## Information Collection Requirements

The information collection requirements contained in this proposed rule have been approved by the Office of Management and Budget (OMB) under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520) and assigned OMB Control Number 2577-XXXX. In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a currently valid OMB control number.

## Telephone Survey <br> Public Housing Authority Staff Members

Respondent Name(s):
Position/Title(s): $\qquad$
HA Name: $\qquad$

City/State: $\qquad$
Phone Number: $\qquad$
E-mail Address: $\qquad$
HA Identification Code: $\qquad$

Interviewer Name: $\qquad$
Date Interview Completed: $\qquad$

## PHA Size Information (Pre-fill from PIC)

\# of Vouchers $\qquad$
\# of Public Housing Units $\qquad$

## Introduction

Hello, my name is [interviewer name]. I work for the [AREA/Abt Associates/Urban Institute] in [Chicago/Boston/Washington, DC], an independent research organization. I do not work for the U.S. Department of Housing and Urban Development (HUD) or any housing authority; however, we are conducting research for HUD. [AREA/Abt Associates/Urban Institute] is conducting a study to identify possible reforms to the subsidies provided to low-income renters
through the Office of Public and Indian Housing Public Housing and Housing Choice Voucher programs.

As part of this research, we are conducting interviews with staff members of approximately $\underline{\mathbf{2 0 0}}$ public housing authorities to obtain staff experiences and insights on a number of topics affecting rent subsidies. The objective of the housing authority (HA) survey is to provide the Office of Public and Indian Housing with information on current and potential rent policies.

To answer these questions, we want to learn as much as we can about the nature of your HA's experiences with current rent policies. [For staff other than Executive Director] A letter and a copy of the telephone survey instrument were mailed to your Executive Director with information about the study. [Person's Name] recommended that we contact you to complete this telephone survey.

This survey will take about $\mathbf{4 5}$ minutes to complete. Participating in this survey is voluntary, and you can refuse to answer any question. The information we obtain from these interviews will be presented only as statistical summaries, and no individual respondents will be identified. This survey is being conducted with OMB approval. Your opinions are important to the study and we hope that you agree to participate. The information you provide will help HUD better understand housing agencies’ perspectives and experiences with respect to rent policies. HUD hopes to use findings from this study to develop rent policies that are more equitable for households needing assistance and more flexible and effective for HAs to administer.

Do you have any questions or comments before we continue?

## Waiting Lists/Tenant Preferences

First, I would like to ask you a few questions regarding your waiting list(s) and the HA's philosophy and criteria for selecting households whom you should serve.

Do you have one waiting list for public housing and voucher applicants or separate lists?
One list for both

Separate lists
Public housing only HA
Voucher only HA
REFUSED
DON'T KNOW
$\qquad$
$\qquad$ (Skip to 1b)
(Skip to 1b)
___ (Skip to 1c)

1a. (If one list for both) About how long do people stay on the waiting list before being offered assistance?

Less than one year
One year
Two years
Three years
Four years
Five to nine years
Ten years or more
If range, specify in years
REFUSED
DON'T KNOW


1b. (For HAs with separate list(s) for public housing) About how long do people stay on the public housing waiting list before being offered assistance?

Less than one year
One year
Two years
Three years
Four years
Five to nine years
Ten years or more
If range, specify
REFUSED
DON'T KNOW
$\qquad$ to $\qquad$ years

1c. (For HAs with separate list(s) for HCV units) About how long do people stay on the HCV waiting list before being offered assistance?

Less than one year
One year
Two years
Three years
Four years
Five to nine years
Ten years or more
If range, specify
REFUSED
DON'T KNOW
1d. (For HAs with both vouchers and public housing and separate lists for both)
Can an applicant be on more than one list?
Yes
No
REFUSED
DON'T KNOW

Compared to other households who are elderly, disabled, or homeless, do working households have a much shorter wait, a shorter wait, about the same, a longer wait or a much longer wait before they are admitted?

Much shorter wait
Shorter wait
About the same wait
Longer wait
Much longer wait
REFUSED
DON'T KNOW
For non-elderly and non-disabled households on the waiting list who are not currently working, do you require entrance into a work training program upon admittance?

Yes
No
REFUSED
DON'T KNOW

(If have separate public housing and voucher lists) At the present time, is your public housing waiting list open? At the present time, is your voucher list open?

