The information below lists the 2008 NIMSCAST question first, then the corresponding question from 2007 is pasted afterwards. Then an explanation of any changes occurs in the space just below the 2007 question. When no changes were made or a question was added or deleted, it is so indicated.

2008 Section 1 Question 1.1
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Section 1 Metrics: NIMS Adoption

### 1.1 Adoption

Compliance Objective 1: Adopt NIMS by all State/Territorial Departments/Agencies; as well as promote and encourage NIMS adoption by associations, utilities, nongovernmental organizations (NGOs) and private sector emergency management and incident response organizations.
1.1 Has the State/Territory formally adopted, for Fiscal Year (FY) 2008, the National Incident Management System as its all-hazards incident management system?

Yes
No
What legal authority was used to formally adopt NIMS: [Check all that apply.]
$\square$ Executive Order
$\square$ Proclamation
$\square$ Resolution
$\square$ Other legal authority $\square$

Has the Stateterritory formally adopted NIMS ? as the all-hazards, incident management system for implementation by all Stateterritory-level departments andor agencies?

Yes圂
O No?
If "Yes," what legal authority was used to adopt NIMS: [Check all that apply.]
$\square$ Executive Order
Proclamation
Resolution
Legislation
$\square$ other legal authority, explain?
$\qquad$

If "No," which of the following impedes ? adoption: [Check all that apply.]
Policy
$\square$ Personnel
$\square$ Exercise
$\square$ Other impediments, explain?

Notes:
$\square$

Question 1 from 2007 is now Question 1.1. 2008 adds question "When was NIMS formally adopted?" (boxed in 2008 Question 1.1 image) and removes the second part of 2007 metric Section 1 Question 1 that begins "If "No," which..."

2008 Section 1 Question 1.2

1.2 Does the formal adoption of NIMS expire?
Yes
No
When?
Is there is a process for renewing/maintaining the formal adoption of NIIMS?
Yes
No
If yes, how?

This is a new question and was not asked in 2007
2008 Section 1 Question 1.3


Search
Search FEMA
1.3 Does the State/Territory promote NIMS adoption by associations, utilities, private sector, and nongovernmental organizations? $\bigcirc$ Yes No

Notes: $\qquad$
/ $\mathbf{T}_{\text {_ }}$. Adobe ${ }^{*}$
2007 metric Section 1 Question 2

## Section 1 Metrics: State Adoption and Infrastructure <br> 2. Adoption Method

Compliance Requirements: Adopt NIMS at the StaterTerritorial level for all government departments and/or agencies; as well as promote and encourage NIMS adoption by associations, utilities, non-governmental organizations (NGOS) and private sector incident management and response organizations.

Monitor formal adoption of NIMS by all local and tribal jurisdictions.
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric.
For each of the following, indicate to which groups and with which methods the Stateterritory has promoted and encouraged? the adoption of NIMS: [Check all that apply.]

|  | Meetings (e.g... committees, conferences, working groups, etc.) | Mailings (e.g., newsletters, letters, etc.) | Email or other electronic means (e.g., websites) | Exercises (e.g., drills, tabletop, full-scale) | Other methods used to promote and encourage the adoption of NIMS |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Associations (e.g., professional organization or trade associations) | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |
| Critical Infrastructure? | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |
| Utilities (e.g., transportation, electric, water) | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |
| Private sector incident management and response? organizations | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |
| Non-governmental organizations (NGOs) | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |
| Stateterritorial departments and/or agencies | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |

Describe efforts made to promote and encourage NIMS adoption? ?: $\square$

Which of the following impedes? the promotion and encouragement of NIMS adoption: [Check all that apply.]
$\square$

Question 1.3 in the 2008 Metrics has greatly reduced the burden due to its simplification over the 2007 question. Questions 2,3, and 4 in the 2007 metrics are now handled by question 1.3 in the 2008 metrics.

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Section 1 Metrics: NIMS Adoption
1.2 Communicate, Monitor and Implement all NIMS requirements across the State/Territory

Compliance Objective 2: Establish and maintain a planning process to communicate, monitor and implement all NIMS requirements across the State/Territory/ Tribal Nation (including within Departments/Agencies), including local governments. This process must provide a means for measuring progress and facilitate reporting.
2.1 Has the State/Territory developed a planning process to communicate, monitor, and implement all NIMS compliance objectives to

State/Territorial Departments and Agencies?
Yes
No

| Does that planning process: |  |  |
| :--- | :---: | :---: |
|  | Yes | No |
| Communicate required NIMS activities | $\bigcirc$ | $\bigcirc$ |
| Monitor required NIMS activities | $\bigcirc$ | $\bigcirc$ |
| Implement required NIMS activities | $\bigcirc$ | $\bigcirc$ |
| Measure progress | $\bigcirc$ | $\bigcirc$ |
| Facilitate reporting | $\bigcirc$ | $\bigcirc$ |

Local Governments?
Yes
No

| Does that planning process: | Yes | No |
| :--- | :---: | ---: |
|  | $\|l\|$ <br> Communicate required NIMS activities | $\bigcirc$ |
| Monitor required NIMS activities |  | $\bigcirc$ |
| Implement required NIMS activities |  | $\bigcirc$ |
| Measure progress | $\bigcirc$ | $\bigcirc$ |
| Facilitate reporting | $\bigcirc$ | $\bigcirc$ |

Associations, utilities, private sector, and nongovernmental organizations?
Yes
No

|  | Yes | No | N/A |
| :---: | :---: | :---: | :---: |
| Communicate required NIMS activities | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Monitor required NIMS activities | $\bigcirc$ | $\bigcirc$ | C |
| Implement required NIMS activities | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Measure progress | $\bigcirc$ | ) | C |
| Facilitate reporting | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |

Notes: $\qquad$ Save \& Continue >> Skip $\gg$ Cancel

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Question 2.1 in Section 1 of the 2008 metrics has a slightly different design, and does allow for information on associations, utilities, private sector and non-governmental organizations.

## 2008 Section 1 Questions 3.1 and 3.2

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## Section 1 Metrics: NIMS Adoption

### 1.3 Point of Contact

Compliance Objective 3: Designate and maintain a single point of contact within government to serve as principle coordinator for NIMS implementation jurisdiction-wide (to include principal coordinator for NIMS implementation within each Department/Agency.
3.1 Has State/Territory designated a single point of contact within the State/Territorial government with the authority to serve as the principal coordinator for overall statewide/ territory-wide NIMS implementation?

Yes
No
Identify the individual. (Include: Name, Title, Email Address, Phone Number, and Mailing Address)
dentify the date of designation $\qquad$圆 [mm/dd/yyyy]
3.2 Have the appropriate State/Territory Departments/Agencies or those with emergency management and incident response responsibilities designated a single point of contact within each of the Departments/Agencies with the authority to serve as the principal coordinator for NIMS implementation?
Yes
No

Total number of State/Territorial Departments/Agencies? $\qquad$

Total Number of State/Territorial Departments/Agencies that have designated a single point of contact? $\qquad$

Notes: $\qquad$

Section 1 Question 62007

## Section 1 Metrics: State Adoption and Infrastructure

## 6. State/Territory NIMS Point of Contact

Compliance Requirements: Designate a single point of contact within the StaterTerritorial government to serve as the principal coordinator for NIMS implementation statewide.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric.
Has the Stateiterritory designated a single point of contact within the Stateiterritorial government with the authority to serve as the principal coordinator? for overall statewide NIMS implementation??

