

The information below lists the 2008 NIMSCAST question first, then the corresponding question from 2007 is pasted afterwards. Then an explanation of any changes occurs in the space just below the 2007 question. When no changes were made or a question was added or deleted, it is so indicated.

2008 Section 1 Question 1.1

FEMA Incident Management Systems Division
NIMS Compliance Assistance Support Tool a - NIMCASTAdmin [Inherited Admin]

[Switch Account] » USA » DEMO » a ? Questions/Comments

Section 1 Metrics: NIMS Adoption

1.1 Adoption

Compliance Objective 1: Adopt NIMS by all State/Territorial Departments/Agencies; as well as promote and encourage NIMS adoption by associations, utilities, nongovernmental organizations (NGOs) and private sector emergency management and incident response organizations.

1.1 Has the State/Territory formally adopted, for Fiscal Year (FY) 2008, the National Incident Management System as its all-hazards incident management system?

Yes
 No

What legal authority was used to formally adopt NIMS: [Check all that apply.]

- Executive Order
- Proclamation
- Resolution
- Other legal authority

When was NIMS formally adopted? [] [mm/dd/yyyy]

2007 Section 1 Question 1

Section 1 Metrics: Community Adoption

1. Formal Adoption

Compliance Requirements: Adopt NIMS at the community level for all government departments and/or agencies; as well as promote and encourage NIMS adoption by associations, utilities, non-governmental organizations (NGOs) and private sector incident management and response organizations.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

Has the tribal/local jurisdiction formally **adopted NIMS** as the all-hazards, incident management system for implementation by all jurisdictional-level departments and/or agencies?

- Yes
 No

If "Yes," what legal authority was used to adopt NIMS: [Check all that apply.]

- Executive Order
 Proclamation
 Resolution
 Legislation
 Other legal authority, explain:

If "No," which of the following **impedes** adoption: [Check all that apply.]

- Plans
 Policy
 Personnel
 Funding
 Exercise
 Education
 Other impediments, explain:

Notes:

2008 paraphrases the question of 2007 with slight changes. The phrase "tribal/local" has become "State/Territory". Both ask for responders to indicate which legal authority is used to implement NIMS, but only 2007 gives a checklist on the issue of impediments that would hinder the implementation of NIMS.

2008 Section 1 Question 2

Acronyms
Glossary
Announcements
Frequently Asked Questions
Metrics / Legacy Assessment [pdf]
NIMS
NIMS Fact Sheet
NIMS Document [pdf]
NATIONAL INCIDENT MANAGEMENT SYSTEM
March 1, 2008

1.2 Does the formal adoption of NIMS expire?

- Yes
 No

When? [mm/dd/yyyy]

Is there is a process for renewing/maintaining the formal adoption of NIMS?

- Yes
 No

If yes, how?

2007 Section 1 Question 2

Section 1 Metrics: Community Adoption

1. Formal Adoption

Compliance Requirements: Adopt NIMS at the community level for all government departments and/or agencies; as well as promote and encourage NIMS adoption by associations, utilities, non-governmental organizations (NGOs) and private sector incident management and response organizations.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

Has the tribal/local jurisdiction formally **adopted NIMS** as the all-hazards, incident management system for implementation by all jurisdictional-level departments and/or agencies?

- Yes
 No

If "Yes," what legal authority was used to adopt NIMS: [Check all that apply.]

- Executive Order
 Proclamation
 Resolution
 Legislation
 Other legal authority, explain:

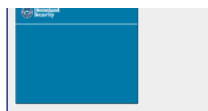
If "No," which of the following **impedes** adoption: [Check all that apply.]

- Plans
 Policy
 Personnel
 Funding
 Exercise
 Education
 Other impediments, explain:

Notes:

This is a completely new question which does not appear in 2007. 2008 asks if the adoption on NIMS expires in Question 1.2, while Section 1 of 2007 isn't divided into three separate questions as in 2008. Therefore, no Question 1.2 appears in 2007.

2008 Section 1 Question 3



1.3 Does the State/Territory promote NIMS adoption by associations, utilities, private sector, and nongovernmental organizations?

- Yes
 No

Notes:

2007 Section 1 Question 3

Section 1 Metrics: Community Adoption

2. Promotion and Encouragement Methods

Compliance Requirements: Adopt NIMS at the community level for all government departments and/or agencies; as well as promote and encourage NIMS adoption by associations, utilities, non-governmental organizations (NGOs) and private sector incident management and response organizations.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

For each of the following, indicate to which groups and with which methods the tribal/local has **promoted and encouraged** the adoption of NIMS: [Check all that apply.]

	Meetings (e.g., committees, conferences, working groups, etc.)	Mailings (e.g., newsletters, letters, etc.)	Email or other electronic means (e.g., websites)	Exercises (e.g., drills, tabletop, full-scale)	Other methods used to promote and encourage the adoption of NIMS	Not applicable
Associations (e.g., professional organization or trade associations)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Critical Infrastructure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Utilities (e.g., transportation, electric, water)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Private sector incident management and response organizations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-governmental organizations (NGOs)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Describe efforts to promote and encourage NIMS adoption:

Which of the following impedes the promotion and encouragement of NIMS adoption: [Check all that apply.]

- Plans
- Policy
- Personnel
- Funding
- Training
- Exercise
- Education
- Other impediments, explain:

Section 1.3 of 2008 shortens the question of 2007 and eliminates the check chart given to respondents to indicate groups and methods. It also eliminates the impediments checklist.

2008 Section 2 Question 1

NIMS Adoption

Point of Contact

Compliance Objective 2: Designate and maintain a single point of contact within government to serve as principle coordinator for NIMS implementation jurisdiction-wide (to include principal coordinator for NIMS implementation within each Department/Agency).

2.1 Has local government designated a single point of contact with the authority to serve as the principal coordinator for overall jurisdiction-wide NIMS implementation?

- Yes
 No

Identify the individual. (Include: Name, Title, Email Address, Phone Number, and Mailing Address)



Identify the date of designation:  [mm/dd/yyyy]

2007 Section 1 Question 3

Section 1 Metrics: Community Adoption


3. NIMS Point of Contact

Compliance Requirements: Designate a single point of contact within the tribal/local jurisdiction to serve as the principal coordinator for NIMS implementation. (New for FY07)

Has the tribal/local jurisdiction designated a single point of contact within the authority to serve as the **principal coordinator**  for overall **NIMS implementation** .

- Yes
 No

If "Yes," who has been designated?

Name of Designee	<input type="text"/>
Title of Designee	<input type="text"/>
Email Address of Designee	<input type="text"/>
Phone Number of Designee	<input type="text"/>
Street	<input type="text"/>
Street Continued	<input type="text"/>
City	<input type="text"/>
State Abbreviation (e.g. KY)	<input type="text"/>
Zipcode	<input type="text"/>
Date of Appointment	<input type="text"/>  [mm/dd/yyyy]

If "No," when will the tribal/local jurisdiction designate a single point of contact for NIMS implementation?  [mm/dd/yyyy]

Also if "No," which of the following **impedes**  designating a single point of contact for NIMS implementation: [Check all that apply.]

- Plans
 Policy
 Personnel
 Funding
 Training
 Education
 Other impediments, explain:

2008 Section 2 Question 1 paraphrased the question of 1.3 in 2007. 2007 provides a brief form in order that respondents answer questions about the "Designee". 2008 asks the same questions without the form.

2008 Section 2 Question 2

2.2 Have the appropriate local Departments/Agencies or those with emergency management and incident response responsibilities designated a single point of contact within each of the Departments/Agencies with the authority to serve as the principal coordinator for NIMS implementation?

Yes
 No

Total number of local Departments/Agencies?

Total Number of local Departments/Agencies that have designated a single point of contact?

Notes:

The above question of 2.2 of 2008 has no counterpart in 2007.
It is a new inquiry specifically added to 2008.

2008 Section 3 Question 1

NIMS Adoption

Implementation Strategy
Compliance Objective 3: Ensure that Federal Preparedness Awards (to include, but not limited to, DHS Homeland Security Grant Program and Urban Area Security Initiative Funds) to local governments, support all required NIMS compliance requirements.
3.1 Does the local government ensure that Federal Preparedness Awards [including DHS Homeland Security Grant Program and Urban Area Security Initiative (UASI) Funds] support NIMS compliance? <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A
Notes: <input type="text"/>

2007 Section 3 Question 2

Section 3 Metrics: Preparedness Planning

2. Utilization of Federal Preparedness Funding to Improve NIMS Preparedness and Response Activities

Compliance Requirements: Develop and implement a system to coordinate all Federal preparedness funding to implement the NIMS across the local/tribal jurisdictions.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

Has the tribal/local jurisdiction utilized Federal preparedness funding to improve NIMS preparedness and response activities?