Public Housing

| Lists | HCV |
| :---: | :---: |
| Lists |  |
| Open (If both open, skip to 5) | - |

Closed

## REFUSED

## DON'T KNOW

4a. For each list that is closed, how long has/have the waiting list(s) been closed?

|  | One List | Public Housing Lists | HCV Lists |
| :--- | :--- | :--- | :--- |
| Less than one year |  |  |  |
| One year |  |  |  |
| Two years |  |  |  |
| Three years |  |  |  |
|  |  |  |  |


| Four years |  |  |  |
| :--- | :--- | :--- | :--- |
| Five or more years |  |  |  |
| REFUSED |  |  |  |
| DON'T KNOW |  |  |  |

4b. For each list that is closed, when does the HA plan to reopen the list? (Probe for estimate if respondent reports it depends on certain circumstances. Ask: Given those circumstances/situation, what is your best estimate of when it will open?)

|  | One List | Public Housing Lists | HCV Lists |
| :--- | :--- | :--- | :--- |
| Less than six months |  |  |  |
| More than six months but less than <br> one year |  |  |  |
| More than one year |  |  |  |
| No plans to open the wait list |  |  |  |
| It depends on circumstances and <br> cannot provide good estimate of <br> time |  |  |  |
| REFUSED |  |  |  |
| DON'T KNOW |  |  |  |

## Rent Systems

Now I would like to ask you about the HA's experiences with minimum and flat rents. I will start out with some questions about the minimum rent.

Minimum Rent

What minimum rent do you currently charge?
\$50
\$25
\$ 0
Other (specify)
\$ $\qquad$ (Skip to 9)

REFUSED DON'T KNOW
(If minimum rent $>\mathbf{\$ 0}$ ) A hardship exemption allows income-based rent that is lower than the minimum rent. What financial hardship exemptions does the HA allow for minimum rents? (Check all that apply.)

When a family has lost eligibility for or is awaiting an eligibility determination for a Federal, State, or Local assistance program

When a family would be evicted because of inability to pay minimum rent

When income of family has decreased because of changed circumstances, such as job loss

When there are changes in family composition/number of employed adult members

When there are changes in family composition/addition of dependent members (children, elderly adults, or live-in aide)

When a death has occurred in the household or immediate family

When a disability or other serious medical problem occurs
Other circumstances

## REFUSED

## DON'T KNOW

During the past year, approximately what percentage of minimum rent households used the hardship exemption?

Is the use of the hardship exemption to the minimum rent limited by the number of months it is permitted each lease term?

|  | Yes | If yes, specify <br> number of <br> months | No | DON'T <br> KNOW | REFUSED |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Number of months |  |  |  |  |  |

8a. (If yes) Specify the number of times it is permitted each lease term?

|  | Yes | If yes, specify <br> number of <br> times | No | DON'T <br> KNOW | REFUSED |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Number of times permitted |  |  |  |  |  |

Have your minimum rents changed over time?
Yes
No
__ (Skip to 10)
REFUSED
DON'T KNOW
9a. (If yes) Have minimum rents increased or decreased?

Increased
Decreased
REFUSED
DON'T KNOW
$\qquad$
$\qquad$
$\qquad$
$\qquad$
i. the last 2 years?
\$_
(If increased) What was the approximate dollar amount of the increase in

Not changed in last 2 years
REFUSED
DON'T KNOW
ii.
(If decreased) What was the approximate dollar amount of decrease in the last 2 years?
\$ 1-50
Not changed in last 2 years

## REFUSED

DON'T KNOW

9b. (If minimum rents changed) Why did minimum rents change? (Mark all that apply)
(If minimum rents increased)
To generate more revenue to serve more people
To generate more revenue to meet budget shortfall
Negative responses from residents/community
Lower minimum rent was not fair to households paying higher rent.
$\qquad$
Wanted to increase motivation to became self sufficient
Did not believe income reports at incomes less than \$150 dollars a month were accurate
(If minimum rents decreased)
Too many hardship exemptions
Minimum rent was unaffordable to many people
Negative responses from residents/community
REFUSED
DON'T KNOW
(For HAs that charge less than $\mathbf{\$ 5 0}$ for minimum rent) Why did the HA establish a minimum rent below the maximum allowed?

