

# Revised Instructions for MCS-150A Form, Safety Certification for Application for USDOT Number

<u>Topic</u>	<u>Page</u>
I. Federal Motor Carrier Safety Administration (FMCSA) Overview .....	1
II. Who Must File.....	1
III. Purpose of Filing .....	1
IV. Exemptions from Filing .....	2
V. Filing Options.....	2
VI. Getting Started.....	3
VII. Step-By-Step Instructions .....	3
VIII. Safety Certification for Application for USDOT Number (MCS-150A form).....	7

## I. Federal Motor Carrier Safety Administration (FMCSA) Overview

The Federal Motor Carrier Safety Administration (FMCSA) registration process requires that companies define the type of business operation (Motor Carrier, Broker, Shipper, Freight Forwarder and/or Cargo Tank Facility) they plan to establish. FMCSA's responsibilities include monitoring and enforcing compliance with the Federal Motor Carrier Safety Regulations (FMCSR) and the Hazardous Materials Regulations (HMR) governing both safety and commerce. The Agency's focus on both concerns—safety and financial responsibility—is reflected in the dual path of its current registration process. Companies may find that they are subject to both registration requirements—USDOT Number (Forms MCS-150, MCS-150A, MCS-150B) and Interstate Operating Authority (Forms OP-1, OP-1(P), OP-1(FF), OP-1(MX), or OP-2).

FMCSA encourages all applicants with Internet access to use our online registration assistant (<http://www.fmcsa.dot.gov/online-registration>) to determine all FMCSA registration requirements. For applicants that do not have Internet access, FMCSA can mail instructions, forms, and other materials designed to assist in the off-line registration process; call FMCSA at 1-800-832-5660.

These instructions assume that the applicant has determined that a USDOT Number is required and that the MCS-150A form must be submitted.

## II. Who Must File

In most cases, to obtain a USDOT Number (required to operate as a Motor Carrier in interstate commerce), applicants must file an MCS-150A form in conjunction with either an MCS-150 Motor Carrier Identification Report OR an MCS-150B Combined Motor Carrier Identification Report and HM Permit Application. Interstate commerce is defined as trade, traffic, or transportation involving the crossing of a State boundary. Either the vehicle, its passengers, or cargo must cross a State boundary, or there must be the intent to cross a State boundary to be considered an interstate carrier. Intrastate commerce is trade, traffic, or transportation occurring completely within a single State.

All New-Entrant Motor Carriers beginning their operation on or after January 1, 2003, must now file an MCS-150A Safety Certification for Application for USDOT Number. A New Entrant is defined as a Motor Carrier not based in Mexico that applies for a USDOT Number in order to initiate operations in interstate commerce.

## III. Purpose of Filing

Section 210(a) of the Motor Carrier Safety Improvement Act (MCSIA) of 1999, now codified as 49 U.S.C. 31144(f), requires the Secretary of Transportation to establish regulations specifying minimum requirements for applicant Motor Carriers seeking to operate in interstate commerce. The Federal Motor Carrier Safety Administration (FMCSA) requires applicants to certify that they have adequate knowledge and procedures in place to comply with the Federal Motor Carrier Safety Regulations (FMCSR) and the Hazardous Materials Regulations (HMR). This includes applicants certifying they will maintain copies of the applicable regulations and ensure their employees are also familiar with the regulatory requirements. This certification requirement is fulfilled by the completion, signing, dating, and submitting of form MCS-150A, Safety Certification for Application for USDOT Number. Completing these certifications is a one-time responsibility for all New-Entrant Motor Carriers, and form MCS-150A must be filed with the FMCSA along with the form MCS-150 or MCS-150B, as appropriate, before a carrier may begin operations.

