the total will be automatically calculated.

d.   By new clients served

Provide an unduplicated count of the total number of newly enrolled clients who received at least one medication who identified with each race/ethnicity category. The total for this column should equal the fourth line of Item 1. The total at the bottom of this column will be calculated automatically.

If you are completing your form online, the total will be automatically calculated.

e.   By insurance clients

Provide an unduplicated count of the total number of clients for whom ADAP funds were used to pay for insurance (including premiums, co-pays, and deductibles) during the reporting quarter who identified with each race/ethnicity category. The total for this column should equal Item 1e. The total at the bottom of this column will be calculated automatically.

If you are completing the form online, the total will be calculated automatically.

f.   By new insurance clients

Provide an unduplicated count of the total number of clients for whom ADAP funds were used to pay for insurance (including premiums, co-pays, and deductibles) for the first time reporting quarter who identified with each race/ethnicity category. The total for this column should equal Item 1f. If The total at the bottom of this column will be calculated automatically.

~~you are completing the form online, the total will be calculated automatically~~.

5. **Total number of clients on HAART**

Note that the categories in Item 5 are mutually exclusive; that is, clients *cannot* be counted in more than one category. <u>Provide the number of ADAP clients receiving *less than three* antiretroviral medications, *either three or four* antiretroviral medications, or *four or more* antiretroviral medications. The total number of clients reported in this item may not exceed the number reported in Item 1c.</u>~~.~~ <u>In the event that a client experiences a significant change in antiretroviral therapy during the quarter, the categories in Item 5 may not be mutually exclusive. For example, if a client goes from say, two to three antiretroviral therapies in the same quarter, the totals may exceed the number reported in Item 1c. In this case, provide an explanation in Item 12 (Comments and Clarifications).</u> ~~Provide the number of ADAP clients receiving *less than three* antiretroviral medications, *either three or four* antiretroviral medications, or *four or more* antiretroviral medications. The total number of clients reported in the item cannot exceed the number reported in Item 1c.~~ Combination drugs count as multiple medications (e.g., Combivir should be counted as two drugs).

6. **Clients served who are <u>at</u> less than 200 percent Federal Poverty Level (FPL)**

Provide the percentage of ADAP clients served *(indicated in Item1a)* whose annual household income was less than 200 percent of the FPL at the end of the reporting period or based on the client's most recent recertification for eligibility. Information on the current poverty guidelines can be found at the following Web address: <u>http://aspe.hhs.gov/poverty/07poverty.shtml</u>~~http://aspe.hhs.gov/hsp/hspincpov.htm~~.

8. **ADAP limits**

Place a check in the appropriate box<u>es</u> ~~or boxes~~ if your program has the following limits on ADAP and provide the maximum limit for that option.<u> You may check as many boxes as applicable</u>:

- Enrollment cap—A limit on the maximum number of people who can be enrolled at any given time.
- Waiting list—A list of people who are not receiving services but who will be served as openings become available. There may be several reasons why people are not receiving services, such as limited funding and the limit on the number of people already being served.
- Capped expenditures—The maximum amount of dollars that can be spent, usually per client.
- <u>Drug-specific enrollment caps – Using the drop-down box, indicate the ARV and Hep C medications, if any, that have enrollment caps. For each medication indicated, provide the maximum number of enrollees in the space provided.</u>

<u> </u>If any of the boxes are checked, <u>you must </u>fill in the maximum limit for that option.

8. Changes in program

Please check all of the developments and changes to your program that occurred during the quarter for which you are reporting. If you have changed the income or medical eligibility criteria for enrollment in the ADAP, please specify the new criteria.

B. FUNDING

This item requests funding received during this quarter from sources other than Ryan White CARE ActHIV/AIDS program funds (except Item 9d – unobligated Ryan White CARE Act funds). Funding that is reported here includes annual awards received during the second, third, or fourth quarter from the State. For example, if your State funds typically are received in July, you would put the entire amount in the second quarterly report under Item 9c. Your response to Item 9c for quarters 1, 3, and 4 would then be "0."

