

# Exhibit C

## Audited Financial Assessment Subsystem-Public Housing (FASS-PH) Template

To accommodate asset management, many changes will be made to the structure and content of the audited Financial Assessment Subsystem-Public Housing (FASS-PH) template. Because of this effort, the system has been redesigned. The look and feel of the system will also be very different from the current system as result of a Java upgrade from Cold Fusion. Below is a list of structural system changes:

1. Navigation
  - a. Instead of tab driven navigation, the new FASS-PH Java system will be Top navigation and Side navigation driven.
  - b. Top navigation contains major functionality, such as public housing agency (PHA) information (name, code, address, etc.), Financial Data Schedule, and Data Collection Form.
  - c. Side navigation is driven by the Top navigation.
  - d. Top navigation – Financial Data Schedule will have side navigation such as Program/Project Listing, Asset Management Projects (AMP) Listing, Balance Sheet and Income Statement.
  
2. Inputting Financial Data
  - a. PHAs are able to input Balance Sheet and Income Statement data for each AMP/project.
  - b. PHAs are able to input Balance Sheet and Income Statement data for each program.
  - c. PHAs are able to validate each AMP/project and program financial data.
  - d. PHAs are able to input additional data into the Data Collection Form, including the new Supplemental Information tab.

The following screen prints are taken from the current audited system. System modifications for asset management are in the process of being made, and new system design is currently underway. In order to ease the review of the new/modified system for asset management, the current screen prints will be used with explanations of the changes detailed below the screen print. Included in the screen prints are references to the Supplement to HUD Handbook 7475.1, Rev., Chg. 1, Financial Management Handbook (herein the Supplement), dated April 2007.

## Public Housing Template for Audited Submissions

<p>Financial Assessment <b>Electronic Submission</b> U.S. Department of Housing and Urban Development Real Estate Assessment Center (REAC)</p>	
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Public Reporting Burden for this collection of information is estimated to average 11 hours per respondent, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Public housing agencies are not required to respond to this collection of information unless a current, valid approved OMB control number is displayed on the form. Public Housing Financial Management Template, OMB No. 2535-0107, expires 08/31/2009.

You are about to enter the Financial Assessment Subsystem. Please click the [link](#) below to continue.

[CONTINUE](#)

The content of this screen will be updated with the new expiration date.

# Audited Screen 1

The screenshot shows a web browser window with a taskbar at the top. The main content area features a header for "Financial Assessment Electronic Submission" from the U.S. Department of Housing and Urban Development, Real Estate Assessment Center (REAC). Below the header are navigation links for "Inbox" and "Reports", and a link for "Additional Help". A central instruction box provides guidance on entering reporting information and selecting submission types. To the left of this box, a note asks the user to verify PHA information. Below the instructions is a "PHA Info" table with the following data:

PHA Info	
PHA Code	DC777
PHA Name	CARLSBURG
EIN Number	
Street Address (line 1)	1140 23rd St. NW #906
Street Address (line 2)	
City	WASHINGTON
State	DC
Zip Code	20037

The top of the PHA information screen will be similar in content to what is shown above. The standard PHA information will be shown. However, the overall screen contains more input choices. The most important of which is the asset management type choices. This option will determine the type of FDS the PHA will complete. For example, if a PHA selects asset management with a Central Office Cost Center (COCC)/elimination column, then the PHA will be prompted to complete a column of the FDS for eliminations and a COCC column. Also, if a PHA selects full asset management they will need to access the AMP/project selection screen to fill out an FDS for each individual AMP/project. These changes are applicable to the following three screen prints.

## Audited Screen 1 Continued

The screenshot shows a web browser window with a toolbar at the top. The main content area contains a form with the following fields and options:

- Zip Code: 20037
- Reporting Beginning Date: 04/01 / 2006
- Reporting Ending Date: 03/31 / 2007
- Submission Type:
  - Unaudited/ A-133 Audit
  - Unaudited/ Non- A-133 Audit
  - Unaudited/ No Audit
  - Audited/ A-133
  - Audited/ Non- A-133
- Component Unit (Check this box if the PHA is a component unit of the local government or local jurisdiction and will **not** be receiving its own separate A-133 or non A-133 audit.)
- Download Option:
  - Blank Submission
  - Download Last Submission Version

A "Save" button is located at the bottom of the form.

Below the form, there are several navigation links:

- [| Top of Page |](#)
- [| Inbox | Reports |](#)
- [? Additional Help](#)
- [| User Guide and System Documentation | Technical Assistance Center |](#)

At the bottom of the page, there is a "Queries" section with a horizontal scrollbar.

This screen print will be affected by the changes mentioned in audited screen 1.

## Audited Screen 2

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

[LOCCS/HUDCAPS](#) | [Additional Help](#)

Please verify that the PHA information is correct. If there are any discrepancies with the data shown below please contact your Field Office to update the information.

**Instructions:**  
If you desire to change the Submission Type or the Component Unit status, do so on this page and click the Save button. Then select the Program Selection tab to continue.

PHA Info	Program Selection
PHA Code	DC777
PHA Name	CARLSBURG
EIN Number	
Street Address (line 1)	1140 23rd St. NW #906
Street Address (line 2)	
City	WASHINGTON
State	DC
Zip Code	20037
Reporting Beginning Date	04/01/2006
Reporting Ending Date	03/31/2007

This screen print will be affected by the changes mentioned in audited screen 1.

## Audited Screen 2 Continued

PHA Code	DC777
PHA Name	CARLSBURG
EIN Number	
Street Address (line 1)	1140 23rd St. NW #906
Street Address (line 2)	
City	WASHINGTON
State	DC
Zip Code	20037
Reporting Beginning Date	04/01/2006
Reporting Ending Date	03/31/2007
Submission Type	<input checked="" type="radio"/> Audited/ A-133 <input type="radio"/> Audited/ Non- A-133
<input type="checkbox"/> Component Unit (Check this box if the PHA is a component unit of the local government or local jurisdiction and will <b>not</b> be receiving its own separate A-133 or non A-133 audit.)	
<input type="button" value="Save"/>	

[Top of Page](#)

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[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

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[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

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[LOCCS/HUDCAPS](#) |

[Additional Help](#)

This screen print will be affected by the changes mentioned in audited screen 1.

## Audited Screen 3

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

| [Inbox](#) | [Reports](#) | PHA Info | [Financial Data Schedule](#) | [Data Collection Form](#) |  
| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
| [LOCCS/HUDCAPS](#) |

[Additional Help](#)

CFDA#	NAME OF PROGRAM	SELECT
10.850	Rural Electrification Loans and Loan Guarantees	<input type="checkbox"/>
14.110	Manufactured Home Loan Insurance_Financing Purchase of Manufactured Ho	<input type="checkbox"/>
14.182	N/C S/R Section 8 Programs	<input type="checkbox"/>
14.312	New Approach Anti-Drug Grants	<input type="checkbox"/>
14.850a	Low Rent Public Housing	<input checked="" type="checkbox"/>
14.850b	Development	<input type="checkbox"/>
14.852	Public Housing_Comprehensive Improvement Assistance Program	<input type="checkbox"/>
14.853	Public Housing_Tenant Opportunities Program	<input type="checkbox"/>
14.854	Public and Indian Housing Drug Elimination Program	<input type="checkbox"/>
14.855	Section 8 Rental Voucher Program	<input type="checkbox"/>
14.856	Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitat	<a href="#">[Details]</a>
14.857	Section 8 Rental Certificate Program	<input type="checkbox"/>

Unlike the current system, PHA and AMP/project selections are not done on the PHA Information screen. There are separate screens for both AMP/project selection and program selection. The user will be prompted to select an AMP/project or a program and then fill out the appropriate FDS. PHAs are also able to select a FDS for the COCC and the elimination column. These changes are applicable to the following screen print.

## Audited Screen 3 Continued



The screenshot shows a web browser window with a toolbar at the top. The main content is a table with two columns: a numerical ID and a program name. Each row has a checkbox in the right column. The row for ID 14.856 has a blue link labeled "[Details]" in the right column. At the bottom of the table area, there are three buttons: "Save", "Reset", and "Add a Program".

14.855	Section 8 Rental Voucher Program	<input type="checkbox"/>
14.856	Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitat	<input type="checkbox"/> <a href="#">[Details]</a>
14.857	Section 8 Rental Certificate Program	<input type="checkbox"/>
14.858	Hope I	<input type="checkbox"/>
14.859	Public Housing_Comprehensive Grant Program	<input type="checkbox"/>
14.860	Head Start Public Housing Early Childhood/Development Demonstration	<input type="checkbox"/>
14.861	PIH - Family Investment Corporation	<input type="checkbox"/>
14.863	PIH - Youth Sports Program	<input type="checkbox"/>
14.864	Economic Development and Supportive Services Program	<input type="checkbox"/>
14.866	Revitalization of Severely Distressed Public Housing	<input type="checkbox"/>
14.868	New Approach Anti-Drug Grants	<input type="checkbox"/>
14.871	Housing Choice Vouchers	<input type="checkbox"/>
14.872	Public Housing Capital Fund Program	<input type="checkbox"/>
	Business Activities	<input type="checkbox"/>
	State/Local	<input type="checkbox"/>
	Internal Service Fund	<input type="checkbox"/>
	General Fixed Assets Account Group	<input type="checkbox"/>
	General Long-Term Debt Account Group	<input type="checkbox"/>
	Fiduciary	<input type="checkbox"/>
	Component Units	<input type="checkbox"/>
	Debt Service Fund	<input type="checkbox"/>
	Other Federal Program 1	<input type="checkbox"/>
	Other Federal Program 2	<input checked="" type="checkbox"/>
	Other Federal Program 3	<input type="checkbox"/>

Save Reset  
[Add a Program](#)

This screen print will be affected by the changes mentioned in audited screen 3.