## IV. Exemptions from Filing

If the company meets one or more of the following criteria, then filing a Safety Certification for Application for USDOT Number (MCS-150A) is *not* required:

- The company is an active Motor Carrier which began operating prior to January 1, 2003.
- An individual/company classified as a “vehicle registrant only” by a State motor vehicle agency for purposes of commercial vehicle registration. The FMCSRs only require interstate Motor Carriers to obtain a USDOT Number. In select states (see list at [www.fmcsa.dot.gov/registration-licensing/registration-USDOT.htm](http://www.fmcsa.dot.gov/registration-licensing/registration-USDOT.htm)) however, all registrants of commercial motor vehicles, even non-Motor Carrier registrants, are required to obtain a USDOT Number as a necessary condition for commercial vehicle registration. State classification as a “vehicle registrant only” means the State will issue the registration and license plates for commercial vehicles, with the understanding that the vehicles will not operate as Motor Carriers. Although a USDOT Number is issued, it is provided solely for the purpose of facilitating State commercial vehicle registration and does not satisfy FMCSA’s safety requirements.

In most instances, commercial motor vehicles are both registered to and operated by the same company under a single USDOT Number. In the case of “vehicle registrant only” classification, however, the vehicle registrant and the Motor Carrier responsible for the safe operation of the commercial vehicle are two different entities, each with its own USDOT Number. In completing the MCS-150 or MCS-150B (which should be done *before* the MCS-150A), if the response provided in item number 22 was, “F. Vehicle Registrant Only,” then the MCS-150A is not required.

## V. Filing Options

There are two options for filing the necessary forms to obtain a USDOT Number:

- Completing and filing the required forms online, or
- Completing a printed copy and mailing to the FMCSA

**FMCSA strongly encourages applicants to use the electronic, online process since it has built-in edit checks and simple yes-and-no questions for easy, accurate completion.** It is also significantly faster than applying by mail. The estimated time to complete the form MCS-150A online is approximately 9 minutes.

- **Option 1: Filing Online (recommended)**
  - We encourage you to print all related form instructions prior to completing the online forms.
  - To complete and submit application form(s), go to: [www.fmcsa.dot.gov/online-registration](http://www.fmcsa.dot.gov/online-registration).
  - Upon submission, a USDOT Number for the company is issued immediately, if the application is approved.
  - FMCSA suggests that you record the USDOT Number that is assigned.
- **Option 2: Filing Paper by Mail**
  - Submit all required MCS and/or OP forms together.
  - Once the application is completed, FMCSA suggests making a copy for the company’s files.
  - Mailed applications, on average, take four to six weeks processing time.
  - Notification of USDOT Number will be sent to the mailing address provided in items 8-11 of submitted forms.
  - Mail completed application form(s) to:

### **Federal Motor Carrier Safety Administration**

Attention: USDOT Number Application  
1200 New Jersey Avenue SE  
Washington, DC 20590

**Note:** Applications that are incomplete, unreadable, or unsigned will be rejected and returned via mail, delaying the receipt of the company’s USDOT Number.

## VI. Getting Started

Prior to completing the form, FMCSA encourages all applicants to review the requirements detailed in the FMCSRs and the HMRs. The FMCSRs include rules regarding Controlled Substances and Alcohol Use and Testing; Commercial Driver's License Standards, Requirements and Penalties; Safety Fitness Procedures; Minimum Levels of Financial Responsibility for Motor Carriers; Filing of Identification Report and Marking of Vehicles; General Qualifications of Drivers; Driving of Commercial Motor Vehicles; Parts and Accessories Necessary for Safe Operation; Hours of Service of Drivers; Inspection, Repair and Maintenance; Driving and Parking Rules; Transportation of Migrant Workers; Transportation of Hazardous Materials; and Rules of Practice for Motor Carrier Safety and Hazardous Materials Proceedings. The HMRs include additional rules regarding the registration of cargo tank facilities and the registration of persons or companies who offer for transport, or actually transport, hazardous materials.