## Yes

No

| If " V es," who has been designated? |  |
| :--- | :--- |
| Name of Designee |  |
| Title of Designee | $\square$ |
| Email Address of Designee |  |
| Phone Number of Designee |  |
| Street | $\square$ |
| Street Continued | $\square$ |
| City | $\square$ |
| State Abbreviation (e.g. KM | $\square$ |
| Zipcode | $\square$ |
| Date of Appointment |  |



Also if "No," which of the following impedes? designating a single point of contact for NIMS implementation: [Check all that apply.]
$\square$ Plair
$\square$ PersonnelFundingTrainingEducationOther impediments, explain?

Notes:
$\square$

## Section 1 Metrics: State Adoption and Infrastructure

## 10. Departments/Agencies NIMS Point of Contact

Compliance Requirements: For departments and/or agencies identified within the State/Territorial NIMS implementation plan, designate a single point of contact to seve as principal coordinator for NIMS implementation. (New for FY07)

Has the State/territory required departments and/or agencies identified within the Statelterritorial NIMS implementation? plan to designate a single point of contact as principal coordinator? for NIMS implementation?

Yes
O No
If "Yes," indicate in the table below the number of principal coordinators for NIMS implementation:

| Number of NIMS principal coordinators | Total number of departments andior agencies |
| :---: | :---: |
| $\square$ | $\square$ |

If "No," which of the following impedes? designating a single point of contact for NIMS implementation: [Check all that apply.]
$\square$ Policy
$\square$ Training
$\square$ Education
$\square$ Other impediments, explain??

Notes:


Questions 3.1 and 3.2 of the 2008 metrics have separated the entry information collected in Questions 6 and 10 of the 2007 metrics.

## 2008 Section 1 Question 4.1

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## Section 1 Metrics: NIMS Adoption

1.4 Implementation Strategy

Compliance Objective 4: Ensure that Federal Preparedness Awards [to include, but not limited to, DHSHomeland Security Grant Program and Urban Area Security Initiative Funds] to State/Territorial Departments/Agencies, as well as local governments, support all required NIMS compliance requirements.
4.1 Does the State/Territory ensure that Federal Preparedness Awards [including DHS Homeland Security Grant Program and Urban Area Security Initiative (UASI) Funds] support NIMS compliance?

Yes
No
0 NA

Notes: $\qquad$

## 2007 Section 1 Question 7

Section 1 Metrics: State Adoption and Infrastructure 7. Funding Linked to FY07 NIMS Implementation Requirements

Cempliance Requir embents: To the exdent permissible by law, ensure that Federal preparedness funding to stateferriforial departments andion agencies and tribablocal jurisdictions is linsod to the satisfactory progress in meeting the requirements related to FY06 Nims implementation requirements.

Tier One Requir empent: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric.
 ofleer Feder al psepar edness funding to the following:

| State territoriat agenciess |
| :--- |
|  Yes(3)   <br> oers Funding 0   <br> Other Federal Preparegness <br> Funding 0   |

 apply.)
$\square$ Plans
$\square$ Policy
$\square$ Personnel
$\square$ Finding
$\square$ Training
$\square$ Exercise
$\square$ Education
$\square$ Other impediments. explain

 apply. 1
$\square$ Plims
$\square$ Policy
$\square$ Persomnel
$\square$ Furding
$\square$ Training
$\square$ Exercise
$\square$ Education
$\square$ Other impediments, explatir?:

|  | 人日81 | Nowlel explain | NIA |
| :---: | :---: | :---: | :---: |
| DHS Funging | 0 | $\bigcirc$ | 0 |
|  |  |  |  |
| Other Federal Preparedness Funding | $\bigcirc$ | 0 | 0 |
|  |  |  |  |

Which of the following impedes国 mondoring compliance with FYo6 rams implementation requirements Tribal Junisdictions：fCheck all that applys
$\square$ Plans
$\square$ Policy
$\square$ Personnel
$\square$ runding
$\square$ Training
$\square$ Exertise
$\square$ Educason
$\square$ Other impediments，explain $[$ ？$]$
Notes ：

Question 4.1 of the 2008 metrics has reduced the burden of Question 7 of the 2007 metrics．

2008 Section 1 Question 5.1
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Section 1 Metrics：NIMS Adoption
1．5 Ensure Federal Preparedness Funding support NIMS

Compliance Objective 5：Routinely include NIMS compliance objectivesin all audits，conducted by State／Territorial audit agencies and review organizations，associated with Federal Preparedness Awards．

5．1 Do State／Territory audit agencies and review organizations include required NIMS compliance activities in all audits associated with Federal Preparedness Awards？
$\bigcirc$ Yes
No

Notes：


## 2007 Section 1 Question 8

## FEMA The NIMS Integration Center

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Section 1 Metrics: State Adoption and Infrastructure
8. NIMS Compliance Review as a Part of Grant Related Audits

Compliance Requirements: To the extent permissible by statelterritorial law and regulations, audit agencies and review organizations should routinely include NIMS implementation requirements in all audits associated with Federal preparedness grant funds. This process will validate the self-certification process for NIMS compliance.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric
Does the stateterritory review stateterritorial departments and/or agencies NIMS compliance as a part stateterritorial audits of


Which of the following impedes? the stateferritorial review of NIMS compliance for stateterritorial departments and/or agencies: [Check all that apply.] that
$\square$ Plans
$\square$ Policy
$\square$ Personnel
$\square$ Funding
$\square$ Training
$\square$ Exercise
$\square$ Education

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|  | Yes? ${ }^{\text {a }}$, explain: | No? ${ }^{\text {? }}$, explain: |
| :---: | :---: | :---: |
|  | $\bigcirc$ | $\bigcirc$ |
|  | $\bigcirc$ | $\bigcirc$ |



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Question 5.1 in Section 1 of the 2008 metrics has reduced the burden of Question 8 in Section 1 in the 2007 metrics.

2008 Section 1 Questions 6.1 and 6.2

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Section 1 Metrics: NIMS Adoption
1.6 Assist Tribal Nations with NIMS implementation as appropriate

Compliance Objective 6: Assist Tribal Nations with formal adoption and implementation of NIMS.
6.1 Does the State/Territory assist Tribal Nation(s) with NIMS adoption?

Yes
No
ON/A
6.2 Does the State/Territory assist Tribal Nation(s) with NIMS implementation?

