**Attachment C. Explanation of Changes to Data Collection Forms**

**Section A. New Forms and Associated Modules\***

**\***Form revision details for existing forms mentioned below are included in Section B.

1. Patient Safety Component Device Associated Module −Central Line Insertion Practices Adherence Monitoring

This module will enable CDC and facilities to:

* Monitor central line insertion practices in individual patient care units and facilities and to provide aggregate adherence data for all participating facilities. Facilities have the option of recording inserter-specific adherence data
* Link gaps in recommended practice with the clinical outcome (i.e., Central Line Associated Bloodstream Infections) both in individual facilities and for all participating facilities
* Facilitate quality improvement by identifying specific gaps in adherence to recommended prevention practices, thereby helping to target intervention strategies for reducing CLABSI rates.

To facilitate this additional collection of data, one new form is proposed:

* **Central Line Insertion Practices Adherence Monitoring Form (CDC 57.75JJ)**
  + Burden estimates – Total burden hours added are 12,500 at a cost of $433,125.00.

Modifications to the currently approved:

* **Patient Safety Monthly Reporting Plan (CDC Form 57.75A)** so that facilities may indicate their intention of monitoring Central Line Insertion Practices during the month.

2. Patient Safety Component – Multidrug-Resistant Organism (MDRO) Prevention Process Monitoring

This module will enable CDC and facilities to:

* Monitor processes and practices in individual patient care units and facilities and to provide aggregate adherence data for all participating facilities
* Link gaps in recommended practice with the clinical outcome (i.e., MDRO infection) both in individual facilities and for all participating facilities
* Facilitate quality improvement by identifying specific gaps in adherence to recommended prevention practices, thereby helping to target intervention strategies for reducing MDRO infection rates.

In order to facilitate this additional collection of data, several new forms are proposed:

* **Multidrug-Resistant Organism Prevention Process and Outcome Measures Monthly Monitoring Form, (CDC 57.75LL)**
  + Burden estimates – Total burden hours added are 6,000 at a cost of $207,900.00
* **MDRO Infection Event (CDC 57.75MM)**
  + Burden estimates – Total burden hours added are 54,000 at a cost of $1,871,100.00
* **Laboratory-identified MDRO Event (CDC 57.75 NN)**
  + Burden estimates – Total burden hours added are 180,000 at a cost of $6,237,000
* **Laboratory-identified MDRO Event – Summary Form (CDC 57.75TT).**
  + Burden estimates – Total burden hours added are 4,500 at a cost of $155,925.00.

Modifications to the currently approved:

* **Patient Safety Monthly Reporting Plan (CDC Form 57.75A)** so that facilities may indicate their intention of monitoring MDRO process and outcome measures during the month.

3. Patient Safety Component – High Risk Inpatient Influenza Vaccination

This module will enable CDC and facilities to:

* Monitor influenza vaccination practices for high risk patients and provide aggregate data in regard to the number of high risk patients receiving vaccination, those already vaccinated, and those who decline due to medical contraindications or other reasons
* Identify reasons that high risk patients are not receiving influenza vaccination.

In order to facilitate this additional collection of data, several new forms are proposed:

* **High Risk Inpatient Influenza Vaccination Monthly Monitoring Form – Method A (CDC57.75PP)**
  + Burden estimates – Total burden hours added are 120,000 at a cost of $4,158,000.00
* **High Risk Inpatient Influenza Vaccination Numerator Data Form - Method B (CDC 57.75QQ)**
  + Burden estimates – Total burden hours added are 20,833 at a cost of $721,863.45
* **High Risk Inpatient Influenza Vaccination Monthly Monitoring form - Method B (CDC 57.75RR)**
  + Burden estimates – Total burden hours added are 10,000 at a cost of $346,500.00
* **High Risk Inpatient Influenza Vaccination Denominator Data Form - Method B (CDC 57.75SS)**
  + Burden estimates – Total burden hours added are 10,417 at a cost of $360,949.05
* **High Risk Inpatient Influenza Vaccination Standing Orders Form – Optional (CDC 57.75VV).**
  + Burden estimates – this is an optional form and is not reported to CDC.

1. The NHSN will be opening enrollment to any healthcare facility; therefore a registration form was needed. The **NHSN Registration Form (CDC Form 57.75OO)** was created to enable the NHSN to collect the necessary registration information.
   * Burden estimates – Total burden hours are 125 at a cost of $4,331.25.

