

INSTRUCTIONS

WHERE A WIRE TRANSFER HAS BEEN BLOCKED AT A U.S. FINANCIAL INSTITUTION DUE TO U.S. GOVERNMENT SANCTIONS, A PARTY TO THE TRANSFER MAY APPLY FOR RELEASE OF THE FUNDS.

COMPLETE ALL APPLICABLE SECTIONS. **FAILURE TO PROVIDE ADEQUATE INFORMATION MAY RESULT IN YOUR APPLICATION BEING RETURNED WITHOUT ACTION.**

ATTACH COPIES OF ANY DOCUMENTS RELATED TO THE UNDERLYING TRANSACTION (E.G. INVOICES, BILLS OF LADING, PHOTOCOPY OF THE ORIGINAL PAYMENT OR TRANSFER INSTRUCTIONS, COPIES OF GOVERNMENT-ISSUED IDENTIFICATION SUCH AS PASSPORT, TEMPORARY/PERMANENT RESIDENT CARD, BIRTH CERTIFICATE, OR OTHER IDENTIFYING DOCUMENTATION).

ALL DOCUMENTS MUST BE IN ENGLISH OR INCLUDE AN ENGLISH TRANSLATION.

MAIL THE COMPLETED AND SIGNED APPLICATION, TOGETHER WITH ACCOMPANYING DOCUMENTATION AND TWO COPIES OF THE ENTIRE SUBMISSION, TO: **THE OFFICE OF FOREIGN ASSETS CONTROL, 1500 PENNSYLVANIA AVENUE, NW-ANNEX, WASHINGTON, D.C. 20220, ATTN: BLOCKED FUNDS APPLICATION**

A COPY OF THIS APPLICATION AND ALL RELATED DOCUMENTATION MUST BE RETAINED BY THE APPLICANT FOR AT LEAST FIVE YEARS AFTER THE DATE OF THE UNDERLYING TRANSACTION.

UNLESS AUTHORIZED BY OFAC, APPLICATIONS MADE BY ANY OTHER METHOD WILL NOT BE CONSIDERED.

LICENSES GRANTED PURSUANT TO THIS APPLICATION ARE SUBJECT TO THE CONDITIONS BELOW

LICENSEES SHALL FURNISH AND MAKE AVAILABLE FOR INSPECTION ANY RELEVANT INFORMATION, RECORDS OR REPORTS REQUESTED BY THE SECRETARY OF THE TREASURY OR ANY DULY AUTHORIZED OFFICER OR AGENCY OF THE SECRETARY.

A SPECIFIC LICENSE IS NOT TRANSFERABLE, IS LIMITED TO FACTS AND CIRCUMSTANCES SPECIFIC TO THE APPLICATION, AND IS SUBJECT TO THE PROVISIONS OF 31 CFR PART 501, THE RELEVANT EXECUTIVE ORDER OR PART OF 31 CFR CHAPTER V PERTAINING TO THE SANCTIONS PROGRAM UNDER WHICH THE TRANSFER WAS BLOCKED AND ANY REGULATIONS OR RULINGS ISSUED PURSUANT THERETO. A LICENSE MAY BE REVOKED OR MODIFIED AT ANY TIME AT THE DISCRETION OF THE SECRETARY OF THE TREASURY. IF A SPECIFIC LICENSE WAS ISSUED AS A RESULT OF WILLFUL MISREPRESENTATION ON THE PART OF THE APPLICANT OR HIS AGENT, IT MAY, AT THE DISCRETION OF THE SECRETARY OF THE TREASURY, BE DECLARED VOID FROM THE DATE OF ITS ISSUANCE, OR FROM ANY OTHER DATE.

A SPECIFIC LICENSE 1) DOES NOT EXCUSE COMPLIANCE WITH ANY LAW OR REGULATION ADMINISTERED BY THE OFFICE OF FOREIGN ASSETS CONTROL OR ANOTHER AGENCY (INCLUDING REPORTING REQUIREMENTS APPLICABLE TO THE TRANSACTIONS AND ACTIVITIES THEREIN LICENSED), 2) DOES NOT RELEASE THE LICENSEES OR THIRD PARTIES FROM CIVIL OR CRIMINAL LIABILITY FOR VIOLATION OF ANY LAW OR REGULATION, AND 3) DOES NOT CONSTITUTE A FINDING OF FACT OR CONCLUSION OF LAW WITH RESPECT TO THE APPLICABILITY OF ANY LAW OR REGULATION.

ATTENTION IS DIRECTED TO 19 U.S.C. §§ 1592 AND 1595A, 18 U.S.C. § 545, 18 U.S.C. § 1001, 50 U.S.C. APP. § 16, AND SECTION 701 ET SEQ OF THE RELEVANT PART OF 31 CFR FOR PROVISIONS RELATING TO PENALTIES.

DEFINITIONS

Remitter – The person or organization that is sending the funds.

Remitting Financial Institution – Name of the financial institution initiating the transfer on behalf of the remitter.