Yes
No
N/A

Notes:


2007 Section 1 Question 9

## Section 1 Metrics: State Adoption and Infrastructure

9. Monitor and Assess Outreach and Implementation of NIMS

Compliance Requirements: Monitor and assess outreach and implementation of NIMS requirements across the State/Territory, including localitribal jurisdictions. (New for FY07)

Has the Statelterritory monitored and assessed outreach and implementation of NIMS requirements for the following:

|  | Sta:e/Territory | Local | Tribal |
| :---: | :---: | :---: | :---: |
| Conduct a baseline assessment for the implementation of NIMS requirements | Yes? No? | Yes? No? | Yes? No? NiA |
| Develop a strategy? for full NIMS implementation? and monitoring | Yes? <br> Ono? | Yes? No? | Yes? No? NiA |



Questions 6.1 and 6.2 in Section 1 of the 2008 metrics have simplified Question 9 of Section 1 in the 2007 metrics.

## 2008 Section 2 Questions 7.1 and 7.2

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Section 2 Metrics: Preparedness: Planning
2.1 Revise and update plans

Compliance Objective 7: Revise and update State/Territorial emergency operations plans (EOPs), standard operating procedures (SOPs), and standard operating guidelines (SOGs) to incorporate NIMS and National Response Framework (NRF) components, principles and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.
7.1 Does State/Territory review and revise the following plans to incorporate NIMS components, principles, and policies?

|  | Yes | No |
| :--- | :---: | :---: |
| EOPs | $\bigcirc$ | $\bigcirc$ |
| SOPs | $\bigcirc$ | $\bigcirc$ |
| SOGs | $\bigcirc$ | $\bigcirc$ |

7.2 Does State/Territory review and revise the following plans to incorporate NRF components, principles, and concepts?

|  | Yes | No |
| :--- | :---: | :---: |
| EOPs | $\bigcirc$ |  |
| SOPs | $\bigcirc$ |  |
| SOGs | $\bigcirc$ |  |

Notes:

## 2007 Section 3 Questions 3, 4 and 5

## Section 3 Metrics: Preparedness Planning

3. Quantify State/Territory Department/Agencies that have Incorporated NIMS with Emergency Management or Response Functions
Compliance Requirements: Revise and update plans and SOPs to incorporate NIMS and National Response Plan (NRP) components, principles, and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric.
Indicate in the table below the number of Stateterritorial departments and/or agencies with an emergency management or emergency response function that have incorporated NIMS into the following activities:

|  | Number of departments and/or agencies that have incorporated <br> NIMS | Total number of departments and/or <br> agencies |
| :--- | :---: | :---: |
| Planning | $\square$ |  |
| Training Programs | $\square$ | $\square$ |
| Response? Activities | $\square$ | $\square$ |
| Exercise Program? | $\square$ | $\square$ |
| Equipment Acquisition <br> $?$ | $\square$ | $\square$ |
| Evaluations? | $\square$ | $\square$ |
| Corrective Actions? | $\square$ | $\square$ |

Notes:
$\square$

## Section 3 Metrics: Preparedness Planning

## 4. Extent of NIMS Concepts/Principles Incorporation

Compliance Requirements: Revise and update plans and SOPs to incorporate NIMS and National Response Plan (NRP) components, principles, and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.

To what extent have the following NIMS concepts and principles been incorporated into incident management policies and SOPs? fisOGs:

|  | Not Incorporated | Partially Incorporated | Fully Incorporated |
| :--- | :---: | :---: | :---: |
| Flexibility? | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Scalability? | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Standardization?? | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Interoperability \& Compatibility?? | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |

Notes


Section 3 Metrics: Preparedness Planning
5. Integration of Plans with Federal Response as Described in NRP

Compliance Requirements: Revise and update plans and SOPs to incorporate NIMS and National Response Plan (NRP) components, principles, and policies, to include planring, training, response, exercises, equipment, evaluation, and corrective actions.
During an incident, can the following plans be integrated with the Federal response as described in the NRP??:


Notes:
$\square$

Section 2 of the 2008 metrics matches Section 3 of the 2007 metrics. 2008 metrics Questions 7.1 and 7.2 update 2007 metrics Questions 3, 4 and 5.

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## Section 2 Metrics: Preparedness: Planning

### 2.2 Promote and develop intrastate and interstate mutual aid agreements

Compliance Objective 8: Promote and/or develop intrastate and interagency mutual aid agreements and assistance agreements (to include agreements with the private sector and NGOs).
8.1 Does State/Territory promote and/or develop intrastate mutual aid agreements and assistance agreements throughout the State/Territory?

Yes
No
Do these include agreements with the private sector?
Yes
No

Do these include agreements with NGOs?
Yes
No
8.2 Does the State/Territory promote and/or develop interagency mutual aid agreements and assistance agreements throughout the State/Territory?

Yes
No
Do these include agreements with the private sector?
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Hacen wisisw
2007 Section 3 Questions 6, 7 and 8

## Section 3 Metrics: Preparedness Planning

## 6. Promotion of Intrastate and interagency Mutual Aid Agreements

Compliance Requirements: Promote intrastate and interagency mutual aid agreements (to include agreements with the private sector and non-governmental organizations).

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric.
What actions have been taken by the Stateterritory to promote intrastate? and interagency? mutual aid agreements? [Check all that apply.]
$\square$ Developed working groups and/or committeesSigned Memorandum of Understanding/Memorandum of Agreement
Engaged in regular correspondence via phone/emailDeveloped mutual aid templates
Communicated the benefits of mutual aid to stateterritorial departments and/or agenciesCommunicated the benefits of mutual aid to local jurisdictionsCommunicated the benefits of mutual aid to tribal jurisdictionsOther actions taken by the stateterritory to promote Intrastate and interagency mutual aid agreements, explain? ?:

No actions have been taken, explain:


Which of the following impedes? promoting intrastate and interagency mutual aid agreements: [Check all that apply.]PlansPolicyTrainingOrganizationPersonnelFundingOther impediments, explain?

Notes.
$\square$

## Section 3 Metrics: Preparedness Planning

## 7. Promotion of Mutual Aid Agreements with Private Sector and NGOs

Compliance Requirements: Promote intrastate and interagency mutual aid agreements (to include agreements with the private sector and non-governmental organizations).

What actions have been taken by the Statelterritory to promote mutual aid agreements? with private sector and non-governmental organizations: [Check all that apply.]
$\square$ Developed working groups andior committeesSigned Memorandum of Understanding/Memorandum of AgreementEngaged in regular correspondence via phonelemailDeveloped mutual aid templates
$\square$ Other actions taken by the statelterritory to promote mutual aid agreements with private sector and non-governmental organizations, explain?: <br> No actions have been taken, explain??}


## Section 3 Metrics: Preparedness Planning

## 8. Types of Mutual Aid Agreements Trained and/or Exercised

Compliance Requirements: Promote intrastate and interagency mutual aid agreements (to include agreements with the private sector and non-governmental organizations).
Indicate the types of mutual aid agreements that the Stateiterritory has trained andior exercised:

|  | Interstate? | Intrastate? | Interagency? |
| :--- | :---: | :---: | :---: |
| Training | $\square$ | $\square$ | $\square$ |
| Exercises? | $\square$ | $\square$ | $\square$ |

Notes: $\square$

Section 2 of the 2008 metrics matches Section 3 of the 2007 Metrics. 2008 metrics Questions 8.1 and 8.2 update 2007 metrics Questions 6, 7 and 8.

Also, 2007 metrics Questions 1 and 2 are no longer required in 2008 and have been eliminated.