5. Amendments to several forms that comprise the Patient Safety Component portion of NHSN are proposed:

* + The *Form Number* in the upper left corner was removed from all NHSN forms
  + All forms are being re-versioned to facilitate identification of revisions. New forms are identified with *Effective date xx/xx/200x*. Revised forms will be identified as *Rev. #, Effective date xx/xx/200x.*

6. The Healthcare Personnel Safety Component − Influenza Module

This module will enable CDC and facilities to:

* + Monitor influenza vaccination coverage among healthcare personnel at individual facilities and provide aggregate coverage estimates for all participating facilities
  + Monitor progress towards attaining the Healthy People 2010 goal of 60% vaccination coverage among healthcare personnel
  + Monitor influenza vaccination coverage by ward/unit of the facility and occupational group so that areas or groups with low vaccination can be targeted for interventions
  + Monitor adverse reactions related to receipt of the vaccine or receipt of antiviral medications
  + Assess the characteristics of influenza vaccination programs pre- and post-influenza season to identify practices associated with high immunization rates.

New data collection forms for this module are:

* **Healthcare Worker Influenza Vaccination (CDC 57.75FF)**
* Burden estimates – Total burden hours are 12,500 at a cost of $433,125.00
* **Healthcare Worker Influenza Antiviral Medication Administration (CDC 57.75 GG)**
* Burden estimates – Total burden hours are 1,250 at a cost of $43,312.50
* **Pre-season Survey on Influenza Vaccination Programs for Healthcare Personnel (CDC 57.75HH)**
* Burden estimates – Total burden hours are 25 at a cost of $866.25
* **Post-season Survey on Influenza Vaccination Programs for Healthcare Personnel (CDC 57.75 II)**
* Burden estimates – Total burden hours are 25 at a cost of $866.25.

Modifications to the currently approved forms are being proposed to provide additional information relevant to influenza vaccination:

* **Healthcare Personnel Safety Reporting Plan (CDC Form 57.75B)**
* **Healthcare Worker Demographic Data (CDC Form 57.75X)**
* **Healthcare Personnel Safety Component Facility Survey (CDC Form 57.75Za).**

7. Healthcare Personnel Safety Component - New CDC Form **57.75KK: Laboratory Testing**

Previously, baseline and follow-up data collection for healthcare worker laboratory results had been recorded on a single CDC Form 57.75V (Exposure to Blood/Body Fluids). For ease of use in the collection of multiple laboratory results the Laboratory Testing form was created.

* Burden estimates – Total burden hours are 3, 750 at a cost of $64,687.50.

**Section B: Edits and Revisions**

**CDC Form 57.75C Patient Data**

1. Added: (Since NHSN is an on-line system, this will actually be a drop-down list for all forms that include patient data). They are not required fields.

*Ethnicity: \_\_\_\_ Hispanic or Latino*

*\_\_\_\_ Not Hispanic or Not Latino*

*Race: (check all that apply)*

*\_\_\_\_ American Indian or Alaska Native*

*\_\_\_\_ Asian*

*\_\_\_\_ Black or African American*

*\_\_\_\_ Native Hawaiian or Other Pacific Islander*

*\_\_\_\_ White*

**Burden:**

Response time for completion: Included in the associated patient event forms.

Total burden hours: Included in the associated patient event forms.

**CDC Form 57.75R Facility Contact Information**

**(Back)**

1. Added contact field for Microbiology Laboratory Director/Supervisor in order to better communicate with laboratory personnel.

**Burden**

Response time for completion: Unchanged.

Total burden hours: Increased due to a larger number of respondents.

**CDC Form 57.75S Patient Safety Component Annual Facility Survey**

**(Front)**

1. The title of the form has been changed to **“Patient Safety Component Annual Facility Survey”** due to the addition of these facility types in the respondent universe:

Long Term Acute Care Hospital (LTACH)

Ambulatory Surgery Center (ASC)

Long Term Care Facility (LTCF)

2. Added two additional options for Facility Ownership: *Physician owned* and *Managed Care Organization*

3. Added two fields to collect *number of patient days* and *number of admissions* for Acute Care Hospitals.

4. Survey information relevant to these facilities has been added:

*Long Term Acute Care Hospital (LTACH)*

*Setting: \_\_\_\_\_Within a hospital \_\_\_\_Freestanding*

*Number of beds set up and staffed:*

*a. Ventilator beds \_\_\_\_*

*b. High-observation beds \_\_\_\_*

*c. All other beds\_\_\_\_*

*Ambulatory Surgery Center (ASC)*

*Setting: \_\_\_\_\_Within a hospital \_\_\_\_Freestanding*

*Total number of procedures: \_\_ Percent of procedures that are surgical \_\_\_%*