Yes

No, explain:

[Text input box for explanation]

If "Yes," indicate the Federal preparedness funding sources used?

DHS, specify funding sources:

[Text input box for DHS funding sources]

Other Federal preparedness funding

Which of the following impedes utilizing Federal preparedness funding to improve activities: [Check all that apply.]

Plans

Personnel

Funding

Education

Training

Other impediments, explain:

[Text input box for other impediments]

Notes:

[Text input box for notes]

Question 3.1 of 2008 was previously Question 3.2 of 2007. The question has been shortened to one inquiry while that of 2007 divides the question into three separate inquiries. The term, "awards" in 2008 was changed from the term, "funding" in 2007.

2008 Section 4 Question 1

NIMS Adoption

Ensure Federal Preparedness Funding support NIMS

Compliance Objective 4: Routinely include NIMS compliance objectives in all audits, conducted by audit agencies and review organizations, associated with Federal Preparedness Awards.

4.1 Do local government audit agencies and review organizations include required NIMS compliance activities in all audits associated with Federal Preparedness Awards?

Yes

No

N/A

Notes:

[Text input box for notes]

The above question of 4.1 of 2008 has no counterpart in 2007. It is a new inquiry specifically added to 2008.

2008 Section 5 Question 1

Preparedness: Planning

Revise and update plans		
<p>Compliance Objective 5: Revise and update local government emergency operations plans (EOPs), standard operating procedures (SOPs), and standard operating guidelines (SOGs) to incorporate NIMS and National Response Framework (NRF) components, principles and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.</p>		
<p>5.1 Does the local government review and revise the following plans to incorporate NIMS components, principles, and policies?</p>		
	Yes	No
EOPs	<input type="radio"/>	<input type="radio"/>
SOPs	<input type="radio"/>	<input type="radio"/>
SOGs	<input type="radio"/>	<input type="radio"/>

2007 Section 3 Question 3

Section 3 Metrics: Preparedness Planning

3. Quantify Department/Agencies that have Incorporated NIMS with Emergency Management or Response Functions

Compliance Requirements: Revise and update plans and SOPs to incorporate NIMS components, principles, and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.		
<p>Indicate in the table below the number of tribal/local jurisdictional departments and/or agencies with an emergency management or emergency response function that have incorporated NIMS into the following activities:</p>		
	Number of departments and/or agencies that have incorporated NIMS	Total number of departments and/or agencies
Planning	<input type="text"/>	<input type="text"/>
Training Programs	<input type="text"/>	<input type="text"/>
Response [?] Activities	<input type="text"/>	<input type="text"/>
Exercise Program [?]	<input type="text"/>	<input type="text"/>
Equipment Acquisition [?]	<input type="text"/>	<input type="text"/>
Evaluations [?]	<input type="text"/>	<input type="text"/>
Corrective Actions [?]	<input type="text"/>	<input type="text"/>
<p>Notes:</p> <div style="border: 1px solid black; height: 30px; width: 100%;"></div>		

Question 5.1 of 2008 has removed the information table of 2007 for a smaller table and a shortened and/or paraphrased question. Also, Section 3 of 2007 doesn't correspond to Section 3 of 2008. It has changed to Section 5 while questions 3.1 & 3.2 2007 have been completely eliminated. Therefore, 2007 begins at question 3.3 corresponding to 5.1 of 2008.

2008 Section 5 Question 2

5.2 Does the local government review and revise the following plans to incorporate NRF components, principles, and concepts?

	Yes	No
EOPs	<input type="radio"/>	<input type="radio"/>
SOPs	<input type="radio"/>	<input type="radio"/>
SOGs	<input type="radio"/>	<input type="radio"/>

Notes:

2007 Section 3 Question 3

Section 3 Metrics: Preparedness Planning

3. Quantify Department/Agencies that have Incorporated NIMS with Emergency Management or Response Functions

Compliance Requirements: Revise and update plans and SOPs to incorporate NIMS components, principles, and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.

Indicate in the table below the number of tribal/local jurisdictional departments and/or agencies with an emergency management or emergency response function that have incorporated NIMS into the following activities:

	Number of departments and/or agencies that have incorporated NIMS	Total number of departments and/or agencies
Planning	<input type="text"/>	<input type="text"/>
Training Programs	<input type="text"/>	<input type="text"/>
Response [?] Activities	<input type="text"/>	<input type="text"/>
Exercise Program [?]	<input type="text"/>	<input type="text"/>
Equipment Acquisition [?]	<input type="text"/>	<input type="text"/>
Evaluations [?]	<input type="text"/>	<input type="text"/>
Corrective Actions [?]	<input type="text"/>	<input type="text"/>

Notes:

Question 5.2 of 2008 has removed the information table of 2007 for a smaller table and a shortened and/or paraphrased question. Again, Section 3 of 2007 doesn't correspond to Section 3 of 2008. As before questions, 3.1 & 3.2 of 2007 have been completely eliminated. Therefore, 2007 begins at question 3.3 corresponding to 5.2 of 2008.

2008 Section 6 Question 1

Preparedness: Planning

Promote and develop intrastate and interstate mutual aid agreements
Compliance Objective 6: Promote and/or develop intrastate and interagency mutual aid agreements and assistance agreements (to include agreements with the private sector and NGOs).
6.1 Does the local government promote and/or develop intrastate mutual aid agreements and assistance agreements throughout the jurisdiction? <input type="radio"/> Yes <input type="radio"/> No
Do these include agreements with the private sector? <input type="radio"/> Yes <input type="radio"/> No
Do these include agreements with NGOs? <input type="radio"/> Yes <input type="radio"/> No

2007 Section 3 Question 5

Section 3 Metrics: Preparedness Planning

5. Participate in mutual aid agreements

Compliance Requirements: Participate in and promote intrastate and interagency mutual aid agreements to include agreements with the private sector and non-governmental organizations.
The tribal/local jurisdiction participates in the following types of mutual aid agreements:[Check all that apply.] <input type="checkbox"/> Intrastate <input type="checkbox"/> Interagency <input type="checkbox"/> None, explain: <div style="border: 1px solid black; height: 30px; width: 100%;"></div>
Which of the following impedes participation in intrastate and interagency mutual aid agreements: [Check all that apply.] <input type="checkbox"/> Plans <input type="checkbox"/> Policy <input type="checkbox"/> Training <input type="checkbox"/> Organization <input type="checkbox"/> Personnel <input type="checkbox"/> Funding <input type="checkbox"/> Other impediments, explain: <div style="border: 1px solid black; height: 30px; width: 100%;"></div>
Notes: <div style="border: 1px solid black; height: 20px; width: 100%;"></div>

2007 Section 3 Question 6

Section 3 Metrics: Preparedness Planning

6. Promotion of Intrastate and Interagency Mutual Aid Agreements

Compliance Requirements: Participate in and promote intrastate and interagency mutual aid agreements to include agreements with the private sector and non-governmental organizations.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

What actions have been taken by the tribal/local jurisdiction to promote intrastate and interagency mutual aid agreements? [Check all that apply.]

- Developed working groups and/or committees
- Signed Memorandum of Understanding/Memorandum of Agreement
- Engaged in regular correspondence via phone/email
- Developed mutual aid templates
- Other actions taken by the tribal/local jurisdiction to promote intrastate and interagency mutual aid agreements, explain:

- No actions have been taken, explain:

Which of the following impedes promoting intrastate and interagency mutual aid agreements: [Check all that apply.]

- Plans
- Policy
- Training
- Organization
- Personnel
- Funding
- Other impediments, explain:

Notes:

Question 1 of Section 6 in 2008 shortens the inquiry as represented by two sections in 2007. The sections include Questions 5 & 6 of Section 3. 2008 is revised with a shorter option list for respondents.

2008 Section 6 Question 2

6.2 Does the local government promote and/or develop interagency mutual aid agreements and assistance agreements throughout the jurisdiction?

- Yes
- No

Do these include agreements with the private sector?

- Yes
- No

Do these include agreements with NGOs?