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## Section 3 Metrics: Preparedness: Training

### 3.1 Utilize existing resources to coordinate and deliver NIMS training

Compliance Objective 9: Use existing State/Territorial resources such as programs, personnel and training facilities to coordinate and deliver NIMS training.
9.1 Does the State/Territory use the following resources for NIMS training?

|  | Yes | No |
| :--- | :---: | :---: |
| Programs | $\bigcirc$ | $\bigcirc$ |
| Personnel | $\bigcirc$ | $\bigcirc$ |
| Facilities | $\bigcirc$ | $\bigcirc$ |

Notes:

This question is new to the collection; it was not part of the 2007 collection.
2008 Section 3 Questions 10.1, 11.1, 12.1, 13.1, 14.1 and what is labeled as 3.7 ICS-400 Advanced ICS

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| Manage Snapshots |
| Submit for Rollup |
| Reports |
| Rollup Reports |
| Review Rollup CAPs |
| Manage Sub-Accounts |
| Manage Permissions |
| User Search |
| Account Search |
| User Activity Log Search |
| Email Users |
| Edit this Account |
| Edit User Info |
| Feedback |
| Log Out |


| Resources |
| :---: |
| User's Guide [pof] |
| Acronyms |
| Glossary |
| Announcements |
| Frequently Asked |
| Questions |
| Metrics / Legacy |
| Assessment [pdf] |
| NIMS |
| NIMS Fact Sheet |
| NIMS Document [pdf] |
| NATIONAL INCIDENT MANAGEMENT SYSTEM - |

Section 3 Metrics: Preparedness: Training

### 3.2 Complete IS-700 NIMS: An Introduction

Compliance Objective 10: Ensure that IS-700 NIMS: An Introduction training is completed by appropriate State/Territorial personnel (as identified in the Five-Year NIMS Training Plan, February 2008).
10.1 Have the appropriate personnel, as identified in the Five-Year NIMS Training Plan, February 2008, completed IS-700?

Yes
No

Number of appropriate personnel who are required to complete IS-700: $\qquad$

Number of appropriate personnel who have completed IS-700: $\square$

The number of appropriate personnel who have completed IS-700 for the following categories:

|  | Number of Personnel |
| :--- | :---: |
| Entry-level first responders | $\square$ |
| First line supervisors | $\square$ |
| Emergency Management and response personnel in middle management | $\square$ |
| Emergency Management and response personnel in command and general staff | $\square$ |

10.2 Does the State/Territory document IS-700 training status of personnel from:

|  | Yes | No | N/A |
| :--- | :---: | :---: | :---: |
| State/Territory Departments/Agencies | $\bigcirc$ | $\bigcirc$ |  |
| Local Governments | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Tribal Nations | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Other emergency response organizations | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |

Notes: $\qquad$




Section 3 Metrics: Preparedness: Training

### 3.5 Complete ICS-200 ICS for Single Resources and Initial Action Incidents

Compliance Objective 13: Ensure that ICS-200: ICS for Single Resources and Initial Action Incidents training is completed by appropriate State/Territorial personnel (as identified in the Five-Year NIMS Training Plan, February 2008).
13.1 Have the appropriate personnel, as identified in the Five-Year NIMS Training Plan, February 2008, completed ICS-200?

Yes
No

Number of appropriate personnel who are required to complete ICS-200: $\qquad$

Number of appropriate personnel who have completed ICS-200:
The number of appropriate personnel who have completed ICS-200 for the following categories:

|  | Number of Personnel |
| :--- | :---: |
| Entry-level first responders | $\square$ |
| First line supervisors | $\square$ |
| Emergency Management and response personnel in middle management | $\square$ |
| Emergency Management and response personnel in command and general staff | $\square$ |
| Personnel as ICS trainers | $\square$ |

13.2 Does the State/Territory document ICS-200 training status of personnel from:

|  | Yes | No | N/A |
| :--- | :---: | :---: | :---: |
| State/Territory Departments/Agencies | $\bigcirc$ | $\bigcirc$ |  |
| Local Governments | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Tribal Nations | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Other emergency response organizations | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |

Notes:
S:



## 2007 Section 4 Question 5

## Section 4 Metrics: Preparedness Training

## 5. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training.
Complete ICS 300 and ICS 400 Training. (New for FY07)
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.
In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]

|  | Entry-level first responders | First line supervisors | Emergency management and response personnel in middle management | Emergency management and response personnel in command and general staff | Personnel trained as trainers |
| :---: | :---: | :---: | :---: | :---: | :---: |
| IS-700 ${ }^{\text {3 }}$ |  |  |  |  |  |
| IS-800圂 |  |  |  |  |  |
| $\begin{array}{\|l} \hline \text { ICS-100 } \\ \text { ? } \\ \hline \end{array}$ | $\square$ | $7$ |  |  |  |
| ICS-200 | $\square$ | $\bar{\square}$ |  |  |  |
| $\begin{array}{\|l\|} \hline \text { ICS-300 } \\ \hline ? \\ \hline \end{array}$ |  |  |  |  |  |
| $\begin{aligned} & \hline \text { ICS-400 } \\ & \text { ? } \end{aligned}$ |  |  | $\square$ | $\square$ | - |

Notes:
$\qquad$

2008 metrics Section 3 Questions 11.1through question labeled as 3.7 IC-400 Advance ICS make it easier to respond to the parallel inquiries in 2007 metrics Section 4 Question 5. 2007 metrics Section 4 Questions 1, 2, 3 and 4 are no longer required.

2007 Section 4 Questions 1, 2, 3 and 4 are no longer part of the collection and have been removed from the 2008 metrics.


2007 Section 5 Question 1


2008 metric Section 4 Questions 15.1 and 15.2 have added detail to the 2007 metric Section 5 Question 1 query.

2008 Section 4 Questions 16.1, 16.2 and 16.3

| About NIMSCAST |
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| Manage Snapshots |
| Submit for Rollup |
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| Reports |
| Rollup Reports |
| Review Rollup CAPs |
| Manage Sub-Accounts |
| Manage Permissions |
| User Search |
| Account Search |
| User Activity Log Search |
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| Edit this Account |
| Edit User Info |
| Feedback |
| Log Out |

## Section 4 Metrics: Preparedness: Exercises

### 4.2 Plan and/or Participate in all-hazards exercise program

Compliance Objective 16: Plan for and/or participate in an all-hazards exercise program [for example, Homeland Security Exercise and Evaluation Program] that involves emergency managementresponse personnel from multiple disciplines and/or multiple jurisdictions.
16.1 Does the State/Territory plan for an all-hazards exercise program? Yes
No
If yes, is the all-hazards exercise program multidisciplinary?
Yes
No

If yes, is the all-hazards exercise program multi-jurisdictional?
Yes
No
16.2 Does the State/Territory participate in an all-hazards exercise program in FY 2008?