The regulations issued by the U.S. Department of Transportation and its operating administrations are published in the Federal Register and compiled in Title 49 of the U.S. Code of Federal Regulations (CFR). Copies of appropriate volumes of the CFR in book format may be purchased from the Superintendent of Documents, U.S. Government Printing Office, telephone (202) 512-1800. For online access to search, view and print relevant regulations, visit the FMCSA Rules and Regulations Web site at [www.fmcsa.dot.gov/rules-regulations/rules-regulations.htm](http://www.fmcsa.dot.gov/rules-regulations/rules-regulations.htm), or visit the electronic Code of Federal Regulations Web site at [www.gpoaccess.gov/fr/index.html](http://www.gpoaccess.gov/fr/index.html). In addition to the regulations, the FMCSA offers other educational and technical assistance materials on its Web site at [www.fmcsa.dot.gov](http://www.fmcsa.dot.gov).

Educational and technical assistance material regarding the requirements of the FMCSRs and HMRs is available to all applicants. This material can help the new entrant motor carrier operate safely by understanding Federal regulations and establishing systems to ensure compliance with the FMCSRs. Applicants should access the FMCSA's "A Motor Carrier's Guide to Improving Highway Safety" at [www.fmcsa.dot.gov/safety-security/eta](http://www.fmcsa.dot.gov/safety-security/eta).

Have a copy of the completed MCS-150 or MCS-150B form available when filling out form MCS-150A. To ensure timely processing, verify that responses provided in these items is consistent across forms before filing them.

## VII. Step-By-Step Instructions

These instructions will assist in the preparation of a complete and accurate MCS-150A application. The instruction numbers below correspond to the numbered items on form MCS-150A. When completing the application, print clearly in ink or type all information.

**1-17** — All Applicants must complete Items 1 through 17.

Items 1 through 17 correspond to completed Items 1 through 20 (excluding items 9, 12 and 14) information included on forms MCS-150 or MCS-150B. If filing form MCS-150A online, the system will automatically populate Items 1 through 17 with the corresponding MCS-150 or MCS-150B information.

**18. Safety Certifications** — All applicants required to file MCS-150A (subject to the FMCSRs) must complete certification items 18A through 18B.6, and 18.C (1-3) AND provide an authorized official's signature and title, and the date, in item 19.

If the company transports Hazardous Materials, then items 18.B.7. (a-e) must also be completed.

If the company operates as a cargo tank carrier engaged in the transport of Hazardous Materials, then item 18.B.8. must also be completed.

**18A. Certification of understanding of current regulations** — Applicant certifies that the company maintains current copies of applicable regulations, including FMCSRs and HMRs, and ensures all company personnel are aware of and understand current regulations.

**18B. Certification of implementing required tasks and measures prior to commencing U.S. Operations** — In the following areas: Driver Qualifications, Hours of Service, Drug and Alcohol Testing, Vehicle Condition, Accident Monitoring Program, Production of Records, Hazardous Materials (if applicable), and Cargo Tank Transport of Hazardous Materials (if applicable). This section references the appropriate parts and subparts of Section 49, U.S. Code of Federal Regulations and is provided to help clarify the intent of what is covered by the certification statement.

**1. Driver Qualifications (49 CFR Parts 383 and 391, [www.fmcsa.dot.gov/49cfr-383](http://www.fmcsa.dot.gov/49cfr-383), [www.fmcsa.dot.gov/49cfr-391](http://www.fmcsa.dot.gov/49cfr-391)):**

- a. Applicants must certify that a system is in place to ensure that all their commercial motor vehicle drivers meet the minimum qualifications specified in 49 CFR Parts 383 and 391, if applicable, and are properly licensed for the vehicle(s) driven. The applicant certifies that they are knowledgeable about the requirements and the specific exemptions for certain drivers.
- b. Applicants must certify that procedures are in place to review each driver's employment record and driving record for the preceding three years, in accordance with Section 391.23. An investigation designed to gauge a driver's qualifications and competence behind the wheel must be made within 30 days of the date of

employment. The applicant certifies that they are knowledgeable about the requirements and the specific exemptions for certain drivers.

