

Paperwork Reduction Act Submission

Please read the instruction before completing this form. For additional forms or assistance in completing this forms, contact your agency's Paperwork Reduction Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 Seventeenth St. NW, Washington, DC 20503.

1. Agency/Subagency Originating Request: U.S. Department of Housing and Urban Development Public and Indian Housing	2. OMB Control Number: a. 2577-0208 b. <input type="checkbox"/> None
--	--

3. Type of information collection: (check one) a. <input type="checkbox"/> New Collection b. <input checked="" type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change , of previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change , of previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note item A2 of Supporting Statement instructions.	4. Type of review requested: (check one) a. <input checked="" type="checkbox"/> Regular b. <input type="checkbox"/> Emergency - Approval requested by c. <input type="checkbox"/> Delegated 5. Small entities: Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 6. Requested expiration date: a. <input checked="" type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other (specify)
--	---

7. Title:
HOPE VI program

8. Agency form number(s): (if applicable)
 HUD 52825-A, HUD 52860-A, HUD-52774, HUD-52780, HUD-52785, HUD-52787, HUD 52861, HUD-52790, HUD-52797, HUD-52798, HUD-52799, HUD-52800, HUD-53001-A , SF-424, SF-LLL, HUD 27061, HUD 27300, HUD 2880, HUD 96010, HUD 96011, HUD-52861, 1044, 2990, 2994-A

9. Keywords:
 'Housing, public housing, public housing authorities, HOPE VI, grant funding, demolition,

10. Abstract:
 The information is required to allow HUD to obligate grant funds in accordance with the HOPE VI program authorizing statute, and to manage the grants that are awarded.

11. Affected public: (mark primary with "P" and all others that apply with "X") a. <input type="checkbox"/> Individuals or households e. <input type="checkbox"/> Farms b. <input type="checkbox"/> Business or other for-profit f. <input type="checkbox"/> Federal Government c. <input type="checkbox"/> Not-for-profit institutions g. <input checked="" type="checkbox"/> State, Local or Tribal Government	12. Obligation to respond: (mark primary with "P" and all others that apply with "X") a. <input type="checkbox"/> Voluntary b. <input checked="" type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
--	---

13. Annual reporting and recordkeeping hour burden: <table style="width: 100%; border-collapse: collapse;"> <tr><td>a. Number of respondents</td><td style="text-align: right;">286</td></tr> <tr><td>b. Total annual responses</td><td style="text-align: right;">1,376</td></tr> <tr><td> Percentage of these responses collected electronically</td><td style="text-align: right;">100%</td></tr> <tr><td>c. Total annual hours requested</td><td style="text-align: right;">26,237</td></tr> <tr><td>d. Current OMB inventory</td><td style="text-align: right;">36,650</td></tr> <tr><td>e. Difference (+,-)</td><td style="text-align: right;">-10,413</td></tr> <tr><td>f. Explanation of difference:</td><td></td></tr> <tr><td> 1. Program change:</td><td style="text-align: right;">+60</td></tr> <tr><td> 2. Adjustment:</td><td style="text-align: right;">-10,353</td></tr> </table>	a. Number of respondents	286	b. Total annual responses	1,376	Percentage of these responses collected electronically	100%	c. Total annual hours requested	26,237	d. Current OMB inventory	36,650	e. Difference (+,-)	-10,413	f. Explanation of difference:		1. Program change:	+60	2. Adjustment:	-10,353	14. Annual reporting and recordkeeping cost burden: (in thousands of dollars) <table style="width: 100%; border-collapse: collapse;"> <tr><td>a. Total annualized capital/startup costs</td><td style="text-align: right;">0</td></tr> <tr><td>b. Total annual costs (O&M)</td><td style="text-align: right;">0</td></tr> <tr><td>c. Total annualized cost requested</td><td style="text-align: right;">0</td></tr> <tr><td>d. Total annual cost requested</td><td style="text-align: right;">0</td></tr> <tr><td>e. Current OMB inventory</td><td style="text-align: right;">0</td></tr> <tr><td>f. Explanation of difference:</td><td></td></tr> <tr><td> 1. Program change:</td><td style="text-align: right;">0</td></tr> <tr><td> 2. Adjustment:</td><td style="text-align: right;">0</td></tr> </table>	a. Total annualized capital/startup costs	0	b. Total annual costs (O&M)	0	c. Total annualized cost requested	0	d. Total annual cost requested	0	e. Current OMB inventory	0	f. Explanation of difference:		1. Program change:	0	2. Adjustment:	0
a. Number of respondents	286																																		
b. Total annual responses	1,376																																		
Percentage of these responses collected electronically	100%																																		
c. Total annual hours requested	26,237																																		
d. Current OMB inventory	36,650																																		
e. Difference (+,-)	-10,413																																		
f. Explanation of difference:																																			
1. Program change:	+60																																		
2. Adjustment:	-10,353																																		
a. Total annualized capital/startup costs	0																																		
b. Total annual costs (O&M)	0																																		
c. Total annualized cost requested	0																																		
d. Total annual cost requested	0																																		
e. Current OMB inventory	0																																		
f. Explanation of difference:																																			
1. Program change:	0																																		
2. Adjustment:	0																																		