Yes
No
Is the all-hazards exercise program multidisciplinary?
Yes
No

Is the all-hazards exercise program multi-jurisdictional?
Yes
No

Which of the following NIMS components are evaluated through program exercises: (Check all that apply.)
$\square$ Preparedness
$\square$ Communication and Information Management
$\square$ Resource Management
$\square$ Command and Management
$\square$ Mutual Aid and Assistance
$\square$ Interoperability
$\square$ Participation of NGOs and Private Sector
16.3 In FY 2008, will the State/Territory participate in all-hazards:

|  | Yes | No | N/A (not this year) |
| :--- | :---: | :---: | :---: |
| Drills | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Table-top Exercises | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Functional Exercises | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Full-scale Exercises | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |

Notes:
$\square$

## Section 5 Metrics: Preparedness Exercises

2. Quantity of Exercises that Evaluate NIMS Implementation

Compliance Requirements: Participate in an all-hazard exercise proqram based on NIMS that involves responders from multiple disciplines and multiple jurisdictions.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric
Indicate the number of exercises of each type (out of the total number of exercises) that evaluate Nims implementation? during the exercise:

|  | \# of exercises /total \# of exercises |
| :--- | :---: |
| Drills | $\square$ |
| Tabletop Exercises (TTX) | $\square$ |
| Functional Exercises (FE) | $\square$ |
| Full-Scale Exercises (FSE) | $\square$ |
| Other types of exercises in which NIMS has been implemented | $\square$ |

If other types of exercises specified, explain??
$\square$

Which of the following NIMS components are evaluated through the exercises: [Check all that apply.]PreparednessCommunication and Information ManagementResource ManagementCommand and ManagementMutual AidInteroperabilityParticipation of non-governmental organizations (NGOs) and private industryOther NIMS-related components

How have each of these exercises been evaluated for effectiveness of NIMS implementation? Explain?


Which of the following impedes? evaluating NIMS implementation during exercises: [Check all that apply.]PlansPersonnelFundingEducationTrainingOther impediments, explain??

Notes:
$\qquad$


Explain? how these exercises evaluate the effectiveness of NIMS implementation?
$\square$

What number of exercises used HSEEP ? methodology? (Out of total number of exercises) $\qquad$ $1 \square$

If Stateterritory does not participate in multi-jurisdictional and/or multi-disciplinary, all-hazards exercises, explain??:
$\square$
2008 metrics Section 4 Questions 16.1 and 16.2 simplify queries from 2007 metrics Section 5 Questions 2, 3 and 4.

| About NIMSCAST |
| :--- |
| Change Password |
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| Assessment |
| Manage CAPs |
| Manage Snapshots |
| Submit for Rollup |
|  |
| Reports |
| Rollup Reports |
| Review Rollup CAPs |
| Manage Sub-Accounts |
| Manage Permissions |
| User Search |
| Account Search |
| User Activity Log Search |

## Section 4 Metrics: Preparedness: Exercises

### 4.3 Incorporate corrective actions into preparedness and response plans and procedures

Compliance Objective 17: Incorporate corrective actions into State/Territorial preparedness and response plans and procedures.
17.1 After conducting and/or participating in an all-hazards exercise program, does State/Territory incorporate corrective actions into preparedness and response plans and procedures?

Yes
No

Notes:


## 2007 Section 5 Questions 5, 6, 7, 8 and 9

## Section 5 Metrics: Preparedness Exercises

## 5. Quantity of Exercises Requiring Activation of NRP in FY06

Compliance Requirements: Participate in an all-hazard exercise program based on NIMS that involves responders from multiple disciplines and multiple jurisdictions.

During FY06, how many State/territorial exercise scenarios required the activation of the (National Response Plan (NRP)? ? ? (Out of a total number of exercise scenarios) $\qquad$ i

Notes:
$\square$
Section 5 Metrics: Preparedness Exercises
6. FY07 Exercises Incorporating Activation of NRP

Compliance Requirements: Participate in an all-hazard exercise program based on NIMS that involves responders from multiple disciplines and multiple jurisdictions.
Are exercises being planned $n$ FY07 that are expected to require the activation of the National Response Plan??
Yes
No

Notes:
$\square$

## Section 5 Metrics: Preparedness Exercises

## 7. After Action Reports and/or Lessons Learned

Compliance Requirements: Incorporate corrective actions into preparedness and response plans and procedures.
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric.
Do State/territorial departments and/or agencies develop after action reports? and/or lessons learned? following exercises??
Yes?
No ? explain:

Which of the following impedes? developing after action reports and/or lessons learned: [Check all that apply.]
$\square$ Plans
$\square$ Policy
Personnel
Funding
Education
Training
Other impediments, explain?:

Notes:


## Section 5 Metrics: Preparedness Exercises

8. Incorporation of Corrective Action Plans, After Action Reports, and/or Lessons Learned

Compliance Requirements: Incorporate corrective actions into preparedness and response plans and procedures.
Tier One Requirement: To be considered NIMS compliant, iurisdictions must affirm their compliance with the specified requirement/metric.
State/territorial departments and/or agencies incorporate corrective action? plans, after action reports? and/or lessons learned? into which of the following: [Check all that apply.]
$\square$ Preparedness plansResponse plansResponse proceduresRecovery plans and proceduresNone, explain?

Which of the following impedes? incorporating corrective action plans, after action reports, and/or lessons learned: [Check all that apply.]

## $\square$ Plans

PolicyPersonnelFundingEducationTrainingOther impediments, explain?Notes:
$\square$

## Section 5 Metrics: Preparedness Exercises

## 9. Maintenance of Correction Action Program

Compliance Requirements: Incorporate corrective actions into preparedness and response plans and procedures.
Does the Statelteritory utilize improvement plans? and after action reports? to maintain a Corrective Action Program[??
$\bigcirc$ Yes
No, explain:
$\square$

Notes:
$\square$

2008 metrics Section 4 Question 17.1 consolidates queries in 2007 metrics Section 5 Questions 5, 6, 7, 8 and 9.

## 2008 Section 5 Question 18.1

| About NIMSCAST |
| :--- |
| Change Password |
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| Assessment |
| Manage CAPs |
| Manage Snapshots |
| Submit for Rollup |
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| Reports |
| Rollup Reports |
| Review Rollup CAPs |
| Manage Sub-Accounts |
| Manage Permissions |
| User Search |
| Account Search |
| User Activity Log Search |
| Email Users |

Section 5 Metrics: Communications and Information Management
5.1 Apply Common and Consistent Terminology

Compliance Objective 18: Apply common and consistent terminology as used in NIMS, including the establishment of plain language (clear text) communications standards
18.1 Does the State/Territory apply the following communication standards:

|  | Yes | No |
| :--- | :---: | :---: |
| Plain Language (Clear Text) | $\bigcirc$ | $\bigcirc$ |
| Common and Consistent Terminology | $\bigcirc$ | $\bigcirc$ |

## 2007 Section 7 Question 1



## 2007 Section 7 Question 2

Section 7 Metrics: Communication and Information Management

## 2. Methods to Ensure Consistent and Accurate Information During Incident

Compliance Requirements: Develop systems, tools, and processes to present consistent and accurate information to incident managers at all levels (e.g., common operating picture). (New for FY07)

Which of the following has the Stateiterritory developed to present consistent and accurate information during an incident:

|  | Systems $[$ ? | Tools $?$ | Processes ? |
| :--- | :---: | :---: | :---: |
| Communications | $\square$ | $\square$ | $\square$ |
| Intelligence | $\square$ | $\square$ | $\square$ |
| Information Management | $\square$ | $\square$ | $\square$ |
| Information Sharing | $\square$ | $\square$ | $\square$ |
| Resource Status | $\square$ | $\square$ | $\square$ |

Notes: $\qquad$

2008 metric Section 5 Question 19.1 simplifies 2007 metric Section 7 Question 2.