## Audited Screen 4

The screenshot shows a web browser window with the following content:

- Header:** Financial Assessment **Electronic Submission** U.S. Department of Housing and Urban Development Real Estate Assessment Center (REAC)
- Navigation:**
  - Inbox | Reports | PHA Info | Financial Data Schedule | Data Collection Form
  - Notes & Findings | Comments | Submit | Late Reason | Material Difference Reason
  - LOCCS/HUDCAPS
  - Additional Help
- Form Fields:**
  - SELECT A PROGRAM: Low Rent Public Housing
  - SELECT AN ACCOUNTING METHOD: Full Accrual - Post GASB 34
  - GO button
- Instructions:** Select a Program and Accounting Method. Then press the "GO" button to refresh the page. Click the Validate button to verify the data against the system business rules.
- Metadata:**
  - PHA Code : DC777
  - PHA Name: CARLSBURG
  - Fiscal Year End Date: 03/31/2007
  - Submission Type: Audited/A-133
- Table:**

Balance Sheet		Revenue & Expense	
LINE ITEM #	DESCRIPTION	VALUE	DETAILS
<b>Assets</b>			
<b>Current Assets</b>			
<b>Cash:</b>			
111	Cash - Unrestricted	\$ [input]	---
115	Cash - Restricted for Payment of Current Liabilities	\$ [input]	---
112	Cash - Restricted - Modernization and Development	\$ [input]	---
113	Cash - Other Restricted	\$ [input]	---

The Balance Sheet portion of the FDS has been modified (see the Supplement, page 11, Table 3.1, Preliminary Changes to FDS Balance Sheet Line Items). The Balance Sheet includes additional line items such as FASB 5 liabilities. Also, many line items have been deleted, mostly due to GASB 34. The Balance Sheet submission for Low Rent Public Housing and the Capital Fund Programs is combined under AMPs/projects. Below is a list of additional line items.

### **BALANCE SHEET:**

- Line 356 (FASB 5 liabilities)
- Line 357 (Accrued pension liabilities)
- Line 509.2 (Fund balance reserved)
- Line 511.2 (Undesignated fund balance)
- Line 512.2 (Unreserved undesignated fund balance)

These changes are applicable to the following five screen prints.

## Audited Screen 4 Continued

Liabilities			
112	Cash - Restricted - Modernization and Development	\$	---
113	Cash - Other Restricted	\$	---
114	Cash - Tenant Security Deposits	\$	---
<b>100</b>	<b>Total Cash</b>		<b>\$ 0</b>
<b>Receivables:</b>			
121	Accounts Receivable - PHA Projects	\$	---
122	Accounts Receivable - HUD Other Projects	\$	---
124	Accounts Receivable - Other Government	\$	---
125	Accounts Receivable - Miscellaneous		<a href="#">[Details]</a>
126	Accounts Receivable - Tenants - Dwelling Rents	\$	---
** 126.1	Allowance for Doubtful Accounts - Dwelling Rents	\$	---
** 126.2	Allowance for Doubtful Accounts - Other	\$	---
127	Notes, Loans, & Mortgages Receivable - Current	\$	---
128	Fraud Recovery	\$	---
** 128.1	Allowance for Doubtful Accounts - Fraud	\$	---
129	Accrued Interest Receivable	\$	---
<b>120</b>	<b>Total Receivables, net of allowances for doubtful accounts</b>		<b>\$ 0</b>
131	Investments - Unrestricted	\$	---
135	Investments - Restricted for Payment of Current Liabilities	\$	---
132	Investments Restricted	\$	---
142	Prepaid Expenses and Other Assets	\$	---
143	Inventories	\$	---

This screen print will be affected by the changes mentioned in audited screen 4.

## Audited Screen 4 Continued

132	Investments Restricted	\$	<input type="text"/>	---
142	Prepaid Expenses and Other Assets	\$	<input type="text"/>	---
143	Inventories	\$	<input type="text"/>	---
** 143.1	Allowance for Obsolete Inventories	\$	<input type="text"/>	---
144	Interprogram Due From	\$	<input type="text"/>	---
145	Assets Held for Sale	\$	<input type="text"/>	---
146	Amounts to be Provided	\$	<input type="text"/>	---
<b>150</b>	<b>Total Current Assets</b>		<b>\$ 0</b>	---
	<b>Noncurrent Assets</b>			
	<b>Fixed Assets:</b>			
161	Land	\$	<input type="text"/>	---
168	Infrastructure	\$	<input type="text"/>	---
162	Buildings	\$	<input type="text"/>	---
163	Furniture, Equipment & Machinery - Dwellings	\$	<input type="text"/>	---
164	Furniture, Equipment & Machinery - Administration	\$	<input type="text"/>	---
** 165	Leasehold Improvements	\$	<input type="text"/>	---
** 166	Accumulated Depreciation	\$	<input type="text"/>	---
167	Construction In Progress	\$	<input type="text"/>	---
<b>160</b>	<b>Total Fixed Assets, Net of Accumulated Depreciation</b>		<b>\$ 0</b>	---
171	Notes, Loans, & Mortgages Receivable - Non Current			<a href="#">[Details]</a>
172	Notes, Loans, & Mortgages Receivable - Non Current - Past Due			<a href="#">[Details]</a>
173	Grants Receivable - Non Current	\$	<input type="text"/>	---
174	Other Assets			<a href="#">[Details]</a>
176	Investments in Joint Ventures			<a href="#">[Details]</a>

This screen print will be affected by the changes mentioned in audited screen 4.

## Audited Screen 4 Continued

173	Grants Receivable - Non Current	\$	<input type="text"/>	---
174	Other Assets			<a href="#">[Details]</a>
176	Investments in Joint Ventures			<a href="#">[Details]</a>
<b>180</b>	<b>Total Non-Current Assets</b>		<b>\$ 0</b>	---
<b>190</b>	<b>Total Assets</b>		<b>\$ 0</b>	---
<b>Liabilities and Equity</b>	<b>Liabilities:</b>			
	<b>Current Liabilities:</b>			
311	Bank Overdraft	\$	<input type="text"/>	---
312	Accounts Payable <= 90 Days	\$	<input type="text"/>	---
313	Accounts Payable >90 Days Past Due	\$	<input type="text"/>	---
321	Accrued Wage/Payroll Taxes Payable	\$	<input type="text"/>	---
322	Accrued Compensated Absences - Current Portion	\$	<input type="text"/>	---
324	Accrued Contingency Liability	\$	<input type="text"/>	---
325	Accrued Interest Payable	\$	<input type="text"/>	---
331	Accounts Payable - HUD PHA Programs	\$	<input type="text"/>	---
332	Accounts Payable - PHA Projects	\$	<input type="text"/>	---
333	Accounts Payable - Other Government	\$	<input type="text"/>	---
341	Tenant Security Deposits	\$	<input type="text"/>	---
342	Deferred Revenues	\$	<input type="text"/>	---
343	Current Portion of Long-term Debt - Capital Projects/Mortgage Revenue Bonds	\$	<input type="text"/>	---
344	Current Portion of Long-term Debt - Operating Borrowings	\$	<input type="text"/>	---
348	Loan Liability - Current			<a href="#">[Details]</a>
345	Other Current Liabilities	\$	<input type="text"/>	---
346	Accrued Liabilities - Other	\$	<input type="text"/>	---

This screen print will be affected by the changes mentioned in audited screen 4.

## Audited Screen 4 Continued

345	Other Current Liabilities	\$ <input type="text"/>	---
346	Accrued Liabilities - Other	\$ <input type="text"/>	---
347	Interprogram Due To	\$ <input type="text"/>	---
<b>310</b>	<b>Total Current Liabilities</b>	<b>\$ 0</b>	---
<b>Noncurrent Liabilities:</b>			
351	Long-term Debt, Net of Current - Capital Projects/Mortgage Revenue Bonds	\$ <input type="text"/>	---
352	Long-term Debt, Net of Current - Operating Borrowings	\$ <input type="text"/>	---
354	Accrued Compensated Absences - Non Current	\$ <input type="text"/>	---
355	Loan Liability - Non Current		<a href="#">[Details]</a>
353	Noncurrent Liabilities - Other	\$ <input type="text"/>	---
<b>350</b>	<b>Total Noncurrent Liabilities</b>	<b>\$ 0</b>	---
<b>300</b>	<b>Total Liabilities</b>	<b>\$ 0</b>	---
<b>Equity:</b>			
501	Investment in General Fixed Assets	\$ <input type="text"/>	---
<b>Contributed Capital:</b>			
502	Project Notes (HUD)	\$ <input type="text"/>	---
503	Long-term Debt - HUD Guaranteed	\$ <input type="text"/>	---
504	Net HUD PHA Contributions	\$ <input type="text"/>	---
505	Other HUD Contributions	\$ <input type="text"/>	---
507	Other Contributions	\$ <input type="text"/>	---
<b>508</b>	<b>Total Contributed Capital</b>	<b>\$ 0</b>	---
* 508.1	Invested in Capital Assets, Net of Related Debt	\$ <input type="text"/>	---
<b>Reserved Fund Balance:</b>			
509	Fund Balance Reserved for Encumbrances/Designated Fund Balance	\$ <input type="text"/>	---

This screen print will be affected by the changes mentioned in audited screen 4.

## Audited Screen 4 Continued

505	Other HUD Contributions	\$ <input type="text"/>	---
507	Other Contributions	\$ <input type="text"/>	---
<b>508</b>	<b>Total Contributed Capital</b>	<b>\$ 0</b>	---
* 508.1	Invested in Capital Assets, Net of Related Debt	\$ <input type="text"/>	---
<b>Reserved Fund Balance:</b>			
509	Fund Balance Reserved for Encumbrances/Designated Fund Balance	\$ <input type="text"/>	---
510	Fund Balance Reserved for Capital Activities	\$ <input type="text"/>	---
<b>511</b>	<b>Total Reserved Fund Balance</b>	<b>\$ 0</b>	---
* 511.1	Restricted Net Assets	\$ <input type="text"/>	---
512	Undesignated Fund Balance/Retained Earnings	\$ <input type="text"/>	---
* 512.1	Unrestricted Net Assets	\$ <input type="text"/>	---
<b>513</b>	<b>Total Equity/Net Assets</b>	<b>\$ 0</b>	---
<b>600</b>	<b>Total Liabilities and Equity/Net Assets</b>	<b>\$ 0</b>	---

\* mandatory field  
 \*\* Allowance accounts (126.1, 126.2, 128.1, and 143.1) are mandatory fields only if data has been reported in the corresponding asset account. Leasehold improvements and accumulated depreciation (165 and 166) are mandatory fields only if other fixed assets line items are reported. Zero is an acceptable value.