- c. Applicants must certify that a program is in place to review the driving record of each driver at least once every 12 months. A note stating the results of this review shall be included in the Driver's Qualification File. The applicant certifies that they are knowledgeable about the requirements and the specific exemptions for certain drivers.
- d. Applicants must certify that all drivers are at least 21 years of age, except for those meeting the 391.2 exemption for farm custom operations, apiarian (bee-related) industries, and certain farm vehicle drivers. In these instances, the applicant certifies that they are knowledgeable about the requirements and the specific exemptions for certain drivers. Applicants must also be able to certify that each driver possesses a valid commercial motor vehicle operator's license, commonly known as a Commercial Driver's License (CDL). In instances where drivers are operating commercial vehicles not subject to the Commercial Driver's License requirements of Part 383, the applicant certifies that they are knowledgeable about the licensing requirements and are aware that their drivers are required to have a valid operator's license.

**2. Hours of Service (Part 395, [www.fmcsa.dot.gov/49cfr-395](http://www.fmcsa.dot.gov/49cfr-395)):**

- a. Applicants must certify that the company has implemented recordkeeping systems and procedures to maintain and review drivers' records of duty status for violations of Part 395 of the FMCSRs concerning driver Hours of Service (HOS) requirements. The applicant's response certifies that they are knowledgeable about the requirements and applicable exceptions.
- b. Applicants must certify that the company has ensured drivers are knowledgeable of the HOS requirements and will comply with the Part 395 regulations, as applicable. Specifically, this certification ensures the applicant Motor Carrier and its drivers are familiar with and are abiding by applicable 10/11, 14/15, and 60/70-hour rules as well as the requirement for preparing daily log entries in drivers' own handwriting for each 24-hour period. The HOS rules apply to all Motor Carriers and drivers, with exceptions found in Section 395.1. Applicants operating under these exceptions must certify that they are knowledgeable about the specific circumstances under which some drivers may be excepted from the HOS requirements in 49 CFR Part 395.

**3. Drug and alcohol testing (49 CFR, Part 382, [www.fmcsa.dot.gov/49cfr-382](http://www.fmcsa.dot.gov/49cfr-382), and 49 CFR, Part 40, [www.dot.gov/ost/dapc/NEW DOCS/part40.html?proc](http://www.dot.gov/ost/dapc/NEW_DOCS/part40.html?proc)):**

- a. Applicants must certify that the company is knowledgeable about and is complying with the drug and alcohol regulations in 49 CFR Part 382 and 49 CFR Part 40, if applicable and that it has a program in place for systematic testing of drivers. These requirements include pre-employment, post-accident, random, reasonable suspicion, return-to-duty, and follow-up testing. Drivers required to have a CDL are subject to the controlled substance and alcohol testing rules. This requirement extends to those drivers currently covered by the rule, including interstate and intrastate truck and motor coach operations. Exceptions from these requirements are outlined in 49 CFR § 382.103(d). Applicants operating under these exceptions are not required to certify to these requirements and should respond "not applicable" (N/A) to this item.

**4. Vehicle condition (49 CFR, Part 396, [www.fmcsa.dot.gov/49cfr-396](http://www.fmcsa.dot.gov/49cfr-396)):**

- a. Applicant must certify that the company has a procedure in place to systematically inspect, repair, and maintain, or cause to be systematically inspected, repaired, and maintained, all motor vehicles subject to its control as required by 49 CFR Part 396. Motor carriers must maintain the following information for every vehicle they have controlled for 30 days or more:
  - Identifying information, including company number, make, serial number, year, and tire size
  - A schedule of inspections to be performed, including type and due date
  - Inspection, repair, and maintenance records
  - Records of tests conducted on buses with pushout windows, emergency doors, and marking lightsEvery commercial vehicle, including each segment of a combination vehicle, is also required to receive a periodic inspection meeting the requirements of Section 396.17 at least once every 12 months.
- b. Prior to requiring or permitting a driver to operate or continue to operate a commercial vehicle, every Motor Carrier or its agent shall ensure repairs are made with regard to any violations and defects listed on inspection reports. This requirement includes, but is not limited to, violations listed on roadside vehicle inspections and driver daily vehicle inspection reports.