*What percentage of your ambulatory surgery patients were discharged or transferred to the following places:*

*\_\_\_\_% Home/Customary Residence \_\_\_% Recovery Care Center \_\_\_%Acute Care Hospital*

*Long Term Care Facility (LTCF)*

*Number of resident days\_\_\_\_\_ Average length of stay \_\_\_\_\_*

**(Back)**

5. *NCCLS* has been changed to *CLSI (formerly NCCLS)* to reflect name change of this organization.

6. Two additional options for testing codes added in *Q3*: *2.1=Vitek 2, 3.1=BD Phoenix*

7. Based on results of the first data cut, *Q4* has been divided into 2 questions, *Q4* and *Q5*, to capture more clearly whether the method used to confirm vancomycin resistant staphylococci is the same or different from that used initially. Questions that follow have been renumbered to reflect this addition.

8. *Q6* (old Q5), *NCCLS* has been changed to *CLSI*

**Burden**

Response time for completion: Unchanged. While fields have been added to encompass more facility types, the amount of information entered for a particular facility remains about the same.

Total burden hours: Increased due to additional numbers of respondents.

**CDC Form 57.75A Patient Safety Monthly Reporting Plan**

**(Front)**

1. An additional category, *central line insertion practices (CLIP)* under the **Device-associated Module** has been added.

2. Under **Procedure-associated Module** the first field has been changed from *Procedures* to *Locations* to clarify the location of the procedure monitoring area.

**(Back)**

3. Two new modules have been added to the plan: **Multidrug-resistant Organism Module (MDRO)** and **Patient Influenza Vaccination Module.**

4. The **Multidrug-resistant Organism Module** includes two sections:

Active Surveillance Culturing (ASC) Option – collects ASC process and outcome measure fields including: *location, organism, ASC-timing, ASC-eligible, Incidence and Prevalence*

Process and Laboratory-Identified MDRO Event (LIME) Monitoring Option – collects process and laboratory identified event fields including: *location, organism, LIME, HH (hand hygiene), and GG (gown and gloves).*

5. The proposed **High Risk Patient Influenza Module** will allow facilities to monitor vaccination of high risk patients for influenza using either Method A or Method B.

**Burden**

Response time for completion: Increased 10 minutes per response due to the two additional modules.

Total burden hours: Increased due to additional numbers of respondents and additional response time for completion.

**CDC Form 57.75D Primary Bloodstream Infection (BSI)**

**(Front)**

1. Added *Ethnicity* and *Race* fields for identification and analysis of trends within certain patient populations and sub-groups.

2. Changed *NHSN/ICD-9-CM Procedure Code* to separate fields: *NHSN Procedure Code* and *ICD-9CM-Procedure Code* to allow collection of each data element.

3. Added event type: *MDRO Infection: \_\_\_Y \_\_\_N* prompted by the addition of the MDRO Module.

4. Under ***Risk Factors*** added *Location of Device Insertion* and *Date of Device Insertion* to capture the location of the patient at the time the device was inserted and therefore, the possible location of where the infectious agent may have been introduced.

**Burden**

Response time for completion: Increased 5 minutes per response due to additional collection of patient race/ethnicity, both NHSN and ICD-9-CM codes, and whether due to an MDRO.

Total burden hours: Significantly increased due to additional numbers of respondents with corresponding increases in numbers of events, and additional response time for completion of each form.

**CDC Form 57.75G Pneumonia (PNEU)**

**(Front)**

1. Added *Ethnicity* and *Race* fields for identification and analysis of trends within certain patient populations and sub-groups.

2. Changed *NHSN/ICD-9-CM Procedure Code* to separate fields: *NHSN Procedure Code* and *ICD-9CM-Procedure Code* to allow collection of each data element.

3. Added event type: *MDRO Infection: \_\_\_Y \_\_\_N*  prompted by the addition of the MDRO Module to capture pneumonia due to MDRO.

4. Under ***Risk Factors*** Added *Location of Device Insertion* and *Date of Device Insertion* to capture the location of the patient at the time the device was inserted and therefore, the possible location of where the infectious agent may have been introduced.