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[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#)

[Additional Help](#)

This screen print will be affected by the changes mentioned in audited screen 4.

# Audited Screen 5

Financial Assessment  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) | [Notes & Findings](#) |  
[Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) | [LOCCS/HUDCAPS](#) |

[Additional Help](#)

**PHA Code:** DC777  
**PHA Name:** CARLSBURG  
**Fiscal Year End Date:** 03/31/2007  
**Submission Type:** Audited/A-133

**Program #:** 14.850a - Low Rent Public Housing  
**Line Item #:** 125 - Accounts Receivable - Miscellaneous

**Instructions:**  
Enter the dollar value for the applicable line item. More than one sub-account may apply. If none of the sub-accounts apply, please enter the account value in Other.

**Account Details** [[Back to Balance Sheet](#)]

LINE ITEM #	ACCOUNT DESCRIPTION	AMOUNT
125-010	Not For Profit	\$ <input type="text"/>
125-020	Partnership	\$ <input type="text"/>
125-030	Joint Venture	\$ <input type="text"/>
125-040	Tax Credit	\$ <input type="text"/>
125-050	Other	\$ <input type="text"/>
<b>Total Amount</b>		\$0

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 6

**Financial Assessment**  
**Electronic Submission**  
 U.S. Department of Housing and Urban Development  
 Real Estate Assessment Center (REAC)

| [Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

| [LOCCS/HUDCAPS](#) |

[? Additional Help](#)

SELECT A PROGRAM  
 Low Rent Public Housing

SELECT AN ACCOUNTING METHOD  
 Full Accrual - Post GASB 34

**Instructions:**  
 Select a Program and Accounting Method. Then press the "GO" button to refresh the page. Click the Validate button to verify the data against the system business rules.

PHA Code : DC777  
 PHA Name: CARLSBURG  
 Fiscal Year End Date: 03/31/2007  
 Submission Type: Audited/A-133

Balance Sheet		Revenue & Expense	
LINE ITEM #	DESCRIPTION	VALUE	DETAILS
703	Net Tenant Rental Revenue	\$	---
704	Tenant Revenue - Other	\$	---
<b>705</b>	<b>Total Tenant Revenue</b>		<b>\$ 0</b> ---
706	HUD PHA Operating Grants	\$	---
706.1	Capital Grants	\$	---
708	Other Government Grants	\$	---

The Revenue and Expense statement has been modified due to asset management (see the Supplement, page 39, Section 7.10, Assignment of Costs). The line item numbers have gone from three digits to five. Many new line items have been added to accommodate asset management. Also, new total line items have been added. Deletions were also necessary. Most deletions were due to GASB 34. Below is a list of additional line items.

### INCOME STATEMENT:

#### Revenues

- Line 70700 (Total fee revenue)
- Line 70710 (Management fee)
- Line 70720 (Asset management fee)
- Line 70730 (Book-keeping fee)
- Line 70740 (Front line service fee)
- Line 70750 (Other fees)

#### Expenses

- Line 91300 (Management fee)
- Line 91310 (Book-keeping fee)
- Line 91400 (Advertising and marketing)



Line 91600 (Office expenses)  
Line 91700 (Legal expenses)  
Line 91800 (Travel)  
Line 91900 (Other)  
Line 91900 (Total operating - administrative)  
Line 92000 (Asset management fee)  
Line 92500 (Total tenant services)  
Line 93600 (Sewer)  
Line 93000 (Total utilities)  
Line 94000 (Total maintenance)  
Line 95000 (Total protective services)  
Line 96100 (Property insurance)  
Line 96120 (Liability insurance)  
Line 96130 (All other insurance)  
Line 96140 (Workmen's compensation)  
Line 96100 (Total insurance premiums)  
Line 96210 (Compensated absences)  
Line 96710 (Interest of mortgage (or bonds) payable)  
Line 96720 (Interest on notes payable (short and long term))  
Line 96730 (Amortization of bond issue costs)

**Other Financing Sources (Uses)**

Line 10091 (Inter-AMP excess cash transfer in)  
Line 10092 (Inter-AMP excess cash transfer out)  
Line 10093 (Transfers Between programs and AMPs - In)  
Line 10094 (Transfers Between programs and AMPs - Out)

**Memo Accounts**

Line 11270 (Excess cash)  
Line 11610 (Land purchases)  
Line 11620 (Building expenditures)  
Line 11630 (Furniture & equipment - dwelling purchases)  
Line 11640 (Furniture & equipment - administrative purchases)  
Line 11650 (Leasehold improvements purchases)  
Line 13510 (CFFP debt service payments)  
Line 13901 (Replacement housing factor funds)

These changes are applicable to the following five screen prints.

## Audited Screen 6 Continued

706	HUD PHA Operating Grants	\$	<input type="text"/>	---
706.1	Capital Grants	\$	<input type="text"/>	---
708	Other Government Grants	\$	<input type="text"/>	---
** 711	Investment Income - Unrestricted	\$	<input type="text"/>	---
712	Mortgage Interest Income	\$	<input type="text"/>	---
713	Proceeds from Disposition of Assets Held for Sale	\$	<input type="text"/>	---
** 713.1	Cost of Sale of Assets	\$	<input type="text"/>	---
714	Fraud Recovery	\$	<input type="text"/>	---
715	Other Revenue	\$	<input type="text"/>	---
716	Gain/Loss on Sale of Fixed Assets	\$	<input type="text"/>	---
** 720	Investment Income - Restricted	\$	<input type="text"/>	---
<b>700</b>	<b>Total Revenue</b>		<b>\$ 0</b>	---
<b>Expenses</b>				
<b>Administrative:</b>				
911	Administrative Salaries	\$	<input type="text"/>	---
912	Auditing Fees	\$	<input type="text"/>	---
913	Outside Management Fees		<input type="text"/>	<a href="#">[Details]</a>
914	Compensated Absences	\$	<input type="text"/>	---
915	Employee Benefit Contributions - Administrative	\$	<input type="text"/>	---
916	Other Operating - Administrative	\$	<input type="text"/>	---
<b>Tenant Services:</b>				
921	Tenant Services - Salaries	\$	<input type="text"/>	---
922	Relocation Costs	\$	<input type="text"/>	---
923	Employee Benefit Contributions - Tenant Services	\$	<input type="text"/>	---

This screen print will be affected by the changes mentioned in audited screen 6.

## Audited Screen 6 Continued

923	Employee Benefit Contributions - Tenant Services	\$ <input type="text"/>	---
924	Tenant Services - Other	\$ <input type="text"/>	---
<b>Utilities:</b>			
931	Water	\$ <input type="text"/>	---
932	Electricity	\$ <input type="text"/>	---
933	Gas	\$ <input type="text"/>	---
934	Fuel	\$ <input type="text"/>	---
935	Labor	\$ <input type="text"/>	---
937	Employee Benefit Contributions - Utilities	\$ <input type="text"/>	---
938	Other Utilities Expense	\$ <input type="text"/>	---
<b>Ordinary Maintenance &amp; Operation:</b>			
941	Ordinary Maintenance and Operations - Labor	\$ <input type="text"/>	---
942	Ordinary Maintenance and Operations - Materials and Other	\$ <input type="text"/>	---
943	Ordinary Maintenance and Operations - Contract Costs	\$ <input type="text"/>	---
945	Employee Benefit Contributions - Ordinary Maintenance	\$ <input type="text"/>	---
951	Protective Services - Labor	\$ <input type="text"/>	---
952	Protective Services - Other Contract Costs	\$ <input type="text"/>	---
953	Protective Services - Other	\$ <input type="text"/>	---
955	Employee Benefit Contributions - Protective Services	\$ <input type="text"/>	---
<b>General Expenses:</b>			
961	Insurance Premiums	\$ <input type="text"/>	---
962	Other General Expenses	\$ <input type="text"/>	---

This screen print will be affected by the changes mentioned in audited screen 6.

## Audited Screen 6 Continued

<b>General Expenses.</b>			
961	Insurance Premiums	\$ <input type="text"/>	---
962	Other General Expenses	\$ <input type="text"/>	---
963	Payments in Lieu of Taxes	\$ <input type="text"/>	---
964	Bad Debt - Tenant Rents	\$ <input type="text"/>	---
965	Bad Debt - Mortgages	\$ <input type="text"/>	---
966	Bad Debt - Other	\$ <input type="text"/>	---
967	Interest Expense	\$ <input type="text"/>	---
968	Severance Expense	\$ <input type="text"/>	---
<b>969</b>	<b>Total Operating Expenses</b>	<b>\$ 0</b>	<b>---</b>
<b>970</b>	<b>Excess Operating Revenue over Operating Expenses</b>	<b>\$ 0</b>	<b>---</b>
<b>Other Expenses:</b>			
971	Extraordinary Maintenance	\$ <input type="text"/>	---
972	Casualty Losses - Non-Capitalized	\$ <input type="text"/>	---
973	Housing Assistance Payments	\$ <input type="text"/>	---
974	Depreciation Expense	\$ <input type="text"/>	---
975	Fraud Losses	\$ <input type="text"/>	---
976	Capital Outlays - Governmental Funds	\$ <input type="text"/>	---
977	Debt Principal Payment - Governmental Funds	\$ <input type="text"/>	---
978	Dwelling Units Rent Expense	\$ <input type="text"/>	---
<b>900</b>	<b>Total Expenses</b>	<b>\$ 0</b>	<b>---</b>
<b>Other Financing Sources (Uses)</b>			
1001	Operating Transfers In	\$ <input type="text"/>	---
1002	Operating Transfers Out	\$ <input type="text"/>	---

This screen print will be affected by the changes mentioned in audited screen 6.