15. Purpose of Information collection: (mark primary with "P" and all others that apply with "X") a. <input checked="" type="checkbox"/> Application for benefits e. <input checked="" type="checkbox"/> Program planning or management b. <input checked="" type="checkbox"/> Program evaluation f. <input type="checkbox"/> Research c. <input type="checkbox"/> General purpose statistics g. <input checked="" type="checkbox"/> Regulatory or compliance d. <input type="checkbox"/> Audit	16. Frequency of recordkeeping or reporting: (check all that apply) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure b. <input checked="" type="checkbox"/> Reporting: 1. <input checked="" type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input checked="" type="checkbox"/> Quarterly 5. <input checked="" type="checkbox"/> Semi-annually 6. <input checked="" type="checkbox"/> Annually 7. <input type="checkbox"/> Biannually 8. <input type="checkbox"/> Other (describe)
--	---

17. Statistical methods: Does this information collection employ statistical methods? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	18. Agency contact: (person who can best answer questions regarding the content of this submission) Name: Lar Gnessin or Leigh van Rij Phone: Lar: (202) 402-2676, Leigh: (202) 402-5788
---	--

19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

Note: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320/8(b)(3). Appear at the end of the instructions. The certification is to be made with reference to those regulatory provisions as set forth in the instructions.

The following is a summary of the topics, regarding the proposed collections of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of the information;
 - (iii) burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to collected (see note in item 19 of the instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in item 18 of the Supporting Statement.

Signature of Program Official: X Dominique Blom, Deputy Assistant Secretary, Office of Public Housing Investments	Date:
Signature of Senior Officer or Designee: X Lillian Deitzer, PRA Program Manager Office of Investment Strategies, Policy, and Management, Office of the Chief Information Officer	Date:

Supporting Statement for Paperwork Reduction Act Submissions

Information Collection:

Revitalization of Severely Distressed Public Housing (HOPE VI)

A. Justification

1. The following information collections are required to administer the HOPE VI program. The Notices of Funds Availability (NOFA) for the program announce approximately \$100,000,000 annually available to public housing agencies (PHAs) (for Revitalization and Demolition grants) and units of local government (for Main Street). The HOPE VI Program, through its Revitalization funding component, assists PHAs in improving the living environment for public housing residents of severely distressed public housing projects through the demolition, rehabilitation, reconfiguration, or replacement of severely distressed public housing projects (or portions thereof); in revitalizing sites in which public housing sites are located, and contributing to the improvement of the surrounding community; in providing housing that avoids or decreases the concentration of very low-income families; and in building sustainable communities. The Demolition funding component of the HOPE VI program enables PHAs to expedite the demolition of severely distressed public housing units. The Main Street funding component of the HOPE VI program assists units of local government in furnishing affordable housing in Main Street, or Downtown, rejuvenation efforts.

The HOPE VI program was created by the Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1993 (Pub.L. 102-389), approved on October 6, 1992 (the 1993 Appropriations Act) and was originally know as the Urban Revitalization Demonstration (URD).

Program authority for the HOPE VI program is provided by Section 24 of the U.S. Housing Act of 1937, as added by section 535 of the Quality Housing and Work Responsibility Act of 1998 (Pub.L. 105-276, 112 Stat. 2461, approved October 21, 1998) and the HOPE VI Program Reauthorization and Small Community Mainstreet Rejuvenation and Housing Act of 2003 (Pub.L.108-186, 117 Stat. 2685, approved December 16, 2003). This authorization was renewed by the Consolidated Appropriations Act, 2008 (Pub. L. 110-161, approved December 26, 2007), which extends the program until September 30, 2008. Under this requirement, the Department only has a few months to award and obligate the 2008 funds or they will be returned to the Treasury. The statutory reference for program authorization applies to all HOPE VI information collections. The statute authorizes the collection of information in order for HUD to award and manage grants.