- Yes
- No

2007 Section 3 Question 7

Section 3 Metrics: Preparedness Planning

7. Promotion of Mutual Aid Agreements with Private Sector and NGOs

Compliance Requirements: Participate in and promote intrastate and interagency mutual aid agreements to include agreements with the private sector and non-governmental organizations.

What actions have been taken by the tribal/local jurisdiction to promote **mutual aid agreements** with private sector and non-governmental organizations: [Check all that apply.]

- Developed working groups and/or committees
- Signed Memorandum of Understanding/Memorandum of Agreement
- Engaged in regular correspondence via phone/email
- Developed mutual aid templates
- Other actions taken by the tribal/local jurisdiction to promote mutual aid agreements with private sector and non-governmental organizations, explain:

- No actions have been taken, explain:

As before, 2008 Section 6 Question 2 shortens the inquiry as represented in 2007 Section 3 Question 7. The main difference is the removal of the extensive option list for respondents. Both 2007 & 2008 focus attention to the concerns of private sector and NGO involvement in mutual aid agreements.

2008 Section 7 Question 1

Preparedness: Training

Utilize existing resources to coordinate and deliver NIMS training

Compliance Objective 7: Use existing resources such as programs, personnel and training facilities to coordinate and deliver NIMS training.

7.1 Does the local government use the following resources for NIMS training?

	Yes	No
Programs	<input type="radio"/>	<input type="radio"/>
Personnel	<input type="radio"/>	<input type="radio"/>
Facilities	<input type="radio"/>	<input type="radio"/>

2007 Section 4 Question 2

Section 4 Metrics: Preparedness Training

2. Document Training Status of Personnel

Compliance Requirements: Leverage training facilities to coordinate and deliver NIMS training requirements in conformance with the NIMS National Standard Curriculum.

Does the local/tribal jurisdiction document the training status of personnel from:

	Yes	No	Not applicable
Local jurisdictions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Tribal jurisdictions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other emergency response organizations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Notes:

2008 Section 7 Question1 has changed the wording of 2007 Section 4 Question 2.

Though the revised version of 2008 seems to ask a different question, it is the question which best corresponds to 2007 Section 4 Question 2.

2008 Section 8 Question 1

Preparedness: Training

Complete IS-700 NIMS: An Introduction

Compliance Objective 8: Ensure that IS-700 NIMS: An Introduction training is completed by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).

8.1 Have the appropriate personnel, as identified in the Five-Year NIMS Training Plan, February 2008, completed IS-700?

Yes
 No

Number of appropriate personnel who are required to complete IS-700:

Number of appropriate personnel who have completed IS-700:

The number of appropriate personnel who have completed IS-700 for the following categories:

	Number of Personnel
Entry-level first responders	<input type="text"/>
First line supervisors	<input type="text"/>
Emergency Management and response personnel in middle management	<input type="text"/>
Emergency Management and response personnel in command and general staff	<input type="text"/>

2007 Section 4 Question 1

Section 4 Metrics: Preparedness Training
1. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training. Complete ICS 300 and ICS 400 Training (New for FY07)

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]

	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 ?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
IS-800			<input type="text"/>	<input type="text"/>	
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300			<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400			<input type="text"/>	<input type="text"/>	<input type="text"/>

The format for the table has been changed in 2008 to an abbreviated form from 2007. 2007 presents a table of five vertical columns, while 2008 presents a table of one vertical column. 2008 focuses specifically on course IS-700, but doesn't mention courses IS-800, ICS-100, ICS-200, ICS-300 and ICS-400 as does Section 4 Question 1 of 2007.

2008 Section 8 Question 2

8.2 Does the local government document IS-700 training status of personnel from:

	Yes	No	N/A
Local Governments	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Tribal Nations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other emergency response organizations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Notes:

2007 Section 4 Question 1

Section 4 Metrics: Preparedness Training

1. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training. Complete ICS 300 and ICS 400 Training (New for FY07)

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]

	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 <small>?</small>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
IS-800	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

While 2008 Section 8 Question 2 deals specifically with course IS-700, Section 4 Question 1 of 2007 is more extensive in listing courses in a table.

2008 Section 9 Question 1

Preparedness: Training

Complete IS-800 NRP: An Introduction	
Compliance Objective 9: Ensure that IS-800a National Response Framework: an Introduction training is completed by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).	
9.1 Have the appropriate personnel, as identified in the Five-Year NIMS Training Plan, February 2008, completed IS-800?	
<input type="radio"/> Yes <input type="radio"/> No	
Number of appropriate personnel who are required to complete IS-800: <input type="text"/>	
Number of appropriate personnel who have completed IS-800: <input type="text"/>	
The number of appropriate personnel who have completed IS-800 for the following categories:	
	Number of Personnel
Entry-level first responders	<input type="text"/>
First line supervisors	<input type="text"/>
Emergency Management and response personnel in middle management	<input type="text"/>
Emergency Management and response personnel in command and general staff	<input type="text"/>

2007 Section 4 Question 1

Section 4 Metrics: Preparedness Training

1. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training. Complete ICS 300 and ICS 400 Training (New for FY07)					
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.					
In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]					
	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 ?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
IS-800	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2008 Section 9 Question 1 deals more specifically with course IS-800 while excluding the other courses listed in the table of 2007 Section 4 Question 1.

2008 Section 9 Question 2

9.2 Does the local government document IS-800 training status of personnel from:

	Yes	No	N/A
Local Governments	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Tribal Nations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other emergency response organizations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

2007 Section 4 Question 1

**Section 4 Metrics: Preparedness Training
1. Quantify Trained Personnel**

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training.
Complete ICS 300 and ICS 400 Training (New for FY07)

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]

	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 [?]	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
IS-800			<input type="text"/>	<input type="text"/>	
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300			<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400			<input type="text"/>	<input type="text"/>	<input type="text"/>

2008 Section 9 Question 2 deals more specifically with the issue of the local government documenting the training status of personnel in relation to course IS-800 while excluding the other courses listed in the table of 2007 Section 4 Question 1.

2008 Section 10 Question 1

Preparedness: Training

Complete ICS-100 Introduction to ICS

Compliance Objective 10: Ensure that ICS-100: Introduction to ICS training is completed by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).

10.1 Have the appropriate personnel, as identified in the Five-Year NIMS Training Plan, February 2008, completed ICS-100?

Yes
 No

Number of appropriate personnel who are required to complete ICS-100:

Number of appropriate personnel who have completed ICS-100:

The number of appropriate personnel who have completed ICS-100 for the following categories:

	Number of Personnel
Entry-level first responders	<input type="text"/>
First line supervisors	<input type="text"/>
Emergency Management and response personnel in middle management	<input type="text"/>
Emergency Management and response personnel in command and general staff	<input type="text"/>
Personnel as ICS trainers	<input type="text"/>

2007 Section 4 Question 1

Section 4 Metrics: Preparedness Training

1. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training. Complete ICS 300 and ICS 400 Training (New for FY07)

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]

	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 ?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
IS-800			<input type="text"/>	<input type="text"/>	
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300			<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400			<input type="text"/>	<input type="text"/>	<input type="text"/>

2008 Section 10 Question 1 deals specifically with the issue of personnel completing training course ICS-100. A vertical display of options is given in 2008. 2007 is more inclusive in listing training courses. Respondents are given a horizontal display instead of a vertical one.

2008 Section 10 Question 2

10.2 Does the local government document ICS-100 training status of personnel from:

	Yes	No	N/A
Local Governments	<input type="radio"/>	<input type="radio"/>	
Tribal Nations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other emergency response organizations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

2007 Section 4 Question 1

Section 4 Metrics: Preparedness Training

1. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training. Complete ICS 300 and ICS 400 Training (New for FY07)

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]

	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 ?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
IS-800			<input type="text"/>	<input type="text"/>	
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300			<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400			<input type="text"/>	<input type="text"/>	<input type="text"/>

2008 Section 10 Question 2 departs from the extended table given in 2007 Section 4 Question 1. Again, the subject matter pertains to training course ICS-100. This time respondents are asked to indicate which type of organization, whether government or private, represent the number of personnel participating in the course. Again, the table of 2007 is more inclusive of other training courses in its listing. Respondents are given broader columns with cells to place responses.