## Audited Screen 6 Continued

1001	Operating Transfers In	\$ <input type="text"/>	---
1002	Operating Transfers Out	\$ <input type="text"/>	---
1003	Operating Transfers from/to Primary Government	<input type="text"/>	<a href="#">[Details]</a>
1004	Operating Transfers from/to Component Unit	\$ <input type="text"/>	---
1005	Proceeds from Notes, Loans and Bonds	\$ <input type="text"/>	---
1006	Proceeds from Property Sales	\$ <input type="text"/>	---
1007	Extraordinary Items (net gain/loss)	\$ <input type="text"/>	---
1008	Special Items (net gain/loss)	\$ <input type="text"/>	---
<b>1010</b>	<b>Total Other Financing Sources (Uses)</b>	<b>\$ 0</b>	---
<b>1000</b>	<b>Excess (Deficiency) of Operating Revenue Over (Under) Expenses</b>	<b>\$ 0</b>	---
<b>Memo Account Information</b>			
1101	Capital Outlays Enterprise Fund	\$ <input type="text"/>	---
* 1102	Debt Principal Payments - Enterprise Funds	\$ <input type="text" value="0"/>	---
* 1103	Beginning Equity	\$ <input type="text"/>	---
1104	Prior Period Adjustments, Equity Transfers and Correction of Errors	<input type="text"/>	<a href="#">[Details]</a>
1105	Changes in Compensated Absence Balance	\$ <input type="text"/>	---
1106	Changes in Contingent Liability Balance	\$ <input type="text"/>	---
1107	Changes in Unrecognized Pension Transition Liability	\$ <input type="text"/>	---
1108	Changes in Special Term/Severance Benefits Liability	\$ <input type="text"/>	---
1109	Changes in Allowance for Doubtful Accounts - Dwelling Rents	\$ <input type="text"/>	---
1110	Changes in Allowance for Doubtful Accounts - Other	\$ <input type="text"/>	---

This screen print will be affected by the changes mentioned in audited screen 6.

## Audited Screen 6 Continued

	Description	Amount	Status
* 1103	Beginning Equity	\$ <input type="text"/>	---
1104	Prior Period Adjustments, Equity Transfers and Correction of Errors	<input type="text"/>	<a href="#">[Details]</a>
1105	Changes in Compensated Absence Balance	\$ <input type="text"/>	---
1106	Changes in Contingent Liability Balance	\$ <input type="text"/>	---
1107	Changes in Unrecognized Pension Transition Liability	\$ <input type="text"/>	---
1108	Changes in Special Term/Severance Benefits Liability	\$ <input type="text"/>	---
1109	Changes in Allowance for Doubtful Accounts - Dwelling Rents	\$ <input type="text"/>	---
1110	Changes in Allowance for Doubtful Accounts - Other	\$ <input type="text"/>	---
1112	Depreciation Add Back	\$ <input type="text"/>	---
* 1120	Unit Months Available	<input type="text"/>	<a href="#">[Details]</a>
* 1121	Number of Unit Months Leased	<input type="text"/>	---

\* mandatory field  
 \*\* Investment income (711 and 720) are mandatory fields only if data has been reported in the corresponding cash and investment account. Cost of sale of assets (713.1) is mandatory only if proceeds from disposition of assets held for sale is reported. Zero is an acceptable value.

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This screen print will be affected by the changes mentioned in audited screen 6.

# Audited Screen 7

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

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PHA Code: DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

**Program #: 14.850a - Low Rent Public Housing**  
**Line Item #:1104 - Prior Period Adjustments, Equity**  
**Transfers and Correction of Errors**

**Instructions:**  
Enter the account descriptions  
and account values for the  
associated line items.

**Account Details** | [Back to Revenue & Expense](#)

LINE ITEM #	ACCOUNT DESCRIPTION	AMOUNT
<b>Prior Period Adjustments and Correction of Errors</b>		
1104-010	<input type="text"/>	\$ <input type="text"/>
1104-020	<input type="text"/>	\$ <input type="text"/>
1104-030	<input type="text"/>	\$ <input type="text"/>
1104-040	<input type="text"/>	\$ <input type="text"/>
1104-050	<input type="text"/>	\$ <input type="text"/>
1104-060	All Others	\$ <input type="text"/>
<b>Total Prior Period Adjustments and Correction of</b>		--

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 7 Continued

The screenshot displays a web application window with a standard toolbar at the top. The main content area contains a table with the following structure:

1104-020	<input type="text"/>	\$ <input type="text"/>
1104-030	<input type="text"/>	\$ <input type="text"/>
1104-040	<input type="text"/>	\$ <input type="text"/>
1104-050	<input type="text"/>	\$ <input type="text"/>
1104-060	All Others	\$ <input type="text"/>
<b>Total Prior Period Adjustments and Correction of Errors</b>		\$0
<b>Equity Transfers</b>		
1104-070	<input type="text"/>	\$ <input type="text"/>
1104-080	<input type="text"/>	\$ <input type="text"/>
1104-090	<input type="text"/>	\$ <input type="text"/>
1104-100	<input type="text"/>	\$ <input type="text"/>
1104-110	<input type="text"/>	\$ <input type="text"/>
1104-120	All Others	\$ <input type="text"/>
<b>Total Equity Transfers</b>		\$0
<b>Total Prior Period Adjustments, Equity Transfers and Correction of Errors</b>		\$0

Below the table, there are three buttons: , , and .

At the bottom of the page, there are several navigation links:

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[Inbox](#) | [Reports](#) | [PHA Info](#) | Financial Data Schedule | [Data Collection Form](#) |  
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The content of the above screen will remain largely unchanged from the current system.



# Audited Screen 8

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

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**PHA Code:** DC777  
**PHA Name:** CARLSBURG  
**Fiscal Year End Date:** 03/31/2007  
**Submission Type:** Audited/A-133

**Program #:** 14.850a - Low Rent Public Housing  
**Line Item #:** 1120 - Unit Months Available

**Instructions:**  
Please reconcile the System Reported Unit Months Available to the actual Unit Months Available for the current year. Provide detailed explanations for Other Adjustments made. Please refer to the system user guide for a more detailed explanation.

**Account Details** | [Back to Revenue & Expense](#)

LINE ITEM #	ACCOUNT DESCRIPTION	AMOUNT
1120-010	System Reported Units per PHA Profiles (times 12)	144
1120-020	Preapproved Unit Months for Demolition	<input type="text"/>
1120-030	Preapproved Unit Months for Conversion	<input type="text"/>
1120-040	Vacant and Preapproved Unit Months for Modernization	<input type="text"/>
1120-050	Preapproved Non-Dwelling Unit Months	<input type="text"/>

Due to asset management, line 1120 is no longer applicable. This change applies the following screen print.

# Audited Screen 8 Continued

Program #: 14-0304 - Low Rent Public Housing  
Line Item #: 1120 - Unit Months Available

Please refer to the system user guide for a more detailed explanation.

**Account Details** | [Back to Revenue & Expense](#)

LINE ITEM #	ACCOUNT DESCRIPTION	AMOUNT
1120-010	System Reported Units per PHA Profiles (times 12)	144
1120-020	Preapproved Unit Months for Demolition	<input type="text"/>
1120-030	Preapproved Unit Months for Conversion	<input type="text"/>
1120-040	Vacant and Preapproved Unit Months for Modernization	<input type="text"/>
1120-050	Preapproved Non-Dwelling Unit Months	<input type="text"/>
<b>Other Adjustments</b>		
1120-060	<input type="text"/>	<input type="text"/>
1120-070	<input type="text"/>	<input type="text"/>
1120-080	<input type="text"/>	<input type="text"/>
1120-090	<b>Total Unit Months</b>	0

---

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The above detail link screen contains the change mentioned in audited screen 8.

## Audited Screen 9

Financial Assessment  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

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PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

General Information	Financial Statements	Federal Programs	
ELEMENT #	DESCRIPTION	VALUE	DETAILS
* G9000-010	Fiscal Year Ending Date	03/31/2007	---
* G2000-010	Type of Circular A-133 Audit	None	---
* G2000-020	Audit Period Covered	None	---
G2000-030	Audit Period Covered - Months		---
* G9000-020	Employer Identification Number		---
* G2000-040	Multiple EIN Indicator	None	---
* G9000-030	Data Universal Numbering System (DUNS) Number		---
* G2000-050	Multiple DUNS Indicator	None	---
* G9100-010	Auditee Name	CARLSBURG	---
G9100-020	Auditee Street Address - Line 1	1110 22nd St. NE	---

The audited Data Collection Form (DCF) has been modified to reflect changes as a result of the Financial Condition Scoring Notice. The additions are as follows:

A). Includes Opinion(s) on Supplemental Information (SAS 29 “in relation to” type of opinion):

The “drop down menu” choices are as follows:

1. Fairly stated
2. Fairly stated except for:
3. No opinion
4. Incomplete or missing

B). Includes Report on Internal Control and Compliance and Other Matters Noted in an Audit of the Financial Statement performed in accordance with GAS (Yellow Book):

Radio buttons will be added to report the following:

1. Control Deficiencies
  - Significant deficiencies

- Material weakness
2. Material noncompliance
  3. Fraud
  4. Illegal Acts
  5. Abuse

These changes apply the following 15 screen prints.

## Audited Screen 9 Continued

G9100-030	Auditee Street Address Line 2		---
* G9100-040	Auditee City	WASHINGTON	---
* G9100-050	Auditee State	DC	---
* G9100-060	Auditee Zip Code	20037	---
G9100-065	Auditee Zip Code Extension	0000	---
* G2100-010	Auditee Contact First Name	<input type="text"/>	---
G2100-020	Auditee Contact Middle Initial	<input type="text"/>	---
* G2100-030	Auditee Contact Last Name	<input type="text"/>	---
* G2100-040	Auditee Contact Title	<input type="text"/>	---
* G2100-050	Auditee Contact Telephone	<input type="text"/>	---
G2100-060	Auditee Contact Extension	<input type="text"/>	---
G2100-070	Auditee Contact Fax	<input type="text"/>	---
* G2100-080	Auditee Contact Email	<input type="text"/>	---
* G2100-090	Date Approved by Certifying Official	(mm/dd/yyyy) <input type="text"/>	---
* G2100-100	Certifying Official First Name	<input type="text"/>	---
G2100-110	Certifying Official Middle Initial	<input type="text"/>	---
* G2100-120	Certifying Official Last Name	<input type="text"/>	---
* G2100-130	Certifying Official Title	<input type="text"/>	---
* G2200-005	UII	<input type="text"/>	---
* G2200-010	Auditor Name		---
* G2200-020	Auditor Street Address Line 1		---
G2200-030	Auditor Street Address Line 2		---
* G2200-040	Auditor City		---
* G2200-050	Auditor State		---

This screen print will be affected by the changes mentioned in audited screen 9.