## 2008 Section 6 Question 20.1

```
About NIMSCAST
Change Password
Assessment
Manage CAPs
Manage Snapshots
Submit for Rollup
Reports
Rollup Reports
Review Rollup CAPs
```

Section 6 Metrics: Resource Management
6.1 Inventory response assets to conform to NIMS National Resource Typing Definitions
Compliance Objective 20: Inventory State/Territorial response assets to conform to NIMS National Resource Typing Definitions, as
defined by FEMA.s Incident Management Systems Integration Division.
20.1 Has the State/Territory inventoried its emergency management/incident response assets to conform to NIMS National Resource Typing Definitions?

Yes
No

## 2007 Section 6 Question 1

## Section 6 Metrics: Resource Management

1. Inventory of Response Assets

Compliance Requirements: Inventory State/Territory response assets to conform to homeland security resource typing standards.
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric.
Has the State/territory inventoried its response resources??
Yes?
No?
If "No," which of the following impedes? inventorying response resour ces: [Check all that apply.]
Plans
Policy
Personnel
$\square$ Equipment
Training
$\square$ Funding
$\square$ Education
$\square$ Other impediments, explain?:
$\square$

Notes:
$\qquad$

2008 metric Section 6 Question 20.1 simplifies 2007 metric Section 6 Question 1.
2008 Section 6 Question 20.2

```
Manage Sub-Accounts
Manage Permissions
User Search
Account Search
User Activity Log Search
```

20.2 Has the State/Territory typed its response assets to conform to NIMS National Resource Typing Definitions? Yes
No

## 2007 Section 6 Question 3

## Section 6 Metrics: Resource Management

3. Resource Typing for Response Assets

Compliance Requirements: Inventory State/Territory response assets to conform to homeland security resource typing standards.
Does the Statelterritory use resource typing? for response assets?
$\bigcirc$ Yes?
O No ?
If "Yes," has the Statelterritory typed its response assets? to conform to DHS standards, es identified by the NIC? Yes?
No?, explain:


Notes:
$\qquad$

2008 metric Section 6 Question 20.2 simplifies 2007 metric Section 6 Question 3.

## 2008 Section 6 Question 20.3

| Email Users | 20.3 Does the State/Territory have a process to determine availability of emergency management/incidents response assets in accordance with .Tier One. NIMS National Resource Typing Definitions? |
| :---: | :---: |
| Edit this Account | $\bigcirc$ Yes |
| Edit User Info Feedback | No |
| Log Out | Notes: |
| Resources |  |
| User's Guide [pof] Acronyms <br> Glossary |  |

## 2007 Section 6 Question 2

## Section 6 Metrics: Resource Management

2. Response Asset Inventory Developed

2008 metric Section 6 Question 20.3 simplifies 2007 metric Section 6 Question 2.

## 2008 Section 6 Question 21.1

| About NIMSCAST |
| :--- |
| Change Password |
| Assessment |
| Manage CAPs |
| Manage Snapshots |
| Submit for Rollup |
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| Reports |
| Rollup Reports |
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| Manage Sub-Accounts |
| Manage Permissions |
| User Search |
| Account Search |
| User Activity Log Search |
| Email Users |
| Edit this Account |
| Edit User Info |

## Section 6 Metrics: Resource Management

### 6.2 Utilize response asset inventory

Compliance Objective 21: Utilize State/Territorial response asset inventory for intrastate and interstate mutual aid requests [such as Emergency Management Assistance Compact (EMAC)], training, exercises, and incidents/planned events.
21.1 Does the State/Territory use the response asset inventory for:

|  | Yes | No |
| :--- | :---: | :---: |
| All-Hazards Exercise Program | $\bigcirc$ | $\bigcirc$ |
| Interstate Mutual Aid and Assistance | $\bigcirc$ | $\bigcirc$ |
| Training | $\bigcirc$ | $\bigcirc$ |
| Incidents/Planned Events | $\bigcirc$ | $\bigcirc$ |

Notes:


2007 Section 6 Question 8

## Section 6 Metrics: Resource Management

## 8. Utilization of Response Asset Inventory

Compliance Requirements: Utilize the statefterritory response asset inventory for Intra and Inter-State Mutual Aid (such as Emergency Management Assistance Compact (EMAC)) requests, exercises, and actual events. (New for FY07)

Does the Statelterritory utilize the response asset inventory? for:

|  | Yes |  | No, explain: |
| :---: | :---: | :---: | :---: |
| Mutual Aid | $\bigcirc$ |  | $\bigcirc$ |
|  |  |  |  |
| Exercises? | $\bigcirc$ | $\bigcirc$ |  |
| Actual Events? | $\bigcirc$ | $\bigcirc$ |  |

Notes:


2008 metric Section 6 Question 21.1 simplifies 2007 metric Section 6 Question 8.

## 2008 Section 6 Question 22.1

| Change Password <br> Assessment <br> Manage CAPs <br> Manage Snapshots <br> Submit for Rollup <br> Reports <br> Rollup Reports <br> Review Rollup CAPs <br> Manage Sub-Accounts <br> Manage Permissions | Ensure Interoperability |  |  |
| :---: | :---: | :---: | :---: |
|  | Compliance Objective 22: Ensure that equipment, communications and data systems acquired through State/Territorial and local acquisition programs are interoperable. |  |  |
|  | 22.1 State/Territory acquisition programs require the following to be interoperable throughout the State/Territory when purchased: |  |  |
|  |  | Yes | No |
|  | Equipment | $\bigcirc$ | $\bigcirc$ |
|  | Communications | $\bigcirc$ | $\bigcirc$ |
|  | Data Systems | $\bigcirc$ | $\bigcirc$ |

## 2007 Section 6 Question 5

## Section 6 Metrics: Resource Management

## 5. Acquisition Adoption of Interoperability Standards

Compliance Requirements: To the extent permissible by State/Territorial and localitribal law, ensure that relevant national standards and cuidance to achieve equipment, communication and data interoperability are incorporated into State/Territorial and ocalítribal acquisition Frograms.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the speciied requirementimetric.
Io what extent does the Stateiterritory require its acquisitions and pu

|  | Not Required | Partially Required | Fully Required |
| :--- | :---: | :---: | :---: |
| Equipment | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Communications | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| Data | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |

Which of the following impedes ? acquisitions and purchases from adopting interoperable standards: [Check all that apply.]
$\square$ Plans
$\square$ Policy
$\square$ Personnel
$\square$ Equipment
$\square$ Training
$\square$ Exercise
$\square$ Funding
$\square$ Education
$\square$ other impediments, explain ?:

Notes:
$\square$

## 2008 metric Section 6 Question 22.1 simplifies 2007 metric Section 6 Question 5.

## 2008 Section 6 Question 22.2

| User Search |
| :--- |
| Account Search |
| User Activity Log Search |
| Email Users |
| Edit this Account |
| Edit User Info |
| Feedback |
| Log Out |

22.2 If the State/Territory is providing funds for equipment, communications and data systems does it ensure local acquisition programs require the following to be interoperable when purchased:

|  | Yes | No |
| :--- | :---: | :---: |
| Equipment | $\bigcirc$ | $\bigcirc$ |
| Communications | $\bigcirc$ | $\bigcirc$ |
| Data Systems | $\bigcirc$ | $\bigcirc$ |

Notes:


## 2007 Section 6 Question 6

## Section 6 Metrics: Resource Management

## 6. Incorporation of Standard Equipment List and Other Federal Standards Data

Compliance Requirements: To the extent permissible by State/Territorial and localitribal law, ensure that relevant national standards and guidance to achieve equipment, communication and data interoperability are incorporated into StaterTerritorial and localitribal acquisition programs.