2008 Section 11 Question 1

Preparedness: Training

Complete ICS-200 ICS for Single Resources and Initial Action Incidents	
Compliance Objective 11: Ensure that ICS-200: ICS for Single Resources and Initial Action Incidents training is completed by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).	
11.1 Have the appropriate personnel, as identified in the Five-Year NIMS Training Plan, February 2008, completed ICS-200?	
<input type="radio"/> Yes <input type="radio"/> No	
Number of appropriate personnel who are required to complete ICS-200: <input type="text"/>	
Number of appropriate personnel who have completed ICS-200: <input type="text"/>	
The number of appropriate personnel who have completed ICS-200 for the following categories:	
	Number of Personnel
Entry-level first responders	<input type="text"/>
First line supervisors	<input type="text"/>
Emergency Management and response personnel in middle management	<input type="text"/>
Emergency Management and response personnel in command and general staff	<input type="text"/>
Personnel as ICS trainers	<input type="text"/>

2007 Section 4 Question 1

Section 4 Metrics: Preparedness Training
1. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training. Complete ICS 300 and ICS 400 Training (New for FY07)					
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.					
In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]					
	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 [?]	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
IS-800	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2008 Section 11 Question 1 concerns the completion of training course ICS-200 by appropriate personnel. It gives an answer table for respondents concerning five descriptive categories of completion. 2008 departs from the more extensive table of 2007 which lists additional training courses.

2008 Section 11 Question 2

11.2 Does the local government document ICS-200 training status of personnel from:

	Yes	No	N/A
Local Governments	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Tribal Nations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other emergency response organizations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Notes:

2007 Section 4 Question 1

Section 4 Metrics: Preparedness Training 1. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training.
Complete ICS 300 and ICS 400 Training (New for FY07)

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]

	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 <input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
IS-800	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2008 Section 11 Question 2 deals with the documentation of personnel involved with training course ICS-200 by local governments, tribal nations and other emergency response organizations. This departs from the five column display table of 2007, which lists a number of courses and not just ICS-200.

2008 Section 12 Question 1

Preparedness: Training

Complete ICS-300 Intermediate ICS	
Compliance Objective 12: Ensure that ICS-300: Intermediate ICS training is completed by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).	
12.1 Have the appropriate personnel, as identified in the Five-Year NIMS Training Plan, February 2008, completed ICS-300?	
<input type="radio"/> Yes <input type="radio"/> No	
Number of appropriate personnel who are required to complete ICS-300: <input type="text"/>	
Number of appropriate personnel who have completed ICS-300: <input type="text"/>	
The number of appropriate personnel who have completed ICS-300 for the following categories:	
	Number of Personnel
Entry-level first responders	<input type="text"/>
First line supervisors	<input type="text"/>
Emergency Management and response personnel in middle management	<input type="text"/>
Emergency Management and response personnel in command and general staff	<input type="text"/>
Personnel as ICS trainers	<input type="text"/>

2007 Section 4 Question 1

Section 4 Metrics: Preparedness Training

1. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training. Complete ICS 300 and ICS 400 Training (New for FY07)					
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.					
In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]					
	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
IS-800	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2008 Section 12 Question 1 concerns the completion of training course ICS-300 by appropriate personnel. It gives an answer table for respondents concerning five descriptive categories of completion. 2008 departs from the more extensive table of 2007 which lists additional training courses.

2008 Section 12 Question 2

12.2 Does the local government document ICS-300 training status of personnel from:

	Yes	No	N/A
Local Governments	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Tribal Nations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other emergency response organizations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Preparedness: Training

Complete ICS-400 Advanced ICS

FY2009 Compliance Objective: Ensure that ICS-400: Advanced ICS training is completed by appropriate personnel (as identified in the Five-Year NIMS Training Plan, February 2008).

Have the appropriate personnel, as identified in the Five-Year NIMS Training Plan, February 2008, completed ICS-400?

- Yes
 No

Number of appropriate personnel who are required to complete ICS-400:

Number of appropriate personnel who have completed ICS-400:

The number of appropriate personnel who have completed ICS-400 for the following categories:

	Number of Personnel
Entry-level first responders	<input type="text"/>
First line supervisors	<input type="text"/>
Emergency Management and response personnel in middle management	<input type="text"/>
Emergency Management and response personnel in command and general staff	<input type="text"/>
Personnel as ICS trainers	<input type="text"/>

Does the local government document ICS-400 training status of personnel from:

	Yes	No	N/A
Local Governments	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Tribal Nations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other emergency response organizations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

2007 Section 4 Question 1

Section 4 Metrics: Preparedness Training

1. Quantify Trained Personnel

Compliance Requirements: Complete IS-700 NIMS: An Introduction. Complete IS-800 NRP: An Introduction. Complete ICS 100 and ICS 200 Training. Complete ICS 300 and ICS 400 Training (New for FY07)

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

In the following table, indicate the number of people trained in the following courses (out of the total number of people identified): [Gray boxes are not applicable.]

	Entry-level first responders	First line supervisors	Emergency management and response personnel in middle management	Emergency management and response personnel in command and general staff	Personnel trained as trainers
IS-700 ?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
IS-800	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-100	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-200	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-300	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ICS-400	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2008 Section 12 Question 2 has been extended in scope to the concern of government documentation of personnel participating in training courses ISC-300 and ISC-400 2008 departs from the less extensive table of 2007 which lists additional training courses.

2008 Section 13 Question 1

Preparedness: Exercises

Incorporate NIMS concepts and principles into all training and exercises		
Compliance Objective 13: Incorporate NIMS concepts and principles into all appropriate training and exercises.		
13.1 Have the following NIMS concepts and principles been incorporated into all appropriate training?		
	Incorporated	Not Incorporated
Flexibility	<input type="radio"/>	<input type="radio"/>
Scalability	<input type="radio"/>	<input type="radio"/>
Standardization	<input type="radio"/>	<input type="radio"/>
Interoperability & Compatibility	<input type="radio"/>	<input type="radio"/>
Resource Management	<input type="radio"/>	<input type="radio"/>
Incident Command	<input type="radio"/>	<input type="radio"/>
Multiagency Coordination	<input type="radio"/>	<input type="radio"/>
Public Information	<input type="radio"/>	<input type="radio"/>

2007 Section 5 Question 1

Section 5 Metrics: Preparedness Exercises

1. NIMS/ICS Incorporated into Exercises

Compliance Requirements: Incorporate NIMS/ICS into all tribal/local and regional training and exercises.		
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.		
Into which of the following activities are NIMS/ICS incorporated:		
	Training	Exercises
Local Level	<input type="checkbox"/>	<input type="checkbox"/>
Tribal Level	<input type="checkbox"/>	<input type="checkbox"/>
Intrastate Regional Level	<input type="checkbox"/>	<input type="checkbox"/>
Which of the following impedes incorporating NIMS/ICS into tribal/local and regional training and exercises: [Check all that apply.]		
<input type="checkbox"/> Plans <input type="checkbox"/> Personnel <input type="checkbox"/> Funding <input type="checkbox"/> Education <input type="checkbox"/> Training <input type="checkbox"/> Other impediments, explain:		
<div style="border: 1px solid black; height: 20px; width: 100%;"></div>		

2008 Section 13 Question 1 lists eight concepts that respondents must indicate incorporation or non-incorporation in reference to NIMS principles and appropriate training. This departs from the format of 2007 Section 5 Question 1 which asks respondents to indicate the level of training and exercises.

2008 Section 13 Question 2

13.2 Have the following NIMS concepts and principles been incorporated into all appropriate exercises?

	Incorporated	Not Incorporated
Flexibility	<input type="radio"/>	<input type="radio"/>
Scalability	<input type="radio"/>	<input type="radio"/>
Standardization	<input type="radio"/>	<input type="radio"/>
Interoperability & Compatibility	<input type="radio"/>	<input type="radio"/>
Resource Management	<input type="radio"/>	<input type="radio"/>
Incident Command	<input type="radio"/>	<input type="radio"/>
Multiagency Coordination	<input type="radio"/>	<input type="radio"/>
Public Information	<input type="radio"/>	<input type="radio"/>

2007 Section 5 Question 1

Section 5 Metrics: Preparedness Exercises 1. NIMS/ICS Incorporated into Exercises

Compliance Requirements: Incorporate NIMS/ICS into all tribal/local and regional training and exercises.		
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.		
Into which of the following activities are NIMS/ICS incorporated:		
	Training	Exercises
Local Level	<input type="checkbox"/>	<input type="checkbox"/>
Tribal Level	<input type="checkbox"/>	<input type="checkbox"/>
Intrastate Regional Level	<input type="checkbox"/>	<input type="checkbox"/>
Which of the following impedes incorporating NIMS/ICS into tribal/local and regional training and exercises: [Check all that apply.]		
<input type="checkbox"/> Plans <input type="checkbox"/> Personnel <input type="checkbox"/> Funding <input type="checkbox"/> Education <input type="checkbox"/> Training <input type="checkbox"/> Other impediments, explain:		
<div style="border: 1px solid black; height: 20px; width: 100%;"></div>		

2008 Section 13 Question 2 lists eight concepts that respondents must indicate incorporation or non-incorporation in reference to NIMS principles and appropriate exercises. This departs from the format of 2007 Section 5 Question 1 which asks respondents to indicate the level of training and exercises.