## Audited Screen 9 Continued

The screenshot shows a web browser window with a standard toolbar at the top. The main content area displays a form with the following fields:

* G2100-130	Certifying Official Title	<input type="text"/>	---
* G2200-005	Ull	<input type="text"/>	---
* G2200-010	Auditor Name		---
* G2200-020	Auditor Street Address Line 1		---
G2200-030	Auditor Street Address Line 2		---
* G2200-040	Auditor City		---
* G2200-050	Auditor State		---
* G2200-060	Auditor Zip Code		---
G2200-065	Auditor Zip Code Extension		---
* G2200-070	Auditor Contact First Name		---
G2200-080	Auditor Contact Middle Initial		---
* G2200-090	Auditor Contact Last Name		---
* G2200-100	Auditor Contact Title		---
* G2200-110	Auditor Contact Telephone		---
G2200-120	Auditor Contact Extension		---
G2200-130	Auditor Contact Fax		---
* G2200-140	Auditor Contact Email		---

At the bottom of the form, there are two buttons: "Save" and "Reset".

\* mandatory field

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This screen print will be affected by the changes mentioned in audited screen 9.

# Audited Screen 10

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

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PHA Code : DC777  
PHA Name : CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A.133

Multiple EIN Details [Back to General Information](#)

#	EIN	#	EIN	#	EIN
1	<input type="text"/>	2	<input type="text"/>	3	<input type="text"/>
4	<input type="text"/>	5	<input type="text"/>	6	<input type="text"/>
7	<input type="text"/>	8	<input type="text"/>	9	<input type="text"/>
10	<input type="text"/>	11	<input type="text"/>	12	<input type="text"/>
13	<input type="text"/>	14	<input type="text"/>	15	<input type="text"/>
16	<input type="text"/>	17	<input type="text"/>	18	<input type="text"/>
19	<input type="text"/>	20	<input type="text"/>	21	<input type="text"/>
22	<input type="text"/>	23	<input type="text"/>	24	<input type="text"/>
25	<input type="text"/>	26	<input type="text"/>	27	<input type="text"/>
28	<input type="text"/>	29	<input type="text"/>	30	<input type="text"/>

This screen print will be affected by the changes mentioned in audited screen 9.

## Audited Screen 10 Continued

The screenshot displays a web browser window with a toolbar at the top. The main content area contains a grid of 30 input fields, arranged in 10 rows and 3 columns. The rows are numbered 22 through 60. Below the grid are two buttons: "Save" and "Reset".

22	<input type="text"/>	23	<input type="text"/>	24	<input type="text"/>
25	<input type="text"/>	26	<input type="text"/>	27	<input type="text"/>
28	<input type="text"/>	29	<input type="text"/>	30	<input type="text"/>
31	<input type="text"/>	32	<input type="text"/>	33	<input type="text"/>
34	<input type="text"/>	35	<input type="text"/>	36	<input type="text"/>
37	<input type="text"/>	38	<input type="text"/>	39	<input type="text"/>
40	<input type="text"/>	41	<input type="text"/>	42	<input type="text"/>
43	<input type="text"/>	44	<input type="text"/>	45	<input type="text"/>
46	<input type="text"/>	47	<input type="text"/>	48	<input type="text"/>
49	<input type="text"/>	50	<input type="text"/>	51	<input type="text"/>
52	<input type="text"/>	53	<input type="text"/>	54	<input type="text"/>
55	<input type="text"/>	56	<input type="text"/>	57	<input type="text"/>
58	<input type="text"/>	59	<input type="text"/>	60	<input type="text"/>

Save Reset

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start | https://webt.hud.go... | Doc2.doc - Microsoft Word | Financial Assessmen... | 9:15 AM

This screen print will be affected by the changes mentioned in audited screen 9.



# Audited Screen 11

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) | [Notes & Findings](#) |

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[Additional Help](#)

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A.133

Multiple DUNS Details([Back to General Information](#))

#	DUN	#	DUN	#	DUN
1	<input type="text"/>	2	<input type="text"/>	3	<input type="text"/>
4	<input type="text"/>	5	<input type="text"/>	6	<input type="text"/>
7	<input type="text"/>	8	<input type="text"/>	9	<input type="text"/>
10	<input type="text"/>	11	<input type="text"/>	12	<input type="text"/>
13	<input type="text"/>	14	<input type="text"/>	15	<input type="text"/>
16	<input type="text"/>	17	<input type="text"/>	18	<input type="text"/>
19	<input type="text"/>	20	<input type="text"/>	21	<input type="text"/>
22	<input type="text"/>	23	<input type="text"/>	24	<input type="text"/>
25	<input type="text"/>	26	<input type="text"/>	27	<input type="text"/>
28	<input type="text"/>	29	<input type="text"/>	30	<input type="text"/>
31	<input type="text"/>	32	<input type="text"/>	33	<input type="text"/>

This screen print will be affected by the changes mentioned in audited screen 9.

Audited Screen 11 Continued

Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133  
Multiple DUNS Details [Back to General Information](#)

#	DUN	#	DUN	#	DUN
1	<input type="text"/>	2	<input type="text"/>	3	<input type="text"/>
4	<input type="text"/>	5	<input type="text"/>	6	<input type="text"/>
7	<input type="text"/>	8	<input type="text"/>	9	<input type="text"/>
10	<input type="text"/>	11	<input type="text"/>	12	<input type="text"/>
13	<input type="text"/>	14	<input type="text"/>	15	<input type="text"/>
16	<input type="text"/>	17	<input type="text"/>	18	<input type="text"/>
19	<input type="text"/>	20	<input type="text"/>	21	<input type="text"/>
22	<input type="text"/>	23	<input type="text"/>	24	<input type="text"/>
25	<input type="text"/>	26	<input type="text"/>	27	<input type="text"/>
28	<input type="text"/>	29	<input type="text"/>	30	<input type="text"/>
31	<input type="text"/>	32	<input type="text"/>	33	<input type="text"/>
34	<input type="text"/>	35	<input type="text"/>	36	<input type="text"/>
37	<input type="text"/>	38	<input type="text"/>	39	<input type="text"/>
40	<input type="text"/>				

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This screen print will be affected by the changes mentioned in audited screen 9.

## Audited Screen 12

**Financial Assessment**  
**Electronic Submission**  
 U.S. Department of Housing and Urban Development  
 Real Estate Assessment Center (REAC)



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[LOCCS/HUDCAPS](#) |

[Additional Help](#)

**PHA Code :** DC777  
**PHA Name:** CARLSBURG  
**Fiscal Year End Date:** 03/31/2007  
**Submission Type:** Audited/A-133

General Information	Financial Statements	Federal Programs	
ELEMENT #	DESCRIPTION	VALUE	DETAILS
* G3000-005	Financial Statements Using Basis Other Than GAAP	No	---
* G3000-010	Fund Opinion(s)	<input type="checkbox"/> Unqualified Opinion <input type="checkbox"/> Qualified Opinion <input type="checkbox"/> Adverse Opinion <input type="checkbox"/> Disclaimer of Opinion	<a href="#">[Details]</a>
* G3000-020	"Going Concern" Indicator	None	---
* G3000-030	Reportable Condition Indicator	None	---
* G3000-040	Material Weakness Indicator	None	---
* G3000-050	Material Noncompliance Indicator	None	---

This screen print will be affected by the changes mentioned in audited screen 9. Also, please note that the reportable condition indicator on the screen above will become the significant deficiency indicator due to Government Auditing Standards (Yellow Book).

## Audited Screen 12 Continued

[? Additional Help](#)

**PHA Code :** DC777  
**PHA Name:** CARLSBURG  
**Fiscal Year End Date:** 03/31/2007  
**Submission Type:** Audited/A-133

General Information
Financial Statements
Federal Programs

ELEMENT #	DESCRIPTION	VALUE	DETAILS
* G3000-005	Financial Statements Using Basis Other Than GAAP	No	---
* G3000-010	Fund Opinion(s)	<input type="checkbox"/> Unqualified Opinion <input type="checkbox"/> Qualified Opinion <input type="checkbox"/> Adverse Opinion <input type="checkbox"/> Disclaimer of Opinion	<a href="#">[Details]</a>
* G3000-020	"Going Concern" Indicator	None	---
* G3000-030	Reportable Condition Indicator	None	---
* G3000-040	Material Weakness Indicator	None	---
* G3000-050	Material Noncompliance Indicator	None	---

\* mandatory field

---

[| Top of Page |](#)  
[| Inbox | Reports | PHA Info | Financial Data Schedule | Data Collection Form |](#)  
[| Notes & Findings | Comments | Submit | Late Reason | Material Difference Reason |](#)  
[| LOCCS/HUDCAPS |](#)

[? Additional Help](#)

This screen print will be affected by the changes mentioned in audited screen 9. Also, please note that the reportable condition indicator on the screen above will become the significant deficiency indicator due to GAS (Yellow Book).

Audited Screen 13

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) | [Notes & Findings](#) |  
[Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) | [LOCCS/HUDCAPS](#) |

[Additional Help](#)

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

**Audit Details** [Back to Financial Statements](#)

CFDA#	NAME OF PROGRAM	DETAILS
14.850a	Fund Type and Opinion of the Fund containing Low Rent Public Housing	---
* G3000-060	Fund Type	None
* G3000-070	Fund Opinion	None

\* mandatory field

[Top of Page](#) | [Back to Financial Statements](#) |

[Inbox](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) | [Notes & Findings](#) |  
[Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) | [LOCCS/HUDCAPS](#) |

[Additional Help](#)

This screen print will be affected by the changes mentioned in audited screen 9.