To what extent does the Stateiterritory's current acquisition program incorporate the Standard Equipment List (SEL)? and other Federal equipment standards data when purchasing interoperable equipment:

O Not Incorporated
O Partially Incorporated
Fully Incorporated

Notes: $\square$

## 2008 metric Section 6 Question 22.2 simplifies 2007 metric Section 6 Question 6.

## 2008 Section 6 Question 23.1

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| User Activity Log Search |
| Email Users |
| Edit this Account |
| Edit User Info |
| Feedback |
| Log Out |
| Resources |
| User's Guide [pof] |
| Acronyms |
| Glossary |
| Announcements |
| Frequently Asked |
| Questions |
| Metrics / Legacy |
| Assessment [pdf] |
| NimS |
| NIMS Fact Sheet |
| NIMS Document [pof] |

Section 6 Metrics: Resource Management
6.4 Initiate development of State/Territory-wide credentialing system

New in FY2008 Compliance Objective:23: Initiate development of a State/Territory-wide system to credential emergency management/response personnel to ensure proper authorization and access to an incident, including those involving mutual aid agreements and/or assistance agreements
23.1 Has the State/Territory initiated the development of a State/Territory-wide system to credential emergency management/response personnel?

Yes
No
If yes, what disciplines does the credential system encompass?
$\square$ Animal Health/Rescue
$\square$ Emergency Management
$\square$ EMS
$\square$ Fire
$\square$ Hazardous Materials
$\square$ Incident Management
$\square$ Law Enforcement/ Public Safety
Medical/Hospital
$\square$ Public Health
$\square$ Public Works
$\square$ Other
If yes, does the State/Territory credentialing conform to current NIMS national credentialing criteria and guidance? [Note: Credentialing should include the means for validating the identity, qualification, and deployment authorization that allows for the granting of access to an incident, including preparedness-related exercises and training activities.]

Yes
No

Notes: $\qquad$

This question is new for 2008
Questions removed from 2007 metrics Section 6 are Question 4 and Question 7.

## Section 7 Question 24.1

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| :--- |
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## Section 7 Metrics: Command and Management

### 7.1 Manage incidents/planned events is accordance with ICS

Compliance Objective 24: Manage all incidents/ planned events in accordance with Incident Command System (ICS) organizational structures, doctrine and procedures. ICS implementation must include the consistent application of Incident Action Planning (IAP) and common communications plans, as appropriate.
24.1 Does the State/Territory implement ICS for all-hazards emergency management and incident response/planned events? Yes, for all incidents/planned events.
No
24.2 Does ICS implementation include the following concepts?

|  | Yes | No |
| :--- | :---: | :---: |
| Common Terminology | $\bigcirc$ | $\bigcirc$ |
| Modular Organization | $\bigcirc$ | $\bigcirc$ |
| Management by Objectives | $\bigcirc$ | $\bigcirc$ |
| Incident Action Planning | $\bigcirc$ | $\bigcirc$ |
| Manageable Span of Control | $\bigcirc$ | $\bigcirc$ |
| Incident Facilities and Locations | $\bigcirc$ | $\bigcirc$ |
| Comprehensive Resource Management | $\bigcirc$ | $\bigcirc$ |
| Integrated Communications | $\bigcirc$ | $\bigcirc$ |
| Establishment and Transfer of Command | $\bigcirc$ | $\bigcirc$ |
| Chain of Command and Unity of Command | $\bigcirc$ | $\bigcirc$ |
| Unified Command | $\bigcirc$ | $\bigcirc$ |
| Accountability | $\bigcirc$ | $\bigcirc$ |
| Dispatch/Deployment | $\bigcirc$ | $\bigcirc$ |
| Information and Intelligence Management | $\bigcirc$ | $\bigcirc$ |

24.3 Does the State/Territory implement Incident Action Plans (IAPs) during incidents/planned events, as appropriate? Yes
No
24.4 Does the State/Territory implement common communication plans during incidents/planned events, as appropriate?

Yes
No

Notes:
$\qquad$

## Section 2 Metrics: Command and Management

## 1. Implementation of NIMS-Prescribed ICS for All-Hazards Incident Response

Compliance Requirements: Incident Command System (ICSi: Manage all emergency incidents and preplanned (recurringispecial) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirementimetric.
Does the Stateterritory implement NIMS-prescribed ICS? for all-hazards incident response??
Yes?
Ono?
If "No," which of the following impedes? implementation: [Check all that apply.]
$\square$ Plans
$\square$ Personnel
$\square$ Funding
$\square$ Education
$\square$ Training
Exercise
$\square$ Other impediments, explain?


Notes:


## Section 2 Metrics: Command and Management

## 2. Implementation of NIMS-Prescribed ICS for Preplanned Events

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.
Does the Statelterritory implement NIMS-prescribed ICS? for managing preplanned events?
O Yes?
Ono?
If "No," which of the following impedes? implementation: [Check all that apply.]
 PersonnelFunding
$\square$ Education
$\square$ TrainingExercise
] Other impediments, explain?
$\square$

Notes: $\square$

## Section 2 Metrics: Command and Management

3. Consistent Application of Incident Action Planning

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Does ICS? implementation include the consistent application of incident action planning[??
Yes?
O No?
f"No," which of the following impedes? the consistent application of incident action planning: [Check all that apply.]PlansPersonnel
Funding
$\square$ EducationTraining
Exercise
Other impediments, explain?


Notes:


## Section 2 Metrics: Command and Management

 4. Consistent Application of Common Communications PlansCompliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurringispecial) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Does ICS? implementation include the consistent application cf common communications plans??
O Yes?
Ono?
If "No," which of the following impedes? the consistent application of common communication plans: [Check all that apply.]Plans
Personnel
FundingEducation
Training
ExerciseOther impediments, explain? $\qquad$

Notes
$\square$

## Section 2 Metrics: Command and Management

## 5. Incident Action Plan Concepts

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurringispecial) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Do incident action plans? incorporate the following ICS? concepts:


Notes:


## Section 2 Metrics: Command and Management

## 6. Communication Plan Components

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Do common communication plans? address:

| Do common communication plans? address: |
| :--- |
|  Yes <br>  ? |
| Utilization of communications equipment <br> and facilities assigned to the incident |

Notes: $\square$

2008 metric Section 7 Questions 24.1, 24.224 .3 and 24.4 simplify 2007 metric Section 2 Questions 1, 2, 3, 4, 5 and 6.