5. In the ***Event Details*** Section, added *Specify criterion used:\_\_\_\_* after:

*\_\_\_\_\_Clinically defined pneumonia (PNU1)*

*\_\_\_\_\_Pneumonia with specific laboratory findings (PNU2)*

*\_\_\_\_\_Pneumonia in immunocompromised patients (PNU3)*

Criterion refers to diagnostic algorithms: 57.75Ga “Any Patient – Pneumonia flow Diagram” and 57.75Gb “Infant and Children – Pneumonia Flow Diagram.” These algorithms define the type of pneumonia event based on results of clinical, diagnostic and laboratory tests and ensure consistent definitions within and across multiple facilities and facilitates later data analysis.

**Burden**

Response time for completion: Increased 5 minutes per response due to additional collection of patient race/ethnicity, both NHSN and ICD-9-CM codes, and whether due to an MDRO. Diagnostic algorithms to define pneumonia event type had been used previously, but were not in flow diagram format. Therefore, these are not expected to impact the burden estimates.

Total burden hours: Significantly increased due to additional numbers of respondents with corresponding increases in numbers of events, and additional response time for completion of each form.

**CDC Form 57.75H Urinary Tract Infection (UTI)**

**(Front)**

1. Added *Ethnicity* and *Race* fields for identification and analysis of trends within certain patient populations and sub-groups.

2. Changed *NHSN/ICD-9-CM Procedure Code* to separate fields: *NHSN Procedure Code* and *ICD-9CM-Procedure Code* to allow collection of each data element.

3. Added event type: *MDRO Infection: \_\_\_Y \_\_\_N* prompted by the addition of the MDRO Module to identify Urinary Tract Infections (UTIs) due to MDRO.

4. Under ***Risk Factors*** Added *Location of Device Insertion* and *Date of Device Insertion* to capture the location of the patient at the time the device was inserted and therefore, the possible location of where the infectious agent may have been introduced.

5. In the ***Event Details*** Section, added:

*Specify criterion used: \_\_\_\_Criterion 1\_\_\_\_ Criterion 2* to

*Asymptomatic bacteriuria (ASB).*

*Specify criterion used: \_\_\_Criterion 1\_\_\_ Criterion 2\_\_\_\_(specify)\_\_\_ Criterion 3 \_\_\_\_ Criterion 4\_\_\_\_(specify)*  to *Symptomatic UTI (SUTI).*

*Specify criterion used:\_\_\_\_ Criterion 1\_\_\_\_ Criterion 2\_\_\_\_ Criterion 3\_\_(specify) \_\_\_\_Criterion 4\_\_(specify)* to *Other UTI (OUTI).*

The criterion used for defining the event is contained in the Patient Safety Component Protocol and is based on clinical signs and symptoms and laboratory test results. This ensures consistent definitions within and across multiple facilities and facilitates later data analysis.

**Burden**

Response time for completion: Increased 5 minutes per response due to additional collection of patient race/ethnicity, both NHSN and ICD-9-CM codes, and whether due to an MDRO. Diagnostic criteria to define urinary tract infection event type had been used previously, but were not collected on the form. Therefore, these are not expected to impact the burden estimates.

Total burden hours: Significantly increased due to additional numbers of respondents with corresponding increases in numbers of events, and additional response time for completion of each form.

**CDC Form 57.75N Surgical Site Infection**

**(Front)**

1. Added *Ethnicity* and *Race* fields for identification and analysis of trends within certain patient populations and sub-groups.

2. Changed *NHSN/ICD-9-CM Procedure Code* to separate fields: *NHSN Procedure Code* and *ICD-9CM-Procedure Code* to allow collection of each data element.

3. Added event type: *MDRO Infection: \_\_\_Y \_\_\_N* prompted by the addition of the MDRO Module to identify Surgical Site Infections (SSIs) due to MDRO.

4. Added *Specify criterion used: \_\_\_\_\_* to each of the SSI Event Types:

*Superficial Incisional Primary (SIP)*

*Superficial Incisional Secondary (SIS)*

*Deep Incisional Primary (DIP)*

*Deep Incisional Secondary (DIS)*

*Organ / Space: \_\_\_\_\_\_\_\_.*

The criterion used for defining the event is contained in the Patient Safety Component Protocol and is based on clinical signs and symptoms and laboratory test results. This ensures consistent definitions within and across multiple facilities and facilitates later data analysis.