2008 Section 14 Question 1

Preparedness: Exercises

Plan and/or Participate in all-hazards exercise program
Compliance Objective 14: Plan for and/or participate in an all-hazards exercise program [for example, Homeland Security Exercise and Evaluation Program] that involves emergency management/response personnel from multiple disciplines and/or multiple jurisdictions.
14.1 Does the local government plan for an all-hazards exercise program? <input type="radio"/> Yes <input type="radio"/> No If yes, is the all-hazards exercise program multidisciplinary? <input type="radio"/> Yes <input type="radio"/> No If yes, is the all-hazards exercise program multi-jurisdictional? <input type="radio"/> Yes <input type="radio"/> No

2007 Section 5 Question 3

Section 5 Metrics: Preparedness Exercises

3. Existence of an All-Hazard Exercise Program

Compliance Requirements: Participate in an all-hazard exercise program based on NIMS that involves responders from multiple disciplines and multiple jurisdictions.
Does the tribal/local jurisdiction have an all-hazard exercise program? <input type="radio"/> Yes <input type="radio"/> No If "No," which of the following impedes developing an all-hazard exercise program: [Check all that apply.] <input type="checkbox"/> Plans <input type="checkbox"/> Personnel <input type="checkbox"/> Funding <input type="checkbox"/> Education <input type="checkbox"/> Training <input type="checkbox"/> Other impediments, explain: <div style="border: 1px solid black; height: 30px; width: 100%;"></div>

2008 Section 14 Question 1 differs from 2007 Section 5 Question 3 in that a more extensive option checklist is given under the category, "No" for 2007. 2008 asks for no reasons that impede an all-hazards exercise program. Instead, 2008 asks if the program is multidisciplinary & multi-jurisdictional.

2008 Section 14 Question 2

14.2 Does the local government participate in an all-hazards exercise program in FY 2008? <input type="radio"/> Yes <input type="radio"/> No Is the all-hazards exercise program multidisciplinary? <input type="radio"/> Yes <input type="radio"/> No Is the all-hazards exercise program multi-jurisdictional? <input type="radio"/> Yes <input type="radio"/> No
--

2007 Section 5 Question 2

Section 5 Metrics: Preparedness Exercises

2. Quantity of Exercises that Evaluate NIMS Implementation

Compliance Requirements: Participate in an all-hazard exercise program based on NIMS that involves responders from multiple disciplines and multiple jurisdictions.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

Indicate the number of exercises of each type (out of the total number of exercises) that evaluate NIMS implementation during the exercise:

	# of exercises / total # of exercises
Drills	<input type="text"/> / <input type="text"/>
Tabletop Exercises (TTX)	<input type="text"/> / <input type="text"/>
Functional Exercises (FE)	<input type="text"/> / <input type="text"/>
Full-Scale Exercises (FSE)	<input type="text"/> / <input type="text"/>
Other types of exercises in which NIMS has been implemented	<input type="text"/> / <input type="text"/>

If other types of exercises specified, explain:

Which of the following NIMS components are evaluated through the exercises: [Check all that apply.]

- Preparedness
- Communication and Information Management
- Resource Management
- Command and Management
- Mutual Aid
- Interoperability
- Participation of non-governmental organizations (NGOs) and private industry
- Other NIMS-related components:

How have each of these exercises been evaluated for effectiveness of NIMS implementation? Explain:

Which of the following impedes evaluating NIMS implementation during exercises: [Check all that apply.]

- Plans
- Personnel
- Funding
- Education
- Training
- Other impediments, explain:

Note:

The brief question of 2008 differs in scope from the more expansive inquiry of 2007. While 2008 Section 14 Question 2 is primarily concerned with the government's participation in the all-hazards exercise program, 2007 Section 5 Question 2 is more concerned with exercise **type**, more specifically, the number of exercises of each type that evaluate NIMS Implementation.

2008 Section 14 Question 3

14.3 In FY 2008, will the local government participate in all-hazards:

	Yes	No	N/A (not this year)
Drills	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Table-top Exercises	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Functional Exercises	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Full-scale Exercises	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

2007 Section 5 Question 2

Section 5 Metrics: Preparedness Exercises

2. Quantity of Exercises that Evaluate NIMS Implementation

Compliance Requirements: Participate in an all-hazard exercise program based on NIMS that involves responders from multiple disciplines and multiple jurisdictions.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

Indicate the number of exercises of each type (out of the total number of exercises) that evaluate NIMS implementation during the exercise:

	# of exercises / total # of exercises
Drills	<input type="text"/> / <input type="text"/>
Tabletop Exercises (TTX)	<input type="text"/> / <input type="text"/>
Functional Exercises (FE)	<input type="text"/> / <input type="text"/>
Full-Scale Exercises (FSE)	<input type="text"/> / <input type="text"/>
Other types of exercises in which NIMS has been implemented	<input type="text"/> / <input type="text"/>

If other types of exercises specified, explain:

Which of the following NIMS components are evaluated through the exercises: [Check all that apply.]

- Preparedness
- Communication and Information Management
- Resource Management
- Command and Management
- Mutual Aid
- Interoperability
- Participation of non-governmental organizations (NGOs) and private industry
- Other NIMS-related components:

How have each of these exercises been evaluated for effectiveness of NIMS implementation? Explain:

Which of the following impedes evaluating NIMS implementation during exercises: [Check all that apply.]

- Plans
- Personnel
- Funding
- Education
- Training
- Other impediments, explain:

The question of 2008 concerns itself with the participation of the government in the all-hazards program in FY2008, While 2007 focuses on the quantity of exercises that evaluate NIMS implementation.

2008 Section 15 Question 1

Preparedness: Exercises

Incorporate corrective actions into preparedness and response plans and procedures
Compliance Objective 15: Incorporate corrective actions into preparedness and response plans and procedures.
15.1 After conducting and/or participating in an all-hazards exercise program, does local government incorporate corrective actions into preparedness and response plans and procedures? <input type="radio"/> Yes <input type="radio"/> No

2007 Section 5 Question 6

Section 5 Metrics: Preparedness Exercises

6. Incorporation of Corrective Action Plans, After Action Reports, and/or Lessons Learned

Compliance Requirements: Incorporate corrective actions into preparedness and response plans and procedures.
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.
Tribal/Local jurisdictions incorporate corrective action plans, after action reports, and/or lessons learned into which of the following: [Check all that apply.] <input type="checkbox"/> Preparedness plans <input type="checkbox"/> Response plans <input type="checkbox"/> Response procedures <input type="checkbox"/> Recovery plans and procedures <input type="checkbox"/> None, explain: <input type="text"/>
Which of the following impedes incorporating corrective action plans, after action reports, and/or lessons learned: [Check all that apply.] <input type="checkbox"/> Plans <input type="checkbox"/> Policy <input type="checkbox"/> Personnel <input type="checkbox"/> Funding <input type="checkbox"/> Education <input type="checkbox"/> Training <input type="checkbox"/> Other impediments, explain: <input type="text"/>

2008 Section 15 Question 1 has been shortened from the inquiry of 2007. While 2008 asks about the incorporation of corrective action plans and after action reports, 2007 is more extensive, covering these concerns and issues that may impede incorporation.

2008 Section 16 Question 1

Communications and Information Management

Apply Common and Consistent Terminology		
Compliance Objective 16: Apply common and consistent terminology as used in NIMS, including the establishment of plain language (clear text) communications standards.		
16.1 Does the local government apply the following communication standards:		
	Yes	No
Plain Language (Clear Text)	<input type="radio"/>	<input type="radio"/>
Common and Consistent Terminology	<input type="radio"/>	<input type="radio"/>

2007 Section 7 Question 1

Section 7 Metrics: Communication and Information Management

1. Implementation of Communication Standards During Multi-Agency and/or Multi-Jurisdictional Events

Compliance Requirements: Apply standardized and consistent terminology, including the establishment of plain language communications standards across the public safety sector.

