



United States Patent and Trademark Office  
Trademark Electronic Application System - TEAS Application



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PTO Form 1478 (Rev 9/2006)  
OMB No. 0651-0009 (Exp 9/30/2008)

## Certification Mark Form, Principal Register

### Version 4.1

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To file the application electronically, please complete the following steps:

1. Answer the first question below to create an application form showing only sections relevant to your specific filing.
2. For help at any point, click on any underlined word on any page.
3. After answering the first wizard question, click on the CONTINUE button at bottom of the page.
4. Once in the actual form, complete all fields with a \* symbol, since they are mandatory fields for TEAS filing purposes.
5. Validate the form, using the "Validate" button at the end of the form. If there are errors, return to the form to make the correction. A "Warning" may be corrected or by-passed.
6. Double-check all entries through the links displayed on the Validation page.
7. You may save your work for submission at a later time by clicking on the Download Portable Data button at the bottom of the Validation page.
8. When ready to file, use the Pay/Submit button at the bottom of the Validation page. This will allow you to choose from three (3) different payment methods: credit card, automated deposit account, or electronic funds transfer.
9. After accessing the proper screen for payment, and making the appropriate entries, you will receive a confirmation screen if your transmission is successful. This screen will say SUCCESS! and will provide your assigned serial number.
10. You will receive an e-mail acknowledgement of your submission, which will repeat the assigned serial number and provide a summary of your submission.

**Once you submit this application, we will not cancel the filing or refund your fee. The fee is a processing fee, which we do not refund even if we cannot issue a registration after our substantive review.**

**Important:** ONCE YOU SUBMIT AN APPLICATION ELECTRONICALLY, THE USPTO WILL IMMEDIATELY ISSUE AN ELECTRONIC ACKNOWLEDGMENT OF RECEIPT. Please contact [TEAS@uspto.gov](mailto:TEAS@uspto.gov) if you do not receive this acknowledgment within 24 hours of transmission.

**Contact Points:**

- **General trademark information:** Please review the information posted at [Where Do I Start](#). If you have remaining questions, e-mail [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov), or telephone 1-800-786-9199.
- **Help:** For instructions on how to *use* the electronic forms, or help in resolving *technical* glitches, please e-mail [TEAS@uspto.gov](mailto:TEAS@uspto.gov). Please include your telephone number in your e-mail, so we can talk to you directly, if necessary. Also, include the relevant serial number or registration number, if existing. **NOTE:** The TEAS Support Team focuses on problems related to the process of completing the electronic forms, **not** on what information would be correct for entry within a form, or other broader trademark issues. Please route those types of inquiries to the Trademark Assistance Center. However, please be aware that neither group can provide any sort of information in the nature of "legal advice." For legal advice, please consider contacting an attorney who specializes in intellectual property.
- **Bug Report:** If you think there is a "bug" within one of the electronic forms, please click [Bug Report](#).
- **Status Information:** For an application with an assigned serial number, check [Trademark Applications and Registrations Retrieval](#) to view current status information, as well as the complete prosecution history. Do **not** attempt to check status until at least 7-10 days after submission of a filing, to allow sufficient time for all USPTO databases to be updated. You can view **all** items listed in the prosecution history section online at [Trademark Document Retrieval](#), including all office actions sent by the USPTO.
- **Training opportunities:** If you are interested in possible training opportunities regarding electronic filing, including the new TEAS form, please e-mail [eComments@uspto.gov](mailto:eComments@uspto.gov).

**NOTE:** This form has a session time limit of 60 minutes. If there is no activity within 60 minutes, the form will expire and you must begin again. A session begins once you click the Continue button, below. "Activity" means performing an actual function within the form (e.g., entering data or clicking on a data field), not merely moving "back" or "forward" from within your browser.

Each time a function is performed, a new 60-minute period begins. Therefore, this session time limit should only be a problem if you start the form and then totally stop work for more than 60 minutes, but will not be an issue if you happen to take longer than 60 minutes of steady work to complete the form.