9. ADAP funding received during quarter

Please provide information on the amount of funding your program received from the sources listed during the reporting quarter. This item is requesting information on funding *received*, not awarded. Enter "0" if your ADAP did not receive funding from any given source during the quarter. Do not leave any boxes blank.

Note: This question is different from Question Item 13 which asks for funding received annually from sources that routinely award funds by the close of the first quarter of the fiscal year.

C. EXPENDITURES

These items record expenditures during the reporting quarter.

10. Expenditures

Provide the total expenditures for pharmaceuticals, dispensing and other administrative costs, insurance coverage, and the flexibility policy (for adherence, access, and monitoring services) for the reporting quarter. Administrative costs include items such as shipping and handling and other bulk order fees. The total expenditures should include funding from all sources (e.g., Title II ADAP earmark, Title II base fund contributions, Title I contributions, State general revenue contributions, other State grants, revenue generated from pharmaceutical manufacturers' rebates and other cost-saving mechanisms, other Federal dollars, and any additional local dollars contributed to ADAP).

The total expenditure for the quarter will be calculated automatically

Note: Insurance coverage includes co-payments, deductibles, and premiums for which ADAP funds were used.

Note: Approval from HAB's Grants Management Office is required to have the flexibility to spend ADAP funds on services other than the provision of pharmaceuticals (HAB Policy Notice 070-072). Requests to fund additional services with ADAP funds must be submitted annually to HAB's Grants Management Office.

11. Drug pricing

Report the Drug Codes (d_codes or HRSA codes) of all the medications you dispensed during the reporting quarter. For each of the following Antiretrovirals (ARVs) and Hepatitis B and C medications you dispensed, provide the total cost of the medication, the Dispensing fee if applicable, and the number of clients who received this medication at least once during the reporting quarter.

To report the d-code~~NDC~~ of any medication, follow these instructions:

If you know the D-code~~National Drug Code (NDC) for the drug~~, type it in~~to~~ the "Drug Code~~Medication Name~~" field (Column C~~A~~). The Generic and Brand name of the medication~~"Quantity" or "30-day supply" of the NDC~~ you have entered will automatically populate Columns A and B ~~D~~.

If you do not know the D-code~~NDC, follow these steps:~~, use the drop-down box to locate the name of the medication. The D-code for the medication will automatically populate Column C.

~~Decide if the medication is an Antiretroviral (ARV), an Opportunistic Infection medication (OI), or any other medication (Other). Click on the category you have chosen.~~
~~Note the following information about the medication:~~
~~What is the Brand name?~~
~~What is the Generic name?~~
~~What is the Product strength?~~
~~What is the inner package size?~~
~~Who is the Manufacturer/Repackager?~~
~~What is the Drug Id or "HRSA Code"~~
~~Locate all the above information in the appropriate table column.~~
~~The National Drug Code (NDC) will populate the "Medication Name" field (Column A. The "Quantity" or "30-day supply" of the NDC you have entered will automatically populate Column D.~~

For each medication whose D-code~~NDC~~ you have entered,:
- Column D - ~~Check the approval boxes (boxes B and C) if the drug is new to the formulary, and if it requires a medication protocol;~~
- ~~Enter the~~ unit price~~total cost of the medication~~ (before rebate???) ~~in Column E~~. Note that this is the price you paid to purchase the medication this quarter. In the event of price changes during the quarter, use the last quoted price.
- Column E - Enter ~~and~~ the dispensing fee for this medication (if applicable) ~~in Column F;~~.
- ~~The total price, which is [(the Quantity x Unit Price) + Dispensing Fee] will be automatically calculated and posted in Column G.~~
- Column F - For each medication, enter~~Indicate~~ the number of clients receiving that drug at least once during the quarter ~~in Column H~~.