**5. Accident monitoring program (Part 390, [www.fmcsa.dot.gov/49cfr-390](http://www.fmcsa.dot.gov/49cfr-390)):**

- a. Applicant certifies that it has a program in place for monitoring vehicle accidents and that the company shall maintain for a period of one year after an accident occurs, an accident register containing at least the information outlined below.

A list of accidents, containing for each accident:

1. Date of accident, city or town in which—or most near where—the accident occurred, the State in which the accident occurred, driver name, number of injuries, number of fatalities, and whether hazardous materials (other than fuel spilled from the fuel tanks of motor vehicles involved in the accident) were released.
2. Copies of all accident reports required by State or other governmental entities or insurers.

- b. Applicants must certify that they have established accident countermeasures and driver training programs to reduce accidents. The FMCSA Accident Countermeasures Manual (<http://www.fmcsa.dot.gov/accident-manual>) was developed to assist Motor Carriers in analyzing their accidents and incidents, and developing strategies to reduce the number of future occurrences.

**6. Production of records (49 CFR, Part 390, [www.fmcsa.dot.gov/49cfr-390](http://www.fmcsa.dot.gov/49cfr-390)):**

- a. Applicants must certify they can and will produce all records and documents required by the FMCSRs for inspection within 48 hours of a request by a special agent or authorized representative of the FMCSA, or other authorized Federal or State official as required by 49 CFR § 390.29.

**7. Hazardous Materials (49 CFR, Part 107, [www.fmcsa.dot.gov/49cfr-107](http://www.fmcsa.dot.gov/49cfr-107), and 49 CFR, Parts 171-180, [www.fmcsa.dot.gov/49cfr-171](http://www.fmcsa.dot.gov/49cfr-171)):**

**NOTE:** Complete this certification only if the Motor Carrier will transport hazardous materials; otherwise indicate "N/A."

- a. Applicants transporting hazardous materials must certify that they are fully aware of the requirements found in the U.S. DOT Hazardous Materials Regulations (HMR) and have established training programs for personnel. A Motor Carrier that transports hazardous materials, whether interstate or intrastate, must comply with the Federal Hazardous Materials Regulations, 49 CFR 171-180. These regulations include requirements regarding registration, training, shipping papers, labels, placards, and packages.

An employer engaged in the transport of hazardous materials shall ensure that all employees, as appropriate, are trained in accordance with the requirements prescribed in subpart H of Part 172 and 49 CFR Part 177.816. The purpose of the training requirements in Part 172 Subpart H of the HMR is to ensure that each employer trains its employees to safely load, unload, handle, store and transport hazardous materials. Hazardous materials training must cover general awareness/familiarization, and include function-specific, safety, and modal-specific training (such as driver training). In addition, drivers must receive training on the safe operation of motor vehicles that will be transporting hazardous materials, in accordance with §177.816.

- b. Applicant certifies it has a system and procedures in place for ensuring the inspection, repair, and maintenance of reusable hazardous materials packaging and for record maintenance in accordance with HMRs. Periodic testing of reusable packaging, including cylinders, cargo tanks, and intermediate bulk containers, is necessary to ensure that the packaging continues to perform to the specifications to which it was originally constructed. For example, cargo tanks require periodic retesting according to the table in §180.407, while §180.205 contains the general requirements for re-qualification of cylinders. Companies should be aware of the tests and required frequency of testing for the packaging used.
- c. Applicant must certify that they have a system and procedures in place for filing and maintaining hazardous materials shipping documents. Each person who provides the shipping paper must retain a copy of the shipping paper, or an electronic image thereof—as required by §172.200(a)—that is accessible at or through its principal place of business. For hazardous waste, the shipping paper copy must be retained for three years after the material is accepted by the initial carrier. For all other hazardous materials, the shipping paper copy must be retained for 375 days after the material is accepted by the initial carrier.
- d. Hazardous materials carriers must have procedures in place to ensure commercial vehicles are properly marked and placarded for the material being transported. Placards and labels provide hazard class information. Additional markings are placed on hazardous materials packaging and transport vehicles to convey identification numbers and information that labels and placards normally do not provide. The pleading provisions contained in Part 172, Subparts D-F apply to each person or company who offers a hazardous material for transport, or who transports a hazardous material subject to the HMR. The HMR require most vehicles hauling hazardous materials to be placarded. Placards alert people to the potential dangers associated with the particular hazmat contained in a motor vehicle, freight container, cargo tank or

portable tank. Placards also help emergency response personnel properly identify and respond to potential hazards in case of a hazardous materials incident.

