
	<h2 style="margin: 0;">APPLICATION FOR TRANSFER OF SCALLOP LICENSE</h2>	U.S. Dept. of Commerce/NOAA National Marine Fisheries Service (NMFS) Restricted Access Management Program (RAM) P.O. Box 21668 Juneau, AK 99802-1668	
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**The original license to be transferred must be included with this application, together with a copy of the sales contract or other agreement between the parties that sets out the terms and conditions of the proposed transfer.**

<b>BLOCK A -- IDENTIFICATION OF LICENSE TO BE TRANSFERRED</b>
1. License Number: _____
2. What is the total <b>PRICE</b> (if any), including all fees, being paid for this license? \$_____
Does this price include the price of the vessel or other assets?  YES [ <input type="checkbox"/> ]                      NO [ <input type="checkbox"/> ]

<b>BLOCK B – PERSON TRANSFERRING LICENSE (TRANSFEROR/SELLER)</b>		
1. Name(s):	2. NMFS Person ID:	
3. Business Mailing Address [ <input type="checkbox"/> ] Permanent [ <input type="checkbox"/> ] Temporary		
4. Business Telephone No.:	5. Business Fax No.:	6. Business e-mail Address (if any):

**BLOCK C – PERSON TO WHOM LICENSE WILL BE TRANSFERRED (TRANSFEEE/BUYER)**

1. Is the transferee a U.S. citizen (individual, corporation, partnership, association, or other non-individual entity)?

YES [ ]                      NO [ ]

**If NO, STOP.** Only U.S. citizens may hold a scallop LLP license.

2. Name(s):

3. NMFS Person ID:

4. Business Mailing Address:    [ ] Permanent                      [ ] Temporary

5. Business Telephone No.:

6. Business Fax No.:

7. Business e-mail Address:

**BLOCK D – LLP OWNERSHIP DOCUMENTATION**

*To be completed by the Transferee/Receiver*

Provide the names of all persons, to the individual level, holding an ownership interest in the LLP License being transferred and the percentage ownership each person and individual will hold in the LLP License

Name	% Ownership	Name	% Ownership

**NOTE:** All parties to the requested transfer must complete and sign this Application for Transfer of Scallop License and signatures must be notarized. **Failure to have signatures properly notarized will result in processing delays.**

***BLOCK E – CERTIFICATION OF TRANSFEROR (SELLER)***

Under penalty of perjury, I hereby declare that I have examined this application, and the information presented herein is true, accurate, and complete to the best of my knowledge and belief.

1. Signature of Transferor or Authorized Agent	2. Date
3. Printed Name of Transferor or Authorized Agent (Note: If this is completed by an agent, attach authorization)	
4. Title, if Agent	
5. Notary Public Signature <b>ATTEST</b>	6. Affix Notary Stamp or Seal Here
7. Commission Expires	

***BLOCK F – CERTIFICATION OF TRANSFEREE (BUYER)***

Under penalty of perjury, I hereby declare that I have examined this application, and the information presented herein is true, accurate, and complete to the best of my knowledge and belief.

1. Signature of Transferee or Authorized Agent	2. Date
3. Printed Name of Transferee or Authorized Agent (Note: If this is completed by an agent, attach authorization)	
4. Title, if Agent	
5. Notary Public Signature <b>ATTEST</b>	6. Affix Notary Stamp or Seal Here
7. Commission Expires	

<b>INSTRUCTIONS APPLICATION FOR TRANSFER OF SCALLOP LLP LICENSE</b>
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**GENERAL INFORMATION**

The Scallop License Limitation Program is authorized in Federal regulations at 50 CFR Part 679, and provides that any vessel that is deployed in the scallop fisheries in Federal waters off Alaska must carry a valid scallop license.

A license is transferable; i.e., once issued, it may be transferred by its holder(s) to another person(s) who may then use the license aboard a vessel deployed in the Federal scallop fishery in the waters off Alaska (subject to the restrictions of the license).

Scallop licenses have no area endorsements, all licenses are statewide.

The information requested on this application is for the express purpose of ensuring that transfers of scallop licenses are properly executed as requested by the parties to the transfer and to ensure that all provisions of the federal regulations governing the transfer of such licenses, 50 CFR 679.4(1)(4), have been met.

**RESTRICTIONS**

**Gear:** If a vessel did not make a legal landing of scallops outside Cook Inlet (State of Alaska Registration Area H) in 1 of the 3 years 1996, 1997, or 1998, through October 9, 1998, the license would be restricted to a single 6 foot (1.8 m) dredge.

**Maximum Length Overall (MLOA):** Each license displays an MLOA (in feet). MLOA is restricted to 100% of the LOA of the qualifying vessel or, 100% of the LOA of the largest vessel used in the recent qualifying period.