Funding authority for HOPE VI Revitalization, Demolition, and Main Street grants has been provided annually by Congress. The last Appropriations Act that funded the HOPE VI Program was the Consolidated Appropriations Act, 2008 (Pub. L. 110-161, approved December 26, 2007). The program does not have regulations. Rather, grants are governed by each Fiscal Year's NOFAs, as published in the Federal Register, and the Grant Agreements executed between each grant recipient and HUD.

2. Eligible PHAs and units of local government interested in obtaining HOPE VI grant funds are required to submit applications to HUD, as explained in the NOFAs. The information collection covers the information needed from applicants to determine which applicants should be funded. The information provided demonstrates the applicants' plans to implement the grant requirements, and includes related applicant history. Narrative exhibits include plans, maps, and drawings. The information will be used by HUD Office of Public Housing Investments staff to evaluate threshold requirements and rate and rank grant applications. Applicants that receive grant funds are required to report to HUD quarterly on their progress, enabling HUD to manage and monitor

the programs. The information collection also covers the data needed to fulfill this reporting requirement which may include closeout audits and final reports.

- 3. Technology applied to the collection:** This information collection is automated in order to improve data quality and to reduce the public reporting burden. Since FY 2005, the Department has required NOFAs to be submitted electronically via Grants.gov. The grant management reporting – for grants that are awarded – is wholly automated via an internet-based reporting system. Automation supports 100% of the application process; 100% of the Quarterly reporting; 100% of the Budget Revisions (except where original signatures are required).

4. Duplication of Effort:

There is no duplication of effort. Information collected is unique to each type of collection and does not duplicate any similar information or method.

5. Impact on Small Business and Small Entities:

These information collections have no impact on small businesses or other entities.

6. Consequence of Less Frequent Collection:

The Federal statutory mandate would not be met if the collection is not conducted. The information collection is necessary to the continuation of the HOPE VI program and so that the available funds may be awarded to successful applicants for HOPE VI program grants. The statute also requires reporting on the HOPE VI program.

7. Special Circumstances for Information Collection:

There are no special circumstances that would cause these information collections to be conducted inappropriately.

8. Federal Register Notice and Public Comments:

For the overall HOPE VI Program collection requirements, a Notice was published in the Federal Register on February 13, 2008 to solicit comments on the HOPE VI information collections, which includes: HOPE VI Revitalization Application Requirements as stated in the NOFA, HOPE VI Demolition Application Requirements, HOPE VI Main Street Application Requirements, HOPE VI Quarterly Reporting, HOPE VI Budget form, HOPE VI Relocation Plan Guide, HOPE VI CSS Workplan, and the Actual HOPE VI Cost Certificate. Comments were due April 14, 2008. No public comments were received in response to the Federal Register notice.

9. Payment/Gifts to Respondents:

No payments or gifts are provided to respondents for any of these information collections.

10. Assurances of Confidentiality:

Assurance of confidentiality is neither provided nor needed for any of these information collections.

11. Questions of a Sensitive Nature:

No sensitive questions are being asked for any of these information collections.

- 12. Estimate of Annual Burden Hours for Information Collection:** The chart below outlines the burden associated with the various aspects of the HOPE VI grants program and a breakout of the forms associated with each portion of that burden.

Costs to the respondents to complete these information collections will not exceed those incurred

by regular grant administration, planning and management. Under Non-NOFA Collections in the chart, the number of respondents performing Quarterly Progress Reporting includes all respondents in the HOPE VI Revitalization program (this excludes HOPE VI Demolition grantees). Other respondents listed are subsets of the 207 that are reporting.

For HOPE VI Revitalization burden hours total to 194 (versus 190 in 2007). This is due to the addition of the Capital Fund Financing Certification and inclusion of other standard forms (e.g., HUD 52515) in the total count. The total burden thus remains almost identical to that in the past. Forms such as 52515 are not duplicative information. These forms, while authorized under different OMB Control Numbers, are submitted as part of the HOPE VI applications and used specific to the applications' content.