Families would not be able to afford \$50
Negative response from residents/community
Plan to gradually increase to $\$ 50$ over time
Other (specify $\qquad$ _)

REFUSED
DON'T KNOW
I would like to ask you a hypothetical question. What is the highest minimum rent that housing authorities should be allowed to set for non-elderly and non-disabled households assuming that current hardship policies continued and any additional revenue went to assist more households?
\$50
\$75
\$100
\$150
\$200
10\% of the Fair Market Rent
20\% of the Fair Market Rent
Utility costs
Other (specify) $\qquad$
REFUSED
DON'T KNOW

Now, I would like to ask you some questions about flat rents. For these questions, I'm referring to the flat rents specified in the QWHRA that do not vary by the income of the tenant and give tenants an option each year of paying a flat rent that the HA establishes for the rental value of the unit or paying the standard income-based rent.

Which of the following best describes how you determined the appropriate level for your flat rents?

In proportion to Fair Market Rents
(FMRs)
In proportion to payment standard
Based on rent reasonableness data
$95^{\text {th }}$ percentile of actual tenant rents
Other percentile of actual tenant rents
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$

Based on operating cost for a unit $\qquad$
Based on private market surveys
Other (specify) $\qquad$
No data sources used

## REFUSED

$\qquad$
$\qquad$

## DON'T KNOW

$\qquad$

Do your flat rents vary by bedroom size, household size, amenities, recent modernization, location, etc.?

Yes
No (Skip to 14)
REFUSED (Skip to 14)
DON'T KNOW (Skip to 14)

13a. If yes, which of these factors does it vary by?

|  | Flat Rents |
| :--- | :--- |
| Bedroom size |  |
| Household size |  |
| Amenities |  |
| Recent modernization |  |
| Location |  |
| REFUSED |  |
| DON'T KNOW |  |

How frequently do flat rents change?

Annually (every year)
Every 2 years
As needed/ad hoc basis
REFUSED
DON'T KNOW
Under what circumstances do flat rents change?

Due to changed housing market conditions $\qquad$
Due to changed HA property conditions
Due to annual/periodic review
Due to sharp increases in utility costs paid by housing authority

Due to changes in HUD funding
REFUSED
DON'T KNOW

When setting flat rents, what purposes do you assume that they serve?
16a. Which of the purposes that you identified is the most important purpose of flat rents?

CHECK ALL INDICATE ONE THAT APPLY MOST IMPORTANT
Allow HA to more accurately project revenue stream
Lessen income verification workload
Encourage tenants to accurately report income
Encourage tenants to sustain or increase earnings
Retain higher income households
Decrease tenant length of stay
Improve tenant sense of equity
Improve tenant sense of stability
Improve tenant planning
Encourage mixed-income communities
Other (specify $\qquad$ _)
REFUSED
DON'T KNOW

Has the establishment of flat rents increased, decreased or had no affect on the following?
17a. (If more than one increased or decreased) Which change has been most important to your HA?

|  | Increased | Decreased | No Affect | Check <br> Only One <br> (Most <br> Important) | REFUSED | DON'T <br> KNOW |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| HA revenues |  |  |  |  |  |  |
| HA income <br> verification <br> workload |  |  |  |  |  |  |
| Accuracy of <br> reported income |  |  |  |  |  |  |
| Number of <br> households with <br> increased <br> earnings |  |  |  |  |  |  |
| The rate of tenant <br> turnover |  |  |  |  |  |  |

Has the establishment of flat rents affected demand for public housing?
Yes
No
(Skip to 19)
REFUSED
DON'T KNOW
18a. (If yes) How has the establishment of flat rents affected demand?
Increased the demand in a few modernized and mixed-income developments Increased the demand in many developments Decreased the demand $\qquad$
REFUSED
DON'T KNOW
Have there been changes in the characteristics of households that apply for housing and/or are on the HA's waiting list, since the HA established flat rents?

Yes
No

## REFUSED

DON'T KNOW

19a. (If yes) Do you have fewer, more, or a similar number of wage earners?
19b. (If yes) Do you have fewer, more, or a similar number of single-headed households?

|  | Fewer | More | Similar | REFUSED | DON'T <br> KNOW |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Wage earners |  |  |  |  |  |
| Single-headed <br> families |  |  |  |  |  |

Should there be maximum income limits for households that elect to use flat rents?
Yes
No
(Skip to 21)

## REFUSED

DON'T KNOW
20a. (If yes) What percentage of the area median income should be the income limit?

## \% 1-199

REFUSED
DON'T KNOW

If you could set flat rent standards lower than the current standards allowed in order to serve more households earning $\$ 10,000$ to $\$ 20,000$, would you?