During a multi-jurisdictional and/or multi-agency event, to what extent does the tribal/local jurisdiction implement the following communication standards:

	Not Implemented	Partially Implemented	Fully Implemented
Plain Language?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Standardized terminology? in response? operations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Standardized terminology in publications	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Which of the following impedes? implementing communication standards: [Check all that apply.]

- Plans
- Policy
- Personnel
- Equipment
- Training
- Exercise
- Funding
- Education
- Other impediments, explain:

The focus of 2008 Section 16 Question 1 is the application of two communication standards, **Plain Language** and **Common and Consistent Terminology** by the local government. 2007 Section 7 Question 1 broadens the concern to the **condition** of implementation. These conditions being **“Not Implemented”**, **“Partially Implemented”** and **“Fully Implemented”**. Again, as with previous questions, an optional checklist for impediments to implementation is provided for respondents.

2008 Section 17 Question 1

Communications and Information Management

Utilize Systems, Tools and Process to present consistent and accurate information		
Compliance Objective 17: Utilize systems, tools, and processes to present consistent and accurate information (e.g., common operating picture) during an incident/planned event.		
17.1 Does local government utilize the following to present consistent and accurate information during an incident/planned event?		
	Yes	No
Systems	<input type="radio"/>	<input type="radio"/>
Tools	<input type="radio"/>	<input type="radio"/>
Processes	<input type="radio"/>	<input type="radio"/>

2007 Section 7 Question 2

Section 7 Metrics: Communication and Information Management

2. Methods to Ensure Consistent and Accurate Information During Incident

Compliance Requirements: Develop systems, tools, and processes to present consistent and accurate information to incident managers at all levels (e.g., common operating picture). (New for FY07)			
Which of the following has the tribal/local jurisdiction developed to present consistent and accurate information during an incident:			
	Systems	Tools	Processes
Communications	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Intelligence	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Information Management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Information Sharing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Resource Status	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2008 Section 17 Question 1 asks respondents to indicate whether the local government uses **systems, tools and processes** to present consistent and accurate information during an incident or planned event. Two columns are given to indicate “**yes**” or “**no**”.

2007 Section 7 Question 2 presents a different format for respondents to give indication. The selection table is divided into three rows to convey **systems, tools and processes**, this time with the concerns of **communications, intelligence, information management, information sharing and resource status**.

2008 Section 18 Question 1

Resource Management

Inventory response assets to conform to NIMS National Resource Typing Definitions
Compliance Objective 18: Inventory response assets to conform to NIMS National Resource Typing Definitions, as defined by FEMA's Incident Management Systems Integration Division.
18.1 Has the local government inventoried its emergency management/incident response assets to conform to NIMS National Resource Typing Definitions?
<input type="radio"/> Yes <input type="radio"/> No

2007 Section 6 Question 1

Section 6 Metrics: Resource Management

1. Inventory of Response Assets

Compliance Requirements: Inventory community response assets to conform to homeland security resource typing standards.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

Has the tribal/local jurisdiction inventoried its **response resources**?

Yes
 No

If "No," which of the following **impedes** inventorying response resources: [Check all that apply.]

Plans
 Policy
 Personnel
 Equipment
 Training
 Funding
 Education
 Other impediments, explain:

The wording of 2008 Section 18 Question 1 has been reconfigured from the phrasing of 2007 Section 6 Question 1. **“Management/incident response assets”** has become **“response resources”**. 2007 also provides a checklist for impediments.

2008 Section 18 Question 2

18.2 Has the local government typed its response assets to conform to NIMS National Resource Typing Definitions?

Yes
 No

2007 Section 6 Question 3

Section 6 Metrics: Resource Management

3. Resource Typing for Response Assets

Compliance Requirements: Inventory community response assets to conform to homeland security resource typing standards.

Does the tribal/local jurisdiction use **resource typing** for response assets?

Yes
 No

If "Yes," has the tribal/local jurisdiction typed its **response assets** to conform to DHS standards, as identified by the NIC?

Yes
 No, explain:

Notes:

2008 Section 18 Question 2 shortens the inquiry of 2007 Section 6 Question 3. The shortened version is paraphrased into one question, while the question of 2007 is presented as a two part inquiry. The two parts, **resource typing and response assets** correspond to the one part inquiry of 2008, the main concern being **response assets**.

2008 Section 18 Question 3

18.3 Does the local government have a process to determine availability of emergency management/incidents response assets in accordance with "Tier One" NIMS National Resource Typing Definitions?

- Yes
- No

Notes:

2007 Section 6 Question 6

Section 6 Metrics: Resource Management

6. Validation of Inventory

Compliance Requirements: Validate that the inventory of response assets conforms to homeland security resource typing standards and provide to the state/territory as required. (New for FY07)

Has the tribal/local jurisdiction validated the inventory of **response assets**?

- Yes
- No

If "Yes," does the inventory conform to homeland security **resource typing standards**?

- Yes
- No

If "No," does the tribal/local jurisdiction have plans to:

	Yes	No
Build or adopt a database to serve as a response asset inventory ?	<input type="radio"/>	<input type="radio"/>
Identify resources for a response asset inventory	<input type="radio"/>	<input type="radio"/>
Compile data and expand the response asset inventory	<input type="radio"/>	<input type="radio"/>

2008 Section 18 Question 3 has abbreviated the format given in 2007 Section 6 Question 6. While "Tier One" compliance is a condition in 2008. No mention is made of this in 2007. Instead, 2007 provides a three part inquiry, with the third part divided further into response options.

2008 Section 19 Question 1

Resource Management

Utilize response asset inventory

Compliance Objective 19: Utilize local government response asset inventory for intrastate and interstate mutual aid requests [such as Emergency Management Assistance Compact (EMAC)], training, exercises, and incidents/planned events.

19.1 Does the local government use the response asset inventory for:

	Yes	No
All-Hazards Exercise Program	<input type="radio"/>	<input type="radio"/>
Interstate Mutual Aid and Assistance	<input type="radio"/>	<input type="radio"/>
Training	<input type="radio"/>	<input type="radio"/>
Incidents/Planned Events	<input type="radio"/>	<input type="radio"/>

2007 Section 6 Question 7

Section 6 Metrics: Resource Management
7. Utilization of Response Asset Inventory

Compliance Requirements: Utilize the State/Territory response asset inventory for Intra-State Mutual Aid requests, exercises, and actual events. (New for FY07)

Does the tribal/local jurisdiction utilize the [response asset inventory](#) for:

	Yes	No, explain:
Mutual Aid Requests	<input type="radio"/>	<input type="radio"/>
Exercises	<input type="radio"/>	<input type="radio"/>
Actual Events	<input type="radio"/>	<input type="radio"/>

2008 Section 19 Question 1 departs from the presentation of 2007 Section 6 Question 7. 2008 divides the response into four choices in which respondents must indicate “yes” or “no”. 2007 differs in that it divides the question into three choices, but asks respondents to give explanation if the answer is no.

2008 Section 20 Question 1

Resource Management

Ensure Interoperability

Compliance Objective 20: Ensure that equipment, communications and data systems acquired through local acquisition programs are interoperable.

20.1 Local government acquisition programs require the following to be interoperable throughout the jurisdiction when purchased:

	Yes	No
Equipment	<input type="radio"/>	<input type="radio"/>
Communications	<input type="radio"/>	<input type="radio"/>
Data Systems	<input type="radio"/>	<input type="radio"/>

2007 Section 6 Question 4

Section 6 Metrics: Resource Management

4. Acquisition Adoption of Interoperability Standards

Compliance Requirements: To the extent permissible by law, ensure that relevant national standards and guidance to achieve equipment, communication and data interoperability are incorporated into tribal/local acquisition programs.

Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.

To what extent does the tribal/local jurisdiction require its acquisitions and purchases to adopt interoperable standards:

	Not Required	Partially Required	Fully Required
Equipment	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Communications	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Data	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Which of the following impedes acquisitions and purchases from adopting interoperable standards: [Check all that apply.]

- Plans
- Policy
- Personnel
- Equipment
- Training
- Exercise
- Funding
- Education
- Other impediments, explain:

2008 Section 20 Question 1 shortens the response table given for respondents from the extended form given in 2007 Section 6 Question 4. While 2008 deals with the interoperability of **equipment, communications and data systems**, 2007 focuses on the condition of these interoperable standards. Those conditions being **not required, partially required and fully required**.