- e. No person required to file a registration statement under 49 CFR Part 107, Subpart G, may transport hazardous materials or cause them to be transported or shipped without a current annual Certificate of Registration on file. Carriers may register with the Pipeline and Hazardous Materials Safety Administration (PHMSA) by contacting the registration program at (202) 366-4109 or the Hazardous Materials Information Center at (800) 467-4922.

**8. For Cargo Tank (CT) Carriers of HM Only (49 CFR Part 180, [www.fmcsa.dot.gov/49cfr-180](http://www.fmcsa.dot.gov/49cfr-180))**

**NOTE:** Complete this certification if the company is a Cargo Tank Carrier of Hazardous Materials; otherwise indicate "N/A."

- a. Carriers operating specification cargo tanks must have a system in place to ensure the tanks are tested and inspected as specified in 49 CFR Part 180. This includes external visual, internal visual, and lining inspections, as well as pressure and thickness tests. Facilities/individuals conducting this testing and inspection must be registered with the DOT to conduct these activities as required by 49 CFR Part 107, Subpart F. Registration is required for persons engaged in the manufacture, assembly, inspection and testing, certification, or repair of a cargo tank or a cargo tank motor vehicle manufactured in accordance with a DOT specification.

**18C. General certifications —**

1. Applicants must certify that they can and will provide the type of operations or service that they are identifying in the accompanying MCS-150 or MCS-150B and that they will comply with statutory and regulatory requirements and regulations issued or administered by the U.S. Department of Transportation.
2. Applicants must certify that they can and will produce all documents requested to evaluate their compliance with Federal statutes and regulations. All records and documents must be made available for inspection within 48 hours of a request by a special agent or authorized representative of the Federal Motor Carrier Safety Administration, as required by 49 CFR § 390.29.
3. Applicants must be able to certify that they are currently qualified to operate commercial vehicles in the United States. An applicant's "YES" response to this certification verifies that they are not presently disqualified from operating commercial vehicles.

**19. Certification statement —** An authorized official of the company must complete Section 19, including date and typed/printed title and corresponding signature. Use the following guidelines for determining who must sign this certification as an "authorized official" for the applicant.

- If the company is a sole proprietorship, then the owner must sign.
- If the company is a partnership, then one of the partners must sign.
- If the company is a corporation, then an official of the corporation must sign (President, Vice President, Secretary, Treasurer, etc.).

**Note:** An applicant's attorney or any other representative who may be assisting with the completion of the application is NOT permitted to sign on the company's behalf.

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the paperwork Reduction Act unless that collection of information displays a current valid OMB Control Number. The OMB Control Number for this information collection is 2126-0013. Public reporting for this collection of information is estimated to be approximately 9 minutes per response, including the time for reviewing instructions, gathering the data needed, and completing and reviewing the collection of information. All responses to this collection of information are mandatory, and will be provided confidentiality to the extent allowed by the Freedom of Information Act (FOIA). Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Motor Carrier Safety Administration, MC-RRA, 1200 New Jersey Avenue, SE, Washington, D.C. 20590.