**1. Is an attorney filing this application?**

Yes  No

**2. [OPTIONAL] To access previously-saved data, use the "Browse/Choose File" button below to access the file from your local drive. NOTE: For specific instructions, please click [here](#). FAILURE TO FOLLOW THESE INSTRUCTIONS WILL RESULT IN THE DISPLAY OF YOUR DATA IN A FORMAT THAT CANNOT BE EDITED.**

Burden / Privacy Statement

The information collected on this form allows the PTO to determine whether a mark may be registered on the Principal or Supplemental register, and provides notice of an applicant's claim of ownership of the mark. Responses to the request for information are required to obtain the benefit of a registration on the Principal register. 15 U.S.C. §1051 et seq. and 37 C.F.R. Part 2. All information collected will be made public. Gathering and providing the information will require an estimated 15 or 21 minutes (depending if the application is based on an intent to use the mark in commerce, use of the mark in commerce, or a foreign application or registration). Please direct comments on the time needed to complete this form, and/or suggestions for reducing this burden to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Please note that the PTO may not conduct or sponsor a collection of information using a form that

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United States Patent and Trademark Office

Trademark Electronic Application System - TEAS Application



**Navigation History:** [Instruction](#) > [Applicant](#) > Mark > Goods/Services/Filing Basis > Attorney/Dom. Rep./Correspondence > Fee/Signature

PTO Form 1478 (Rev 9/2006)  
OMB No. 0651-0009 (Exp 9/30/2008)

## Certification Mark Form, Principal Register

### Version 4.1

### Applicant Information

**Note:** This identifies who **owns** the mark, **not** necessarily who is **filing** the application.

**Note:** If there is more than one owner of the mark, complete the information for the first owner, and then click on the "Add Owner" button at the bottom of this page. Repeat, as necessary, for the appropriate listing of all owners. Warning: It is important to determine whether, in fact, the applicants are joint applicants, or some other entity type listed below.

\* **Owner of Mark**

[If an individual, use the following format: Last Name, First Name Middle Initial or Name, if applicable]

**DBA/AKA/TA/Formerly**

#### Entity Type

- Individual**
- Corporation**
- Limited Liability Company**
- Partnership**
- Limited Partnership**

<== Click the appropriate circle on the left to indicate the applicant's entity type. The form will

- Joint Venture
- Sole Proprietorship
- Trust
- Estate
- Other

**then display the field(s) for entering information corresponding to that specific entity type. If your entity type is not one of the options displayed directly to the left, you must click on "Other" and then select the appropriate entry from the relevant pull-down box.**

\* Street Address

**NOTE:** You must limit your entry here, and for all remaining fields within this overall section, to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, *e.g.*, St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.

Internal Address

\* City

\* State

(Required for U.S. applicants)

If not listed above, please specify here:

\* Country or U.S. Territory

\* Zip/Postal Code

(Required for U.S. applicants only)

Phone Number

Fax Number

Internet

E-mail Address

While the application may list an e-mail address for the applicant, only the e-mail address of applicant's attorney or domestic representative will be used for actual correspondence purpose, in accordance with Office policy.

[Burden / Privacy Statement](#)

The information collected on this form allows the PTO to determine whether a mark may be registered on the Principal or Supplemental register, and provides notice of an applicant's claim of ownership of the mark. Responses to the request for information are required to obtain the benefit of a registration on the Principal register. 15 U.S.C. §1051 et seq. and 37 C.F.R. Part 2. All information collected will be made public. Gathering and providing the information will require an estimated 15 or 21 minutes (depending if the application is based on an intent to use the mark in commerce, use of the mark in commerce, or a foreign application or registration). Please direct comments on the time needed to complete this form, and/or suggestions for reducing this burden to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Please note that the PTO may not conduct or sponsor a collection of information using a form that does not display a valid OMB control number.