If you provide multiple dosages of the same medication, pull down the various dosages in consecutive records before proceeding to a new medication. Put combination drug prices on a separate line from single medication formulations.
If you are completing your report on paper, you will need to write in the NDC and the Quantity for each medication, as you did in the ADAP Quarterly Drug Pricing report.

Comments and clarifications

This is an optional item to allow you to clarify or expand your answers to items in Section 1 of the report. For example, if you wish to explain significant changes in price for a drug from a prior quarter, please put that information here. If an answer to an item does not comply with the requested information (e.g., you a provider estimated guessed the agenumber of clients), please explain in this space.

Section 2: Annual Submission

This section is completed once each year and submitted with the first quarterly report of the fiscal year, which is due July 31.

A. FUNDING

These items report on the amount and sources of funding your ADAP receives each year. and the size of the drug formulary.

12. ADAP funding

Please provide information on the amount of funding your program received from the sources listed for the **current fiscal year**. This item is asking for information on funding *received*, not awarded. If your ADAP didoes not receive funding from one of these sources, enter "0." Do not leave any boxes blank.

14. ADAP formulary

Number of drugs

Provide the total number of antiretrovirals, drugs to treat or prevent opportunistic infections, and other medications available from your program. Please note that formulations in different dosages count as a single drug.

Formulary list

Include a list of all the drugs on your ADAP formulary. On the web system, you may upload this information. If you are completing it on paper, please attach extra pages as neededUse the format provided in the Excel worksheet to report all medications in your ADAP formulary.

15.   Annual ADAP Cost Per Client

Provide your best estimate of the annual cost per client for providing medications to clients who were enrolled and served by the ADAP. These estimate must be based on a 12-month period.

        Rebate States and Hybrids: Report the annual cost per client before and after rebates.

        Direct Purchase States: Report an estimate of the annual cost per client.

B. ELIGIBILITY REQUIREMENTS

These items request the eligibility requirements to enroll in your ADAP.

15.   ADAP financial eligibility

Enter the income eligibility cap for participation in the ADAP. This should be expressed as a percentage of the Federal Poverty Level (FPL). For example, individuals living with HIV who have an income of 200 percent of the FPL or lower may be eligible. Information on the current poverty guidelines can be found at the following Web address: http://aspe.hhs.gov/poverty/07poverty.shtml

http://aspe.hhs.gov/hsp/hspincpov.htm.

16. **Frequency of client re-certification**

Indicate whether your ADAP requires clients to be recertified as eligible to participate in ADAP on an annual, semiannual, or other basis. If you select "Other," indicate how often recertification is required.

17. **Clinical criteria required to access ADAP**

Check all of the clinical eligibility criteria for *enrolling* in the ADAP in your State/Territory. For CD4 count or viral load (VL) medical criteria, indicate the threshold number in the space provided. For "Other" medical criteria, indicate each criterion used and the corresponding threshold number.

C. COST-SAVING STRATEGIES

These items indicate efforts by your ADAP to use resources efficiently.

18. **340B Drug Pricing Program**

Check all items that apply to your State's 340B Drug Pricing Program. If the ADAP uses another cost-saving strategy, check "Other" and indicate in the space provided the method and the strategy. Definitions of cost-saving strategies can be found in the Glossary.

19. **State ADAP coordination with Medicaid**

Indicate which methods your ADAP uses to coordinate with Medicaid. If you have no coordination with Medicaid or State-only ADAP, check the last box. See the Glossary for definitions of methods.

20. **Comments and clarifications**

This is an optional item to allow you to clarify or expand your answers to items in Section 2 of the report. For example, if you wish to explain your Drug Pricing Program in more depth, you could put this here. If an answer to an item does not comply with the requested information (e.g., you did not indicate clinical eligibility criteria), please explain in this space.

QUALITY ASSURANCE CHECKLIST

We highly recommend that you use the following checklist to ensure the quality and reliability of the data that you report in the ADAP Quarterly Data Report.