Yes
No


REFUSED
DON'T KNOW

21a. (If yes) What average flat rent levels would you use? Please provide an average flat rent number or a range of flat rents.

Average
\$ $\qquad$ 1-1,000+
Range
\$ $\qquad$ to \$ $\qquad$
Other (specify)
REFUSED
DON'T KNOW

21b. (If yes) Would you keep a higher level of rents for current users of flat rents with higher incomes?

| Yes | - |
| :--- | :--- |
| No | - |
| REFUSED | - |
| DON'T KNOW | - |
| (Skip to 22) |  |

21c. (If no) Do you see obstacles in setting flat rents lower for some households?
Yes, it is difficult to administer multiple tiers.
Yes, if one tier were set lower, the HA would lose revenue.
Yes, it is difficult to administer multiple tiers that vary by income and development
Yes, would not be a fair system for tenants
No
Other (specify) $\qquad$

## REFUSED

DON'T KNOW

## Opinions about Current Rent System

The current rent system for tenants in public housing and vouchers requires most tenants to make payments based on 30 percent of adjusted income, except for those with minimum and flat rents and those with temporary earnings disregards. We would like to know your opinions about the current system.

We want to know whether you are satisfied with the current rent system or do you think it has flaws. Would you say you are....

Satisfied with current system as it is.
Satisfied with current system, but it has minor flaws.
Not satisfied with current system; it has minor flaws.
Not satisfied with current system; it has major flaws.
REFUSED
DON'T KNOW

22a. If you could change anything about the current rent system to better serve households needing housing assistance, what two things would you change?

Specify $\qquad$
$\qquad$

Specify $\qquad$

## REFUSED

## DON'T KNOW

$\qquad$
Now I would now like your reaction to the following statements about the current system. Please indicate if you strongly agree, agree, neither agree nor disagree, disagree, or strongly disagree with the statement.

|  | Strongl <br> y Agree | Agree | Neither agree nor disagree | Disagree | Strongly <br> Disagree | REFUSED | DON'T KNOW |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| The current rent system discourages work. |  |  |  |  |  |  |  |
| The current rent system charges too high a rate on types of income that are easily verified. |  |  |  |  |  |  |  |
| The current rent system charges an appropriate rent not too high and not too low - to most tenants. |  |  |  |  |  |  |  |
| The current rent system spends far too many housing authority resources on verifying incomes. |  |  |  |  |  |  |  |
| The current system serves the people most in need of housing assistance. |  |  |  |  |  |  |  |
| The current system does not serve the people most in need of housing assistance. |  |  |  |  |  |  |  |
| The current rent system discourages adult partners from staying in the household. |  |  |  |  |  |  |  |
| The current rent system prevents the housing agency from being trusted by tenants. |  |  |  |  |  |  |  |

Some housing observers say that government agencies cannot determine with any accuracy the real annual income of households, because much of the income from such households comes from sources that are not easily verified or predictable. In short, these critics say that government agencies cannot determine if a household reporting an income of zero or $\$ 2,500$ is any needier than a household reporting an income of $\$ 10,000$.

How strongly do you agree or disagree with this opinion? Do you strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, or have no opinion?

Strongly agree
Agree
Neither agree nor disagree
Disagree
Strongly disagree
REFUSED
No opinion/DON'T KNOW

## Alternative Rent Systems

HUD would like to hear your perspective on alternative rent systems. I would like to ask you questions about alternative rent systems, such as used by some MTW sites, and the HA's preferences for each alternative.

Should housing authorities be allowed more flexibility in setting rents and payment standards than is allowed under the current rules?

Yes
No
REFUSED -
DON'T KNOW
If more flexibility were allowed, would your HA use the greater flexibility to establish different policies for settings rents and payment standards?

Yes
__ (Skip to 26b)
No
REFUSED
DON'T KNOW

26a. (If no) What would be reasons not to use such flexibility? (Read list.)
i. (If more than one in 26a) Which reason would you say is most important?

|  | Check all <br> that apply | Most <br> Important |
| :--- | :--- | :--- |
| Current system is known | - | - |
| Current system is fairer to current residents | - | - |
| Board wants current system | - | - |
| New system would be hard to administer | - | - |
| New system would be hard to explain | - | - |
| Other (specify) | - |  |
| REFUSED | - |  |
| DON'T KNOW | - |  |

26b. (If yes, the HA would use greater flexibility) What would be the HA's reasons for requesting greater flexibility? (Read list.)
i. (If more than one in 26b) Which reason, would you say, is most important?