**Burden**

Response time for completion: Increased 5 minutes per response due to additional collection of patient race/ethnicity, both NHSN and ICD-9-CM codes, and whether due to an MDRO. Diagnostic criteria to define surgical site infection event type had been used previously, but were not collected on the form. Therefore, these are not expected to impact the burden estimates.

Total burden hours: Significantly increased due to additional numbers of respondents with corresponding increases in numbers of events, and additional response time for completion of each form.

**CDC Form 57.75E Dialysis Event**

**(Front)**

1. Added *Ethnicity* and *Race* fields for identification and analysis of trends within certain patient populations and sub-groups.

2. Added event type: *MDRO Infection: \_\_\_Y \_\_\_N* prompted by the addition of the MDRO Module to identify dialysis events due to MDRO.

3. Under the ***Risk Factors*** section, added *Date of Access* and *Don’t Know* fields for each type of vascular access.

4. Changed *Blood culture* label to *Patient with a positive blood culture* and moved to the ***Event Details*** Section. Fields for *positive, negative, unknown, not done* under blood culture have been removed. Therefore, information is collected only on positive blood cultures.

5. Moved previous question: *If positive, suspected source of positive blood culture (check one): \_\_\_ Vascular access \_\_\_ A source other than the vascular access \_\_\_ Contamination \_\_\_ Uncertain* and *Pathogens Identified: \_\_\_\_ Y \_\_\_\_ N. If Yes, specify on reverse→,* and placed under *Patient with a positive blood culture*

6. In ***Event Details*** under *Problem(s)* section, expanded *Vascular access problem without infection* to specify whether it was: *Clotting \_\_Bleeding \_\_\_Other.*.

7. In ***Event Details*** the following problems were removed from the list:

*\*\_\_ Pneumonia (a new infiltrate or pneumonia seen on chest X-ray)*

*\* \_\_ Respiratory infection not meeting above criteria for pneumonia (e.g., bronchitis)*

*\*\_\_ Urine culture with >100,000 organisms/ml with not more than 2 species isolated*

*\*\_\_ Cardiovascular event (chest pain, heart attack, other heart problem, stroke, etc.)*

*\*Blood culture (check one): \_\_\_ Positive \_\_\_ Negative \_\_\_ Unknown \_\_\_ Not done*

**Burden**

Response time for completion: Increased 3 minutes per response due to additional collection of patient race/ethnicity, addition of dates of vascular access insertion and expansion of question 6 to include a description of other access problem. The deletion of some question 7 event details offsets some of the time of the capture of the additional items.

Total burden hours: Increased due to revised estimates of annual numbers of events per facility and slight increase in time for completion.

**CDC Form 57.75I Custom Event**

1. Added *Ethnicity* and *Race* fields for identification and analysis of trends within certain patient populations and sub-groups.

2. Changed *NHSN/ICD-9-CM Procedure Code* to separate fields: *NHSN Procedure Code* and *ICD-9CM-Procedure Code* to allow collection of each data element.

3. Added event type: *MDRO Infection: \_\_\_Y \_\_\_N* prompted by the addition of the MDRO Module to capture events due to MDRO.

4. Added the following to ***Event Details***:

*Specific Event Type: \_\_\_\_\_\_\_\_\_\_\_\_\_\_*

*Secondary Bloodstream Infection: \_\_\_\_ Y \_\_\_\_ N*

*Died: \_\_\_\_ Y \_\_\_\_ N*

*Event Contributed to Death: \_\_\_\_ Y \_\_\_\_ N*

*Discharge Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_\_\_\_*

**Burden**

No burden estimates since these events are not reported to CDC and forms are used at the discretion of the facility.

**CDC Form 57.75P Antimicrobial Use and Resistance (AUR) - Microbiology Laboratory Data**

1. Added an additional antimicrobial, *imipenem*, due to its increased usage in treating Gram negative organisms.

**Burden**

Response time for completion: Unchanged.

Total burden hours: Significantly increased due to increased numbers of respondents.

**CDC Form 57.75Q Antimicrobial Use and Resistance (AUR) - Pharmacy Data**

1. Newly available antibiotics *moxifloxacin* and *tigecycline,* added to list of *Parenteral Antibiotics.*

**Burden**

Response time for completion: Unchanged.

Total burden hours: Significantly increased due to increased numbers of respondents.

**CDC Form 57.75O Denominator for Procedure**

1. Added *Ethnicity* and *Race* fields for identification and analysis of trends within certain patient populations and sub-groups.