☐ The report includes information on all clients served and services delivered during the quarter for which you are reporting data.

☐ Section 1 is completed each quarter and there are no unanswered items.

☐ Section 2 is completed and submitted with the first quarterly report of the year and all items are completed.

☐ The full name of the grantee of record is identified on the cover page *and* matches the name on the Notice of Grant Award.

☐ A valid D-U-N-S number is provided.

☐ Item 1 provides an unduplicated count—not an estimate—of the number of clients served.

☐ The column totals for the columns a–f in Items 2 through 4 are the same as the corresponding lines in Item 1.

GLOSSARY OF ADAP QUARTERLY DATA REPORT TERMS

ADAP	*AIDS Drug Assistance Program*—A State-administered program authorized under ~~Title II~~Part B of the Ryan White HIV/AIDS Treatment Modernization Act of 2006 ~~CARE Act~~ that provides FDA-approved medications to low-income individuals with HIV disease who have limited or no coverage from private insurance or Medicaid.
ADAP Earmark	Federal funds specifically designated to be used for the State/Territory ADAP.
ADAP Flexibility Policy	HIV/AIDS Bureau's (HAB) Policy Notice 00-02 provides grantees greater flexibility in the use of ADAP funds and permits expenditures of ADAP funds for services that improve access to medications, increase adherence to medication regimens, and help clients monitor their progress in taking HIV-related medications. Please note that grantees ***must*** request approval annually, in writing, to use ADAP dollars for services other than medications.
ADAP Code Number	A four-digit number used to identify specific State/Territory ADAPs. This number is assigned by the HAB Division of Service Systems (DSS)~~ADAP Branch of HAB~~.
ADAP Supplemental Treatment Drug Grant Award	Federal funds awarded to an ADAP with demonstrated severe need based on established criteria, in addition to the earmark fund. Generally these awards require a separate application.
AIDS	*Acquired immune deficiency syndrome*—A disease caused by the human immunodeficiency virus.
American Indian or Alaska Native	A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.
Antiretroviral	A substance that fights against a retrovirus, such as HIV. (See Retrovirus)
APA	*AIDS pharmaceutical assistance*—A local pharmacy assistance program implemented by a ~~Title I~~Part A EMA or ~~Title I~~Part B~~I~~ State program. The ~~Title II~~Part B Grantee, consortium or ~~Title I~~Part A Planning Council contracts with one or more organizations to provide medications to clients. These organizations may or may not provide other services (e.g., primary care, case management) to the patients or clients that they serve through a Ryan White (or other funding sources) contract with their grantee.
Asian	A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.
Black or African American	A person having origins in any of the black racial groups of Africa.
Capped expenditure	A limit on the amount of money to be spent on one service or client per month or per year.