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PTO Form 1478 (Rev 9/2006)  
 OMB No. 0651-0009 (Exp 9/30/2008)

## Certification Mark Form, Principal Register Version 4.1

### Mark Information

Before the USPTO can register your mark, we must know exactly what the mark is. You may present your mark either as: (1) standard characters; or (2) special form (stylized and/or design). In this section of the form, you must present the mark *by itself*. If you are already using the mark in commerce, do **not** show here how the mark appears on a specimen (sample of actual use, e.g., a label or advertisement. This will be required in a different part of the form, if appropriate.) Also, you may submit only **one** mark per application. An application that includes multiple marks may be denied a filing date or refused registration.

When you click on one of the two circles presented below, and follow the specific instructions, the system will automatically create a separate page that displays your mark. Please carefully review this page prior to final submission to the USPTO, to ensure that it accurately identifies your mark. You may not be able to change or correct your mark after filing this application. While minor changes in the mark are *sometimes* permitted, any material alteration will not be permitted and will result in the examining attorney issuing a refusal on that ground.

**WARNING: AFTER SEARCHING THE USPTO DATABASE, EVEN IF YOU THINK THE RESULTS ARE "O.K.," DO NOT ASSUME THAT YOUR MARK CAN BE REGISTERED. AFTER YOU FILE AN APPLICATION, THE USPTO WILL PERFORM ITS OWN SEARCH AND OTHER REVIEW, AND MIGHT REFUSE TO REGISTER YOUR MARK.**

\* Click the appropriate circle to indicate the Mark type:  Standard Characters  Special Form (Stylized and/or Design)

Enter the mark here: (**Note:** The entry can be in capital letters, lower case letters, or a combination thereof. Do **not** include the ™, ℠, ®, or © symbols after the mark entry, because they are **not** part of the actual mark. If using Internet Explorer, the entry cannot exceed **2036** characters; otherwise, you must switch to another browser.)

**NOTE:** For how the USPTO determines what the display of the entered mark will be, click [here](#).

**NOTE:** For information about mark display in USPTO databases, click [here](#)

Check here if you need to enter an additional statement, e.g., a disclaimer, translation, or claim of ownership. The full range of possible statements will then be displayed. If, upon review of all of the statements, you realize that no statement is needed, you must click on the box to "uncheck" it, and the entire Additional

Statement section will be removed.

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[Burden / Privacy Statement](#)

The information collected on this form allows the PTO to determine whether a mark may be registered on the Principal or Supplemental register, and provides notice of an applicant's claim of ownership of the mark. Responses to the request for information are required to obtain the benefit of a registration on the Principal register. 15 U.S.C. §1051 et seq. and 37 C.F.R. Part 2. All information collected will be made public. Gathering and providing the information will require an estimated 15 or 21 minutes (depending if the application is based on an intent to use the mark in commerce, use of the mark in commerce, or a foreign application or registration). Please direct comments on the time needed to complete this form, and/or suggestions for reducing this burden to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Please note that the PTO may not conduct or sponsor a collection of information using a form that does not display a valid OMB control number.

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Check here if you need to enter an additional statement, e.g., a disclaimer, translation, or claim of ownership. The full range of possible statements will then be displayed. If, upon review of all of the statements, you realize that no statement is needed, you must click on the box to "uncheck" it, and the entire Additional Statement section will be removed.

### Additional Statement

**DISCLAIMER:** "No claim is made to the exclusive right to use  apart from the mark as shown."

**PRIOR REGISTRATION(S):** "The applicant claims ownership of U.S. Registration Number(s) , , .

(Required if warranted by facts of application.) **NOTE:** Entry must not include any commas, and must be 7 numerals long (if necessary, add leading 0's to number, e.g., 0086417).

Check here to indicate there are additional prior U.S. Registration Number(s).

**TRANSLATION:** "The foreign wording in the mark translates into English as .

(Required if warranted by facts of application.)