Make rent flows and HA budgeting more predictable Make rents fairer to current residents who report earnings
Assist more households not currently served Increase household stability
Encourage more working households
Other (specify) $\qquad$
Other (specify) $\qquad$
Other (specify) $\qquad$
REFUSED
DON'T KNOW

| Check all | Most |
| :--- | :--- |
| that apply | Important |



26c. (If yes, HA would use greater flexibility) Would you restrict major changes to the following:

Newly admitted households
Newly admitted households whose heads were not disabled and/or not elderly
Newly admitted households first and contract renewals later
All households whose heads were not disabled and/or not elderly
No restriction
REFUSED
DON'T KNOW
26d. (If yes, HA would use greater flexibility) Under a hardship policy that would let a tenant request rents lower than some minimum or flat rents or argue for a higher payment standard, do you think tenants should be limited to a certain number of hardship appeals?

| Yes | - |
| :--- | :--- |
| No | - |
| REFUSED | - |
| DON'T KNOW | - |

26e. (If yes, HA would apply for greater flexibility) Under a hardship policy that would let a tenant request rents lower than some minimum or flat rents or argue for a higher payment standard, do you think tenants should be limited to a certain number of months for their hardship?

| Yes | - |
| :--- | :--- |
| No | - |
| REFUSED | - |
| DON'T KNOW | - |

I would like to ask you a hypothetical question. Assuming you were allowed greater flexibility in setting rents and payment standards, rate the following rent reform proposals as worth considering, not worth considering, no opinion: (Read options a-c for HA with PH only, d for HA with vouchers only.)

|  | Worth <br> Considering | Not Worth <br> Considering | No Opinion/ <br> DON'T KNOW | REFUSED |
| :--- | :--- | :--- | :--- | :--- |
| a. Flat rents averaging between $\$ 150$ <br> and $\$ 300$ based only on number of <br> bedrooms, with no yearly change <br> except an inflation index. Rents not <br> based on reported income. |  |  |  |  |
| b. Flat rents starting at \$150 for new <br> tenants, then rising in \$50-75 yearly <br> increments. Rents not based on <br> reported income. |  |  |  |  |
| c. Minimum rent of \$150 per month <br> for households with an annual <br> income of \$6,000 or less. If the <br> household has an annual income <br> above \$6,000, they would pay $10 \%$ <br> of the additional income in rent. <br> (For example, a household with an <br> income of \$7,200 would have a rent <br> of \$150 per month for the first <br> \$6,000 and then 10\% of the <br> additional \$1,200 which is \$120 <br> annually or \$10 per month. Total <br> monthly rent would be \$160.) |  |  |  |  |
| d. (For voucher households only) <br> Payment standards set initially at 90 <br> percent of the FMR for determining <br> tenant rental contribution and HAP <br> payments, then decreasing by 5 <br> percentage points of FMR each year. |  |  |  |  |

The following questions ask about the HA's payment standards.
What factors affect how the HA sets it payment standards?
28a. (If more than three factors) Which three factors are most important?
$\left.\begin{array}{lll} & \begin{array}{l}\text { CHECK ALL } \\ \text { THAT }\end{array} & \begin{array}{l}\text { INDICATE } \\ \text { THREE MOST }\end{array} \\ \text { APPLY }\end{array}\right)$

Does the HA take into consideration utility costs when setting payment standards?
Yes, fully reflects utility costs
Yes, some specific adjustments for utility costs
Yes, FMR accurately reflects utility costs
Yes, use current local data that reflect HAs utility costs
No
REFUSED
DON'T KNOW

At what percent of FMRs have payment standards been typically set in the past year?
90 percent
100 percent
110 percent
Other (specify)
Varies, provide range
REFUSED
DON'T KNOW
$\qquad$ to $\qquad$ \%

Does HUD allow you to set payment standards up to 120 percent of FMRs or above 120 percent of FMRs?

No, can't go above 110\% of FMR
Yes, Up 120 percent of FMRs
Yes, Above 120 percent of FMRs
REFUSED
DON'T KNOW $\qquad$
Does the HA have one payment standard throughout its jurisdiction or do standards vary by geographic area?