~~CARE Act~~**Ryan White HIV/AIDS program**	Ryan White HIV/AIDS Treatment Modernization Act of 2006*Ryan White Comprehensive AIDS Resources Emergency Act*—The Federal legislation created to address the health care and service needs of people living with HIV/AIDS (PLWHA) disease and their families in the United States and its Territories. The Ryan White HIV/AIDS program~~CARE Act~~ was enacted in 1990 (Pub. L. 101-381), reauthorized in 1996 as the Ryan White CARE Act Amendments of 1996, and reauthorized again in 2000 as the Ryan White CARE Act Amendments of 2000. In 2006 it was again reauthorized as the Ryan White HIV/AIDS Treatment Modernization Act of 2006
Case management services	A range of client-centered services that link clients with health care, psychosocial, and other services. Ensures timely and coordinated access to medically appropriate levels of health and support services and continuity of care, through ongoing assessment of the client's and other key family members' needs and personal support systems. Also includes inpatient case management services that prevent unnecessary hospitalization or that expedite discharge from inpatient facility. Key activities include: (1) initial assessment of service needs; (2) development of a comprehensive, individualized service plan; (3) coordination of services required to implement the plan; (4) client monitoring to assess the efficacy of the plan and (5) periodic re-evaluation and adaptation of the plan as necessary over the life of the client. May include client-specific advocacy and/or review of utilization of services.
CDC	*Centers for Disease Control and Prevention*—The DHHS agency that administers HIV/AIDS prevention programs, including the HIV Prevention Community Planning process, among other programs. The CDC monitors and reports infectious diseases, administers AIDS surveillance grants, and publishes epidemiologic reports such as the HIV/AIDS Surveillance Report.
CD4 or CD4+ cells	Also known as "helper" T-cells, these cells are responsible for coordinating much of the immune response. HIV's preferred targets are cells that have a docking molecule called "cluster designation 4" (CD4) on their surfaces. Cells with this molecule are known as CD4-positive (CD4+) cells. Destruction of CD4+ lymphocytes is the major cause of the immunodeficiency observed in AIDS, and decreasing CD4 levels appear to be the best indicator for developing opportunistic infections.
CD4 cell count	The number of T-helper lymphocytes per cubic millimeter of blood. The CD4 count is a good predictor of immunity. As the CD4 cell count decreases, the risk of developing opportunistic infections increases. The normal range for CD4 cell counts is 500 to 1,500 per cubic millimeter of blood. CD4 counts should be rechecked at least every 6 to 12 months if CD4 counts are greater than $500/mm^3$. If the count is lower, testing every 3 months is advised. A CD4 count of 200 or less indicates AIDS.
Combination therapy	Two or more drugs or treatments used together to achieve optimum results against HIV infection and/or AIDS. For more information on treatment guidelines, visit http://www.aidsinfo.nih.gov/.
Coordinated benefits	The provision of services by either ADAP or Medicaid, but not both, so that clients do not receive duplicated services.
Co-payment	A fee charged to a client per visit or per prescription.

Dispensing of pharmaceuticals	The provision of prescription drugs to prolong life or prevent the deterioration of health.
Drug formulary	A list of pharmaceuticals than can be or should be preferentially prescribed within a reimbursement (insurance) program.
DSP	*Division of Science and Policy*—The office within HRSA's HIV/AIDS Bureau that serves as HAB's principal source of program data collection and evaluation, the development of innovative models of HIV care, and the focal point for coordination of program performance activities and development of policy guidance. DSP advises the Associate Administrator of HAB and plans for the development of both science and policy proposals to support the mission of HAB. It also coordinates and develops collaborative efforts with other HHS components and all HRSA bureaus, including the Office of Planning and Evaluation, in the preparation of HIV/AIDS-related program policies
DSS	*Division of Service Systems*—The division within HRSA's HIV/AIDS Bureau responsible for administering ~~Title I and Title II~~Parts A and B (including the AIDS Drug Assistance Program, ADAP).
DTTA	*Division of Training and Technical Assistance*—The division within HRSA's HIV/AIDS Bureau that is responsible for administering the AIDS Education and Training Centers (AETC) Program and technical assistance and training activities of the HIV/AIDS Bureau.
Dual Application	One application form for assistance that is used by both the ADAP and Medicaid, i.e., clients only need apply once and may receive services from both ADAP and Medicaid.
D-U-N-S Number	A D-U-N-S® Number is a unique, nine-digit sequence recognized as the universal standard for identifying and keeping track of businesses worldwide. If your agency does not have a number, you can request one by going to http://www.dnb.com/us/~~http://www.dnb.com/US/duns_update/index.html~~.
D-Codes	A five-digit Drug Identification number developed by Multum Cerner® to identify groups of medications. D-codes have the format d#####, and may also be referred to as 'd-codes' or 'HRSA codes'. For more information, go to: http://www.multum.com/slog/LexDownload.asp
Eligibility criteria	The standards set by a State ADAP, usually through an advisory committee, to determine who receives access to ADAP services. Financial eligibility is usually determined as a percentage of the Federal Poverty Level (FPL), such as 200% FPL. Medical eligibility is most often a positive HIV diagnosis. Eligibility criteria vary among ADAPs.
EMA	*Eligible Metropolitan Area*—The geographic area eligible to receive ~~Title I CARE Act funds~~Part A Ryan White HIV/AIDS funds. The Census Bureau defines the boundaries of the eligible metropolitan area. The number of AIDS cases reported to the Centers for Disease Control and Prevention (CDC) determines eligibility. Some EMAs include just one city, and others are composed of several cities and/or counties. Some EMAs extend over more than one State.
Enrollment cap	A limit on the number of people who can be served by the program or that may be provided with any medication at any one time.