The number of respondents for Revitalization Applications is stated below as 30, which is the average number of applicants per year, in recent years (several years ago, when the program had more funding, we received a greater number of applicants/applications). The 34 respondents indicated for the Demolition Applications also reflects the average number of applicants for that program. These applicants are not necessarily the same as those that apply for Revitalization and Main Street grants, and thus are counted in addition to the 30 and 15 respondents for those two programs. The 15 respondents indicated for the Main Street applications are not the same as those that apply for Revitalization and Demolition grants, and thus are counted in addition to the 30 and 34 respondents for those two programs. The 207 represents the current HOPE VI grantee portfolio and thus are not necessarily those covered under the application respondents. Accordingly the 207 is counted in addition to the application respondent numbers. $30 + 34 + 15 + 207 = 286$.

	Respondents	Annual responses	Total responses	Burden per Response	Total burden hours	Total Burden Cost
Revitalization Application						
Narrative Exhibits	30	1	30	100.75	3,022.50	\$136,013
52860-A Revitalization Application form	30	1	30	15	450	\$20,250
52800 Application Checklist	30	1	30	0.25	7.5	\$338
52825-A HOPE VI Budget	30	1	30	3	90	\$4,050
52799 TDC Grant Limitations Wrksht	30	1	30	1	30	\$1,350
52797 Leverage Resources	30	1	30	4	120	\$5,400
52785 Training/Meeting Certification	30	1	30	0.5	15	\$675
52787 Readiness Certification	30	1	30	0.5	15	\$675
52515 Funding Application Section 8	34	1	34	1	34	\$0
Extraordinary Site Costs Certification	30	1	30	2	60	\$2,700
City Map	30	1	30	3	90	\$4,050
Program Schedule	30	1	30	4	120	\$5,400
Photographs of site & neighborhood	30	1	30	5	150	\$6,750
Preliminary Market Assessment Letter	30	1	30	4	120	\$5,400
Documentation of Site Control	30	1	30	20	600	\$27,000
Documentation of Environmental & Neighborhood Standards	30	1	30	2	60	\$2,700
Land Use Certification or Documentation	30	1	30	3	90	\$4,050
Evaluation Commitment Letter	30	1	30	2	60	\$12,000

Current Site Plan	30	1	30	5	150	\$15,000
Conceptual Site Plan	30	1	30	5	150	\$15,000
Conceptual Building Elevations	30	1	30	5	150	\$15,000
HOPE VI Revitalization Application Certification	30	1	30	3	90	\$4,050
Capital Fund Financing Certification	30	1	30	2	60	\$2,700
SF-424 Application for Federal Assistance	30	1	30	1	30	\$0
SF-LLL Disclosure of Lobbying Activities	30	1	30	0.17	5.1	\$0
27061Race and Ethnic Data Reporting Form	30	1	30	0	0	\$0
27300 America's Affordable Communities Initiative	30	1	30	0	0	\$0
2880 Applicant/Recipient						
Disclosure/Update Report	30	1	30	0	0	\$0
96010 Logic Model	30	1	30	0	0	\$0
96011 Third Party Facsimile Report	30	1	30	0	0	\$0
Sub Total	30	1	30	192.17	5,769.10	\$290,551
Demolition Application						
Narrative Exhibits	34	1	34	38.25	1,300.50	\$58,523
52780 Demo Grant Limitations Wrksht	34	1	34	1	34	\$1,530
52790 Demolition Application Checklist	34	1	34	0.25	34	\$1,530
52515 Funding Application Section 8	34	1	34	0	0	\$0
SF-424 Application for Federal Assistance	34	1	34	1	34	\$0
SF-LLL Disclosure of Lobbying Activities	34	1	34	0.17	5.78	\$0
27061Race and Ethnic Data Reporting Form	34	1	34	0	0	\$0
27300 America's Affordable Communities Initiative	34	1	34	0	0	\$0
2880 Applicant/Recipient						
Disclosure/Update Report	34	1	34	0	0	\$0
96010 Logic Model	34	1	34	0	0	\$0
96011 Third Party Facsimile Report	34	1	34	0	0	\$0
Sub Total	34	1	34	40.67	1408.28	\$61,583
Main Street Application						
Narrative Exhibits	15	1	15	40	600	\$27,000
52861 Application Data Sheet	15	1	15	5	75	\$3,375
SF-424 Application for Federal Assistance	15	1	15	1	15	\$0
SF-LLL Disclosure of Lobbying Activities	15	1	15	0.17	2.55	\$0
27061Race and Ethnic Data Reporting Form	15	1	15	0	0	\$0
27300 America's Affordable Communities Initiative	15	1	15	0	0	\$0
2990 Certification of Consistency	15	1	15	0	0	\$0
2994- Client Comments (2535-0116)	15	1	15	0	0	\$0
2880 Applicant/Recipient						
Disclosure/Update Report	15	1	15	0	0	\$0
96010 Logic Model	15	1	15	0	0	\$0
96011 Third Party Facsimile Report	15	1	15	0	0	\$0
Sub Total	15	1	15	46.17	692.55	\$30,375
Non-NOFA Collections						