One standard $\qquad$ (Skip to 33)
Vary by geographic area
REFUSED
DON'T KNOW
$\qquad$

32a. (If payment standards vary by geographic area) How many different payment standards does the HA have for various geographic areas? $\qquad$ (number)

32b. How are the geographic areas defined? (Check all that apply.)

| Neighborhoods within central city | - |
| :--- | :--- |
| Central city versus suburbs | - |
| Outlying county/counties | - |
| Communities within county or HA's jurisdiction | - |
| Other (specify) | - |
| REFUSED | - |
| DON'T KNOW |  |

32c. What is the highest payment standard in any geographic area?
32d. What is the lowest payment standard in any geographic area?

| Area | $\mathbf{9 0 \%}$ | $\mathbf{1 0 0 \%}$ | $\mathbf{1 1 0 \%}$ | $\mathbf{1 2 0 \%}$ | above <br> $\mathbf{1 2 0 \%}$ | Other <br> (specify) | REF | DK |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Highest |  |  |  |  |  |  |  |  |
| Lowest |  |  |  |  |  |  |  |  |

32e. Why does the payment standard vary by geographic area?
Increase voucher success rate
Encourage deconcentration of HCV households
High cost Aexception areas@
Other (specify $\qquad$ _)

## REFUSED

DON'T KNOW $\qquad$
Does the HA use the same percentage of FMR as the payment standard for all unit sizes/number of bedrooms, or do standards vary by unit size?

One standard $\qquad$ (Skip to 34)
Vary by unit size
REFUSED
DON'T KNOW
33a. (If payment standards vary by unit size) What unit size(s) has/have the highest payment standard relative to FMR? For that unit size, what is the payment standard relative to the FMR? (Provide information for more than one, if more than one unit size has the maximum payment standard.)

One bedroom units
Two bedroom units
Three bedroom units
-_-_ \% 1-150 of FMR
____ _ $\%$ \% 1-150 of FMR

Four bedroom units
___ __ \% 1-150 of FMR
Five or more bedroom units $\square$ \% 1-150 of FMR
REFUSED
DON'T KNOW
$\qquad$
$\qquad$

33b. (If payment standards vary by unit size) What unit(s) has/have the lowest payment standard relative to FMR? For that unit size, what is the payment standard relative to the FMR? (Provide information for more than one, if more than one unit size has the minimum payment standard.)

| One bedroom units | - |
| :--- | :--- |
| Two bedroom units | - |
| Three bedroom units | - |
| Four bedroom units |  |
| Five or more bedroom units | - |
| REFUSED |  |
| DON'T KNOW |  |

33c. Why does the payment standard vary by unit size?
Increase voucher success rate for that unit size
Encourage deconcentration of HCV households
Other (specify $\qquad$ _)
REFUSED
DON'T KNOW

Based on your experience, do you think that FMRs, as currently established, are good proxies for market rents for decent housing that most voucher households can rent?

| Yes | $-\quad$ (Skip to 35) |
| :--- | :--- |
| No | - |
| REFUSED | - |
| DON'T KNOW | - |

34a. (If no) Why not? Is it because FMRs are...? (Read list.)

| Too high | - |
| :--- | :--- |
| Too low | - |
| Too out of date for local market | - |
| Other (specify) | - |
| REFUSED | - |
| DON'T KNOW | - |

Based on constant dollars that control for inflation, during the last two years, have the HA's payment standards...? (Read list.)

Increased
Decreased
Remained the same
(Skip to 36)
REFUSED
DON'T KNOW

35a. Which of the following were reasons the payment standard changed in the last two years? (Read list. Check all that apply.)

Lower the average Housing Assistance Payment (HAP) to meet budget constraints
Raise the average tenant subsidy to deepen the subsidy Lower the average tenant subsidy to serve more tenants Stabilize success rate of tenant search
$\qquad$

Ensure that new vouchers are used
Lower administrative costs
React to changing market rents
Other (specify) $\qquad$
REFUSED
DON'T KNOW
How often does the HA change its payment standards?
Annually
Once every two years
(Skip to 37)
Once every three years
Other (specify)
REFUSED
DON'T KNOW (Skip to 37)

36a. (If annually) Would the HA prefer to change its payment standards more frequently than once per year?

Yes
No
REFUSED
DON'T KNOW $\qquad$

I would like to ask you a hypothetical question. If a HA found it difficult to support its current number of voucher tenants at their current HAP levels, and if the HA could set its own minimum rent and payment standard what would be the action(s) you would recommend the HA take?