Epidemic	A disease that occurs clearly in excess of normal expectation and spreads rapidly through a demographic segment of the human population. Epidemic diseases can be spread from person to person or from a contaminated source such as food or water.
Federal Poverty Level	A measure of income that indicates eligibility for Federal grant programs. Information on the current poverty guidelines can be found at http://aspe.hhs.gov/poverty/07poverty.shtmlhttp://aspe.hhs.gov/poverty/..
Fixed co-payment	A set fee charged to all clients per prescription filled.
Grantee of record	The official Ryan White HIV/AIDS programCARE Act grantee that receives Federal funding directly from the Federal Government (HRSA). This agency may be the same as the provider agency, or it may be the agency through which the provider agency is subcontracted.
HAART	*Highly active antiretroviral therapy*—An aggressive anti-HIV treatment including a combination of three or more drugs with activity against HIV whose purpose is to reduce viral load to undetectable levels. Currently, antiretroviral therapies include protease inhibitors, non-nucleoside reverse transcriptase inhibitors, nucleoside/nucleotide analogues, and fusion inhibitors.
HAB	*HIV/AIDS Bureau*—The bureau within the Health Resources and Services Administration (HRSA) of HHS that is responsible for administering the Ryan White CARE ActHIV/AIDS program. Within HAB, the Division of Service Systems administers Title I, Title IIPart A and Part B, includingand the AIDS Drug Assistance Program (ADAP); the Division of Community Based Programs administers Title III, Title IVPart C and Part D, and the HIV/AIDS Dental Reimbursement Program; and the Division of Training and Technical Assistance administers the AIDS Education and Training Centers (AETC) Program. The Bureau's Office of Science and Epidemiology Policy administers the Special Projects of National Significance (SPNS) Program.
Home health care services	The provision of services that include intravenous and aerosolized treatment, parenteral feeding, diagnostic testing, and other high-tech therapies.
Hispanic or Latino/a	A person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.
HIV/AIDS status	The outcome of the client's HIV test result, which includes: (1) HIV-positive not AIDS—client tested positive for and being diagnosed with HIV, but has not advanced to AIDS; (2) HIV-positive AIDS status unknown—client tested positive for and has been diagnosed with HIV, but it is unknown whether the client has advanced to AIDS; (3) CDC-defined AIDS—client has advanced to and been diagnosed with CDC-defined AIDS; (4) HIV-negative (affected)—client is HIV-negative and is an affected individual of an HIV-positive friend or family member; and (5) unknown—HIV/AIDS status of the client is unknown and not documented.
HIV disease	Any signs, symptoms, or other adverse health effects due to the human immunodeficiency virus.