**TRANSLITERATION:** "The non-Latin character(s) in the mark transliterate into , and in English this means .

(Required if warranted by facts of application. **NOTE:** Not required for *any* standard character marks.)

### **SIGNIFICANCE OF WORDING, LETTER(S), OR NUMERAL(S):**

appearing in the mark means or signifies  in the relevant trade or industry or as applied to the goods/services listed in the application."

appearing in the mark has no significance in the relevant trade or industry or as applied to the goods/services listed in the application, no geographical significance, nor any meaning in a foreign language."

**§2(f), based on Use:** "The mark has become distinctive of the goods/services through the applicant's substantially exclusive and continuous use in commerce for at least the five years immediately before the date of this statement."

**§2(f), based on Prior Registration(s):** "The mark has become distinctive of the goods/services as evidenced by the ownership on the Principal Register for the same mark for related goods or services of U.S. Registration No(s). .

**§2(f), based on Evidence:** "The mark has become distinctive of the goods/services, as demonstrated by the attached evidence."

**§2(f), IN PART, based on Use:** "[ ] has become distinctive of the goods/services through the applicant's substantially exclusive and continuous use in commerce for at least the five years immediately before the date of this statement."

**§2(f), IN PART, based on Prior Registration(s):** "[ ] has become distinctive of the goods/services as evidenced by the ownership on the Principal Register for the same mark for related goods or services of U.S. Registration No(s). [ ]"

**§2(f), IN PART, based on Evidence:** "[ ] has become distinctive of the goods/services, as demonstrated by the attached evidence."

**NAME(S), PORTRAIT(S), SIGNATURE(S) OF INDIVIDUAL(S):** (Required if warranted by facts of application.)

"The name(s), portrait(s), and/or signature(s) shown in the mark identifies [ ], whose consent(s) to register is made of record."

"The name(s), portrait(s), and/or signature(s) shown in the mark does not identify a particular living individual."

**USE OF THE MARK IN ANOTHER FORM:** "The mark was first used anywhere in a different form other than that sought to be registered on [ ], and in commerce on [ ]."

**CONCURRENT USE:** Enter the appropriate concurrent use information, e.g., specify the goods and the geographic area for which registration is sought.

[ ] (Required if warranted by facts of application.)

**MISCELLANEOUS STATEMENT:** Enter information for which no other section of the form is appropriate.

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You will not be able to use TEAS

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PTO Form 1478 (Rev 9/2006)  
OMB No. 0651-0009 (Exp 9/30/2008)

## Certification Mark Form, Principal Register

Version 4.1

### Goods and/or Services Information

#### Instructions:

**Step 1:** Click on the "Add Goods/Services" button.

**Step 2:** After creating the complete list of goods and/or services for this application, you will then be able to designate the filing basis (or bases) appropriate for each listed item.

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**NOTE:** Clicking "Go Back" will take you directly back to the MARK section of the form.

[Burden / Privacy Statement](#)

The information collected on this form allows the PTO to determine whether a mark may be registered on the Principal or Supplemental register, and provides notice of an applicant's claim of ownership of the mark. Responses to the request for information are required to obtain the benefit of a registration on the Principal register. 15 U.S.C. §1051 et seq. and 37 C.F.R. Part 2. All information collected will be made public. Gathering and providing the information will require an estimated 15 or 21 minutes (depending if the application is based on an intent to use the mark in commerce, use of the mark in commerce, or a foreign application or registration). Please direct comments on the time needed to complete this form, and/or suggestions for reducing this burden to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Please note that the PTO may not conduct or sponsor a collection of information using a form that does not display a valid OMB control number.





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PTO Form 1478 (Rev 9/2006)  
OMB No. 0651-0009 (Exp 9/30/2008)

## Certification Mark Form, Principal Register

### Version 4.1

### Basis for Filing

Applicant requests registration of the certification mark identified previously with the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. §1051 *et seq.*) for the Goods and/or Services entered below.