2008 Section 20 Question 2

20.2 If the local government is providing funds for equipment, communications and data systems does it ensure local acquisition programs require the following to be interoperable when purchased:

	Yes	No
Equipment	<input type="radio"/>	<input type="radio"/>
Communications	<input type="radio"/>	<input type="radio"/>
Data Systems	<input type="radio"/>	<input type="radio"/>

2007 Section 6 Question 5

Section 6 Metrics: Resource Management
3. Resource Typing for Response Assets

Compliance Requirements: Inventory community response assets to conform to homeland security resource typing standards.

Does the tribal/local jurisdiction use **resource typing** for response assets?

Yes
 No

If "Yes," has the tribal/local jurisdiction typed its **response assets** to conform to DHS standards, as identified by the NIC?

Yes
 No, explain:

2008 Section 20 Question 2 has changed the format for respondents to give response from the previous format given in 2007 Section 6 Question 5. Respondents are given the elements to which they must respond with an answer of “yes” or “no”.

2007 differs in that it focuses on **resource typing** and **response assets**.

Respondents are asked to explain further if the response to **response assets** is no.

2008 Section 21 Question 1

Resource Management

Initiate development of credentialing system

New in FY2008 Compliance Objective:21: Initiate development of a jurisdiction-wide system to credential emergency management/response personnel to ensure proper authorization and access to an incident, including those involving mutual aid agreements and/or assistance agreements.

21.1 Has the local government initiated the development of a jurisdiction-wide system to credential emergency management/response personnel?

Yes
 No

If yes, is the credentialing system base upon an existing State-wide system?

Yes
 No

If yes, what disciplines does the credential system encompass?

- Animal Health/ Rescue
- Emergency Management
- EMS
- Fire
- Hazardous Materials
- Incident Management
- Law Enforcement/ Public Safety
- Medical/Hospital
- Public Health
- Public Works
- Other

If yes, does the State/Territory credentialing conform to current NIMS national credentialing criteria and guidance? [Note: Credentialing should include the means for validating the identity, qualification, and deployment authorization that allows for the granting of access to an incident, including preparedness-related exercises and training activities.]

Yes
 No

2008 Section 21 Question 1 is an entirely new section added in 2008 and has no counterpart in 2007.

2008 Section 22 Question 1

Command and Management

Manage incidents/planned events in accordance with ICS
Compliance Objective 22: Manage all incidents/ planned events in accordance with Incident Command System (ICS) organizational structures, doctrine and procedures. ICS implementation must include the consistent application of Incident Action Planning (IAP) and common communications plans, as appropriate.
22.1 Does the local government implement ICS for all-hazards emergency management and incident response/planned events? <input type="radio"/> Yes, for all incidents/planned events. <input type="radio"/> No

2007 Section 2 Question 1

Section 2 Metrics: Command and Management

1. Implementation of NIMS-Prescribed ICS for All-Hazards Incident Response

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.
Tier One Requirement: To be considered NIMS compliant, jurisdictions must affirm their compliance with the specified requirement/metric.
Does the tribal/local jurisdiction implement NIMS-prescribed ICS for all-hazards incident response? <input type="radio"/> Yes <input type="radio"/> No If "No," which of the following impedes implementation: [Check all that apply.] <input type="checkbox"/> Plans <input type="checkbox"/> Personnel <input type="checkbox"/> Funding <input type="checkbox"/> Education <input type="checkbox"/> Training <input type="checkbox"/> Exercise <input type="checkbox"/> Other impediments, explain: <input type="text"/>

Again 2008 has been shortened from the format of 2007. Both are primarily concerned with the implementation of ICS by local governments. 2007 Section 2 Question 1 differs from 2008 Section 22 Question 1 in that 2007 is more inclusive of explanations for impediments which respondents must give indication.

2008 Section 22 Question 2

22.2 Does ICS implementation include the following concepts?

	Yes	No
Common Terminology	<input type="radio"/>	<input type="radio"/>
Modular Organization	<input type="radio"/>	<input type="radio"/>
Management by Objectives	<input type="radio"/>	<input type="radio"/>
Incident Action Planning	<input type="radio"/>	<input type="radio"/>
Manageable Span of Control	<input type="radio"/>	<input type="radio"/>
Incident Facilities and Locations	<input type="radio"/>	<input type="radio"/>
Comprehensive Resource Management	<input type="radio"/>	<input type="radio"/>
Integrated Communications	<input type="radio"/>	<input type="radio"/>
Establishment and Transfer of Command	<input type="radio"/>	<input type="radio"/>
Chain of Command and Unity of Command	<input type="radio"/>	<input type="radio"/>
Unified Command	<input type="radio"/>	<input type="radio"/>
Accountability	<input type="radio"/>	<input type="radio"/>
Dispatch/Deployment	<input type="radio"/>	<input type="radio"/>
Information and Intelligence Management	<input type="radio"/>	<input type="radio"/>

2007 Section 2 Question 2

Section 2 Metrics: Command and Management

2. Implementation of NIMS-Prescribed ICS for Preplanned Events

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Does the tribal/local jurisdiction implement NIMS-prescribed ICS for managing preplanned events?

- Yes
 No

If "No," which of the following impedes implementation: [Check all that apply.]

- Plans
 Personnel
 Funding
 Education
 Training
 Other impediments, explain:

2008 Section 22 Question 2 divides the optional response for respondents into fourteen concepts. This departs from the two part inquiry of 2007 Section 2 Question 2, where the focus is upon **preplanned events** in the first part and **impediments** in the second part. The second part is divided into six options that may apply to the answer "no".

2008 Section 22 Question 3

22.3 Does the local government implement Incident Action Plans (IAPs) during incidents/planned events, as appropriate?

- Yes
 No

2007 Section 2 Question 3

Section 2 Metrics: Command and Management
3. Consistent Application of Incident Action Planning

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Does ICS implementation include the consistent application of **incident action planning**?

Yes
 No

If "No," which of the following **impedes** the consistent application of incident action planning: [Check all that apply.]

Plans
 Personnel
 Funding
 Education
 Training
 Exercise
 Other impediments, explain:

2008 Section 22 Question 3 mentions the implementation of Incident Action Plan during incidents or planned events. 2007 Section 2 Question 3 differs in that it mentions the Incident Action Plan in connection with ICS. 2008 doesn't mention ICS in the question, itself. Again, 2007 provides a checklist of possible responses for responders.

2008 Section 22 Question 4

22.4 Does the local government implement common communication plans during incidents/planned events, as appropriate?

Yes
 No

2007 Section 2 Question 4

Section 2 Metrics: Command and Management
4. Consistent Application of Common Communications Plans

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Does ICS implementation include the consistent application of **common communications plans**?

Yes
 No

If "No," which of the following **impedes** the consistent application of common communication plans: [Check all that apply.]

Plans
 Personnel
 Funding
 Education
 Training
 Exercise
 Other impediments, explain:

2008 Section 22 Question 4 asks if the local government has implemented **common communication plans** during incidents or planned events.

2007 Section 2 Question 4 mentions these plans in connection with ISC. 2008 doesn't mention ICS in the question itself. 2007 asks respondents to indicate from a list of seven options the reason implementation might be impeded. 2008 has no such list.

2008 Section 23 Question 1

Command and Management

Multi-agency Coordination System
<p>Compliance Objective 23: Coordinate and support emergency management and incident response activities through the development and use of integrated Multiagency Coordination System (MACS) [i.e., develop and maintain connectivity between local Incident Command Posts (ICP), local 911 Centers, local Emergency Operations Centers (EOCs), the State/Territorial EOC, and Regional and Federal EOCs and NRF organizational elements].</p>
<p>23.1 Does the local government use integrated MACS, where appropriate, during incidents/planned events?</p> <p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>Which of the following primary functions is coordinated by a local government MACS: [Check all that apply.]</p> <p><input type="checkbox"/> Situation assessment</p> <p><input type="checkbox"/> Critical resource acquisition and allocation</p> <p><input type="checkbox"/> Other State/Territory, Tribal Nation, Local, and Federal MACS</p> <p><input type="checkbox"/> Coordination with elected and appointed officials</p> <p><input type="checkbox"/> Coordination of summary information</p> <p><input type="checkbox"/> Incident priority determination</p> <p><input type="checkbox"/> Other functions</p>

2007 Section 2 Question 5

Section 2 Metrics: Command and Management
5. Incident Action Plan Concepts

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.		
Do incident action plans incorporate the following ICS concepts:		
	Yes	No, explain:
Designation of measurable objectives	<input type="radio"/>	<input type="radio"/>
Designation of command staff positions	<input type="radio"/>	<input type="radio"/>
Manageable span of control	<input type="radio"/>	<input type="radio"/>
Clear chain of command	<input type="radio"/>	<input type="radio"/>
Use of plain language	<input type="radio"/>	<input type="radio"/>

2008 Section 23 Question 1 divides the inquiry into two parts. The first part asks if the local government uses the Multi-agency Coordination System during incidents and planned events. Respondents are given the options of “yes” or “no” for response. The second part gives respondents a choice of seven options in response to the issue of primary functions. 2007 Section 2 Question 5 presents a different format from 2008.