## 2008 Section 7 Question 25.1

| About NIMSCAST |
| :--- |
| Change Password |
| Assessment |
| Manage CAPs |
| Manage Snapshots |
| Submit for Rollup |
| Reports |
| Rollup Reports |
| Review Rollup CAPs |
| Manage Sub-Accounts |
| Manage Permissions |
| User Search |
| Account Search |
| User Activity Log Search |
| Email Users |
| Edit this Account |
| Edit User Info |
| Feedback |
| Log Out |

Section 7 Metrics: Command and Management

### 7.2 Multi-agency Coordination System

Compliance Objective 25: Coordinate and support emergency management and incident response activities through the development and use of integrated Multiagency Coordination System (MACS) [i.e., develop and maintain connectivity between local Incident Command Posts (ICP), local 911 Centers, local Emergency Operations Centers (EOCs), the State/Territorial EOC, and Regional and Federal EOCs and NRF organizational elements].
25.1 Does the State/Territory use integrated MACS, where appropriate, during incidents/planned events? Yes
No

Which of the following primary functions is coordinated by a State/Territory MACS: [Check all that apply.]
$\square$ Situation assessment
$\square$ Critical resource acquisition and allocation
$\square$ Other State/Territory, Tribal Nation, Local, and Federal MACS
$\square$ Coordination with elected and appointed officials
$\square$ Coordination of summary information
$\square$ Incident priority determination
$\square$ Other functions

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Notes:
$\square$

## 2007 Section 2 Question 9

## Section 2 Metrics: Command and Management

9. MACS Functions Coordinated by State/Territory

Compliance Requirements: Multi-agency Coordination System: Coordinate and support emergency incident and event management through the development and use of integrated multi-agency coordination systems, i.e. - develop and maintain connectivity capability between local Incident Command Posts (ICP), local 911 Centers, local Emergency Operations Centers (EOCs), the StateTTerritorial EOC and regionaliFederal EOCs and NRP organizational elements.

Which of the following primary functions is coordinated by a Statelterritory MACS?: [Check all that apply]Situation assessmentCritical resource acquisition and allocationLocalitribal, state/territory, and Federal disaster coordinationCoordination with elected and appointed officialsCoordination of summary information
Other functions that statelterritorial MACS provide, explain?

Notes:
$\square$

2008 metric Section 7 Question 25.1 simplifies 2007 metric Section 2 Question 9.

## 2008 Section 7 Question 26.1

| About NIMSCAST |
| :--- |
| Change Password |
| Assessment |
| Manage CAPs |
| Manage Snapshots |
| Submit for Rollup |
| Reports |
| Rollup Reports |
| Review Rollup CAPs |
| Manage Sub-Accounts |
| Manage Permissions |
| User Search |
| Account Search |
| User Activity Log Search |
| Email Users |
| Edit this Account |
| Edit User Info |
| Feedback |
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Section 7 Metrics: Command and Management
7.3 Public Information

Compliance Objective 26:Institutionalize, within the framework of ICS, Public Information, [e.g., Joint Information System (JIS) and a Joint Information Center (JIC)] during an incident/planned event.
26.1 Do State/Territory emergency plans, within the framework of ICS, include processes and procedures for Public Information during incidents/planned events as appropriate?
$\bigcirc$ Yes
○

Can Public Information establish a JIS during incidents/planned events, as appropriate?
Yes
○

Can Public Information establish a JIC during incidents/planned events, as appropriate?
Yes
Ono

Can Public Information identify individuals to serve as Public Information Officers (PIOs) during an incident/planned event, as appropriate?
$\bigcirc$ Yes
O No

Notes:
$\qquad$

## Section 2 Metrics: Command and Management <br> 10. Public Information System (PIS) Included in Emergency Operations Plan (EOP) <br> Compliance Requirements: Public Information System: Institutionalize, within the framework of ICS, the Public Information System, comprising of the Joint Information System i.JIS) and a Joint Information Center (JIC). The Public Information System will ensure an organized, integrated, and coordinated mechanism to perform critical emergency information, crisis communications and public affairs functions which is timely, accurate, and consistent. This includes training for designated participants from the Governor.s office and key State/Territory departments and agencies. <br> Does the Stateiterritorial Emergency Operations Plan (EOP) include processes and procedures for utilizing a Public Information System (PIS)? , including establishment of a Joint Information System (JIS)? and a Joint Information Center (JIC) ? as incidents dictate? <br> Yes? <br> No? 是, explain: <br> Which of the following impedes? the inclusion of processes and procedures for utilizing a PIS into the Stateiterritorial EOP: [Check all that apply.] <br> $\square$ Plans <br> Personnel <br> Funding <br> Education <br> Training <br> Exercise <br> $\square$ Other impediments, explain? <br> $\square$ <br> Notes: <br> $\square$

The 2008 metric Question 26.1 has been expanded from the corresponding question from 2007, 2007 metric Question 10.

## 2008 Section 7 Question 27.1

| About NIMSCAST |
| :--- |
| Change Password |
| Assessment |
| Manage CAPs |
| Manage Snapshots |
| Submit for Rollup |
|  |
| Reports |
| Rollup Reports |
| Review Rollup CAPs |
| Manage Sub-Accounts |
| Manage Permissions |
|  |
| User Search |
| Account Search |
| User Activity Log Search |
| Email Users |
| Edit this Account |
| Edit User Info |
| Feedback |

Section 7 Metrics: Command and Management
7.4 Public Information: Ensure information is gathered, verified, coordinated and disseminated

Compliance Objective 27: Ensure that State/Territorial Public Information procedures and processes can gather, verify, coordinate, and disseminate information during an incident/planned event.
27.1 During an incident/planned event can the State/Territory Public Information system:

|  | Yes | No |
| :--- | :---: | :---: |
| Gather information | $\bigcirc$ | $\bigcirc$ |
| Verify information | $\bigcirc$ | $\bigcirc$ |
| Coordinate information | $\bigcirc$ | $\bigcirc$ |
| Disseminate information | $\bigcirc$ | $\bigcirc$ |

Notes: $\qquad$

## 2007 Section 2, Question 11

| Section 2 Metrics: Command and Management <br> 11. Types of Information That PIS Can Gather, Verify, Coordinate and Disseminate |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Compliance Requirements: Ensure that the State/Territorial Public Information System can gather, verify, coordinate, and disseminate information during an incident. (New for FY07) |  |  |  |  |  |
| During insidents, can the PIS? gather, verify, coordinate, and disseminate the following types of information: [Check all that apply.] |  |  |  |  |  |
|  | Gather | Verify | Coordinate | Disseminate |  |
| Critical Emergency Information | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Crisis Cammunication | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Public Affairs | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Other tyres? ? of information | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Notes: |  |  |  |  |  |

There is no change from 2008 metrics Section 7 Question 7.4 from 2007 metrics Section 2 Question 11.