#### Instructions for assigning filing basis(es):

After selecting a class number (if known) and entering the goods/services listing for that class, you may assign a specific filing basis, or if appropriate, multiple bases. For an explanation of the possible filing basis(es), as identified by the 4 buttons beneath the listing of goods/services, click [here](#).

For complete step-by-step instructions on how correctly to assign the filing basis(es), click on the heading that corresponds to the factual scenario for this specific filing, *below*. For examples of filings corresponding to each of the heading descriptions, click on the link "*Examples*."

- **One class or multiple classes, with ONE filing basis for ALL listed items *Examples***  
NOTE: This is the most common correct choice for any filing. The following are other options, but are much less likely to be appropriate:
- **One class or multiple classes, with same multiple filing bases for ALL listed items in class(es) *Examples***
- **One class, with different filing basis(es) for different goods/services within the same class *Examples***
- **Multiple classes, with different filing basis(es) for different overall classes *Examples***

Click on the above button to create the next class. For more instructions, click [here](#).

Click on this button to remove a class entirely. For more instructions, click [here](#).

**International**  
Select All Class

\* Goods and/or Services

Assigned  
Filing Basis(es)

**Unknown** If known, select class number A, B, or A & B (Counts as 2 classes for billing purposes). Unknown

**NOTE:** Do not enter a Class Number or any other code in the field below. You must enter only the common commercial name for the specific goods and/or services associated with the mark. Also, do not include any html or other programming code or language that may create links in the listing of goods and/or recitation of services, nor any abbreviations or colons (:) within your entry (because periods and/or colons may not appear within the recitation). For assistance in determining acceptable wording, please see USPTO Goods/Services Manual.

**Unknown** If known, select class number A, B, or A & B (Counts as 2 classes for billing purposes).

**NOTE:** Do not enter a Class Number or any other code in the field below. You must enter only the common commercial name for the specific goods and/or services associated with the mark. Also, do not include any html or other programming code or language that may create links in the listing of goods and/or recitation of services, nor any abbreviations or colons (:) within your entry (because periods and/or colons may not appear within the recitation). For assistance in determining acceptable wording, please see USPTO Goods/Services Manual.

**NOTE: The 4 BUTTONS below identify the choices of filing basis to be assigned to the items listed in the table, above. For an explanation of each basis, click here. Because assignment of the correct basis to each item is critical, please read the explanations if you have any questions as to which basis(es) to select, before clicking the button(s), below, to begin the assignment of the basis(es). Since assignment of a filing basis is not a requirement within this form, you can by-pass this step by clicking on the Continue button, below, if necessary.**

Actually using mark in commerce now

No use of mark yet, intending to use

Foreign application exists for same goods/services

Foreign registration exists for same goods/services

### CERTIFICATION

The certification mark, as used (or, if filing under 15 U.S.C. Section 1051(b), intended to be used) by authorized persons, certifies (or, if filing under 15 U.S.C Section 1051(b) is intended to certify)

\* Certification Statement

**NOTE:** Clicking "Go Back" will take you directly back to the MARK section of the form.

Burden / Privacy Statement

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PTO Form 1478 (Rev 9/2006)  
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## Certification Mark Form, Principal Register Version 4.1

- Check here if an attorney is filing this form on behalf of applicant(s). If an attorney is not filing, simply click on the box if currently checked to " uncheck" that box.
- Check here if the applicant has appointed a Domestic Representative. A Domestic Representative is OPTIONAL if the applicant's address is outside the United States. Once checked, a separate section of the form will appear to enter the Domestic Representative information.

### Attorney Information

\* Correspondent Attorney Name

Individual Attorney Docket/Reference Number

Other Appointed Attorney(s)

Firm Name

\* Street Address **NOTE:** You must limit your entry here, and for all remaining fields within this overall section, to no more than 40 characters (the storage limit for the USPTO database). You may need to abbreviate some words, *e.g.*, St. instead of Street. Failure to do so may result in an undeliverable address, due to truncation at the 40 character limit.