Audited Screen 14

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) |

[Additional Help](#)

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

**General Information** | **Financial Statements** | **Federal Programs**

ELEMENT #	DESCRIPTION	VALUE	DETAILS
* G4000-020	Dollar Threshold Used to Distinguish Type A and Type B Programs	\$ <input type="text"/>	---
* G4000-030	Low-Risk Auditee Indicator	<input type="text" value="None"/>	---
* G4000-040	Indicator-Any Audit Findings Disclosed that are Required to be Reported	<input type="text" value="None"/>	---
* G4000-050	Federal Agencies Required to Receive the Reporting Package		
	<input type="checkbox"/> U.S. Agency for International Development		
	<input type="checkbox"/> Agriculture		
	<input type="checkbox"/> Commerce		
	<input type="checkbox"/> Corporation of National and Community Service		
	<input type="checkbox"/> Defense		
	<input type="checkbox"/> Education		
	<input type="checkbox"/> Energy		

This screen print will be affected by the changes mentioned in audited screen 9.

# Audited Screen 14 Continued

<input type="checkbox"/>	Corporation of National and Community Service
<input type="checkbox"/>	Defense
<input type="checkbox"/>	Education
<input type="checkbox"/>	Energy
<input type="checkbox"/>	Environmental Protection Agency
<input type="checkbox"/>	Federal Emergency Management Agency
<input type="checkbox"/>	General Services Administration
<input type="checkbox"/>	Health and Human Services
<input type="checkbox"/>	Housing and Urban Development
<input type="checkbox"/>	Institute of Museum and Library Services
<input type="checkbox"/>	Interior
<input type="checkbox"/>	Justice
<input type="checkbox"/>	Labor
<input type="checkbox"/>	National Endowment for the Arts
<input type="checkbox"/>	National Aeronautics and Space Administration
<input type="checkbox"/>	National Archives and Records Administration
<input type="checkbox"/>	National Endowment for the Humanities
<input type="checkbox"/>	National Science Foundation
<input type="checkbox"/>	Veterans Affairs
<input type="checkbox"/>	Office of National Drug Control Policy
<input type="checkbox"/>	United States Information Agency
<input type="checkbox"/>	Small Business Administration
<input type="checkbox"/>	Social Security Administration
<input type="checkbox"/>	U.S. Department of State
<input type="checkbox"/>	Transportation
<input type="checkbox"/>	Treasury
<input type="checkbox"/>	Appalachian Regional Commission
<input type="checkbox"/>	Legal Services Corp
<input type="checkbox"/>	Homeland Security
<input type="checkbox"/>	Federal Cognizant agency (if not marked above)
<input type="checkbox"/>	None
<input type="checkbox"/>	Other

G4000-060	Enter name if Other is selected for G4000-050	<input type="text"/>	---
	Enter name if Other is selected for		

This screen print will be affected by the changes mentioned in audited screen 9.

## Audited Screen 14 Continued

Legal Services Corp  
 Homeland Security  
 Federal Cognizant agency (if not marked above)  
 None  
 Other

G4000-060	Enter name if Other is selected for G4000-050	<input type="text"/>	---
G4000-061	Enter name if Other is selected for G4000-050 and there are two federal agencies required to receive the reporting package	<input type="text"/>	---
* G4000-070	Does the auditor's report include a statement that the auditee's financial statements include depts., agencies, or organizational units expending more than \$500,000 in Federal awards that have separate A-133 audits which are not included in this audit?	None ▾	---
* G4000-080	Was a Schedule of Prior Audit Findings prepared?	None ▾	---
* G4100-040	Total Federal Awards Expended		\$ [Details]

\* mandatory field

---

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---

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---

| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

---

| [LOCCS/HUDCAPS](#) |

[Additional Help](#)

This screen print will be affected by the changes mentioned in audited screen 9.



## Audited Screen 15

- [ ] X

**PHA Code :** DC777  
**PHA Name:** CARLSBURG  
**Fiscal Year End Date:** 03/31/2007  
**Submission Type:** Audited/A-133

**Total Federal Awards Expended Details** [[Back to Federal Programs](#)]

CFDA#	NAME OF FEDERAL PROGRAM	DETAILS
<b>14.850a</b>	<b>Low Rent Public Housing</b>	---
* G4100-030	Amount Expended	\$ <input type="text"/> ---
* G4200-010	Major Federal Program Indicator	<input type="text" value="None"/> ---
* G4200-050	Type of Opinion on Major Program	<input type="text" value="None"/> ---
* G4200-060	Number of A-133 Compliance Audit Findings	<input type="text"/> <a href="#">[Details]</a>
G4200-070	Audit Finding Reference Number	<input type="text"/> ---
* G4200-080	Are Awards Part of the Research and Development Cluster?	<input type="text" value="None"/> ---
* G4200-090	Are Awards Received Directly from a Federal Agency?	<input type="text" value="None"/> ---
G4100-050	Total Amount of Questioned Costs	\$ ---

[Add/Delete a Federal Program](#)

\* mandatory field

---

[| Top of Page](#) | [Back to Federal Programs](#) |

---

[| Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | Data Collection Form |

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[| Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

---

[| LOCCS/HUDCAPS](#) |

[? Additional Help](#)

This screen print will be affected by the changes mentioned in audited screen 9.

Audited Screen 16

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

| [Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | Data Collection Form |

| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

| [LOCCS/HUDCAPS](#) |

[? Additional Help](#)

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133  
Program #: 14.850a - Low Rent Public Housing

**Compliance Requirements Details** [Back to Total Federal Awards Expended Details](#)

TYPE OF COMPLIANCE REQUIREMENT	AMOUNT OF QUESTIONED COSTS	INTERNAL CONTROL FINDINGS	DETAILS
<a href="#">Add A Compliance Requirement</a>			

| [Top of Page](#) | [Back to Total Federal Awards Expended Details](#) |

| [Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | Data Collection Form |

| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

| [LOCCS/HUDCAPS](#) |

[? Additional Help](#)

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This screen print will be affected by the changes mentioned in audited screen 9.

# Audited Screen 16 Continued

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133  
Program #: 14.850a - Low Rent Public Housing

**Add A Compliance Requirement** [Back to Compliance Requirement Details](#)

ELEMENT#	ACCOUNT DESCRIPTION	VALUE	DETAILS
* G4200-020	Type of Compliance Requirement	None	---
* G4200-030	Amount of Questioned Costs	\$	---
* G4200-040	Internal Control Findings	None	---

\* mandatory field

[Top of Page](#) | [Back to Compliance Requirement Details](#) |  
[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) |

[Additional Help](#)

This screen print will be affected by the changes mentioned in audited screen 9.

## Audited Screen 17

The screenshot shows a web browser window with a standard Windows XP-style taskbar at the top. The browser's address bar is empty. The main content area features a header with the text "Financial Assessment" and "Electronic Submission" in a large, bold font. Below this, it reads "U.S. Department of Housing and Urban Development Real Estate Assessment Center (REAC)". To the right of the text is a graphic of a stack of papers with an arrow pointing to it. Below the header is a horizontal navigation bar with several links: "Inbox", "Reports", "PHA Info", "Financial Data Schedule", "Data Collection Form", "Notes & Findings", "Comments", "Submit", "Late Reason", and "Material Difference Reason". Below this bar is another link: "LOCCS/HUDCAPS". To the right of these links is a blue question mark icon followed by the text "Additional Help". In the center of the page is a grey box titled "Instructions:" containing the following text: "Please attach the following:" followed by a bulleted list with one item: "Notes to the Financial Statements". Below this is the text "To upload an attachment:" followed by a bulleted list with two items: "Select the Attach File button" and "Select the Browse Button to retrieve the file". Below that is the text "To view the attached file:" followed by a bulleted list with one item: "Select the Open File link". At the bottom of the grey box, it says "Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower." To the left of the instructions box, the following text is displayed: "PHA Code : DC777", "PHA Name: CARLSBURG", "Fiscal Year End Date: 03/31/2007", and "Submission Type: Audited/A-133". At the bottom of the browser window, there is a horizontal navigation bar with two tabs: "MD&A" and "Financial Statements". The "Financial Statements" tab is currently selected and highlighted.

Financial Assessment  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

| [Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
| [LOCCS/HUDCAPS](#) |

[? Additional Help](#)

**Instructions:**  
Please attach the following:

- Notes to the Financial Statements

To upload an attachment:

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

MD&A | Financial Statements

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 17 Continued

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

ELEMENT #	DESCRIPTION	VALUE	DETAILS
G5000-010	Footnotes	<input type="button" value="Attach File"/>	---

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| [Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

| Notes & Findings | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

| [LOCCS/HUDCAPS](#) |

[? Additional Help](#)

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The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 18

Financial Assessment  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
| [LOCCS/HUDCAPS](#) |

[? Additional Help](#)

**Instructions:**  
Please select an opinion type:

- Select an opinion type from the dropdown box
- Click the 'Save Opinion Type' button

Please attach the following:

- Independent Auditor's Report (Single Audit, Yellow Book and GAAS Audit)
- Report on Compliance and Internal Control Over Financial Reporting (Single Audit, Yellow Book)
- Report on Compliance and Internal Control Over Compliance in Accordance with OMB Circular A-133 (Single Audit only)
- Schedule of Expenditures of Federal Awards with Notes (Single Audit only)

To upload an attachment:

- Select the Attach File button
- Select the Browse Button to retrieve the file

**PHA Code : DC777**  
**PHA Name: CARLSBURG**  
**Fiscal Year End Date: 03/31/2007**  
**Submission Type: Audited/A-133**

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 18 Continued

**PHA Code : DC777**  
**PHA Name: CARLSBURG**  
**Fiscal Year End Date: 03/31/2007**  
**Submission Type: Audited/A-133**

- Report on Compliance and Internal Control Over Compliance in Accordance with OMB Circular A-133 (Single Audit only)
- Schedule of Expenditures of Federal Awards with Notes (Single Audit only)

To upload an attachment:

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

ELEMENT #	DESCRIPTION	VALUE	DETAILS
G5100-010	Opinion on Supplemental Information	None	---
<input type="button" value="Save Opinion Type"/>			
G5100-020	Auditor Opinions and Schedule of Expenditure of Federal Awards		<input type="button" value="Attach File"/> ---

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 19



Financial Assessment  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

| [LOCCS/HUDCAPS](#) |

[? Additional Help](#)

**Instructions:**  
Please attach the following:

- Schedule of Findings and Questioned Costs
- Summary Schedule of Prior Audit Findings

To upload an attachment:

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A.133

The content of the above screen will remain largely unchanged from the current system.