HRSA	*Health Resources and Services Administration*—The HHS agency that is responsible for directing national health programs that improve the Nation's health by ensuring equitable access to comprehensive, quality health care for all. HRSA works to improve and extend life for people living with HIV/AIDS, provide primary health care to medically underserved people, serve women and children through State programs, and train a health workforce that is both diverse and motivated to work in underserved communities. HRSA is also responsible for administering the Ryan White HIV/AIDS program~~CARE Act~~..
IDU	*Injection drug user*
Infected client	An individual who is HIV positive who receives at least one Ryan White HIV/AIDS~~CARE Act~~-eligible service during the reporting period.
Lead agency	The agency responsible for contract administration; also called a fiscal agent. An incorporated consortium sometimes serves as the lead agency.
Local, county, or State health department	Publicly funded health department administered by a local, county, or State government.
Manufacturers' rebates	Dollars received from drug manufacturers, which represent a percentage of the cost of the drug.
Medicaid	A jointly funded, Federal-State health insurance program for certain low-income and needy people.
Medicare	A health insurance program for people 65 years of age and older, some disabled people under 65 years of age, and people with End-Stage Renal Disease (permanent kidney failure treated with dialysis or a transplant).
Medication Protocol	A document developed to ensure that medications are prescribed appropriately. This document describes specific medical criteria that must be met before clients can be prescribed a specific medication(s).
More than one race	A person who identifies with more than one racial category.
Multum Drug List	Database of drugs and drug product nomenclature compiled, maintained and updated by the Multum Information Services, Inc, Colorado Center Tower One 2000 South Colorado Boulevard, Suite 11000, Denver, Colorado 80222~~3200 Cherry Creek South Drive, Suite 300, Denver, CO 80209~~.The Multum Drug List contains all the pertinent information that uniquely map each drug according to its National Drug Code (NDC). Multum also maintains the drug identification codes (d-codes).
Native Hawaiian or Other Pacific Islander	A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
NDC	*National Drug Code*—The identifying drug number maintained by the FDA. For purposes of the Section 340B Drug Discount Program, the NDC number is used, including labeler code (assigned by the FDA and identifies the establishment), product code (identifies the specified product or formation), and package size code when reporting requested information.

New clients	Persons who received services from a provider for the first time ever during this reporting period. Individuals who returned for care after an extended absence are not considered to be new unless past records of their care are not available.
OI	*Opportunistic infection*—An infection or cancer that occurs in persons with weak immune systems due to AIDS, cancer, or immunosuppressive drugs such as corticosteroids or chemotherapy. Kaposi's Sarcoma (KS), pneumocystis pneumonia (PCP), toxoplasmosis, and cytomegalovirus are all examples of opportunistic infections.
OMB	*Office of Management and Budget*—The office within the executive branch of the Federal Government that prepares the President's annual budget, develops the Federal Government's fiscal program, oversees administration of the budget, and reviews Government regulations.
Online interface	A shared intranet or Web site between the State's ADAP and Medicaid program.
Oral health care	Includes diagnostic, preventive, and therapeutic services provided by general dental practitioners, dental specialists, dental hygienists and auxiliaries, and other trained primary care providers.
~~**OSE**~~	~~*Office of Science and Epidemiology*—The office within HRSA's HIV/AIDS Bureau that administers the SPNS Program, HIV/AIDS evaluation studies, and the CARE Act Data Report.~~
PHSA	*Public Health Service Act*
PLWA	*People living with AIDS*
PLWH	*People living with HIV* who know they are positive but do not yet have AIDS.
Primary health care service	Any preventive, diagnostic, or therapeutic health service received on an outpatient basis by a client who is HIV positive. Examples include medical, subspecialty care, dental, nutrition, mental health or substance abuse treatment, and pharmacy services; radiology, laboratory, and other tests used for diagnosis and treatment planning; and counseling and testing.
Prophylaxis	Treatment to prevent the onset of a particular disease (primary prophylaxis) or recurrence of symptoms in an existing infection that has been brought under control (secondary prophylaxis).
Publicly funded community health center	Includes community health centers, migrant health centers, rural health centers, and homeless health centers.
Recertification	A process for confirming that a client receiving ADAP-funded services is still eligible to receive those services.