Five concepts are listed in which respondents must indicate “yes” or “no”. If the response should be “no” for any of the concepts, respondents are then required to explain the reason.

2008 Section 24 Question 1

Command and Management

Public Information
Compliance Objective 24: Institutionalize, within the framework of ICS, Public Information, [e.g., Joint Information System (JIS) and a Joint Information Center (JIC)] during an incident/planned event.
24.1 Do emergency plans, within the framework of ICS, include processes and procedures for Public Information during incidents/planned events as appropriate? <input type="radio"/> Yes <input type="radio"/> No
Can Public Information establish a JIS during incidents/planned events, as appropriate? <input type="radio"/> Yes <input type="radio"/> No
Can Public Information establish a JIC during incidents/planned events, as appropriate? <input type="radio"/> Yes <input type="radio"/> No
Can Public Information identify individuals to serve as Public Information Officers (PIOs) during an incident/planned event, as appropriate? <input type="radio"/> Yes <input type="radio"/> No

The last inquiry of 2008 Section 24 Question 1 is entirely new and has no counterpart in 2007.

2007 Section 2 Questions 6 through 10

2.6

Section 2 Metrics: Command and Management

6. Communication Plan Components

Compliance Requirements: Incident Command System (ICS): Manage all emergency incidents and preplanned (recurring/special) events in accordance with ICS organizational structures, doctrine and procedures, as defined in NIMS. ICS implementation must include the consistent application of Incident Action Planning and Common Communications Plans, as appropriate.

Do **Common Communication Plans** address:

	Yes	No, explain:
Utilization of communications equipment and facilities assigned to the incident	<input type="radio"/>	<input type="radio"/>
Installation of and testing of all communications equipment	<input type="radio"/>	<input type="radio"/>
Supervision and operation of the incident communications	<input type="radio"/>	<input type="radio"/>
Distribution and recovery of communications equipment assigned to incident personnel	<input type="radio"/>	<input type="radio"/>
Maintenance and repair of communications equipment on site	<input type="radio"/>	<input type="radio"/>

2.7

Section 2 Metrics: Command and Management

7. Encouraging Multi-Agency Coordination Systems (MACS)

Compliance Requirements: Multi-agency Coordination System: Coordinate and support emergency incident and event management through the development and use of integrated multi-agency coordination systems, i.e. - develop and maintain connectivity capability between local Incident Command Posts (ICP), local 911 Centers, local Emergency Operations Centers (EOCs), and the State/Territorial EOC.

Which of the following does the tribal/local jurisdiction provide to encourage the use of **(multi-agency coordination systems (MACS))**:

	Yes	No, explain:
Framework (e.g., organizational capacities, state EOPs, state disaster plans)	<input type="radio"/>	<input type="radio"/>
Training Curriculum	<input type="radio"/>	<input type="radio"/>
Exercises	<input type="radio"/>	<input type="radio"/>



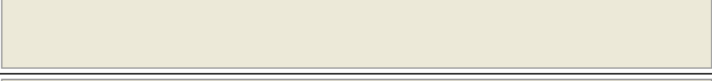

2.8

Section 2 Metrics: Command and Management

8. Designation or Utilization of Multi-Agency Coordination Systems

Compliance Requirements: Multi-agency Coordination System: Coordinate and support emergency incident and event management through the development and use of integrated multi-agency coordination systems, i.e. - develop and maintain connectivity capability between local Incident Command Posts (ICP), local 911 Centers, local Emergency Operations Centers (EOCs), and the State/Territorial EOC.

Does the tribal/local jurisdiction designate or utilize **MACS**? for:

	Yes, explain how a MACS has been successfully utilized:	No
Preplanned Events (Recurring/Special)	<input type="radio"/> 	<input type="radio"/>
Incident-specific Hazards	<input type="radio"/> 	<input type="radio"/>
No-notice Events	<input type="radio"/> 	<input type="radio"/>
Specific Events	<input type="radio"/> 	<input type="radio"/>

Which of the following **impedes** establishing a MACS: [Check all that apply]

- Plans
- Policy
- Training
- Organization
- Personnel
- Funding
- Other impediments, explain: _____

2.9

Section 2 Metrics: Command and Management

9. MACS Function Coordination

Compliance Requirements: Multi-agency Coordination System: Coordinate and support emergency incident and event management through the development and use of integrated multi-agency coordination systems, i.e. - develop and maintain connectivity capability between local Incident Command Posts (ICP), local 911 Centers, local Emergency Operations Centers (EOCs), and the State/Territorial EOC.

Which of the following primary functions is coordinated by a tribal/local **MACS**? [Check all that apply]

- Situation assessment
- Critical resource acquisition and allocation
- Tribal/local, state/territory, and Federal disaster support
- Coordination with elected and appointed officials
- Coordination of summary information
- Incident priority determination
- Other functions that tribal/local MACS provide, explain: _____

2.10

Section 2 Metrics: Command and Management

10. Public Information System (PIS) Included in Emergency Operations Plan (EOP)

Compliance Requirements: Public Information System: Implement processes, procedures, and/or plans to communicate timely, accurate information to the public during an incident through a Joint Information System and Joint Information Center.

Does the tribal/local jurisdictional Emergency Operations Plan (EOP) include processes and procedures for utilizing a **Public Information System (PIS)**, including establishment of a **Joint Information System (JIS)** and a **Joint Information Center (JIC)** as incidents dictate?

- Yes
- No, explain:

If "Yes," how many out of how many individuals are trained in utilizing the PIS? /

Which of the following **impedes** the inclusion of processes and procedures for utilizing a PIS into the tribal/local jurisdictional EOP: [Check all that apply.]

- Plans
- Personnel
- Funding
- Education
- Training
- Exercise
- Other impediments, explain:

2008 Section 24 Question 1 departs from the **very extensive** format of 2007. While 2008 is divided into four inquiries with answer options for “yes” or “no”, 2007 Section 2 Questions 6 through 10 lists a number of concepts per individual table to which respondents must answer “yes” or “no” and are required to explain the reason for the choice of “no”. Some of the tables of 2007 also list functions or concepts to which respondents must give indication of choices. This extensive concept listing is eliminated in 2008.

2008 Section 25 Question 1

Command and Management

Public Information: Ensure information is gathered, verified, coordinated and disseminated

Compliance Objective 25: Ensure that Public Information procedures and processes can gather, verify, coordinate, and disseminate information during an incident/planned event.

25.1 During an incident/planned event can the Public Information system:

	Yes	No
Gather information	<input type="radio"/>	<input type="radio"/>
Verify information	<input type="radio"/>	<input type="radio"/>
Coordinate information	<input type="radio"/>	<input type="radio"/>
Disseminate information	<input type="radio"/>	<input type="radio"/>

2007 Section 2 Question 11

Section 2 Metrics: Command and Management

11. Types of Information That PIS Can Gather, Verify, Coordinate and Disseminate

Compliance Requirements: Ensure that the Public Information System can gather, verify, coordinate, and disseminate information during an incident. (New for FY07)

During incidents, can the tribal/local Public Information System (PIS) gather, verify, coordinate, and disseminate the following types of information: [Check all that apply.]

	Gather	Verify	Coordinate	Disseminate
Critical Emergency Information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Crisis Communication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public Affairs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other types of information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2008 Section 25 Question 1 alters the format of 2007 Section 2 Question 11.

2007 is broader in scope to include **types of information**. Respondents are asked to click all that apply. Four columns are given where respondents must read horizontally to apply indication. 2008 lists options vertically where respondents must indicate “yes” or “no”.