U.S. Department of Transportation  
Federal Motor Carrier Safety Administration

## Safety Certification for Application

(Safety Certification for Application for USDOT Number)

1. NAME OF MOTOR CARRIER		2. TRADE OR D.B.A. (DOING BUSINESS AS) NAME			
3. PRINCIPAL STREET ADDRESS/ROUTE NUMBER		4. CITY	5. STATE/PROVINCE		6. ZIP CODE+4
7. MAILING ADDRESS (P O BOX)		8. CITY	9. STATE/PROVINCE		10. ZIP CODE+4
11. PRINCIPAL PHONE NUMBER	12. PRINCIPAL FAX NUMBER	13. USDOT NO.	14. MC OR MX NO.	15. DUN & BRADSTREET NO.	
16. IRS/TAX ID NO. EIN#		17. INTERNET E-MAIL ADDRESS			
<b>18. SAFETY CERTIFICATIONS</b> (Applicants subject to FMCSRs must complete certification item(s) 18A through 18C).					
A. Applicant maintains current copies of all U.S. DOT Federal Motor Carrier Safety Regulations, Federal Motor Vehicle Safety Standards, and the Hazardous Materials Regulations (if a property carrier transporting hazardous materials), understands and will comply with such regulations, and has ensured that all company personnel are aware of the current requirements.					Yes <input type="radio"/> No <input type="radio"/>
B. Applicant certifies that the following tasks and measures will be fully accomplished and procedures fully implemented before it commences operations in the United States.					
1. Driver qualifications:					
a) The carrier has in place a system and procedures for ensuring the continued qualification of drivers to operate safely, including a safety record for each driver, procedures for verification of proper licensing of each driver and procedures for identifying drivers who are not complying with the safety regulations.					Yes <input type="radio"/> No <input type="radio"/>
b) The carrier has procedures in place to review drivers' employment and driving histories for at least the last 3 years to determine whether or not the individual is qualified and competent to drive safely.					Yes <input type="radio"/> No <input type="radio"/>
c) The carrier has established a program to review the records of each driver at least once every 12 months and will maintain a record of the review.					Yes <input type="radio"/> No <input type="radio"/>
d) The carrier will ensure that all of its drivers are at least 21 years of age and if applicable possess a valid Commercial Drivers License (CDL).					Yes <input type="radio"/> No <input type="radio"/>
2. Hours of Service:					
a) The carrier has in place a recordkeeping system and procedures to monitor the hours-of-service performed by drivers, including procedures for continuing review of drivers' log books, and for ensuring compliance with all operations requirements.					Yes <input type="radio"/> No <input type="radio"/>
b) The carrier has ensured that all drivers are knowledgeable of the hours-of-service requirements, and has clearly and specifically instructed the drivers concerning their responsibility to comply with applicable 10/11, 14/15, and 60/70-hour rules as well as the requirement for preparing daily log entries in their own handwriting for each 24-hour period.					Yes <input type="radio"/> No <input type="radio"/>
3. Drug and alcohol testing:					
a) The carrier is familiar with the alcohol and controlled substance testing requirements of 49 CFR part 382 and 49 CFR part 40 and has in place a program for systematic testing of drivers.					Yes <input type="radio"/> No <input type="radio"/> N/A <input type="radio"/>
4. Vehicle condition:					
a) The carrier has established a system and procedures for inspection, repair and maintenance of its vehicles in a safe condition, and for preparation and maintenance of records of inspection, repair and maintenance in accordance with the Federal Motor Carrier Safety Regulations and the Hazardous Materials Regulations.					Yes <input type="radio"/> No <input type="radio"/>
b) The carrier will ensure that all violations and defects noted on inspection reports are corrected before vehicles and drivers are permitted to continue operation.					Yes <input type="radio"/> No <input type="radio"/>
5. Accident monitoring program:					