2. Changed *NHSN/ICD-9-CM Procedure Code* to separate fields: *NHSN Procedure Code* and *ICD-9CM-Procedure Code* to allow collection of each data element.

3. Added option under ***Procedure Details*** to indicate that the procedure was for an implant and an option to specify implant type.

4. Two additional options added for *Spinal level*

5. Modification of original choices for *HPRO* (Hip Prosthesis): *Total* now reads *Total Primary*, *Partial* now reads *Partial Primary*, and *Revision* has been changed to specify *Total Revision* or *Partial Revision*.

6. Modification of original choices for *KPRO* (Knee Prosthesis): *Total* now reads *Primary (Total)* and *Revision* now reads *Revision (Total or Partial).*

**Burden**

Response time for completion: Increased 3 minutes per response due to additional collection of patient race/ethnicity and both NHSN and ICD-9-CM codes.

Total burden hours: Significantly increased due to increased numbers of respondents. **CDC Form 57.75EE Denominator for Custom Procedure**

1. Added *Ethnicity* and *Race* fields for identification and analysis of trends within certain patient populations and sub-groups.

2. Changed *NHSN/ICD-9-CM Procedure Code* to separate fields: *NHSN Procedure Code* and *ICD-9CM-Procedure Code* to allow collection of each data element.

3. Added field under ***Procedure Details*** to indicate procedure was for *Implant* with a field to *specify* the implant type.

**Burden**

No burden estimates since these events are not reported to CDC and forms are used at the discretion of the facility.

**CDC Form 57.75BB Dialysis Survey**

CDC and CMS (Centers for Medicare and Medicaid) currently have OMB approved Dialysis Surveys. (CMS OMB No. 0920-0033) (CDC OMB No. 0920-0666) It is proposed that these two surveys be combined and administered through the NHSN.

The dialysis survey which would replace the current dialysis practices survey in the NHSN Patient-Safety Module, Device-Associated component would enable participating facilities and HHS (CDC and CMS) to:

a.   Describe and compare frequent infection control staffing and resources available, and practices routinely used to prevent infections in dialysis patients.

b. Assess the potential for electronic capture of data to simplify surveillance activities for dialysis events in the future.

c. Monitor influenza and hepatitis testing practices and vaccination coverage among staff and patients in dialysis facilities.

**Burden**

Response time for completion: Unchanged.

Total burden hours: Unchanged.

**Healthcare Personnel Safety Component Revisions**

Amendments to several forms that comprise the Healthcare Personnel Safety Component portion of NHSN are proposed:

* The *Form Number* in the upper left corner was removed from all NHSN forms.
* All forms are being re-versioned to aid in identification of revisions. New forms are identified with “Effective date xx/xx/200x.” Revised forms will be identified as “Rev. #, Effective date xx/xx/200x.”

**Burden**

No change in burden associated with these revisions.

**CDC Form 57.75B Healthcare Personnel Safety Reporting Plan**

1. Changed *mm/yy – mm/yy* to *Month/Year*.

2. Deleted:

*Healthcare Worker Exposure to Communicable Disease option*

*Disease Investigation Modules:*

*Tuberculosis (TB) Exposure Investigation*

*Communicable Disease Exposure Investigation (not TB)*

*Surveys:*

*Healthcare Worker Survey”*

*Healthcare Worker Survey Plan section*

Added:

*Healthcare Worker Vaccination Module:*

*Influenza*

**Burden**

Response time for completion: No change.

Total burden hours: Increased due to increased numbers of respondents.

**CDC Form 57.75V Exposure to Blood/Body Fluids**

**(Front)**

1. Form name: Ending *s* removed from *Exposures*

2. Form has been revised to include section and question numbers so as to provide clarification for skip patterns.

3.***Section I: General Exposure Information*** (formerly: General Exposure Information).

Q1 added: *Did the exposure occur in this facility? If no, specify name of facility in which the exposure occurred.*   
 Q7c: *Skin: Was skin intact?* moved from Section II – Mucous Membrane and/or Skin Exposure on the old form. Skip pattern included if the answer is *No.*   
 Q8: *Type of fluid/tissue involved in exposure: (check one).* *If body fluid, indicate one body fluid type:* Added a field for *Other.*

**(Page 2 and 3)**

***Section II: Percutaneous Injury*** (formerly Section I: Percutaneous Injuries)

1. Former question: *What device or item caused the injury?* has been changed to

Q*3. What needle or sharp object caused the injury?* Response choice categories have been reduced from 6 to 3.