On-line Quarterly Reporting	207	4	828	20	16,560.00	\$629,280
52825-A Budget updating	207	2	414	3	1,242.00	\$55,890
52798 Supportive Svcs Workplan	5	1	5	15	75	\$3,375
52774 Relocation Guide Plan	30	1	30	15	450	\$20,250
53001-A Cost Certificate	20	1	20	2	40	\$1,800
Sub Total	207		1,297	55	18,367.00	\$710,595
Total Burden	286		1,376	334.01	26,236.93	\$1,093,104

13. Cost Burden of Information Collection:

There are no start-up or additional costs to the respondents other than those reported in Item 12 above in the Burden Cost Column. The GS-14-1 wage was used for most collections, at \$45 per hour. One collection requires a GS-15-1, at \$53 per hour. A few collections have to be completed by licensed Architect at \$100 per hour.

14. Annualized Cost to Federal Government:

We do not estimate that there will be any additional costs to the Federal government for any of these information collections.

15. Changes or Adjustments to OMB Form 83-I:

Program Change:

1. The Capital Fund Financing Certification was added in the FY 2007 NOFA in order to assess any impact of the Capital Fund Financing Program on proposed HOPE VI applications. This represents 2 burden hours per respondent.

Adjustments:

1. The following information has been collected over recent years. The associated burden has been captured in the 52860-A Revitalization Application form, but had not been broken out individually. Accordingly, the burden hours associated previously with 52860-A Revitalization Application form have been reduced and redistributed across the items in this paragraph. For clarity, we are breaking out these individual parts, which include:
 - a. 52860-A Revitalization Application form, changed in burden from 80 hours to hours. For this form, this is a decrease of 65 hours. However, as noted above, this reduction of hours is only for this form; the 65 hours have been redistributed to the other forms below as noted. The cost for this form is \$675.
 - b. Extraordinary Site Costs Certification was previously part of the burden for 52860-A. It is now broken out and represents 2 hours and \$90.
 - c. City Map was previously part of the burden for 52860-A. It is now broken out and represents 3 hours and \$135.
 - d. Program Schedule was previously part of the burden for 52860-A. It is now broken out and represents 4 hours and \$180.
 - e. Photographs of site & neighborhood was previously part of the burden for 52860-A. It is now broken out and represents 5 hours and \$225.
 - f. Preliminary Market Assessment Letter was previously part of the burden for 52860-A. It is now broken out and represents 5 hours and \$180.

- g. Documentation of Site Control was previously part of the burden for 52860-A. It is now broken out and represents 20 hours and \$900.
 - h. Documentation of Environmental & Neighborhood Standards was previously part of the burden for 52860-A. It is now broken out and represents 2 hours and \$90.
 - i. Land Use Certification or Documentation was previously part of the burden for 52860-A. It is now broken out and represents 3 hours and \$135.
 - j. Evaluation Commitment Letter was previously part of the burden for 52860-A. It is now broken out and represents 2 hours and \$200.
 - k. Current Site Plan was previously part of the burden for 52860-A. It is now broken out and represents 5 hours and \$500.
 - l. Conceptual Site Plan was previously part of the burden for 52860-A. It is now broken out and represents 5 hours and \$500.
 - m. Conceptual Building Elevations was previously part of the burden for 52860-A. It is now broken out and represents 5 hours and \$500.
 - n. HOPE VI Revitalization Application Certification was previously part of the burden for 52860-A. It is now broken out and represents 3 hours and \$135.
2. The physical format of the CSS Workplan (HUD 52798) has changed to make it more user-friendly. The burden and cost remain the same.
 3. The form HUD-52861, Main Street Application Data Sheet, has been changed to request email addresses instead of phone numbers for sources of financing stated in the application. There is no change in burden or cost.

16. Publication of Information Collection Results:

Information collection results will not be published.

17. Expiration Date:

The OMB approval number and date will appear on the HUD-prescribed forms.

18. Exceptions to Certification Statement:

There are no exceptions to the certification statement identified in item 19.

B. Collections of Information Employing Statistical Methods

There are no collections of information that employ statistical methods.