a) The carrier has in place a program for monitoring vehicle accidents and maintains an accident register in accordance with 49 CFR 390.15.	Yes <input type="radio"/> No <input type="radio"/>
b) The carrier has established an accident countermeasures program and driver training program to reduce accidents.	Yes <input type="radio"/> No <input type="radio"/>
6. Production of records:	
a) The carrier can and will produce records demonstrating compliance with the safety requirements within 48 hours of receipt of a request from a representative of the USDOT/FMCSA or other authorized Federal or State official.	Yes <input type="radio"/> No <input type="radio"/>
7. Hazardous Materials (to be completed by carriers of hazardous materials only).	
a) The HM carrier has full knowledge of the U.S. DOT Hazardous Materials Regulations and has established programs for the thorough training of its personnel as required under 49 CFR part 172, Subpart H, and 49 CFR 177.816.	Yes <input type="radio"/> No <input type="radio"/> N/A <input type="radio"/>
b) The carrier has established a system and procedures for inspection, repair and maintenance of its reusable hazardous materials packages (cargo tanks, portable tanks, cylinders, intermediate bulk containers, etc.) in a safe condition, and for preparation and maintenance of records of inspection, repair and maintenance in accordance with the U.S. DOT Hazardous Materials Regulations.	Yes <input type="radio"/> No <input type="radio"/> N/A <input type="radio"/>
c) The HM carrier has established a system and procedures for filing and maintaining HM shipping documents.	Yes <input type="radio"/> No <input type="radio"/> N/A <input type="radio"/>
d) The HM carrier has a system in place to ensure that all HM trucks are marked and placarded as required by 49 CFR part 172, Subparts D and F.	Yes <input type="radio"/> No <input type="radio"/> N/A <input type="radio"/>
e) The carrier will register under 49 CFR part 107, Subpart G, if transporting any quantity of hazardous materials requiring the vehicle to be placarded.	Yes <input type="radio"/> No <input type="radio"/> N/A <input type="radio"/>
8. For Cargo Tank (CT) Carriers of HM	
a) The carrier has a system in place to ensure that its cargo tanks are inspected and tested as required by 49 CFR 180 by a facility registered with the U.S. DOT under part 107, Subpart F.	Yes <input type="radio"/> No <input type="radio"/> N/A <input type="radio"/>
By signing these certifications, the carrier official is on notice that the representations made herein are subject to verification through inspections in the United States and through the request for and examination of records and documents. Failure to support the representations contained in this application could form the basis of a proceeding to assess civil penalties and/or lead to the revocation of the authority granted.	
C. All applicants must certify as follows:	
1. Applicant is willing and able to provide the proposed operations or service and to comply with all pertinent statutory and regulatory requirements and regulations issued or administered by the U.S. Department of Transportation, including operational regulations, safety fitness requirements, motor vehicle safety standards, and minimum financial responsibility requirements.	Yes <input type="radio"/> No <input type="radio"/>
2. Applicant is willing and able to produce for review or inspection documents which are requested for the purpose of determining compliance with applicable statutes and regulations administered by the U.S. Department of Transportation, including the Federal Motor Carrier Safety Regulations, Federal Motor Vehicle Safety Standards and Hazardous Materials Regulations, within 48 hours of any written request.	Yes <input type="radio"/> No <input type="radio"/>
3. Applicant is not presently disqualified from operating commercial vehicles in the United States pursuant to the Motor Carrier Improvement Act of 1999 or any other law.	Yes <input type="radio"/> No <input type="radio"/>
<p><b>NOTE:</b> All motor carriers must comply with all pertinent Federal, State, local and tribal statutory and regulatory requirements when operating within the United States. Such requirements include, but are not limited to, all applicable statutory and regulatory requirements administered by the U.S. Department of Labor, or by a State agency operating a plan pursuant to Section 18 of the Occupational Safety and Health Act of 1970 ("OSHA State plan agency"). Such requirements also include all applicable statutory and regulatory environmental standards and requirements administered by the U.S. Environmental Protection Agency or a State, local or tribal environmental protection agency. Compliance with these statutory and regulatory requirements may require motor carriers and/or individual operators to produce documents for review and inspection for the purpose of determining compliance with such statutes and regulations.</p>	
19. Certification Statement (to be completed by an authorized official)	
I, _____, certify that I am familiar with the Federal Motor Carrier Safety Regulations and/or the Federal Hazardous Materials Regulations. Under penalties of perjury, I declare that the information entered on this report is, to the best of my knowledge and belief, true, correct, and complete.	
Signature _____	Date _____ Title _____