Reduce admission rates so fewer tenants are served
Serve the current number of tenants but lower the payment standards
Serve the current number of tenants but raise the minimum rent
Serve the current number of tenants and both lower the payment standards and raise the minimum rent

## REFUSED <br> DON'T KNOW

What method(s) does the HA use to determine reasonable rents for HCV units? (If more than one, indicate the method that is most important.)

|  | Check all that apply | Indicate one most important |
| :---: | :---: | :---: |
| HA staff research using newspapers, other advertisements real estate listings, Internet listings |  |  |
| Outside vendor provides data upon which HA relies to set rents |  |  |
| Outside vendor provides a system that determines whether or not the requested rent is reasonable |  |  |
| HA uses another HA's system |  |  |
| Landlords provide rents for comparable market rate units in the same building |  |  |
| Landlords provide rents for comparable market rate units in other buildings |  |  |
| Other (specify) |  |  |
| REFUSED |  |  |
| DON'T KNOW |  |  |

Based on your experience, what do you think the impacts of setting the payment standards 10 percent lower would be on the following...

|  | Greatly <br> increase | Somewhat <br> Increase | Not <br> change | Somewhat <br> decrease | Greatly <br> decrease | REFUSED | DON'T <br> KNOW |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| HA ability to <br> annually expend its <br> voucher funds? |  |  |  |  |  |  |  |
| Diversity of <br> neighborhoods open <br> to tenants to find <br> decent housing? |  |  |  |  |  |  |  |
| Administrative costs <br> to the HA? |  |  |  |  |  |  |  |
| Cost of HAP <br> payment per tenant? |  |  |  |  |  |  |  |
| Number of <br> households served? |  |  |  |  |  |  |  |

## Income Components

During this part of the survey, I would like to ask you a few questions about how the HA verifies income for households that participate in the public housing and Housing Choice Voucher Programs.

Does your agency use the Enterprise Income Verification (EIV) system?
Yes
No
—— (Skip to
41)

40a. (If yes, using EIV) When did you start using EIV?
Less than one year ago
One year ago
Two or more years ago $\qquad$ Specify year: $\qquad$
REFUSED
DON'T KNOW


40b. (If yes, using EIV) Do you use any system in addition to the EIV system?
Yes
No
REFUSED
DON'T KNOW

40c. (If yes) Has the use of EIV increased or decreased the HA's accuracy of verifying income reported by households during re-examinations? Has EIV...? (Read list.)

Increased accuracy a great deal
Increased accuracy somewhat
Had no affect on accuracy
Decreased accuracy

## REFUSED

DON'T KNOW
40d. As a result of EIV, has the HA shown an increase in the yearly percentage of over-income households?

Yes
No __ (Skip to

## REFUSED <br> DON'T KNOW

i. (If increased percentage of ineligible households since the implementation of EIV) Please estimate the percentage increase in the number of households that are over income now that the EIV system is being used.
$\qquad$ \% 1-100

40e. During the most recent year, about what percentage of tenants had their income and rent checked due to the EIV?
____ \% 1-100
(For respondents who don't use the EIV system) Is there an alternative Upfront Income Verification System (UIV) that you use?

Yes
No
REFUSED
DON'T KNOW
Does the HA collect information on previous rent or other household expenditures paid by program applicants?

Yes, rent only
Yes, only other expenditures (specify)
Yes, rent and other expenditures (specify)
No

## (Skip to 42d)

## REFUSED

## DON’T KNOW

42a. (If HA collects information on previous rent or other household expenditures) Is the information that the HA collects on previous rent or other household expenditures used to verify reported household income?

Yes, at admissions only
Yes, at admissions and subsequent years
No

## REFUSED

DON'T KNOW
42b. (If HA collects information on previous rent/expenditures) Does the HA question applicants whose reported income does not support the level of rent or other expenditures?

Yes
No

## REFUSED

DON'T KNOW
42c. Does the HA ask for additional information from households whose reported income is below some extremely low level for one or more years?

Yes
No
REFUSED
DON'T KNOW
i. If HAs ask for additional information, probe for types of information requested.
ii. If HAs ask for additional information, probe for income level or other factors that trigger request for more information.

42d. (If HA does not question applicants on previous rent and expenditures) Why doesn't the HA question applicants on previous rent and expenditures? Is it because...

It is too burdensome
The HA does not see a need to do this
HA has not considered it as far as I know
REFUSED

## DON'T KNOW

We appreciate the time you shared with us to help with this important study on rent structure. Thank you very much for completing the survey.