Intermediary Financial Institution – A bank other than the remitter's bank or beneficiary's bank through which the transfer passes.

Beneficiary Financial Institution – The financial institution receiving the funds on behalf of the beneficiary.

Beneficiary – The ultimate party to be credited or paid as a result of a wire transfer.

WARNING!

MAKING FALSE OR MISLEADING STATEMENTS ON OR IN CONNECTION WITH THIS APPLICATION MAY CONSTITUTE SERIOUS CRIMINAL AND/OR CIVIL VIOLATIONS OF FEDERAL LAW AND MAY RESULT IN SUBSTANTIAL FINES.

PAPERWORK REDUCTION ACT STATEMENT: The paperwork requirement has been cleared under the Paperwork Reduction Act of 1985. The Office of Foreign Assets Control (OFAC) of the Department of the Treasury requires this information to be furnished pursuant to 31 CFR Part 501. The information collected will be used for U.S. Government to evaluate and process license applications submitted by applicants whose money has been blocked pursuant to OFAC sanctions. It is the policy of OFAC to protect the confidentiality of information in appropriate cases pursuant to the exemptions from disclosure provided under the Freedom of Information Act and the Privacy Act. The estimated burden associated with this collection of information is 30 minutes per respondent. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Compliance Programs Division, Office of Foreign Assets Control, Department of the Treasury, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220 and the Office of Management and Budget, Paperwork Reduction Project OMB No. 1505-0170, Washington, D.C. 20503. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid control number assigned by OMB.

APPLICATION FOR RELEASE OF BLOCKED WIRE TRANSFERS

It is strongly recommended that you use the computer to complete this form



TYPE OF APPLICATION _____ Previous Case Number (if applicable): _____

1. Blocked Amount: _____ 2. Currency Type: _____ 3. Date Blocked (mm/dd/yyyy): _____
 4. Applicant Blocked Transaction Reference #: _____

5. Business Name (if applicable): _____
 6. Contact/Applicant
 6a. Last Name: _____ 6b. First Name: _____ 6c. Middle Name: _____
 7. Street Address: _____ 8. City: _____
 9. _____ 10. _____ 11. Postal Code: _____
 12. International Phone 13. Phone Number: _____ 14. International Fax 15. Fax Number: _____
 16. E-mail Address: _____ 17. Principal Place of Business: _____
 18. State or Country Where Business is Incorporated: _____

19. Transfer Blocked Due to the Following OFAC Sanctions Program: _____
IF BLOCKING OCCURRED BECAUSE THE NAME OF ANY PARTY TO THE TRANSACTION APPEARS TO MATCH A NAME ON THE SPECIALLY DESIGNATED NATIONALS (SDN) LIST, PLEASE PROVIDE INFORMATION RELATED TO THE NAME MATCH (e.g. copy of: original payment or transfer instructions, invoices, bills of lading, passport, temporary/permanent resident card, birth certificate, Employer Identification Number, passport, national ID card, incorporation document or other government-issued identifying documentation).

FINANCIAL INSTITUTION THAT BLOCKED FUNDS

20. Institution Name: _____
 21. Street Address: _____ 22. City: _____
 23. _____ 24. _____ 25. Postal Code: _____

REMITTER 'S INFORMATION

26. Business Name (if applicable): _____
 27. Last Name: _____ 28. First Name: _____ 29. Middle Name: _____
 30. Street Address: _____ 31. City: _____
 32. _____ 33. _____ 34. Postal Code: _____

REMITTING FINANCIAL INSTITUTION

35. Institution Name: _____
 36. Street Address: _____ 37. City: _____
 38. _____ 39. _____ 40. Postal Code: _____

APPLICATION FOR RELEASE OF BLOCKED FUNDS (cont'd)

INTERMEDIARY FINANCIAL INSTITUTION

41. Institution Name: _____
42. Street Address _____ 43. City: _____
44. _____ 45. _____ 46. Postal Code: _____

BENEFICIARY FINANCIAL INSTITUTION

47. Institution Name: _____
48. Street Address: _____ 49. City: _____
50. _____ 51. _____ 52. Postal Code: _____

BENEFICIARY'S INFORMATION

53. Business Name (if applicable): _____
54. Last Name: _____ 55. First Name: _____ 56. Middle Name: _____
57. Street Address: _____ 58. City: _____
59. _____ 60. _____ 61. Postal Code: _____

62. **DETAILED** EXPLANATION OF THE TRANSACTION, INCLUDING THE PURPOSE OF THE PAYMENT

[Empty box for detailed explanation of the transaction]

63. **APPLICANT CERTIFICATION:** I, THE UNDERSIGNED, HEREBY DECLARE THAT, TO THE BEST OF MY KNOWLEDGE, THE INFORMATION PROVIDED ON THIS APPLICATION AND ANY ACCOMPANYING DOCUMENTATION IS TRUTHFUL AND COMPLETE.

64. SIGNATURE: _____ 65. DATE: _____
66. SIGNATORY NAME (PRINT): _____ 67. SIGNATORY TITLE: _____