## Audited Screen 19 Continued

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A.133

To upload an attachment:

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

MD&A | Financial Statements | Notes | Audit Information | **Audit Findings** | Action Plan

ELEMENT #	DESCRIPTION	VALUE	DETAILS
G5200-010	Audit Finding(Current & Prior Year Findings)	<input type="button" value="Attach File"/>	---

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| [LOCCS/HUDCAPS](#) |

[? Additional Help](#)

Learn Guide and System Documentation | Technical Assistance Center

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 20

Financial Assessment  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

[LOCCS/HUDCAPS](#) |

[? Additional Help](#)

**Instructions:**  
Please attach the following:

- Corrective Action Plan

To upload an attachment:

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

MD&A    Financial Statements

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 20 Continued

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

MD&A | Financial Statements | Notes | Audit Information | Audit Findings | **Action Plan**

ELEMENT #	DESCRIPTION	VALUE	DETAILS
G5300-010	Corrective Action Plan (Current & Prior Year Findings)	<input type="button" value="Attach File"/>	---

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| [Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

---

| Notes & Findings | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

---

| [LOCCS/HUDCAPS](#) |

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The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 21

Financial Assessment  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) |

[Additional Help](#)

**Instructions:**  
Please attach the following:

- Management's Discussion & Analysis

To upload an attachment:

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

MD&A    Financial Statements

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 21 Continued

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

MD&A | Financial Statements

Notes | Audit Information | Audit Findings | Action Plan

ELEMENT #	DESCRIPTION	VALUE	DETAILS
G5050-010	Management's Discussion & Analysis	<input type="button" value="Attach File"/>	---

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| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

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| [LOCCS/HUDCAPS](#) |

[Additional Help](#)

---

[User Guide and System Documentation](#) | [Technical Assistance Center](#)

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 22

Financial Assessment  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) |

[? Additional Help](#)

**Instructions:**  
Please attach the following:

- Government-Wide Financial Statements, If Applicable
- Fund Financial Statements

To upload an attachment:

- Select the Attach File button
- Select the Browse Button to retrieve the file

To view the attached file:

- Select the Open File link

Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format.  
Compatible means the stated version or lower.

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 22 Continued

The screenshot shows a web browser window with a toolbar at the top. The main content area is divided into several sections:

- PHA Information:**
  - PHA Code : DC777
  - PHA Name: CARLSBURG
  - Fiscal Year End Date: 03/31/2007
  - Submission Type: Audited/A.133
- Instructions:**
  - To upload an attachment:**
    - Select the Attach File button
    - Select the Browse Button to retrieve the file
  - To view the attached file:**
    - Select the Open File link
  - Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.
- Navigation Tabs:** MD&A, **Financial Statements**, Notes, Audit Information, Audit Findings, Action Plan
- Table:**

ELEMENT #	DESCRIPTION	VALUE	DETAILS
G5150-010	Government-wide AND OR Fund Financial Statements		<input type="button" value="Attach File"/> ---
- Footer:**
  - [Top of Page](#)
  - | [Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |
  - | [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |
  - | [LOCCS/HUDCAPS](#) |
  - [Additional Help](#)

The content of the above screen will remain largely unchanged from the current system.

# Audited Screen 23

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) |

[? Additional Help](#)

**Instructions:**  
Use this page to record any comments related to the submission. You can enter text in the comments box and/or attach a file below.

**PHA Code :** DC777  
**PHA Name:** CARLSBURG  
**Fiscal Year End Date:** 03/31/2007  
**Submission Type:** Audited/A-133

To upload an attachment, click "Attach File" button to open a window. Then select the file and upload it. To view the attachment, select the Open File link. Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.

**Submission Comments**

ELEMENT #	DESCRIPTION	VALUE
G6000-030	Submission Comments	<input type="text"/>

The content of the above screen will remain largely unchanged from the current system.



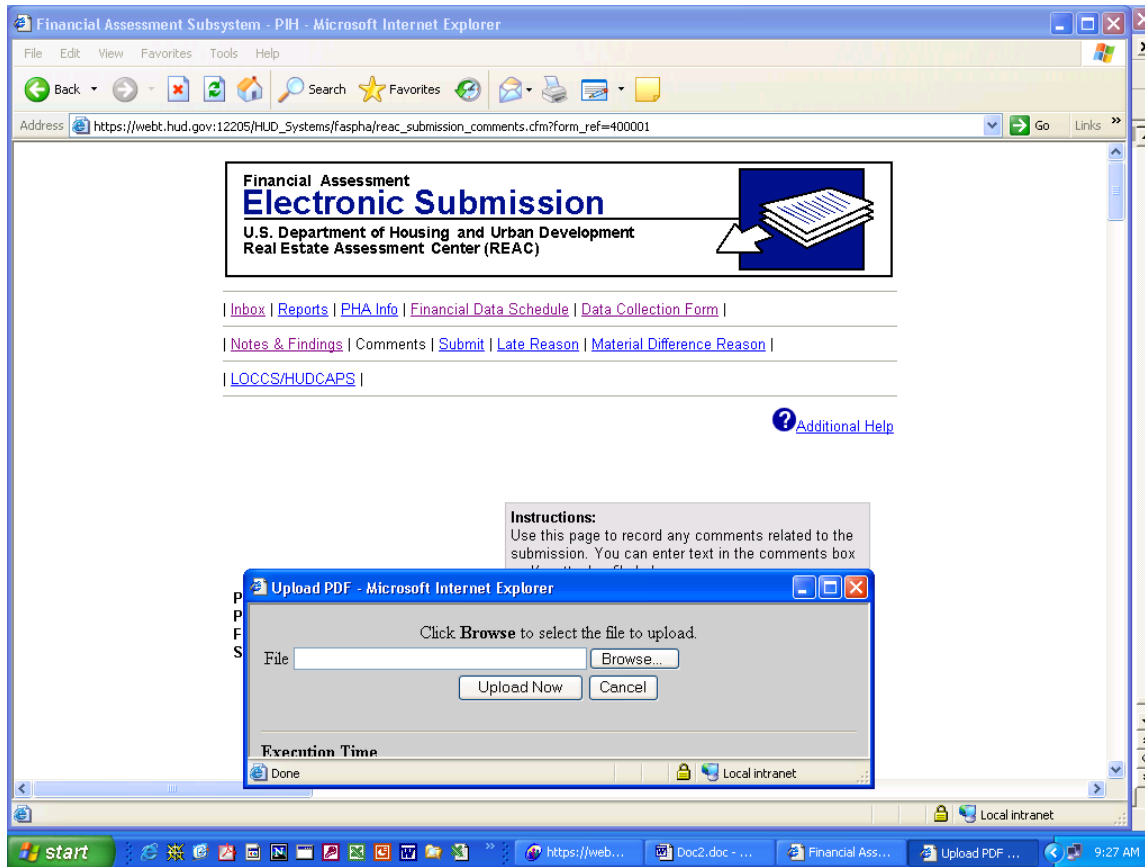
## Audited Screen 23 Continued

The screenshot shows a web browser window with a toolbar at the top. The main content area is divided into several sections:

- PHA Information:**
  - PHA Code : DC777
  - PHA Name: CARLSBURG
  - Fiscal Year End Date: 03/31/2007
  - Submission Type: Audited/A-133
- Instructions:**
  - Use this page to record any comments related to the submission. You can enter text in the comments box and/or attach a file below.
  - To upload an attachment, click "Attach File" button to open a window. Then select the file and upload it. To view the attachment, select the Open File link. Please upload the information as one file in a rich text (.rtf), Microsoft Word 2000 compatible (.doc), Microsoft Excel 2000 compatible (.xls), or Adobe Acrobat Reader 5.0 compatible (.pdf) format. Compatible means the stated version or lower.
- Submission Comments:**
  - A table with columns: ELEMENT #, DESCRIPTION, VALUE, and DETAILS.
  - Row 1: ELEMENT # G6000-030, DESCRIPTION Submission Comments, VALUE (text input field), DETAILS (empty).
  - Row 2: ELEMENT # G6000-040, DESCRIPTION Upload Submission Comments, VALUE (Attach File button), DETAILS (---).
- Navigation and Links:**
  - Buttons: Save, Reset
  - Links: [Top of Page](#), [Inbox](#), [Reports](#), [PHA Info](#), [Financial Data Schedule](#), [Data Collection Form](#), [Notes & Findings](#), [Comments](#), [Submit](#), [Late Reason](#), [Material Difference Reason](#), [LOCCS/HUDCAPS](#)
  - Additional Help link with a question mark icon.

The content of the above screen will remain largely unchanged from the current system.

## Audited Screen 24



The content of the above screen will remain largely unchanged from the current system.

# Audited Screen 25

Financial Assessment  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) | [Additional Help](#)

PHA Code : DC777  
PHA Name : CARLSBURG  
Fiscal Year End Date : 03/31/2007  
Submission Type : Audited/A-133

**Submit Data**

Submission Completeness Check

**CERTIFICATION STATEMENT**

This is to certify that, to the best of my knowledge and belief, the information contained in this submission - including but not limited to the accompanying FDS and entity self assessment - is accurate and complete for the period described on data element lines G9000-010, G2000-020, and G2000-030.

By selecting **Submit Financial Data**, I declare that the foregoing is true and correct.

LINE ITEM #	ACCOUNT DESCRIPTION	TOTAL
190	Total Assets	\$0
600	Total Liabilities and Equity	\$0

The content of the above screen will remain largely unchanged from the current system.