Internal Address

\* City

\* State  
(Required for U.S. applicants)

Select State

If not listed above, please specify here:

\* Country or U.S. Territory

Select Country or U.S. Territory

\* Zip/Postal Code  
(Required for U.S. applicants only)

Phone Number

Fax Number

An e-mail address for communication with the appointed attorney may be provided. The attorney must keep this address current in the Office's records. Specific authorization for this communication may be made, *below*.

Internet  
E-mail Address

Check here to authorize the USPTO to communicate with the appointed attorney via e-mail.

**NOTE:** By checking this box, the appointed attorney acknowledges that it is solely responsible for receipt of USPTO documents sent via e-mail. The appointed attorney should periodically check the status of its application through the Trademark Applications and Registrations Retrieval (TARR) database, to see if the assigned examining attorney has e-mailed an Office Action. If an action has been sent to the provided e-mail address, the USPTO is not responsible for any e-mail not received due to the applicant's security or anti-spam software, or any problems within the applicant's e-mail system. All sent actions can be viewed on-line, from Trademark Document Retrieval.

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PTO Form 1478 (Rev 9/2006)  
OMB No. 0651-0009 (Exp 9/30/2008)

## Certification Mark Form, Principal Register

Version 4.1

### Fee Information

**Note:** The total fee is computed based on the Number of Classes in which the goods and/or services associated with the mark are classified. If the free-text approach for the goods/services has been used, and different filing bases exist within the same class, neither the displayed Number of Classes nor Total Fee Due will be correct. You must manually adjust the Total Fee Paid amount, using the pull-down box to select the correct fee amount.

**Note:** Three payment options (credit card, automated deposit account, and Electronic Funds Transfer) will appear after clicking on the **Pay/Submit** button, which is available on the bottom of the *Validation Page* after completing and validating this form.

<b><u>Number of Classes</u></b>	2
<b>Fee per class</b>	\$325
<b><u>Total Fee Due</u></b>	\$650
<b>* <u>Total Paid Fees</u></b>	\$ <input type="text" value="650"/> <input type="button" value="v"/>

### Signature Information

Click to choose ONE signature method:

- Sign directly    E-mail Text Form to second party for signature    Handwritten pen-and-ink signature    Submit application unsigned

### Electronic Signature

The application will not be "signed" in the sense of a traditional paper document. To verify the contents of the application, the signatory must enter any alpha/numeric character(s) or combination thereof of **his or her choosing**, preceded and followed by the forward slash (/) symbol. The USPTO does **not** determine or pre-approve what the entry should be, but simply presumes that this specific entry has been adopted to serve the function of the signature. Most signatories simply enter their names between the two forward slashes, although acceptable "signatures" could include /john doe/; /jd/; or /123-4567/. The application may still be validated to check for missing information or errors even if the signature and date signed fields are left blank; however, you must specifically click the button for "Submit application unsigned," *above*.

### DECLARATION

The undersigned, being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the form or any resulting registration, declares that he/she is properly authorized to execute this form on behalf of the applicant; he/she believes the applicant to be the owner of the trademark/service mark sought to be registered, or, if the form is being

filed under 15 U.S.C. §1126(d) or (e), he/she believes applicant to be entitled to use such mark in commerce; to the best of his/her knowledge and belief no other person, firm, corporation, or association has the right to use the mark in commerce, either in the identical form thereof or in such near resemblance thereto as to be likely, when used on or in connection with the goods/services of such other person, to cause confusion, or to cause mistake, or to deceive; and that all statements made of his/her own knowledge are true; and that all statements made on information and belief are believed to be true.

\* **Signature**

\* **Date Signed**  (MM/DD/YYYY)

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**NOTE:** Enter the appropriate title, or the relationship to the applicant( *e.g.*, "Employee"). If an individual, enter "Owner." If an attorney, enter "Attorney of record."

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