Old categories:

*Hollow-bore needles, Suture needles, Other Sharp objects, Glass, Other device or item, Additional device codes for dental/surgical injuries*

New categories:

*Hollow-bore needles, Solid sharp/Object, Other sharp object/device*

One new option under *Hollow-bore needles*: *hemodialysis needle*

*Hypodermic needle attached to a disposable syringe* and *hypodermic needle attached to non-disposable syringe,* have been combined to a single option *hypodermic needle attached to a syringe.*

*Hypodermic needle attached to I.V. tubing* has been deleted.

*Suture needle, rod, extraction forceps (*now just *forceps)* and *elevator* have been moved to *Solid sharp/Object*.

*Bone chip/chipped tooth* and *Sharp object type unknown* have been moved to *Other sharp object/device.*

*Capillary tube, medication ampule/vial/I.V. bottle, Pipette (glass), Slide*, and *Specimen/test/vacuum tube* have been moved to *Other sharp object/device.*

2. *Brand name of device* has been changed to: *Q4. Manufacturer and Model*3. *Was the needle or other sharp object involved in the injury intended to be a safety device?* has been changed to *Q5.* *Did the needle or other sharp object involved in the injury have a safety feature?*

*Q5a*. Response option for *Mylar wrapping/plastic* added.  
4. Question: *Was training in proper use of the device provided to the injured person prior to the injury?* has been deleted.

5. Question: *How did the injury occur?* has been changed to a series of questions and the responses extensively revised to answer the new questions (see detail table below of old and new questions and responses):

*Q7.* *For what purpose or activity was the sharp device being used?*

*Q8. What was the activity at the time of injury?*

*Q9. Who was holding the device at the time the injury occurred?*

*Q10. What happened when the injury occurred?*

|  |  |
| --- | --- |
| **Old Form Version** | **New Form Version** |
| How did the injury occur (circle up to 2)  While manipulating patient or needle/sharp  01. Patient moved and jarred device  02. While inserting needle in patient  03. While inserting needle in line  04. While manipulating needle in patient  05. While manipulating needle in line  06. While withdrawing needle from patient  07. While withdrawing needle from line  08. Passing or transferring equipment  While in operative field or during suturing procedures or autopsy:  09. Suturing  10. Tying sutures  11. Manipulating suture needle in holder  12. Incising  13. Palpating/Exploring  14. Passing or receiving equipment  Handling equipment or specimens  15. Handling equipment on a tray or stand  16. Transferring blood/body fluids into specimen container  17. Processing specimens  18. Passing or transferring equipment  19. Recapping (missed or pierced cap)  20. Cap fell off after recapping  21. Activating safety device  22. Disassembling device or equipment  23. Decontamination/processing of used equipment  24. During clean-up  25. In transit to disposal  26. Opening/breaking glass containers  Collision/contact with sharp object  27. Collided with co-worker or other person  29.[sic] Sharp object dropped  30. Struck by detached I.V. line needle  Disposal related:  31. While placing sharp in container, injured by sharp being disposed  32. While placing sharp in container, injured by sharp already in container  33. While manipulating container  34. Over-filled sharps container  35. Punctured sharps container  36. Protruding from opened container  Sharps in unusual locations:  37. In trash  38. In linen/laundry  39. Left on table/tray  40. Left in bed/mattress  41. On floor  42. In pocket/clothing  43. Other unusual location  Other circumstances:  44. Other  45. Unknown | 7. For what purpose or activity was the sharp device being used? (check one)  *Obtaining a blood specimen percutaneously*  \_\_\_\_ Performing phlebotomy  \_\_\_\_ Performing arterial puncture  \_\_\_\_ Performing a fingerstick/heelstick  \_\_\_\_ Other blood-sampling procedure (specify) \_\_\_\_\_\_\_\_  *Giving a percutaneous injection*  \_\_\_\_ Giving an IM injection  \_\_\_\_ Giving a SC injection  \_\_\_\_ Placing a skin test (e.g., tuberculin, allergy, etc.)  *Performing a line-related procedure*  \_\_\_\_ Inserting or withdrawing a catheter  \_\_\_\_ Obtaining a blood sample from a central or  peripheral I.V. line or port  \_\_\_\_ Injecting into a line or port  \_\_\_\_ Connecting I.V. Line  *Performing surgery/autopsy/other invasive procedure*  \_\_\_\_ Suturing Specify procedure:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_ Incising  \_\_\_\_ Palpating/exploring   1. What was the activity at the time of injury?   *Handling device/equipment or specimen*  \_\_\_Handling equipment  \_\_\_Recapping  \_\_\_Transferring/passing/receiving device  \_\_\_Disassembling device/equipment  \_\_\_Decontamination/processing used equipment  \_\_\_Opening/breaking glass container (ampule)  \_\_\_Performing procedure  *Disposing device*  \_\_\_Placing sharp in container  *Housekeeping/patient-care activities, not described above*  \_\_\_Cleaning room  \_\_\_Collecting/transporting waste  *Other (specify)*   1. Who was holding the device at the time the injury occurred?   \_\_\_Exposed person \_\_\_Co-worker/other person  \_\_\_No one – the sharp was an uncontrolled sharp in the environment   1. What happened when the injury occurred?   \_\_\_Patient moved and jarred device  \_\_\_Device slipped  \_\_\_Device rebounded  \_\_\_Sharp was being recapped  \_\_\_Collided with co-worker or other person  \_\_\_Overfilled/punctured sharps container  \_\_\_Improperly disposed sharp  \_\_\_Other \_\_\_Unknown |