Reporting period	The quarter for which the ADAP Quarterly Report coveresd by the report. covers.
	Quarter 1 -- April 1 – June 30
	Quarter 2 -- July 1 – September 30
	Quarter 3 -- October 1 - December 31
	s begin April 1, July 1, October 1, and January 1 of each year.Quarter 4 -- January 1 – March 31
Retroactive billing	Billing for services previously rendered rather than at the time of delivery.
Retrovirus	A type of virus that, when not infecting a cell, stores its genetic information on a single-stranded RNA molecule instead of the more usual double-stranded DNA. HIV is an example of a retrovirus. After a retrovirus penetrates a cell, it constructs a DNA version of its genes using a special enzyme, reverse transcriptase. This DNA then becomes part of the cell's genetic material.
Section 330 of PHSA	Supports the development and operation of community health centers that provide preventive and primary health care services, supplemental health and support services, and environmental health services to medically underserved areas/populations.
Section 340B Drug Discount Program	Administered by the Office of Pharmacy Affairs, this provision indicates that as a condition for participation in Medicaid, drug manufacturers must sign a pharmaceutical pricing agreement with the Secretary of the Department of Health and Human Services. This agreement states that the price charged for covered outpatient drugs will not exceed the statutory ceiling price (the average manufacturers' price reduced by the Medicaid rebate percentage).
Sliding scale co-payment	A fee charged to clients for filled prescriptions that varies based on the income of the client.
SPNS	*Special Projects of National Significance*—a branch of the Division of Science and Policy which advances knowledge and skills in the delivery of health and support services to underserved populations diagnosed with HIV infection. SPNS grants fund innovative models of care and support the development of effective delivery systems for HIV care. The SPNS Program is considered the research and development arm of the Ryan White HIV/AIDS Treatment Modernization Act of 2006 and provides the mechanisms to Assess the effectiveness of particular models of care, Support innovative program design, and Promote replication of effective models. A health services demonstration, research, and evaluation program funded under Part F of the CARE Act. SPNS projects are awarded competitively.
State Supplemental Match for Drug Treatment Award	Funding from the State budget that matches, in part or in whole, the ADAP Supplemental Treatment Drug Grant Award.

Title IPart A	The part of the Ryan White HIV/AIDS programCARE Act that provides direct financial assistance to designated Eligible Metropolitan Area (EMAs) that have been the most severely affected by the HIV epidemic. The purpose of these funds is to deliver or enhance HIV-related: (1) outpatient and ambulatory health and support services, including case management and comprehensive treatment services for individuals and families with HIV disease; and (2) inpatient case management services that prevent unnecessary hospitalization or that expedite discharge, when medically appropriate, from inpatient facilities.
Title IIPart B	The part of the Ryan White HIV/AIDS program CARE Act that authorizes the distribution of Federal funds to States and Territories to improve the quality, availability, and organization of health care and support services for individuals with HIV disease and their families. The Ryan White HIV/AIDS program CARE Act emphasizes that such care and support is part of a continuum of care in which all the needs of individuals with HIV disease and their families are coordinated. The funds are distributed among States and Territories based, in part, on the number of AIDS cases in each State or Territory as a proportion of the number of AIDS cases reported in the entire United States.
Title IIIPart C	The part of the Ryan White HIV/AIDS program CARE Act that provides support for early intervention services, including preventive, diagnostic, and therapeutic services for HIV/AIDS clients. This particularly includes a continuum of comprehensive primary health care, referrals for specialty care, counseling and testing, outreach, case management, and eligibility assistance.
Title IVPart D	The part of the Ryan White HIV/AIDS program CARE Act that supports coordinated services and access to research for children, youth, and women with HIV disease and their affected family members.
Treatment adherence services	Provision of counseling or special programs to ensure readiness for, and adherence to, complex HIV/AIDS treatments.
Unduplicated client count	An accounting of clients in which a single individual is counted only once. For providers with multiple sites, a client is counted only once, even if he or she receives services at more than one of the provider's sites.
Viral load (VL) test	A test that measures the quantity of HIV RNA in the blood. Results are expressed as the number of copies per milliliter of blood plasma. This test is employed as a predictor of disease progression.
Waiting list	A list of people not yet enrolled or not yet receiving services but who will be served in turn when space becomes available.
White	A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.