# Audited Screen 25 Continued

**Submit Data**

**CERTIFICATION STATEMENT**

**This is to certify that, to the best of my knowledge and belief, the information contained in this submission - including but not limited to the accompanying FDS and entity self assessment - is accurate and complete for the period described on data element lines G9000.010, G2000.020, and G2000.030.**

**By selecting Submit Financial Data, I declare that the foregoing is true and correct.**

LINE ITEM #	ACCOUNT DESCRIPTION	TOTAL
190	Total Assets	\$0
600	Total Liabilities and Equity	\$0
700	Total Revenue	\$0
969	Total Operating Expenses	\$0
970	Excess (Deficiency) of Operating Revenue Over (Under) Operating Expenses	\$0
900	Total Expenses	\$0
1000	Excess (Deficiency) of Operating Revenue Over (Under) Expenses	\$0

[Top of Page](#)

---

| [Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

---

| [Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |

---

| [LOCCS/HUDCAPS](#) |

[? Additional Help](#)

The content of the above screen will remain largely unchanged from the current system.

# Audited Screen 26

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) |

[Additional Help](#)

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

ELEMENT#	DESCRIPTION	VALUE	DETAILS
G6000-020	Late Reason	<input type="text"/> (Limit: 255 Characters)	---

[Top of Page](#)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

The content of the above screen will remain largely unchanged from the current system.

# Audited Screen 27

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) |

[Additional Help](#)

PHA Code : DC777  
PHA Name : CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

ELEMENT #	DESCRIPTION	VALUE	DETAILS
G6000-010	Reason for Material Differences	<input type="text"/>	---

(Limit: 255 Characters)

[Top of Page](#)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |

The content of the above screen will remain largely unchanged from the current system.

Audited Screen 28

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) | [Additional Help](#)

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

**Submit Data**    Auditor Procedures

**CERTIFICATION STATEMENT**

This is to certify that, to the best of my knowledge and belief, the information contained in this submission - including but not limited to the accompanying FDS and entity self assessment - is accurate and complete for the period described on data element lines G9000-010, G2000-020, and G2000-030.

By selecting Submit Financial Data, I declare that the foregoing is true and correct.

LINE ITEM #	ACCOUNT DESCRIPTION	TOTAL
190	Total Assets	\$0
600	Total Liabilities and Equity	\$0
700	Total Revenue	\$0
969	Total Operating Expenses	\$0
970	Excess (Deficiency) of Operating Revenue Over (Under) Operating Expenses	\$0

The content of the above screen will remain largely unchanged from the current system.

# Audited Screen 28 Continued

The screenshot shows a web browser window with a taskbar at the top. The browser address bar displays "Fiscal Year End Date: 03/31/2007" and "Submission Type: Audited/A-133". The main content area has two tabs: "Submit Data" (selected) and "Auditor Procedures". Below the tabs is a "CERTIFICATION STATEMENT" section with the following text:

This is to certify that, to the best of my knowledge and belief, the information contained in this submission - including but not limited to the accompanying FDS and entity self assessment - is accurate and complete for the period described on data element lines G9000-010, G2000-020, and G2000-030.

By selecting Submit Financial Data, I declare that the foregoing is true and correct.

Below the text is a table with three columns: "LINE ITEM #", "ACCOUNT DESCRIPTION", and "TOTAL".

LINE ITEM #	ACCOUNT DESCRIPTION	TOTAL
190	Total Assets	\$0
600	Total Liabilities and Equity	\$0
700	Total Revenue	\$0
969	Total Operating Expenses	\$0
970	Excess (Deficiency) of Operating Revenue Over (Under) Operating Expenses	\$0
900	Total Expenses	\$0
1000	Excess (Deficiency) of Operating Revenue Over (Under) Expenses	\$0

At the bottom of the page, there are several navigation links: "Top of Page", "Inbox | Reports | PHA Info | Financial Data Schedule | Data Collection Form |", "Notes & Findings | Comments | Submit | Late Reason | Material Difference Reason |", "LOCCS/HUDCAPS |", "Additional Help" (with a question mark icon), and "User Guide and System Documentation | Technical Assistance Center |".

The content of the above screen will remain largely unchanged from the current system.



## Audited Screen 29

The screenshot shows a Microsoft Internet Explorer browser window with the title "Financial Assessment Subsystem - PIH - Microsoft Internet Explorer". The address bar contains the URL "https://webt.hud.gov:12205/HUD\_Systems/faspha/yesno.cfm?". The main content area features a header with the text "Financial Assessment Electronic Submission" and "U.S. Department of Housing and Urban Development Real Estate Assessment Center (REAC)", accompanied by an icon of a document with an arrow. Below this is a question: "Is the individual person or firm performing the agreed upon procedures the same individual performing the audit? To change your response after clicking Yes or No, please click the Back button on your browser and click the Auditor Procedures tab again." There are two buttons labeled "Yes" and "No". A note states: "Note: This message box will not appear again after you save data on the Auditor Procedures page." Below the buttons is a section titled "Queries" containing a SQL query:

```
getrecord (Records=0, Time=11ms)
SQL =
select a.participant_id,
       a.group_id,
       a.version_id,
       a.assessment_id,
       a.item_id,
       a.sub_line_item_ac_smalltext
from submission_line_item a
where a.participant_id=1855000000
      and a.group_id=57
      and a.version_id=6
      and a.assessment_id=22923712
      and a.item_id=400399
```

The browser's status bar shows "Done" and "Local intranet". The Windows taskbar at the bottom includes the Start button, several application icons, and the system tray showing the time as 9:41 AM.

The content of the above screen will remain largely unchanged from the current system.

# Audited Screen 30

**Financial Assessment**  
**Electronic Submission**  
U.S. Department of Housing and Urban Development  
Real Estate Assessment Center (REAC)

[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) |  
[Notes & Findings](#) | [Comments](#) | [Submit](#) | [Late Reason](#) | [Material Difference Reason](#) |  
[LOCCS/HUDCAPS](#) | [? Additional Help](#)

PHA Code : DC777  
PHA Name: CARLSBURG  
Fiscal Year End Date: 03/31/2007  
Submission Type: Audited/A-133

**Submit Data**   **Auditor Procedures**

**Independent Accountant's Report on Applying Agreed-Upon Procedure**

We have performed the procedure described in the second paragraph, which was agreed to by [redacted]<sup>1</sup> (the Housing Authority) and the U.S. Department of Housing and Urban Development, Real Estate Assessment Center (REAC), solely to assist them in determining whether the electronic submission of certain information agrees with related hard copy documents. The Housing Authority is responsible for the accuracy and completeness of the electronic submission. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of the procedure is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedure described below either for the purpose for which this report has been requested or for any other purpose.

We compared the electronic submission of the items listed in the "UFRS Rule

The content of the above screen will remain largely unchanged from the current system.

# Audited Screen 30 Continued

report. Consequently, we make no representation regarding the consistency of the procedure described below either for the purpose for which this report has been requested or for any other purpose.

We compared the electronic submission of the items listed in the "UFRS Rule Information" column with the corresponding printed documents listed in the "Hard Copy Documents" column. The results of the performance of our agreed-upon procedure indicate agreement or non-agreement of electronically submitted information and hard copy documents as shown in the chart below.

We were engaged to perform an audit in accordance with OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, for the Housing Authority as of and for the year ended [redacted], and have issued our reports thereon dated [redacted]. The information in the "Hard Copy Documents" column was included within the scope, or was a by-product, of that audit. Further, our opinion on the fair presentation of the Housing Authority's Financial Data Schedule (FDS) dated [redacted], was expressed in relation to the basic financial statements of the Housing Authority taken as a whole.

A copy of the reporting package required by OMB Circular A-133, which includes the auditor's reports, is available in its entirety from the Housing Authority. We have not performed any additional auditing procedures since the date of the aforementioned audit reports. Further, we take no responsibility for the security of the information transmitted electronically to the U.S. Department of Housing and Urban Development, REAC.

This report is intended solely for the information and use of the Housing Authority and the U.S. Department of Housing and Urban Development, REAC, and is not intended to be and should not be used by anyone other than these specified parties.

PROCEDURE	UFRS RULE INFORMATION	HARD COPY DOCUMENTS	AGREES	DOES NOT AGREE
1	Balance Sheet and Revenue and Expense (data line items 111 to 1121)	Financial Data Schedule, all CFDA's	<input type="radio"/>	<input checked="" type="radio"/>
2	Footnotes (data element G5000-010)	Footnotes to audited basic financial statements	<input type="radio"/>	<input checked="" type="radio"/>
3	Type of opinion on FDS (data element G5100-010)	Auditor's supplemental report on FDS	<input type="radio"/>	<input checked="" type="radio"/>

The content of the above screen will remain largely unchanged from the current system.

# Audited Screen 30 Continued

4	Audit findings narrative (data element G5200-010)	Findings and Questioned costs	<input type="radio"/>	<input checked="" type="radio"/>
5	General information (data element series G2000, G2100, G2200, G2300, G9000, G9100)	OMB Data Collection Form*	<input type="radio"/>	<input checked="" type="radio"/>
6	Financial statement report information (data element G3000-010 to G3000-050, G3100-010 to G3100-030, G3200-010 to G3200-030, G3300-010 to G3300-060, G3400-010 to G3400-020)	Schedule of Findings and Questioned costs, Part 1 and OMB Data Collection Form*	<input type="radio"/>	<input checked="" type="radio"/>
7	Federal program report information (data element G4000-010 to G4000-040)	Schedule of Findings and Questioned costs, Part 1 and OMB Data Collection Form*	<input type="radio"/>	<input checked="" type="radio"/>
8	Federal agencies required to receive reporting package (data element G4000-050)	OMB Data Collection Form*	<input type="radio"/>	<input checked="" type="radio"/>
9	Basic financial statements and auditor's reports required to be submitted electronically	Basic financial statements (inclusive of auditor reports)	<input type="radio"/>	<input checked="" type="radio"/>

UJI	<input type="text" value="00001"/>	<input type="button" value="Search"/>
Firm Name		
Employer Identification Number		
Date		

\*While the OMB Data Collection Form can be produced from the UFRS system, the hard copy of the form listed in the second column above, against which the auditor will compare UFRS data, should be the signed hard copy of the form that will be filed with the Single Audit Clearinghouse. In other words, the auditor should assure that the data in the UFRS Rule Information column agrees with the final Data Collection Form signed by both the auditee and the auditor for filing with the Clearinghouse.

The content of the above screen will remain largely unchanged from the current system.