**(Page 4)**

**Section III: Mucous Membrane and/or Skin Exposure (**formerly:Section II)

*If skin exposure, was skin intact?* has been moved to **Section 1 – General Exposure** **Information**, Question 7c.

Q2. Options remain the same but have been ordered alphabetically

Q3. Options remain the same but have been ordered alphabetically

**Section IV: Bite** (formerly: Section III):

Q2. Options remain the same but have been re-ordered.

**(Page 5)**

**Section V: Source information** (formerly: Source Information):

This section has been moved from page 2 to page 5.  
Q3. *NT=not tested* option added

**Section VI: For HIV Infected Source** (formerly: For HIV Infected Source):

*Q4. Viral load:* option for *Undetectable* has been added.

**(Page 6)**

Old section: Follow-up Care Given to Healthcare Worker has been divided into two sections: **Section VII: Initial Care Given to Healthcare Worker** and **Section VIII: Follow-up**

Question: *Indicate if the HCW has missed time from work due to the exposure* has been deleted.

Question: *Is it recommended that the HCW return to employee health for follow-up of this exposure?* has been changed to: *Is it recommended that the HCW return for follow-up of this exposure? 1.a. If yes, will follow-up be performed at this facility?* This question has been moved to section VIII.

**Lab Results** – this section has been deleted. Baseline and follow-up laboratory testing results are now collected on CDC Form 57.75KK: "Laboratory Testing."

**Section X: Narrative** (formerly Section V): Moved before Prevention section

**Section XI: Prevention** (formerly Section IV): Moved after Narrative section.

**CDC Form 57.75W Healthcare Worker Postexposure Prophylaxis**

**(Front)**

1. *PEP ID#* field renamed to *MedAdminID*

2. Field added to collect the name of the *Infectious Agent* involved in the exposure event

3. Text field *Indication: Prophylaxis* added to clarify that the drugs entered in these fields are intended for prophylaxis only.

4. (*2) Regimen Change* sections re-named *PEP Change 1* and *PEP Change 2*

5. *Adverse Signs and Symptoms* section re-named *Adverse Reactions*

6. Instruction box at bottom of form deleted

**Burden**

Response time for completion: No change.

Total burden hours: Increased due to increased numbers of respondents.

**CDC Form 57.75Za Healthcare Personnel Safety Component Facility Survey**

**(Front)**

Added fields:

*Total number of part-time personnel*

*Total number of full-time personnel*

**Burden**

Response time for completion: Increased 2.5 hours due to revised estimates of the time it takes to collect the information.

Total burden hours: Increased due to increased numbers of respondents and added time for response.

**CDC Form 57.75Z Implementation of Engineering (safety devices) Controls for Sharps Injury Prevention**

Device Types have been revised to reflect those currently in use

Fields: *Your Code*, *Safety Feature*, and *Manufacturer and Model* replace old fields: *Safety Device Name* and *Safety Device Code*

*Device Implementation* and *Device Discontinuation* fields have additional column added for *Yr* designation

**Burden**

Response time for completion: Unchanged.

Total burden hours: Increased due to increased numbers of respondents.