**APPLICATION PROCESSING**

If you have lost your original license, you will need to complete a replacement application form. This application is available on the NMFS, Alaska Region web site at <http://www.fakr.noaa.gov>. You may also call RAM at one of the numbers listed below and request the application be mailed or faxed to you; or you may visit the RAM office (709 W 9<sup>th</sup> Street, 7<sup>th</sup> Floor, Suite 713, Juneau, Alaska) and pick up the application.

An original application must be submitted; an application sent by fax will not be processed. NMFS requires that this application be notarized.

When completed, mail the Application for Transfer of Scallop License and any required attachments, including the original license, to:

**NMFS Alaska Region  
Restricted Access Management (RAM)  
P.O. Box 21668  
Juneau, Alaska 99802-1668**

Or deliver to:

**NMFS Alaska Region  
Restricted Access Management (RAM)  
709 West 9<sup>th</sup> Street Suite 713  
Juneau, Alaska 99801**

Direct any questions you may have to:

**National Marine Fisheries Service  
Restricted Access Management  
P.O. Box 21668  
709 W. 9th St., Suite 713  
Juneau, AK 99802-1668**

**Telephone: 800-304-4846 (#2) or 907-586-7202 (#2)  
Fax: 907-586-7354**

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**Please allow at least 10 days for processing of your application.** Upon approval of an Application for Transfer of Scallop License, RAM will void the license in the name of the transferor and mail a new license to the new holder's address via first class mail, unless a pre-paid express envelope or an account number for a private express carrier has been provided, or arrangements have been made to have the transaction expedited by a private facilitator or courier.

#### **COMPLETING THE APPLICATION**

*Note: The original LLP license must be included with the application, together with a complete copy of the sales contract, or other agreement between the parties, that sets out the terms and conditions of the proposed transfer.*

#### **BLOCK A -- IDENTIFICATION OF LICENSE TO BE TRANSFERRED**

1. Enter the number of the scallop LLP license to be transferred.
2. Enter the total price, including brokerage fees, being paid for the license. Enter "zero" if the transfer is being proposed pursuant to a gift agreement or for non-monetary consideration.

#### **BLOCK B -- PERSON TRANSFERRING LICENSE (TRANSFEROR/SELLER)**

1. Enter the transferor's name(s).
2. Enter NMFS Person ID. NMFS will provide this number, if you do not already have one.
3. Enter the business mailing address, including street or P.O. Box, city, state, and zip code.
- 4-6. Enter the business telephone number, including area code, business fax number, and e-mail address.

**BLOCK C -- PERSON(S) TO WHOM LICENSE WILL BE TRANSFERRED (TRANSFEREE/ BUYER).**

1. Indicate if the proposed transferee is a U.S. citizen (individual, corporation, partnership, association, or other non-individual entity).  
**If NO, STOP.** Only U.S. citizens may hold a scallop license.
2. Enter the transferee's name(s).
3. Enter NMFS Person ID. NMFS will provide this number, if you do not already have one.
4. Enter the business mailing address, including street or P.O. Box, city, state, and zip code.
- 5-7. Enter the business telephone number, including area code, business fax number, and e-mail address.

**BLOCK D – LLP OWNERSHIP DOCUMENTATION (to be provided by the transferee/receiver of the LLP license)**

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP License being transferred and the percentage ownership each person and individual holds in LLP License.

If the LLP License Holder is a non-individual (i.e., a corporation, partnership or other entity), provide the names of, and the percentage of ownership held by, all of its owners. Information should be provided to the individual level. See example below:

<b>Name of Owner</b>	<b>% Interest</b>
Joe Groundfish	25%
Alice Groundfish	25%
LLP Family Holdings, Inc.	50%
A. LLP Holder	25% (of 50%)
B. LLP Holder	25% (of 50%)
C. LLP Holder	25% (of 50%)
D. LLP Holder	25% (of 50%)

**BLOCKS E and F – TRANSFEROR AND TRANSFEREE CERTIFICATION**

The transferor and transferee must sign, print name, and date the application in the presence of a Notary Public. If an agent is signing on behalf of the proposed transferor or transferee, authorization (in the form of a Power of Attorney or other legally sufficient documentation) must be submitted with the application or be currently on file with the RAM Program. Enter the title of the agent, if any. A Notary Public must attest and affix a Notary Stamp or Seal to the application.

Application forms submitted to RAM must bear the original signatures of the parties — RAM will not process faxed applications.

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### **PUBLIC REPORTING BURDEN STATEMENT**

Public reporting burden for this collection of information is estimated to average 1.0 hour per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Assistant Regional Administrator, National Marine Fisheries Service, Alaska Region, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802-1668.

### **ADDITIONAL INFORMATION**

Before completing this application, please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is being used to manage the Scallop License Limitation Program; 3) Submission of an Application for Transfer of Scallop License is required of those seeking to obtain such a transfer; 5) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

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